



ASIC
Australian Securities &
Investments Commission

How to search the ASIC Professional Registers Search (PRS)

ASIC Professional Registers Search user guide | Published July 2025



Note: The screens and data pictured in this guide are examples only and have been redacted in some areas. Actual screens can have minor differences in text and layout.

Overview

This document outlines the system steps relating to the [ASIC Professional Registers Search](#) that is available on the ASIC website.

The following registers can be searched:

- Australian financial services (AFS) licensees
- AFS authorised representatives
- Credit licensees
- Credit representatives
- Official liquidators
- Registered auditors (registered company auditors and authorised audit companies)
- Registered liquidators
- Registered managed investment schemes, and
- Approved self-managed super funds (SMSF) auditors.

All other searches (e.g. Organisations & Business Names) and the historical professional registers (e.g. pre-FSR) will remain on [ASIC Connect Search](#).

Further information, including frequently asked questions, are available on our [Professional registers help page](#).

Get started

- Visit our website at asic.gov.au.
- Within the **Search ASIC registers** box on the homepage, select [Professional Registers](#).
- The Professional Registers Search displays the latest information for Australian financial services licensees. For all other registers, refer to the time and date stated to confirm the latest daily information refresh.
- The home page contains frequently asked questions. Select each downward arrow to view answers to common questions.
- To conduct a search:
 - Enter name, licence number, registration number, ACN or ABN.
 - Click 'Search' to perform search.






ASIC Professional Registers Search



Find information about a person or company and if they are registered or licensed with ASIC to perform a service. Be sure to check the details of what they are licensed to do, such as provide advice or offer investments.



Enter name, licence number, registration number, ACN or ABN

Search

 The Professional Registers Search displays the latest information for Australian financial services licensees.
For all other registers, information displayed is current as of 5:00 AM AEST 24 July 2025 

 What is the ASIC Professional Registers Search? 

 How do I use the Professional Registers Search? 

 Will I have to pay a search fee? 

 Need help? 

Search results

On the search results screen:

- Up to eight results are listed per page.
- The 'Register status key' provides a definition of every status.
- A person or organisation may have more than one registration or licence recorded on the PRS. Always check to make sure the person or organisation appears on the register you are searching for.
- Search results can be downloaded as PDF by clicking on the "Download results" button

To view more information, select the entity name or select the **Preview** icon to view a summary.

The following filters are available:

- **All registers** – apply this filter to see the results for a specific register.
- **All statuses** – apply this filter to see the results with a specific status.
- **All entity types** – apply this filter to see the results for an individual or an organisation.
- **All states** – apply this filter to see the results from the registered address.

ASIC Professional Registers Search

Find information about a person or company and if they are registered or licensed with ASIC to perform a service. Be sure to check the details of what they are licensed to do, such as provide advice or offer investments.

Enter name, licence number, registration number, ACN or ABN

ASIC TEST Sort by

The Professional Registers Search displays the latest information for Australian financial services licensees. For all other registers, information displayed is current as of 5:00 AM AEST 24 July 2025

Asterisk preceding the name, indicates former name.

Filters: **Registers** **Statuses** **Entity types** **States**

All registers

Name ▲	Type	Register	Status	Preview
ASIC TEST		Australian financial services authorised representatives	Ceased	
ASICPERSON TESTONE		Australian financial services authorised representatives	Current	
ASICPERSON TESTONE		Australian financial services authorised representatives	Current	
ASICPERSON TESTTWO		Approved SMSF auditors	Current	

1 to 4 of 4 professional register records

What is the ASIC Professional Registers Search?

How do I use the Professional Registers Search?

Will I have to pay a search fee?

Need help?

I can't find what I'm looking for

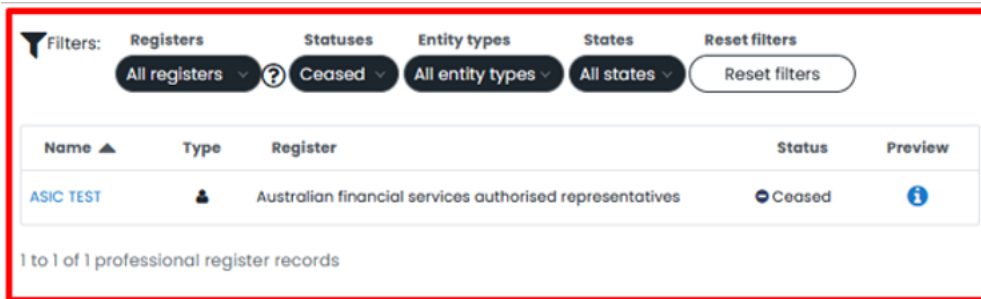
Register status key

- This entity has a current licence or is registered and can perform some services, depending on their conditions.
- This entity no longer holds a licence or has been deregistered.
- The services this entity can provide are limited as it may be suspended, externally administered, strike-off action in progress, or in the process of being wound up.

Filter search results

Using the filters will help you be more specific in your search.

Filtering by **Statuses** and **Ceased** has returned one entity, see the following page:



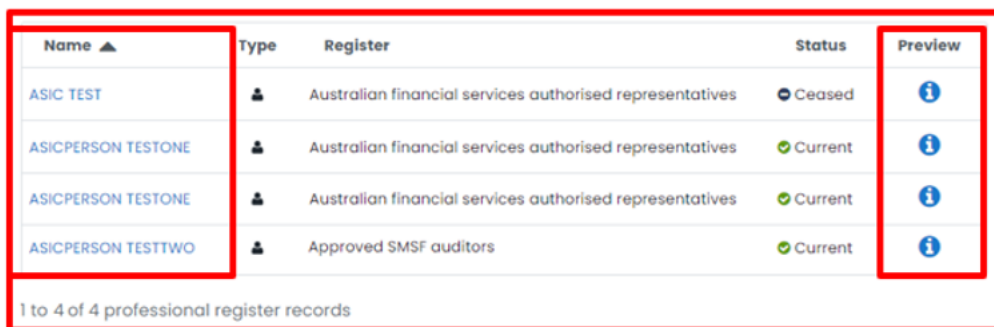
Name ▲	Type	Register	Status	Preview
ASIC TEST		Australian financial services authorised representatives		

1 to 1 of 1 professional register records

Reset filters

Selecting **Reset filters** will remove the filters you have applied and take you back to your original search.

- To view more information, select the entity **Name** or select the **Preview** icon to view a summary.



Name ▲	Type	Register	Status	Preview
ASIC TEST		Australian financial services authorised representatives		
ASICPERSON TESTONE		Australian financial services authorised representatives		
ASICPERSON TESTONE		Australian financial services authorised representatives		
ASICPERSON TESTTWO		Approved SMSF auditors		

1 to 4 of 4 professional register records



Entity information

This screen shows further information about the entity you have selected.


- Expand each section to see additional information or click '**Expand all**' to expand all sections.
- To view or print the search results in PDF format, click '**Export to PDF.**'
- To return to the previous list of search results, click '**Back.**'
- To start a new search, click '**New Search**'.

ASIC Professional Registers Search Detail

Find information about a person or company and if they are registered or licensed with ASIC to perform a service. Be sure to check the details of what they are licensed to do, such as provide advice or offer investments.

 The Professional Registers Search displays the latest information for Australian financial services licensees. For all other registers, information displayed is current as of 5:00 AM AEST 24 July 2025 


ASIC TEST

 **CURRENT**









Current principal place of practice address: Address unknown


Registration number: 100302458

Registration date: 03/09/2020











Register  Approved SMSF auditors

 Export to PDF  Expand all




 Addresses 
 Firm or practice name 
 Licence/Registration conditions 
 Extracts, information and documents for purchase 

 New search

 Back

 What is the ASIC Professional Registers Search? 
 How do I use the Professional Registers Search? 
 Will I have to pay a search fee? 
 Need help? 
 I can't find what I'm looking for 

Register status key

-  This entity has a current licence or is registered and can perform some services, depending on their conditions.
-  This entity no longer holds a licence or has been deregistered.
-  The services this entity can provide are limited as it may be suspended, externally administered, strike-off action in progress, or in the process of being wound up.

Representatives

- If the licensee has representatives, you can search for a representative's name within the search field provided.
- Use the filters to view specific information and select the **Export representatives to PDF** button to download a PDF version of all representatives associated with the licensee.

ASIC Professional Registers Search Detail

Find information about a person or company and if they are registered or licensed with ASIC to perform a service. Be sure to check the details of what they are licensed to do, such as provide advice or offer investments.

The Professional Registers Search displays the latest information for Australian financial services licensees. For all other registers, information displayed is current as of 5:00 AM AEST 24 July 2025.

ASICPERSON TESTONE

CURRENT

Principal place of business address: 181 WILLIAM ST MELBOURNE VIC 3000 AUSTRALIA

AFS representative number: 000500135

Commenced: 16/12/2014

Register: Australian financial services authorised representatives

Export to PDF

Name history

Addresses

Representatives

These are individuals and organisations authorised to perform services on behalf of this Australian financial services licensee.

Enter representative's name or number

Search Clear Sort by Name (A - Z)

Filters: Role: All roles Status: All statuses State: All states Reset filters

Name	Number	Role	Commenced	Ceased	Appointed by type	Appointed by number
[REDACTED]	001245241	Authorised Representative	18/07/2016	06/11/2020	AFS Licensee	[REDACTED]
[REDACTED]	001260213	Authorised Representative	28/11/2017	06/11/2020	AFS Licensee	[REDACTED]

1 to 2 of 2 Representatives records

Export representatives to PDF

Purchase a document

STEP 1: Select your items

- Expand the **Extracts, information and documents for purchase** accordion to view what information you can purchase about the entity that holds this licence or registration. **Note:** If an entity does not have products for purchase, the accordion will not appear.
- Depending on the entity selected, either extracts, documents or both will be available for purchase. Documents may be *Uncertified* or *Certified*.
- Uncertified: A copy of the document that is provided to you online for downloading.
- Certified: A copy of the document that is certified in writing to be a true copy and delivered to you via post.
- Tick the box next to the item that you would like to purchase. You may purchase multiple items via the same transaction. If you change your mind about a purchase, untick the item no longer required by selecting the box assigned to it. Click **Add to cart** to confirm.
- After selecting **Add to cart**, the Purchase button will be enabled. Click **Purchase** to proceed to the next page.

Extracts, information and documents for purchase

ASIC is legally obliged to charge for some information on our registers. To find out more about information for purchase, please see our [search fees](#).

! The information will be delivered to you online (email or download) unless otherwise specified.
All payments are via credit cards.

Extract ?

<input type="checkbox"/>	Current management investment scheme information	\$10.00	<input checked="" type="checkbox"/>
<input type="checkbox"/>	Current and historical management investment scheme information	\$20.00	<input type="checkbox"/>

Documents

Date	Document number	Document Type	Pages ?	Uncertified	Certified ?
22/11/2010	026598560	NOTIF. OF REQUEST BY RESP ENTITY TO CHANGE COMP PLAN AUDITOR (5114)	Not available		
08/05/2008	0X0139047	MANAGED SCHEME STATEMENT ANNUAL REVIEW - SCHEME-SUBSEQUENT - NO FEE (481D)	2	\$20.00 <input checked="" type="checkbox"/>	\$42.00 <input type="checkbox"/>
09/10/2007	0X0133036	MANAGED SCHEME STATEMENT ANNUAL - SCHEMES STATEMENT (481A)	2	\$20.00 <input type="checkbox"/>	\$42.00 <input type="checkbox"/>
08/12/2006	200612089	APPLICATION FOR REGISTRATION OF MANAGED INVESTMENT SCHEME - NEW SCHEME (5100A)	Not available		
08/12/2006	998585004	DIRECTORS STATEMENT (5103)	Not available		

1 to 5 of 5 documents

STEP 2: Add your details

- Provide your **Full Name** and **Email Address** in the fields provided (image 1). If you are purchasing certified documents, you will be requested to provide an Australian address for delivery. Type in your address in the field provided. If your address does not auto-appear via the look up service, click **"Can't find your address?"** to manually enter your postal address (image 2).
- The email address that you provide must be accessible to you as it will be used to send you a receipt of purchase and your documents for downloading.
- Review the items you have selected, delete some, or all your items under **Your Purchase**.
- Read the Terms and conditions provided and tick the **Select to agree** box.
- Click **Next** to proceed.

Image 1

Image 2

ASIC Professional Registers Purchase Detail

ASIC Professional Registers Purchase Detail

Please enter your details to make your purchase.

Please enter your details to make your purchase.

1 Enter your details 2 Review and pay 3 Receive your purchase

Your details

Name: Title: Mr Given name(s): John Family name: Lennon

Enter the email you would like us to send the information and receipt to.
Enter the email address * john.lennon@beatles.com.uk ✓
Confirm the email address * john.lennon@beatles.com.uk ✓

Your purchase

Document - 7EAB06518 - NOTICE THAT A PRODUCT IN A PDS HAS CEASED TO BE AVAILABLE - BY AFS LICENSEE (FS90A) \$20.00
LEE WATERS PLUMBING PTY LTD

Your total \$20.00

Terms and conditions
Your payment will be made to the Australian Securities and Investments Commission in Australia.
Once payment is made, we cannot issue a refund. Please check your purchase is correct before continuing.
For more information, please see our [refund and security policy](#).

Do you agree to these terms? Select to agree

Back New search **Next**

1 Enter your details 2 Review and pay 3 Receive your purchase

Your details

ASIC collects the following personal information for the purposes of sending payment receipts and purchased products. Please refer to our Privacy Policy at www.asic.gov.au/privacy for information about how we handle your personal information, your rights to seek access to and correct personal information, and how to complain about breaches of your privacy.

Certified documents are delivered via post and are usually dispatched within 1 business day. Please provide an Australian postal address.

Name: Title: Given name(s): Family name:

Enter an Australian address *
Type in an Australian address to search
[Can't find your address?](#)

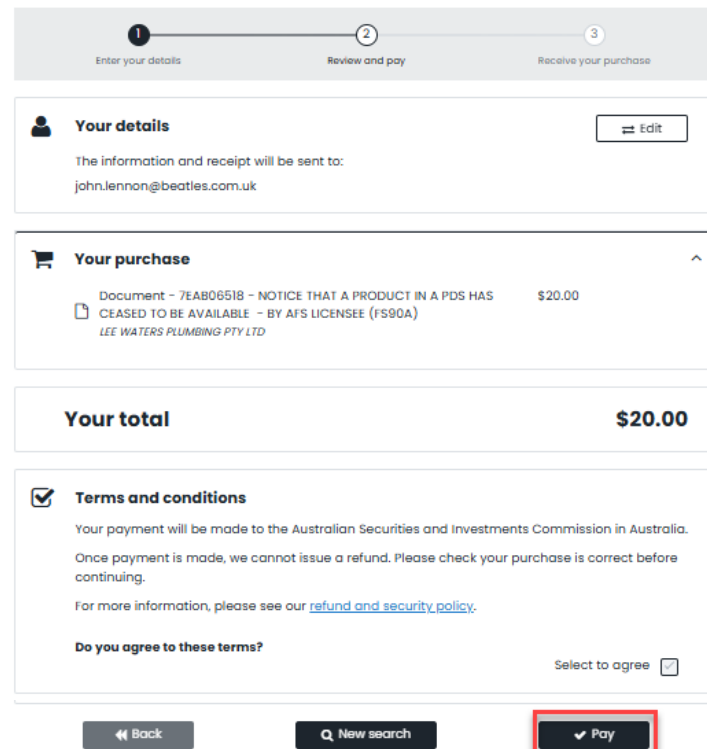
Enter the email you would like us to send the information and receipt to.
Enter the email address *
Confirm the email address *

STEP 3: Review your purchase

- Review items listed under **Your Purchase**.
- Select **Pay** to proceed with the purchase. You will be redirected to enter your credit card details which many take a few moments.

ASIC Professional Registers Payment Details

Please review your details before making purchase.



1 Enter your details 2 Review and pay 3 Receive your purchase

Your details Edit

The information and receipt will be sent to:
john.lennon@beatles.com.uk

Your purchase

Document - 7EAB06518 - NOTICE THAT A PRODUCT IN A PDS HAS CEASED TO BE AVAILABLE - BY AFS LICENSEE (FS90A) \$20.00
LEE WATERS PLUMBING PTY LTD

Your total **\$20.00**

Terms and conditions

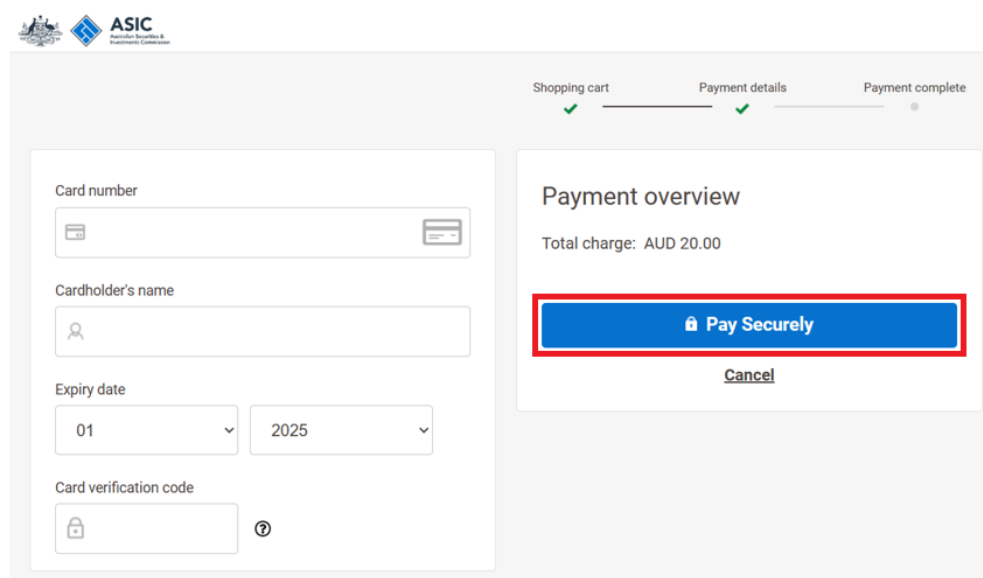
Your payment will be made to the Australian Securities and Investments Commission in Australia.
Once payment is made, we cannot issue a refund. Please check your purchase is correct before continuing.
For more information, please see our [refund and security policy](#).

Do you agree to these terms? Select to agree

← Back 🔍 New search ✓ Pay

STEP 4: Enter your credit card details and pay

- Enter your credit card details in the payment information fields.
- Select **Pay Securely** to proceed with purchase.



ASIC Australian Securities & Investments Commission

Shopping cart Payment details Payment complete

Card number

Cardholder's name

Expiry date: 01 / 2025

Card verification code

Payment overview
Total charge: AUD 20.00

Pay Securely
[Cancel](#)

STEP 5: Payment receipt page and accessing your purchases

- The products you have purchased, and the purchase reference number are listed on the **Payment Receipt** page.
- The documents you have purchased will be available for download immediately. You can download the products directly from this page or from the email receipt that you will receive.
- Select the arrow icon to download. It is recommended that you access the items purchased as soon as possible as the links will become inactive after 90 days of purchase.

Certified document purchases will be posted to your nominated address and are not available for immediate download.


ASIC Professional Registers Payment Receipt

Thank you for your purchase. Your reference number is: 33096072

1 Enter your details 2 Review and pay 3 Receive your purchase

You will receive an email shortly, with details of your purchased products.
Please ensure you retrieve and store your purchased products, as the links will become inactive after 90 days.

Your purchased products



Document - 7EAB06518 - NOTICE THAT A PRODUCT IN A PDS HAS CEASED TO BE AVAILABLE - BY AFS LICENSEE (FS90A) 
LEE WATERS PLUMBING PTY LTD


[New search](#)


STEP 6: Purchase receipt email

- Your **purchase receipt email** confirms that your purchase was successful. The products purchased are listed under **Information product**. Click on the document name to view and download your document. Certified documents will be listed as postal delivery only.

ASIC - Receipt Number 33139503

 @asic.gov.au

To: 



Click here to download pictures. To help protect your privacy, Outlook prevented automatic download of some pictures in this message.

Your purchase was successful

You can access the purchased products by clicking on the link(s) below. The link(s) to the purchased product(s) will remain active for 90 days only, starting from the date and time of purchase.

ASIC strongly recommends that you save and/or print the purchased product(s) now.

The information contained within an extract is current as at the time displayed in the PDF.

If you have any questions, [contact us](#).

Receipt number 9000004359895199000
Payment method Visa
Date 05/03/2025
Amount (AUD) \$ 10.00

Information product

[View Current management investment scheme information](#)
PATRICK'S MANAGED INVESTMENT SCHEME

