

Commonwealth of Australia Gazette No. A43/22 Tuesday 25 October 2022

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# ASIC Gazette

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## **RIGHTS OF REVIEW**

Persons affected by certain decisions made by ASIC under the Corporations Act 2001 and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet ASIC decisions – your rights (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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22-0899

#### Australian Securities and Investments Commission Corporations Act 2001 Section 915B

#### Notice of Cancellation of an Australian Financial Services Licence

TO: Winter Wealth Services Pty Ltd ACN 612 740 664 ("the Licensee") 67 Broadway Glenelg South SA 5045

Pursuant to paragraph 915B(3)(d) if body corporate of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 489182 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 18 October 2022

Signed

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Jedo Charles A delegate of the Australian Securities and Investments Commission

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22-0900

#### Australian Securities and Investments Commission Corporations Act 2001 Section 915B

Notice of Cancellation of an Australian Financial Services Licence

TO: Innovatio Advisory Pty Ltd ACN 107 237 123 ("the Licensee") 255 Port Road Hindmarsh SA 5007

Pursuant to paragraph 915B(3)(d) if body corporate of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 264083 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 18 October 2022

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Signed

Jedo Charles A delegate of the Australian Securities and Investments Commission

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#### 22-0902

#### Australian Securities and Investments Commission Corporations Act 2001 – Paragraph 741(1)(a) – Exemption

#### Enabling legislation

 The Australian Securities and Investments Commission (ASIC) makes this instrument under paragraph 741(1)(a) of the Corporations Act 2001 (Act).

#### Title

2. This instrument is ASIC Instrument 22-0902.

#### Commencement

This instrument commences on the date it is signed.

#### Exemption

 Insurance Australia Group Limited ACN 090 739 923 (Company) does not have to comply with subsection 734(2) of the Act.

#### Where this instrument applies

- Subject to paragraph 6, this instrument applies to statements made by the Company to Securityholders, communicating:
  - (a) the fact that the Company is proposing to undertake the Offer;
  - (b) the brokers the Company has appointed (or expects to appoint) to assist the Company to manage the Offer;
  - eligibility to participate in the Offer and other information relevant to participation in the Offer;
  - (d) information concerning factors that are likely to impact the Offer proceeding;
  - (c) information concerning impending announcements about the Offer;
  - (f) information about the structure of the offer and offer periods under the Offer; and
  - (g) information about the timetable for the Offer, including any proposed updates to the timetable for the Offer.

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#### 22-0902

#### Conditions

 This instrument does not apply if, in communicating any of the information set out in paragraph 5, the Company communicates any advantages, benefits or merits of the Offer.

#### Cessation

- 7. This instrument ceases to apply on the earlier of:
  - (a) the date on which the Prospectus is lodged with ASIC; and
  - (b) 31 December 2022.

#### Interpretation

8. In this instrument:

Notes means perpetual, convertible, subordinated debt obligations in the form of unsecured notes issued by the Company;

*Offer* means the proposed public offer of Notes under the Prospectus on or around November 2022;

Prospectus means the disclosure document proposed to be lodged by the Company with ASIC in respect of the Offer, and

Securityholders means the shareholders of the Company and registered holders of convertible notes issued by the Company.

Dated this 19th day of October 2022

Signed by Adam Prior as a delegate of the Australian Securities and Investments Commission

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#### 22-0905

#### Australian Securities and Investments Commission

Corporations Act 2001 - Paragraph 655A(1)(a) - Exemption

#### Enabling legislation

 The Australian Securities and Investments Commission (ASIC) makes this instrument under paragraph 655A(1)(a) of the Corporations Act 2001 (Cth) (Act).

#### Title

2. This instrument is ASIC Instrument 22-0905.

#### Commencement

3. This instrument commences on the date that it is signed.

#### Exemption

4. Credit Corp and its Controllers do not have to comply with section 606 of the Act.

#### Where this instrument applies

- 5. This instrument applies where:
  - a) Credit Corp and its Controllers acquire a relevant interest in the Shares as a result of the transfer of the Shares to Credit Corp on implementation of the Court Order and pursuant to the DOCA;
  - b) on 4 October 2022, a notice informing all Shareholders of the Proceedings was served by post or by electronic mail to all Shareholders by the Deed Administrators, with such notice advising Shareholders that the Explanatory Statement (attaching the Independent Expert's Report and Interlocutory Process) would be available for download and containing specific instructions as to how and where the Shareholders can access those documents; and
  - c) the Deed Administrators made available to Shareholders at the Website the Explanatory Statement (attaching the Independent Expert's Report and Interlocutory Process).

#### Interpretation

6. In this instrument:

Collection House means Collection House Limited (Subject to Deed of Company Arrangement) ACN 010 230 716.

*Controllers* means entities or persons who by operation of section 608 of the Act acquire a relevant interest in the Shares as a result of the Proponent acquiring a relevant interest in such Shares.

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#### 22-0905

*Court Order* means the order of the Federal Court of Australia in the Proceedings made 19 October 2022, permitting the Deed Administrators to transfer the Shares to Credit Corp under section 444GA of the Act.

Credit Corp means Credit Corp Group Limited ACN 092 697 151.

*Deed Administrators* means John Park, Benjamin Campbell and Kelly-Anne Trenfield in their capacity as joint and several deed administrators of Collection House pursuant to the DOCA.

DOCA means the deed of company arrangement dated 21 September 2022 between the Deed Administrators, Collection House and Credit Corp.

*Explanatory Statement* means the Explanatory Statement dated 4 October 2022 prepared by the Deed Administrators.

Independent Expert's Report means the independent expert's report dated 30 September 2022 prepared by KordaMentha Pty Ltd.

Interlocutory Process means the interlocutory process filed in the Proceedings on 4 October 2022.

Proceedings means the proceedings in the Federal Court of Australia in proceeding number QUD 246 of 2022 commenced 19 July 2022.

Shares means all of the issued shares in Collection House.

Shareholders means all of the shareholders of Collection House registered in the company's share register as at 4 October 2022.

Website means https://www.fticonsulting.com/creditors/collection-house-limited.

Dated this 19th day of October 2022



Signed by Genevieve Lai as a delegate of the Australian Securities and Investments Commission

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#### 22-0909

Notice is given under section 920E of the Corporations Act 2001 that the Australian Securities and Investments Commission has made a banning order in the terms set out below, which order took effect on 30 June 2022.

#### Australian Securities and Investments Commission In the matter of Mark Bringans Banning order under sections 920A and 920B of the *Corporations Act 2001*

To: Mark Bringans

Take notice that under s920A and s920B of the Corporations Act 2001 the Australian Securities and Investments Commission prohibits Mark Bringans for a period of eight (8) years from:

- (a) providing any financial services;
- (b) controlling, whether alone or in concert with one or more other entities, an entity that carries on a financial services business; and
- (c) performing any function involved in the carrying on of a financial services business (including as an officer, manager, employee, contractor or in some other capacity).

Dated this 28th day of June 2022.

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Signed: Graeme Darcy Plath Delegate of the Australian Securities and Investments Commission

Your attention is drawn to s920C(2) of the Corporations Act 2001 which provides that a person must not engage in conduct which breaches a banning order that has been made against the person. A contravention of s920C(2) is an offence.

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CORPORATIONS ACT 2001 Subsection 601PA(3)

ASIC may deregister the managed investment scheme(s) listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-first day of October 2022

Name of Scheme	ARSN
BENCHMARK CAPITAL MORTGAGE FUND	131 409 633
FREEDOM FOODS GROUP LITIGATION FUNDING SCHEME	646 754 378
SCENIC TOURS LITIGATION FUNDING SCHEME	649 659 094
THE CERTAIN UNDERWRITERS AT LLOYDS GROUP LITIGATION FUNDING SCHEME	647 497 229
THE DIXON ADVISORY URF LITIGATION FUNDING SCHEME	656 060 418
THE HALIFAX AU & NZ LITIGATION FUNDING SCHEME	652 511 450
THE HOLLARDS BII CLAIM LITIGATION FUNDING SCHEME	653 963 369
THE LLOYDS BII CLAIM LITIGATION FUNDING SCHEME	650 744 228
THE MESOBLAST SHAREHOLDER LITIGATION FUNDING SCHEME	656 647 586
THE PRAWN WHITE SPOT II LITIGATION FUNDING SCHEME	654 591 705
THE PRAWN WHITE SPOT LITIGATION FUNDING SCHEME	647 887 027

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THE QBE BII CLAIM LITIGATION FUNDING SCHEME	650 744 415	
THE SPRING FARM LITIGATION FUNDING SCHEME	649 089 912	

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Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-first day of October 2022

Name of Scheme	ARSN
ADDWEALTH ACHIEVER FUND	097 580 955
INVESCO AUSTRALIAN EQUITY LONG SHORT FUND	614 000 690
INVESCO GLOBAL TARGETED RETURNS PLUS FUND	625 488 262
INVESCO GLOBAL TARGETED RETURNS PLUS USD FUND	647 389 080
INVESCO WHOLESALE DIVERSIFIED GROWTH FUND	089 540 367
IOOF BALANCED FUND FEE OPTION 1	087 727 491
IOOF BALANCED FUND FEE OPTION 2	087 728 738
IOOF CAPITAL SECURE TRUST	122 582 405
IOOF GROWTH SHARES FUND	087 721 337
IOOF VALUE SHARES FUND	100 074 833
L1 CAPITAL AUSTRALIAN EQUITIES FUND	621 183 195
MONTAKA GLOBAL ACCESS FUND	607 245 643

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CORPORATIONS ACT 2001 Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-first day of October 2022

Name of Company	ARBN
ABT LOADMAC LIMITED	641 043 558
CLARIS WORLDWIDE INC.	052 818 112
HEALTH INTERACTIONS ASIA PACIFIC PTE LIMITED	114 490 292
PROSPERITY CAPITAL MANAGEMENT SICAV	626 454 591

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CORPORATIONS ACT 2001 Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

## LAMBERT CAPITAL FUNDS MANAGEMENT

**LIMITED** ACN 073 706 886 will change to a proprietary company limited by shares. The new name will be LAMBERT CAPITAL FUNDS MANAGEMENT PTY LTD ACN 073 706 886. NVISO GROUP PTY LTD ACN 627 584 847 will change to a public company limited by shares. The new name will be NVISO GROUP LIMITED ACN 627 584 847.