

From: Fred Chow [REDACTED]
Sent: Friday, 5 September 2025 8:40 PM
To: Simplification Consultative Group <simplificationconsultativegroup@asic.gov.au>
Subject: Compliance Plan Lodgements via email

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Dear ASIC,

I work for a funds management company that requires Compliance Plan lodgements for both June and December Year End Management Investment Schemes.

After each audit completion, the need to finalise the Form 5111 for each individual fund (we have had hundreds of funds) representing each Compliance Plan, and submission to ASIC showing its Audit Opinion has to be printed out 100 times (1 per fund) and with wet signature requirement and the need to send to the Gippsland address via physical mail.

This is not environmentally friendly (printing waste) when we can send all via pdf and send 1 copy of the audit opinion that represents all 100 + schemes and submit online.

If you can please consider this option for online submission, this will be most efficient and environmentally friendly result possible.

Best Regards,

Fred

Sent from my iPhone