

## Section 22

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**Subject:** Update Regulators on CHESS Batch Incident [SEC=OFFICIAL]

**Location:** Microsoft Teams Meeting

**Start:** Fri 20/12/2024 1:00 PM

**End:** Fri 20/12/2024 1:15 PM

**Recurrence:** (none)

**Meeting Status:** Accepted

**Organizer:** Section 22

**Required Attendees:** Section 22; Section 22; Section 22; Section 22; Section 22;  
Section 22 @rba.gov.au; Section 22; Section 22; Section 22;

**Optional Attendees:** Section 22

Section 22

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Meeting ID: Section 22

Passcode: Section 22

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Phone conference ID: Section 22#

For organizers: [Meeting options](#) | [Reset dial-in PIN](#)

---

Section 22

**Subject:** Update Regulators CHESS Incident [SEC=OFFICIAL]  
**Location:** Microsoft Teams Meeting

**Start:** Fri 20/12/2024 3:30 PM  
**End:** Fri 20/12/2024 3:55 PM  
**Show Time As:** Tentative

**Recurrence:** (none)

**Meeting Status:** Not yet responded

**Organizer:** Section 22  
**Required Attendees:** Section 22; Section 22; Section 22; Section 22; Section 22;  
Section 22@rba.gov.au; Section 22; Section 22; Section 22;  
Section 22  
**Optional Attendees:** Section 22

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Passcode: Section 22

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Phone conference ID: Section 22#

For organizers: [Meeting options](#) | [Reset dial-in PIN](#)

**Location:** Microsoft Teams Meeting

**End:** Fri 20/12/2024 4:40 PM

**Recurrence:** (none)

**Meeting Status:** Accepted

**Organizer:** Section 22

**Required Attendees:** Section 22; Section 22; Section 22; Section 22; Section 22  
Section 22; @rba.gov.au; Section 22; Section 22; Section 22

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## Section 22

**From:** Section 22 @asx.com.au>  
**Sent:** Friday, 20 December 2024 5:01 PM  
**To:** Section 22; Section 22; Section 22; Section 22; Section 22; Section 22\_at\_rba.gov.au; Section 22\_at\_rba.gov.au; Section 22; Section 22  
**Subject:** RE: Regulator Update CHESS Batch Incident [SEC=OFFICIAL]

You don't often get email from Section 22 @asx.com.au. [Learn why this is important](#)

**EXTERNAL EMAIL:** Do not click any links or open any attachments unless you trust the sender and know the content is safe.

Hi all,

We have just updated the System Status Page with the notice below.

We will provide more details of our communications this evening including a proposed webinar.

Thanks

Section 22

ASX has been unable to resolve issues with the settlement process and therefore settlements scheduled for Friday 20th December 2024 will need to be deferred until Monday 23rd December 2024.

CHESS EOD will not commence at 07:00pm. The revised EOD will be confirmed with sufficient time for customers to manage the impact.

ASX appreciates the significant impact this issue has had on Participants, Payment Providers and Registries.

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**From:** Section 22  
**To:** Section 22; Section 22; Section 22; Section 22  
**Cc:** Section 22; Section 22; Section 22; Section 22; Section 22; [Section 22@rba.gov.au](mailto:Section 22@rba.gov.au); Section 22  
**Subject:** RE: Next steps and meetings tomorrow at 9 am and 12.30 [SEC=OFFICIAL]  
**Date:** Friday, 20 December 2024 7:41:29 PM

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**EXTERNAL EMAIL:** Do not click any links or open any attachments unless you trust the sender and know the content is safe.

Hi Section 22,

ASX has now completed the process to reschedule all scheduled instructions, chess users can now access chess to resume chess activities. Following that we will run end of day processes. Should anything arise that hinders this process I will provide an update accordingly of course

Kind Regards

Section 22

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**From:** Section 22@asic.gov.au>  
**Sent:** Friday, 20 December 2024 6:40 PM  
**To:** Section 22@asx.com.au>; Section 22@asx.com.au>;  
 Section 22@asx.com.au>; Section 22@asx.com.au>  
**Cc:** Section 22\_at\_asic.gov.au <Section 22@asic.gov.au>; Section 22@asic.gov.au>;  
 Section 22@asic.gov.au>; Section 22@asic.gov.au>;  
 Section 22@rba.gov.au>; Section 22\_at\_rba.gov.au <Section 22@rba.gov.au>; Section 22@rba.gov.au>  
**Subject:** Next steps and meetings tomorrow at 9 am and 12.30 [SEC=OFFICIAL]

**EXTERNAL EMAIL:** Do not click on links or open attachments unless you trust the sender and know the content is safe.

Hi All,

We would now like to also convene a meeting at 9 am tomorrow, to allow us to brief our Commission ahead of a potential call with the Treasurer's office.

Confirming that we will get an update later this evening following completion of end of day.

We will also schedule a Teams meeting at 12.30 tomorrow.

Kind regards

## Section 22

Senior Manager, Market Infrastructure, Clearing and Settlement Facilities

### Australian Securities and Investments Commission

Level 5, 100 Market Street, Sydney, 2000

Tel: +61 Section 22 | Mob: +61 Section 22

Section 22 [@asic.gov.au](mailto:Section 22@asic.gov.au)



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## Section 22

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**Subject:** Regulator - CHESS Incident Update [SEC=OFFICIAL]  
**Location:** Microsoft Teams Meeting

**Start:** Sat 21/12/2024 8:00 AM  
**End:** Sat 21/12/2024 8:25 AM

**Recurrence:** (none)

**Meeting Status:** Accepted

**Organizer:** Section 22  
**Required Attendees:** Section 22; Section 22; Section 22; Section 22; Section 22;  
Section 22 | Section 22; Section 22@rba.gov.au; Section 22  
**Optional Attendees:** Section 22; Section 22

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## Microsoft Teams [Need help?](#)

### [Join the meeting now](#)

Meeting ID: Section 22

Passcode: Section 22

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Phone conference ID: Section 22#

For organizers: [Meeting options](#) | [Reset dial-in PIN](#)

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## Section 22

**From:** Section 22 @asx.com.au>  
**Sent:** Saturday, 21 December 2024 8:14 AM  
**To:** Section 22; Section 22; Section 22; Section 22; Section 22  
 ; Section 22; Section 22\_at\_rba.gov.au; Section 22  
**Cc:** Section 22  
**Subject:** RE: Regulator - CHESS Incident Update [SEC=OFFICIAL]  
**Attachments:** chess\_batch\_settlement\_operational\_overview.pdf

**EXTERNAL EMAIL:** Do not click any links or open any attachments unless you trust the sender and know the content is safe.

Section 22

s 47F

M +61 Section 22  
 L [LinkedIn](#)  
 E [Section 22@asx.com.au](mailto:Section 22@asx.com.au)

ASX – heart of Australia's financial markets



20 Bridge Street, Sydney NSW 2000

[www.asx.com.au](http://www.asx.com.au)

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ASX acknowledges the Traditional Owners of Country throughout Australia. We pay our respects to Elders past and present.

ARTWORK BY: LEE ANNE HALL, MY COUNTRY MY PEOPLE



-----Original Appointment-----

**From:** Section 22 <Section 22@asic.gov.au>  
**Sent:** Friday, 20 December 2024 6:42 PM  
**To:** Section 22; Section 22; Section 22; Section 22; Section 22  
 ; Section 22; Section 22\_at\_rba.gov.au; Section 22  
**Cc:** Section 22  
**Subject:** Regulator - CHESS Incident Update [SEC=OFFICIAL]  
**When:** Saturday, 21 December 2024 9:00 AM-9:25 AM (UTC+10:00) Canberra, Melbourne, Sydney.  
**Where:** Microsoft Teams Meeting

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Meeting ID: **Section 22**

Passcode: **Section 22**

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Phone conference ID: **Section 22**#

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**Location:** Microsoft Teams Meeting

**Start:** Sat 21/12/2024 4:00 PM

**End:** Sat 21/12/2024 4:30 PM

**Recurrence:** (none)

**Meeting Status:** Accepted

**Organizer:** Section 22

**Required Attendees:** Section 22; Section 22; Section 22; Section 22; Section 22;

Section 22; Section 22; Section 22 @rba.gov.au; Section 22

**Optional Attendees:** Section 22; Section 22; Section 22

## Microsoft Teams [Need help?](#)

**Join the meeting now**

Meeting ID: Section 22

Passcode: Section 22

### Dial in by phone

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### Find a local number

Phone conference ID: Section 22#

For organizers: [Meeting options](#) | [Reset dial-in PIN](#)

Dear [Section 22] and [Section 22]

Section 22

**Subject:** CHESS Incident Regulator Update [SEC=OFFICIAL]  
**Location:** Microsoft Teams Meeting

**Start:** Sun 22/12/2024 11:00 AM  
**End:** Sun 22/12/2024 11:30 AM

**Recurrence:** (none)

**Meeting Status:** Accepted

**Organizer:** Section 22  
**Required Attendees:** Section 22@asx.com.au; Section 22; Section 22@asx.com.au;  
Section 22@asx.com.au; Section 22; Section 22  
; Section 22@rba.gov.au; Section 22; Section 22; Section 22  
**Optional Attendees:** Section 22; Section 22; Section 22; Section 22; Section 22; Section 22  
; Section 22

Placeholder if required. To be confirmed today at 5 pm update.

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[Join the meeting now](#)

Meeting ID: Section 22  
Passcode: Section 22

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[Find a local number](#)  
Phone conference ID: Section 22#

For organizers: [Meeting options](#) | [Reset dial-in PIN](#)

## Section 22

**From:** Section 22 @email.asx.com.au>  
**Sent:** Sunday, 22 December 2024 1:06 PM  
**To:** Section 22  
**Subject:** Invitation: CHESS Batch Settlement Update

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## CHESS Batch Settlement Update

There will be an industry call scheduled for 5:00pm to discuss CHESS Settlement Operational next steps.

Please click on the button below to join, or refer to the Teams meeting details below.

<b>DATE</b>	Sunday, 22 December 2024
<b>TIME</b>	5:00pm AEDT

[JOIN NOW](#)

**Teams Meeting Details:**

Meeting ID: Section 22

Passcode: Section 22

Dial in by phone:

+61 Section 22

Phone conference ID: Section 22 #

Kind regards,  
ASX Equities Team



20 Bridge Street, Sydney, NSW, 2000, Australia

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## Section 22

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**From:** Section 22  
**Sent:** Sunday, 22 December 2024 6:52 PM  
**To:** Section 22  
**Subject:** Quick chat [SEC=OFFICIAL]

Hi <sup>Section 22</sup> – Can you please give me a quick call if you have a minute. Section 22.

Thanks

<sup>Section 22</sup>

Section 22  
Senior Executive Leader, Market Infrastructure  
Markets Group  
**Australian Securities and Investments Commission**  
L5, 100 Market Street, Sydney NSW 2000  
+61 <sup>Section 22</sup>  
Section 22 [@asic.gov.au](mailto:Section 22@asic.gov.au)



EA: Section 22 [@asic.gov.au](mailto:Section 22@asic.gov.au)

## Section 22

---

**From:** Section 22 @asx.com.au>  
**Sent:** Monday, 23 December 2024 1:12 PM  
**To:** Section 22; Section 22; Section 22\_at\_rba.gov.au  
**Cc:** Section 22; Section 22; Section 22; Section 22;  
 Section 22\_at\_rba.gov.au; Section 22; Section 22; Section 22; Section 22;  
 Section 22; Section 22; Section 22; Section 22  
**Subject:** Re: Update on CHESS [SEC=OFFICIAL]

**EXTERNAL EMAIL:** Do not click any links or open any attachments unless you trust the sender and know the content is safe.

Thanks Section 22, yes it is the same call.

Section 22 will send through an invitation for 4.30pm shortly. This time will allow us to have other discussions prior for a more fulsome update, including in relation to the Section 22 questions.

Kind regards

Section 22

Get [Outlook for iOS](#)

# Section 22

# Section 22

# Section 22

# Section 22

# Section 22

# Section 22

## Section 22

From: Section 22  
 Sent: Monday, 23 December 2024 12:59 PM  
 To: Section 22; Section 22; Section 22  
 Cc: Section 22  
 Subject: RE: Request for call later today [SEC=OFFICIAL]

Thanks Section 22

---

From: Section 22 @asx.com.au>  
 Sent: Monday, December 23, 2024 1:53 PM  
 To: Section 22 @asic.gov.au>; Section 22 @asx.com.au>; Section 22 @asx.com.au>  
 Cc: Section 22 @rba.gov.au>  
 Subject: RE: Request for call later today [SEC=OFFICIAL]

**EXTERNAL EMAIL:** Do not click any links or open any attachments unless you trust the sender and know the content is safe.

Hi Section 22,

Thanks for your email. We will arrange a call at this time and agree it would be useful to provide you an update.

Regards  
 Section 22

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From: Section 22 @asic.gov.au>  
 Sent: Monday, 23 December 2024 12:38 PM  
 To: Section 22 @asx.com.au>; Section 22 @asx.com.au>  
 Cc: Section 22 @rba.gov.au>  
 Subject: Request for call later today [SEC=OFFICIAL]

**EXTERNAL EMAIL:** Do not click on links or open attachments unless you trust the sender and know the content is safe.

Hi Section 22 and Section 22

Assuming all goes smoothly with the settlement batch today, we thought it would be helpful to have a quick call later today for a wrap up from your perspective on where things are at and your level of comfort that the issue has been finally resolved (and how you have come to that view). It would also helpful to discuss who will be around over the coming weeks in case there are any further issues.

Would you be available between 3.30 and 4.30 today?

Regards

Section 22

Section 22

Senior Executive Leader, Market Infrastructure

Markets Group

**Australian Securities and Investments Commission**

L5, 100 Market Street, Sydney NSW 2000

+61 Section 22

Section 22 [@asic.gov.au](mailto:Section 22@asic.gov.au)



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Section 22

From: Section 22  
Sent: Tuesday, 24 December 2024 6:02 AM  
To: Section 22 ; Section 22  
Cc: Section 22; Section 22; Section 22 ; Section 22 ; Section 22; Section 22  
; External - Section 22 ; External - Section 22; Section 22; Section 22  
; Section 22; Section 22 ; Section 22; Section 22; Section 22  
; Section 22 ; Section 22  
Subject: Re: ASIC RBA CHESS incident Update 23/12/2024

Thanks also for the update Section 22.

Regards  
Section 22

From: Section 22 @rba.gov.au>  
Sent: Monday, December 23, 2024 11:21:28 PM  
To: Section 22 @asx.com.au>  
Cc: Section 22 @asx.com.au>; Section 22 @asic.gov.au>; Section 22  
<Section 22 @asx.com.au>; Section 22 <Section 22 @asx.com.au>; Section 22  
Section 22 @asx.com.au>; Section 22 @asx.com.au>; External - Section 22  
<Section 22 @asx.com.au>; External - Section 22; Section 22 @asx.com.au>; Section 22  
<Section 22 @asx.com.au>; Section 22 <Section 22 @asic.gov.au>; Section 22  
<Section 22 @asic.gov.au>; Section 22 @asic.gov.au>; Section 22  
Section 22 @rba.gov.au>; Section 22 @asx.com.au>; Section 22 @rba.gov.au>; Section 22  
@asic.gov.au>; Section 22 @rba.gov.au>; Section 22 @asic.gov.au>  
Subject: Re: ASIC RBA CHESS incident Update 23/12/2024

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Thank you, Section 22, for the update.

Kind regards,  
Section 22

On 23 Dec 2024, at 10:56 pm, Section 22 @asx.com.au> wrote:

Section 22

# Section 22

# Section 22