

Australian Securities and Investments Commission

Chair: Joseph Longo **Deputy Chair:** Sarah Court **Commissioners:** Simone Constant, Alan Kirkland, Kate O'Rourke

Chair and Accountable Authority
Joseph Longo

Chief Executive Officer
Greg Yanco

Senior Executive Adviser to CEO
SEL: Shelley Dennis

Chief Internal Auditor
Lisa Berwick

Chief Risk Officer
Zack Gurdon

Registry and Intelligence

Executive Director: Diana Steicke

Registry Operations
SEL: Liesl McKay

Regulatory Insights and Assessment
SEL: Peter Whitham (Acting)

Strategic Planning and Intelligence
SES: Mark Adams

International
SES: Gerard Fitzpatrick

Regulation and Supervision

Executive Director: Jane Eccleston (Acting)

Superannuation and Life Insurance
SEL: Pippa Lane (Acting)

Credit, Banking and General Insurance
SEL: Nathan Bourne

Financial Advice and Investment Management
SEL: Leah Sciacca

Companies and Small Business
SEL: Claire LaBouchardiere

Companies and Small Business
SES: Thea Eszenyi

Strategic Surveillance and Data
SEL: Suneeta Sidhu

Regulatory Reform and Implementation
SEL: Kate Metz

Licensing
SEL: Peng Lee

Markets

Executive Director: Calissa Aldridge

Market Infrastructure
SEL: Ben Cohn-Urbach

Market Integrity
SEL: Amanda Zeller

Market Conduct
SEL: Andrew Templer

Markets Enforcement
SEL: Molly Choucair

Digital Assets
SEL: Dr Rhys Bollen

Enforcement and Compliance

Executive Director: Melissa Smith (Acting)

Enforcement Inquiries and Compliance
SEL: Tom O'Shea

Portfolio Executive
SEL: Marita Hogan

Portfolio Executive
SEL: David McGuinness

Investigation and Enforcement Action
SEL: Brendan Caridi

Investigation and Enforcement Action
SEL: Chris Rowe

Investigation and Enforcement Action
SEL: Wendy Endebrock Brown

Investigation and Enforcement Action
SEL: Natasha Haslam (Acting)

Chief Investigator and Portfolio Executive
SEL: Brett Crawford

Enforcement Services
SEL: Amanda Dixon

Enforcement Digital Transformation
SES: Karl Wong

Specialist Investigations
SEL: Catherine Iles

Legal Services

Executive Director and General Counsel: Chris Savundra

Deputy General Counsel
Kathleen Cuneo

Regulatory Advice
SC: Anthony Graham

Criminal Law
SC: Vicky Argitis

Criminal Practice
SC: Sarah Maddox

Civil Litigation / Administrative Law
SC: Conrad Gray

Commission Counsel
Rashpal Hartmann

Chief Delegate
Christine Croft (Acting)

Registry Interactions and Services
SEL: Jennifer Dolphin

Digital, Data and Technology

Executive Director: Joanne Harper

Chief Technology Office
SEL: Mark Pearce

RegistryConnect
SEL: Paul Franklin

RegistryConnect Program Integration and Technology
SES: Michael McCulloch

Digital Delivery
SES: Gethin Constable

Communications and Corporate Affairs

Chief Communications Officer: Zoe Viellaris

Finance

Chief Financial Officer: Peter Dunlop

Enterprise Resource Planning
SEL: Mitch Frost

People and Development

Chief People, Culture and Transformation Officer: Annie Reeves