From: s 22
To: s 22
Cc: s 22
Subject: Query regarding shareholder of Mount Rommel Mining Ltd [SEC=OFFICIAL]
Date: Wednesday, 16 March 2022 12:39:03 PM
Attachments: image001.jpg

Hi s 22

s 22 has been working on the s319/s320/s250N matter of Mount Rommel Mining Ltd (the Company). The matter originated from a ROM lodged by Stephen Koci, a shareholder of the Company, on 20 September 2021. The ROM raised a number of issues, and SBE&C accepted a referral from M&BR for the outstanding financial reports and failure to hold AGMs only.

As part of the usual process to contact the reporter, s 22 contacted Mr Koci and stated that she was the case officer reviewing the issues of the outstanding reports and AGMs. Mr Koci is willing to provide SBE&C with a statement which will assist with the s250N offences.

It has come to my attention that Mr Koci has requested a review of how his ROM was handled and he may lodge a complaint/FOI request. Please see the emails at

s 22

Do you know if there will be any issues with Mr Koci providing SBE&C with a statement? Mr Koci did not raise the review with s 22 and has appeared willing to assist so far.

Regards,

s 22
Small Business, Engagement & Compliance
Australian Securities and Investments Commission
Level 5, 100 Market Street, Sydney, 2000
s 22

ASIC logo