From: s 22
To: s 22
Cc: s 22
Subject: RE: Charge Code and Regulatory Roles for General Investigation Case [SEC=OFFICIAL:Sensitive]
Date: Friday, 25 November 2022 10:07:51 AM
Attachments: image005.jpg
image006.png
image007.jpg
image008.png
image009.png
image010.png
image011.png
image012.png

Hi s 22
s 22 doesn't work on Friday's however, s 22 is looking into the issue for you.
Thanks
Kind regards,
s 22
s 22 | Small Business, Engagement & Compliance
Australian Securities and Investments Commission
Level 7, 120 Collins Street, Melbourne VIC 3000
s 22

ASIC logo

From: s 22
Sent: Friday, 25 November 2022 9:37 AM
To: s 22
Cc: s 22
Subject: FW: Charge Code and Regulatory Roles for General Investigation Case [SEC=OFFICIAL:Sensitive]
Hi s 22
Happy Friday!

s 47E (d), s 37(2)(b)

Thanks and Kind regards
s 22
, Small Business Engagement & Compliance
Australian Securities and Investments Commission
Level 5, 100 Market Street, Sydney, 2000
s 22

ASIC logo

From: s 22
Sent: Thursday, 24 November 2022 3:28 PM
To: s 22
Cc: s 22
Subject: Charge Code and Regulatory Roles for General Investigation Case [SEC=OFFICIAL:Sensitive]
Hi s 22
I have identified that the s 47E (d), s 37(2)(b) for the below case.

s 47E (d), s 37(2)(b)

s 47E (d), s 37(2)(b)

[redacted]

Can you please add the Charge Code and Regulatory Roles to the above case by the end of the week please.
If you have any queries, please contact s 22 [redacted]
Thank you.
Kind regards,

s 22 [redacted]
[redacted] | Small Business, Engagement & Compliance
Australian Securities and Investments Commission
Level 7, 120 Collins Street, Melbourne VIC 3000
s 22 [redacted]
s 22 [redacted]

ASIC logo
[redacted]