Haydar Tuncer

From: s 22
Sent: Monday, 1 March 2021 6:16 PM
To: s 22
Cc: s 22 ; s 22 ; s 22
Subject: RE: QBE - Memo on counsel advice [SEC=OFFICIAL]

s 22

As discussed I have prepared a memo which is intended to be used to brief the Commission. It is modelled on the earlier version and takes into account all of your Comments. There is a section at the end which provides some background. If you want to shorten it I wuld take out the 'follow up' questions section, but these were questions directly put by s 22 so I have left it in.

010321 ASIC Memo to Commission QBE

Happy to discuss

regards

s 22
Senior Specialist, Corporations and Corporate Governance Enforcement
Australian Securities and Investments Commission
Level 7, 120 Collins Street, Melbourne, 3000
Tel: s 22 | Mob: s 22
s 22 @asic.gov.au

From: s 22 @asic.gov.au>
Sent: Saturday, 27 February 2021 5:39 PM
To: s 22 @asic.gov.au>
Cc: s 22 @asic.gov.au>; s 22 @asic.gov.au>; s 22 @asic.gov.au>
Subject: RE: QBE - Memo on counsel advice [SEC=OFFICIAL]

s 22

Thanks to you and s 22 for your work on this memo. Could you please consider the comments I have noted in the memo ?

They largely relate to lack of clarity on some aspects of the memo/advice and the need to be clearer perhaps on why this should be an NFA.

Kind regards

s 22
Executive Director, Markets Enforcement
Office of Enforcement
Australian Securities and Investments Commission

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FOI 066-2022

From: s 22 @asic.gov.au>
Sent: Thursday, 25 February 2021 11:27 AM
To: s 22 @asic.gov.au>
Cc: s 22 @asic.gov.au>; s 22 @asic.gov.au>; s 22 @asic.gov.au>
Subject: QBE - Memo on counsel advice [SEC=OFFICIAL]

s 22

As previewed I attach a note prepared by s 22 and myself to summarise the key points of the s 47F, s 47G (1)(d) -related advice received on Monday. In the event we have also included (a) a summary of the further advice received yesterday in response to our 3 additional questions and (b) some observations from CCG.

Happy to discuss when convenient. We now have everything from Counsel that we have requested.

regards

250221 ASIC Memo on Counsel QBE Advice (2)

s 22
Senior Specialist, Corporations and Corporate Governance Enforcement
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From: s 22 @asic.gov.au>
Sent: Thursday, 25 February 2021 11:16 AM
To: s 22 @asic.gov.au>
Subject: RE: 20210224 QBE - Memo on counsel advice (AB case theory).docx [SEC=OFFICIAL]

Looks good. Here you go:

s 22
Senior Lawyer, Corporations & Corporate Governance Enforcement
Office of Enforcement
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Level 5, 100 Market Street, Sydney, 2000
Tel: s 22
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From: s 22 @asic.gov.au>
Sent: Thursday, 25 February 2021 11:12 AM

To: S 22 [redacted] @asic.gov.au> FOI 066-2022
Subject: 20210224 QBE - Memo on counsel advice (AB case theory).docx [SEC=OFFICIAL]

Thanks – can you review the final para and then save as a link and send back to me – call it '250221 ASIC Memo on Counsel QBE Advice (2)'