From: Olson, Marianne
To: s 22 ; Foreign.Requests
Cc: s 22
Subject: RE: ASIC Witness Notification s 47F, s 47G (1)(a) [SEC=OFFICIAL]
Date: Friday, 16 October 2020 11:02:15 AM
Attachments: image002.jpg

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Dear s 22
Nice to hear from you; it has been some time hasn't it. Thank you for the courtesy notification. Please do not hesitate to contact us again about this matter if you do need our assistance.
Hope you are also healthy and managing well.
Regards,
Marianne

From: s 22 @asic.gov.au>
Sent: Thursday, October 15, 2020 7:28 PM
To: Foreign.Requests s 22 @SEC.GOV>
Cc: Olson, Marianne s 22 @SEC.GOV>; s 22
<s 22 @asic.gov.au>
Subject: ASIC Witness Notification s 47F, s 47G (1)(a) [SEC=OFFICIAL]

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Dear Marianne
It has been awhile; I hope this email finds you and your colleagues at the SEC safe and well.
ASIC is currently conducting an investigation into s 47F, s 47G (1)(a)
ASIC wishes to notify the SEC that it intends to seek a voluntary interview with the following US based person through discussions with their legal representative who is located in Australia :
Name s 47F, s 47G (1)(a)
Address s 47F, s 47G (1)(a)
DOB s 47F, s 47G (1)(a)
ASIC intends to seek s 47F, s 47G (1)(a) cooperation to participate in an interview on a strictly voluntary basis. His legal representative will be present during the call/interview.
ASIC is not requesting any assistance from the SEC at this time but is notifying the SEC as a matter of courtesy.
Please do not hesitate to contact s 22 (copied in) or myself if you have any questions or wish to discuss the matter in any way.
The information contained in this email is confidential and is disclosed according to section 127(3) of the Australian Securities and Investments Commission Act 2001 for the purpose of performing my functions as a staff member of ASIC. I request you maintain the confidentiality of this information.
Kind regards

s 22
s 22
Lawyer, International

Australian Securities and Investments Commission

Level 5, 100 Market Street, Sydney, 2001
Tel: s 22
s 22 @asic.gov.au

ASIC logo

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