Haydar Tuncer

From: s 22
Sent: Wednesday, 9 September 2020 7:09 PM
To: s 22
Subject: FW: Discussion with Carolyn Scobie this afternoon [SEC=OFFICIAL]
Attachments: document disclosure .docx

OK so slight change of plan. Matter is QBE or more particularly the circumstances of the recent departure of the CEO. We are starting an investigation.

Can I get you to start by assisting with:

- The s.13 filenote (I will provide schedule wording). s 47F, s 47G (1)(a)
- A s.30 Notice addressed to QBE (ditto I will provide schedule wording)

So the background is explained in the attached

s 22
Senior Specialist, Corporations and Corporate Governance Enforcement
Australian Securities and Investments Commission
Level 7, 120 Collins Street, Melbourne, 3000
Tel: +s 22 | Mob: s 22
s 22 @asic.gov.au

From: s 22 @asic.gov.au>
Sent: Wednesday, 9 September 2020 6:19 PM
To: s 22 @asic.gov.au>
Cc: s 22 @asic.gov.au>
Subject: FW: Discussion with Carolyn Scobie this afternoon [SEC=OFFICIAL]

s 22

You will see from s 22 email below that, following discussions that she and I had after I spoke with you earlier, we have elected to kick off a s 13 investigation and obtain the documents from QBE under a production notice s 37(2)(b), s 47C, s 47E (d)

s 37(2)(b), s 47C, s 47E (d)

s 47F, s 47G (1)(a), s 37(2)(b), s 47C, s 47E (d), s 47G (1)(b)

- ;

- s 47F, s 47G (1)(a), s 37(2)(b), s 47C, s 47E (d), s 47G (1)(b) [redacted]

The notice (which was agreed to have a return date of 5:00pm on 14 September 2020) should be served upon Ms Scobie, whose email address is carolyn.scobie@qbe.com

In conjunction with the notice, please provide Ms Scobie with a copy of ASIC's VDA (I think this is the current version) and, in doing so, invite QBE's consideration of the document to the extent that any of the documents sought under the notice might be subject to a claim for LPP.

s 37(2)(b), s 47C, s 47E (d) [redacted].

In terms of subsequent 'housekeeping', please also arrange:

- The usual investigation scope document for the SEL;
- An 'accepted out of session' paper for the CCG Referrals Committee

Please give me a call if any questions

Regards

s 22 [redacted]

s 22 [redacted]
Senior Manager, Corporations & Corporate Governance Enforcement
Office of Enforcement

Australian Securities and Investments Commission
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From: s 22 [redacted] @asic.gov.au>
Sent: Wednesday, 9 September 2020 5:44 PM
To: s 22 [redacted] @asic.gov.au>; s 22 [redacted] @asic.gov.au>; s 22 [redacted] <s 22 [redacted] @asic.gov.au>; s 22 [redacted] @asic.gov.au>
Cc: s 22 [redacted] @asic.gov.au>; s 22 [redacted] @asic.gov.au>
Subject: Discussion with Carolyn Scobie this afternoon [SEC=OFFICIAL]

All

s 22 [redacted] and I had a conference with Carolyn Scobie. In essense, we discussed the nature of the assitance required from QBE , namely we specified the documents required from QBE. We said that we understood that some of the documents (i.e. internal investigation report) may be covered by LPP but asked that QBE consider entering into a VDA with us and we explained how that process work.

She said that she had indicated to s 22 [redacted] that they will not press unnecessary points and that they will assist.

A notice will be issued tomorrrow for the required documents. We will also provide a copy of the draft VDA for them to consider.

FOI 088-2022

We discussed time for production of documents and agreed for the return date to be Monday next week.

s 37(2)(b), s 47C, s 47E (d)

s 37(2)(b), s 47C, s 47E (d)

We will commence an investigation on the back of s 37(1)(b) s 47F, s 47G (1)(a)

Kind regards,

s 22
Executive Director, Markets Enforcement
Office of Enforcement

Australian Securities and Investments Commission

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