



Application for appointment of auditor by member of a corporate collective investment vehicle

Form 5231
Corporations Act 2001
331AAC(1)
s1232R(2)

Use this form to notify of the appointment of an auditor by member of a retail corporate collective investment vehicle (CCIV).
If there is insufficient space in any section of the form, you may photocopy the relevant page(s) and submit as part of this lodgement

Lodgement details

Who should ASIC contact if there is a query about this form?

Name

ASIC registered agent number (if applicable)

Telephone number

Postal address

CCIV details

CCIV name

ACN/ABN

1 Auditor details

Auditor registration number (for individual auditor or authorised audit company)

Family name

Given name

OR

Authorised audit company name

ACN/ABN

OR

Firm name

Office, unit, level

Street number and Street name

Suburb/City

State/Territory

Postcode

Country (if not Australia)

Date of appointment

 / /

[D] [D] [M] [M] [Y] [Y]

Signature

This form must be signed by a member of the CCIV.

I declare that:

- The information provided is true and correct.
- This document has been prepared after making proper enquiries.
- I am a member of the retail CCIV named in this form.
- There is currently no auditor appointed as required by the *Corporations Act 2001*.
- The new auditor (whether person, firm or authorised audit company) has consented in writing to the appointment as required by subsection 331AAC(2).

Name

Signature

Date signed

<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>
[D	D]		[M	M]		[Y	Y]

Lodgement

Send completed and signed forms to:
Australian Securities and Investments Commission,
PO Box 4000, Gippsland Mail Centre VIC 3841.

For more information

Web www.asic.gov.au
Need help? www.asic.gov.au/question
Telephone 1300 300 630

Guide: Application for appointment of auditor by member of a corporate collective investment vehicle.

This guide does not form part of the form. It is included by ASIC to assist you in completing and lodging the Form 5231

Signature	This form must be signed by a member of the CCIV.
Additional information	An appointment can only be made with the consent, in writing, of the person, authorised audit company or firm concerned.
How to provide additional information	<p>Photocopied Form 5231 pages If there is insufficient space in any section of the form, you may photocopy the relevant page(s) and submit as part of this lodgement.</p> <p>Annexures If there is insufficient space in any section of the form, you may alternately submit annexures as part of this lodgement.</p> <p>To make any annexure conform to the regulations, you must</p> <ol style="list-style-type: none">1. use A4 size paper of white or light pastel colour with a margin of at least 10mm on all sides2. show the CCIV name and ACN3. number the pages consecutively4. print or type in BLOCK letters in dark blue or black ink so that the document is clearly legible when photocopied5. mark the annexure with an identifying letter or symbol eg a,b,c or 1,2,3 etc.6. endorse the annexure with the words: This annexure (mark) of (number) pages referred to in form (form number and title)7. sign and date the annexure <p>The annexure must be signed by the same person(s) who signed the form.</p>
Privacy	The information provided to ASIC in this form may include personal information. Please refer to our privacy policy (www.asic.gov.au/privacy) for information about how we handle your personal information, your rights to seek access to and correct personal information, and to complain about breaches of your privacy.
Lodgement	<p>Send completed and signed forms to: Australian Securities and Investments Commission, PO Box 4000, Gippsland Mail Centre VIC 3841.</p> <p>For more information Web www.asic.gov.au Need help? www.asic.gov.au/question Telephone 1300 300 630</p>