



FORM NO	FORM TITLE		LAST UPDATE	GUIDE DATE
1001 (ASCOT7051)	Notification of half yearly reports		17/01/2011	01/07/2014
1003 (ASCOT7053)	Disclosure notice for unlisted disclosing entity		01/09/2018	01/09/2018
104	Record of lodgement of documents		14/07/2014	
105	Cover page for office copy of a court order		01/07/2018	01/07/2018
106	Request to withdraw a lodged document		23/03/2016	23/03/2016
107	Notification of cancellation of company (immediate cancellation)		01/07/2010	
128	Commission's power to direct a registered name to be changed		01/07/2010	
130	Benefit fund rules		17/09/2009	
131	Notice of meeting or consent process (demutualisation)		01/10/2014	
145	Application for registration of disclosure statement (demutualisation)		17/01/2011	16/02/2015
157	Application for change of name of a company under external administration		01/07/2014	01/07/2014
165	Commission's power to direct a proprietary company to change to a public company		01/07/2013	
1500	Annual report to creditors		17/01/2011	01/07/2014
201	Application for registration as an Australian company		29/09/2017	29/09/2017
202	Application for registration of a body corporate as an Australian company		11/12/2012	01/07/2014
205	Notification of resolution		01/03/2017	01/03/2017
206	Application for change of company type		28/07/2018	05/09/2017
207Z	Certification of compliance with stamp duty law		15/07/2001	01/07/2014
208	Notification of details of shares issued other than for cash		17/01/2011	01/07/2014
210	Notification of statement of special rights carried by shares		17/01/2011	01/07/2014
211	Notification of division or conversion of classes of shares		19/11/2014	26/11/2014
2205	Notification of resolutions regarding shares		17/01/2011	01/07/2014
2213	Application for change of State or Territory of registration of a company		17/01/2011	01/07/2014
2501	Application for extension of time to hold Annual General Meeting		15/07/2001	04/07/2018
252	Evidence of eligibility under Part 5B.1- cover sheet		01/07/2010	
2560	Notification of reduction in share capital details		13/02/2015	13/02/2015
2601	Notification of intention to give financial assistance		17/01/2011	01/07/2014
2602	Notification of financial assistance details		17/01/2011	16/02/2015
280	Notification of share buy-back details		16/02/2015	16/02/2015
281	Notice of intention to carry out a share buy-back		17/01/2011	16/02/2015
296	Notice of disqualification from managing corporation - bankruptcy or personal insolvency agreement		17/01/2011	13/02/2015
	309 NOTIFICATION OF DETAILS OF A CHARGE REMOVED (See www.ppsr.gov.au)			
3070	Auditor transparency report		25/10/2013	01/07/2014
	310 NOTIFICATION OF FURTHER ISSUE OF DEBENTURES IN A SERIES REMOVED (See www.ppsr.gov.au)			
	311A NOTIFICATION OF ASSIGNMENT OF A CHARGE REMOVED (See www.ppsr.gov.au)			
	311B NOTIFICATION OF CHANGE OF DETAILS OF A CHARGE REMOVED (See www.ppsr.gov.au)			
	312 NOTIFICATION OF DISCHARGE OR RELEASE OF PROPERTY FROM A CHARGE REMOVED (See www.ppsr.gov.au)			
313	Notification of address in Australia of information relating to financial records kept outside Australia		17/01/2011	01/07/2014

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314	Notification of return of members of firm of auditors		15/07/2001	21/07/2015
315	Notification of resignation, removal or cessation of auditor		15/07/2001	18/06/2015
318	Notice of application to court to manage corporation		16/02/2015	16/02/2015
3190	Notification of appointment of auditor (large pty exempt from lodging accounts under s319(4) of the old Corporations Law - as continued by s1408(1) of the Act)		01/07/2013	01/07/2014
	327 APPLICATION FOR EXTENSION OF TIME - STAMP DUTY DEFICIENCY REMOVED (See www.ppsr.gov.au)			
342	Application for consent from ASIC to resign as an auditor of a public company		18/06/2015	18/06/2015
	350 CERTIFICATION OF COMPLIANCE WITH STAMP DUTIES LAW REMOVED (See www.ppsr.gov.au)			
361	Notification of a registered agent ceasing to act for a company		17/01/2011	15/02/2015
362	Notification by a company to nominate or cease a registered agent or contact address		21/11/2014	12/03/2014
370	Notification by officeholder of resignation or retirement		24/10/2011	12/03/2014
377	Notification as to the holding of office in a company		17/01/2011	17/01/2011
378	Application to use an alternative address		13/05/2015	13/05/2015
379	Application for suppression of residential address		13/05/2015	13/05/2015
382	Notification of resolutions for audit relief - proprietary companies		01/10/2016	01/10/2016
384	Resolution by directors of a small proprietary company controlled by a foreign company which is not part of a 'large group'		31/03/2017	
388	Copy of financial statements and reports		07/10/2013	01/07/2014
389	Opt-in/change of holding entity notice by wholly-owned entity relieved from financial reporting obligations		01/10/2016	01/10/2016
390	Holding entity which does not lodge consolidated financial statements pursuant to class order 98/1418		10/10/2016	10/10/2016
394	Notice of cessation of reliance on Class Order 98/98		31/03/2017	
396	Notice of cessation of reliance on Class Order 98/1417		01/10/2016	
397	Notification of directors' resolution under s324DAC		15/02/2015	15/02/2015
399	Opt-out notice by wholly-owned entity relieved from financial reporting obligations		01/10/2016	01/10/2016
401	Application for registration of a registrable Australian body		30/01/2012	01/07/2014
402	Application for registration as a foreign company		30/08/2018	30/08/2018
403	Verification of copy of document authorising on behalf of a foreign company, execution of a document appointing a local agent		17/01/2011	17/01/2011
404	Notification of change to agent of a foreign company		01/02/2019	01/02/2019
405	Statement to verify financial statements of a foreign company		03/05/2017	03/05/2017
406	Annual return of a foreign company		03/05/2017	03/05/2017
407	Notification of cessation, winding up or dissolution of a foreign company or registered Australian body		17/01/2011	01/07/2014
408	Notification in relation to the register of a registered foreign company under section 601CM		17/01/2011	01/07/2014
409	Notification of change to details of a foreign company or a registered Australian body		01/02/2019	01/02/2019
410	Application for reservation of a name		28/05/2012	01/07/2014
418	Memorandum of appointment of local agent		15/02/2015	15/02/2015
432	Application to change a company name to omit the word 'Limited'		11/12/2012	01/07/2014
433	Notification (by company) of non-compliance with section 150 requirements or licence revocation		11/12/2012	19/11/2014

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433C	Notification (by Commission) of non-compliance with section 150 requirements or licence revocation		01/07/2010	
483_Std	Company compliance statement (response to return of particulars) - Standard		17/01/2011	01/07/2013
483_Pty	Company compliance statement (response to return of particulars) - Proprietary		17/01/2011	01/07/2013
484	Change to company details		21/11/2014	01/07/2014
485	Statement in relation to company solvency		17/01/2011	16/02/2015
486	Notification by a company to nominate or cease a registered agent or contact address		21/11/2014	12/03/2014
488	Application to change the review date of a company or registered scheme		17/01/2011	01/07/2014
489	Notification of change of registered office or office hours of a registered body		01/07/2013	01/07/2014
490	Notification of change to directors of a registered body		01/02/2019	01/02/2019
491	Change to scheme details		17/01/2011	01/07/2014
492	Request for correction		13/04/2019	13/04/2019
5011	Copy of minutes of meeting		01/09/2017	01/09/2017
5022	Outcome of proposal to creditors or contributories		29/04/2021	01/01/2021
504	Notification that a person has been appointed controller/entered into possession etc.		30/01/2012	01/07/2014
5047	Copy of deed of company arrangement		01/07/2013	19/11/2014
505	Notification of appointment or cessation of an external administrator		29/04/2021	01/01/2021
5053	Notice that administration of a company has ended		01/09/2017	01/09/2017
5056	Notice that deed wholly effectuated		17/01/2011	01/07/2014
5057A	Related party benefits – proposed notice, explanatory statement & accompanying documents		17/01/2011	01/07/2014
506	Notification of change of address of an external administrator		27/01/2021	27/01/2021
507	Report as to affairs		01/11/2018	01/11/2018
507A	Statement verifying report under s475(1) (attached to 507)			
508	REPLACED BY 524			
509	Presentation of summary of affairs of a company		01/09/2017	01/09/2017
509D	Notice of special resolution to wind up company		01/09/2017	01/09/2017
509F	Notice of failure to execute deed of company arrangement		13/02/2015	
509G	Notice of termination of deed of company arrangement		01/09/2017	01/09/2017
5100	Application for registration of a managed investment scheme		17/01/2011	01/07/2014
5101	Notification of change to managed investment scheme's constitution		17/01/2011	01/07/2014
5103	Directors' statement relating to application for registration of a managed investment scheme		13/02/2015	13/02/2015
5107	Notification of change of responsible entity of a registered scheme		30/07/2017	30/07/2017
5108	Notification of appointment of temporary responsible entity		17/01/2011	01/07/2014
5109	Notification of request by temporary responsible entity to add new responsible entity		13/02/2015	
511	Statement verifying report under s430(1), 475(2) or 446C(2)		17/01/2011	01/07/2014
519	Notification of court action relating to winding up		30/06/2017	30/06/2017
5111	Compliance plan audit report of a registered scheme		17/01/2011	04/07/2018
5112	Application for consent from ASIC to remove compliance plan auditor		18/06/2015	18/06/2015
5113	Application for consent from ASIC to resign as compliance plan auditor		18/06/2015	04/07/2018

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5114	Notification of request by responsible entity to change compliance plan auditor		18/06/2015	18/06/2015
	5127 TRANSITIONAL (available only from ASIC by request)			
5130	Notification of office at which register of interests is kept		17/01/2011	01/07/2014
5131	Application for appointment of scheme auditor by member of a registered scheme		01/02/2019	01/02/2019
5132	Application for consent from ASIC for resignation or removal of scheme auditor		01/02/2019	01/02/2019
5133	Notification of resignation, removal or cessation of a registered scheme auditor		01/02/2019	01/02/2019
5137	Notification of appointment of scheme auditor		01/02/2019	01/02/2019
5138	Notification of commencement or completion of winding up of a registered scheme		17/01/2011	04/07/2018
5140	Notification of proposed change of name of registered scheme		17/01/2011	01/07/2014
519	Notification of court action relating to winding up		30/01/2017	30/01/2017
520	Declaration of solvency		15/07/2001	16/02/2015
522	Notification of meeting of creditors to consider appointing a new liquidator		16/02/2015	
523	Notification of final meeting convened by liquidator		17/01/2011	01/07/2014
524	Presentation of accounts and statement		01/07/2014	01/07/2014
5249	Notice to demonstrate why disqualification should not occur		15/07/2001	
525	Notice of disclaimer of onerous property		16/02/2015	16/02/2015
526	Notification of court application for leave to omit former name of company from documents		01/03/2017	01/03/2017
529	Notice of meeting		17/01/2011	01/07/2014
530	Copy of administrators report, statement and notice of s439A meeting		24/11/2017	24/11/2017
531	Copy of declaration of relevant relationships and/or declaration of indemnities		01/01/2021	01/01/2021
540	Statement in writing of posting of notices of appointment to settle list or supplementary list of contributories		17/01/2011	01/07/2014
545	Statement in writing of giving notice to persons placed on the list or supplementary list of contributories		17/01/2011	01/07/2014
555	Notice of controller extending time to submit report as to affairs		31/10/2018	31/10/2018
5601	Statutory report by a liquidator to creditors		20/10/2017	20/10/2017
5602	Annual administration return		01/01/2021	01/01/2021
5603	End of administration return		01/01/2021	01/01/2021
5604	Information about the company's affairs sent to creditors		01/09/2017	01/09/2017
5605	Application to request the appointment of a reviewing liquidator		01/09/2017	01/09/2017
5606	Notice of meeting held under section 449(C)		01/09/2017	01/09/2017
5612	Notice of making of restructuring plan		27/01/2021	27/01/2021
562	Notice of liquidator extending time to submit report as to affairs		31/10/2018	31/10/2018
564	Schedule A to Regulatory Guide 16 Preliminary report by court-appointed liquidator to ASIC under s476 of the Corporations Act 2001		17/01/2011	01/07/2014
574	Application for early destruction of books		01/07/2014	01/07/2014
578	Deregistration request (liquidator not acting or affairs fully wound up)		17/01/2011	15/02/2015
587	Notice of disqualification from managing corporations		15/07/2001	
6010	Application for voluntary deregistration of a company		15/07/2001	26/05/2015
6010A	Application for voluntary deregistration of a managed investment scheme		17/01/2011	04/07/2018
6014	Application for transfer of registration of a company to registration under a law of a State or Territory		17/01/2011	01/07/2014

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FORM NO	FORM TITLE		LAST UPDATE	GUIDE DATE
6021	Notice of compulsory acquisition following takeover bid		21/06/2013	19/11/2014
6022	Notice of right of buy out to remaining holder of securities following a takeover bid		21/06/2013	19/11/2014
6023	Notice of right of buy out to holders of convertible securities following a takeover bid		21/06/2013	19/11/2014
6024	Notice of compulsory acquisition		21/06/2013	19/11/2014
6025	Notice of right of buy out to holders of convertible securities by 100% holder		21/06/2013	19/11/2014
603	Notice of initial substantial holder		15/07/2001	13/03/2000
604	Notice of change of interests of substantial holder		15/07/2001	13/03/2000
605	Notice of ceasing to be a substantial holder		15/07/2001	13/03/2000
6071A	Application requesting notice of beneficial ownership		18/10/2011	01/07/2014
702	Application for discharge of security		01/07/2014	01/07/2014
722	Notice appointing trustee for holders of debentures		17/01/2011	01/07/2014
902	Notification of supplementary information		17/01/2011	17/01/2011
903AA	Application for registration as an auditor		29/02/2016	29/02/2016
903AB	Application for registration as an authorised audit company		27/03/2017	29/02/2016
903B	Application for registration as a liquidator		01/01/2021	
905	Notification of ceasing to practise as, or change to details of, an auditor		29/02/2016	04/07/2018
905A	Notification of change to details of a liquidator		01/03/2017	01/03/2017
905AA	Request to cancel or suspend registration		01/03/2017	01/03/2017
906	Notification of disqualification from managing corporations under part 2D.6		01/03/2017	01/03/2017
	OBSOLETE 907 Presentation of triennial statement by an auditor		15/07/2001	
908	Annual statement by a liquidator		27/01/2021	27/01/2021
909	Notification of office at which register is kept		15/07/2001	01/07/2014
911	Verification or certification of a document		15/07/2001	16/02/2015
912A	Annual statement by an auditor		29/02/2016	04/07/2018
912B	Annual statement by an authorised audit company		29/02/2016	04/07/2018
960	Application for copy of a certificate		01/07/2014	
961	Application for copies of documents (DOCIMAGE search)		01/07/2014	
962	Application for information from an ASIC register		01/07/2014	
963	Application for information about a specific personal name		01/08/2016	
964	Application for information about specific corporations (computer database search)		01/07/2014	
991/992	Notification of location of books on computer		13/05/2015	
"A" form	Annexures for BC's and RO's		24/02/1999	
A100	Correction of data - roles and addresses		05/08/2003	
A101	Correction of state data - company detail		01/07/1998	
A103	Report of DOCIMAGE error		15/07/2001	
A104	Supplementary pages to imaged documents		01/07/1998	
A201	Correction of registration details		01/07/1998	
CF01	Applications for relief - fundraising/equities		01/07/2014	01/07/2014
CF02	Applications for relief - financial reporting		10/10/2016	10/10/2016
CF03	Applications for relief - mergers & acquisitions		01/07/2014	01/07/2014
CF04	Applications for relief - debentures		01/07/2014	01/07/2014
CF05	Applications for relief - transactions affecting share capital		01/07/2014	01/07/2014

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CF06	Deeds of cross guarantee and related documents - Class Order 98/1418			10/10/2016	04/07/2018
CF07	Documents relating to a current disclosure document			01/07/2014	01/07/2014
CF08	Notification of offer regarding employee share schemes - Class Order 03/184			01/10/2015	01/10/2015
CF09	Documents relating to takeovers			01/07/2014	01/07/2014
CF10	Schemes of arrangement			01/07/2014	01/07/2014
CF11	Related party benefits - applications			01/07/2014	01/07/2014
	CH01 CHARGES FORMS REMOVED (See www.ppsr.gov.au)				
CL01	Australian credit licence application			13/01/2016	01/07/2014
CL03	Vary authorisations or conditions of an Australian credit licence			13/01/2016	01/07/2014
CL04	Change of credit licence name			17/01/2011	04/07/2018
CL08	Request to change licence status			17/01/2011	04/07/2018
CL11	Application for exemption or modification			17/01/2011	04/07/2018
CL13	Notice in relation to special purpose funding entity			17/01/2011	01/07/2014
CL16	Australian Credit Licence - Notification of appointment of a trust account auditor			18/06/2015	
CL17	Australian Credit Licence - Application for approval from ASIC to replace a trust account auditor			18/06/2015	
CL18	Australian Credit Licence - Application for approval from ASIC to resign as a trust account auditor			18/06/2015	
CL20	Notification of change of credit licence details			19/09/2016	04/07/2018
CL30	Appoint a credit representative			19/09/2016	04/07/2018
CL31	Cease a credit representative			18/10/2011	01/07/2014
CL32	Vary the details of a credit representative			19/09/2016	01/07/2014
CL50	Australian credit licence annual compliance certificate			13/01/2016	04/07/2018
CL70	Australian credit licence - Trust account statement			16/02/2015	
CL71	Australian credit licence - Trust account audit report			16/02/2015	
COI1	Notice of carried over instruments			31/10/2018	21/11/2014
COI2	Change of details for unlicensed carried over instrument lender and prescribed unlicensed carried over instrument lender			31/10/2018	16/09/2016
COI3	Notice of acting for a prescribed unlicensed carried over instrument lender			19/09/2016	21/11/2014
COI4	Unlicensed carried over instrument lender annual compliance certificate			31/10/2018	21/11/2014
EX01	Schedule B of Regulatory Guide 16 Report to ASIC under s422, s438D or s533 of the Corporations Act 2001 or for statistical purposes			13/01/2016	18/12/2014
EX04	Notifications relating to restructuring and restructuring plans			27/01/2021	27/01/2021
EX05	Notice of ending of restructuring			27/01/2021	27/01/2021
EX06	Notice of termination of restructuring plan			27/01/2021	27/01/2021
EX08	Notice of adoption of or ceasing simplified liquidation process			27/01/2021	27/01/2021
FS03X	Application to vary the authorisation conditions and other conditions of an Australian financial services licence			29/09/2017	29/09/2017
FS05	Preliminary application for an Australian financial services licence			29/09/2017	29/09/2017
FS06	Appointment of an auditor of an Australian financial services licensee			17/01/2011	04/07/2018
FS07	Application for consent from ASIC to remove an auditor of an Australian financial services licensee			18/06/2015	18/06/2015
FS08	Application for consent from ASIC to resign as an auditor of an Australian financial services licensee			18/06/2015	18/06/2015

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FS09	Notification of cessation of an auditor of an Australian financial services licensee		17/01/2011	01/07/2014
FS10	Application for exemption from or modification of FSR provisions		11/11/2002	01/07/2014
FS11	Application for exemption from or modification of FSR provisions		11/11/2002	01/07/2014
FS12	Application for exemption from or modification of FSR provisions		11/11/2002	01/07/2014
FS13	Application for exemption from or modification of FSR provisions		11/11/2002	01/07/2014
FS14	Application for exemption from or modification of FSR provisions		11/11/2002	01/07/2014
FS15	Application for exemption from or modification of FSR provisions		11/11/2002	01/07/2014
FS20	Change of details for an Australian financial services licence		15/11/2019	15/11/2019
	FS30 REPLACED BY APPOINT A REPRESENTATIVE			
	FS31 REPLACED BY CEASE AN AUTHORISED REPRESENTATIVE			
	FS32 REPLACED BY CHANGE DETAILS OF AN AUTHORISED REPRESENTATIVE			
	FS53 replaced by FS88			
FS70	Australian financial services licensee profit and loss statement and balance sheet		29/09/2017	04/07/2018
FS71	Auditor's Report for AFS Licensee		29/09/2017	29/09/2017
	FS75 Replaced by Form 405			
FS76	Australian financial services licensee annual compliance certificate		20/07/2015	20/07/2015
FS80	Notification by an AFS licensee of a significant breach of a licensee's obligations		29/09/2017	19/11/2014
FS88	PDS in-use notice		07/06/2014	04/07/2018
FS89	Notice of change to fees and charges in a PDS		07/06/2014	01/07/2014
FS90	Notice that a product in a PDS has ceased to be available		07/06/2014	01/07/2014
	FS92 NOTIFICATION OF INTENTION TO COMPLY WITH FUTURE OF FINANCIAL ADVICE PROVISIONS - OBSOLETE			
M64	Notification — Annual continuing education and compliance self-assessment		11/02/2015	
M68	Notification — Automatic withdrawal of Accredited Adviser's accreditation		11/02/2015	
M69A	Application for accreditation of Accredited Futures Adviser		11/02/2015	
M69B	Application for accreditation of Level 1 Accredited Derivatives Adviser		11/02/2015	
M69C	Application for accreditation of Level 2 Accredited Derivatives Adviser		11/02/2015	
M70	Application for re-accreditation of retail client advisers		11/02/2015	
M72	Application - Renewal of Accredited Adviser		11/02/2015	
M74	Application for voluntary withdrawal of Accredited Adviser's accreditation		11/02/2015	
RA01	Notification to register, change details of or cease as an agent		22/10/2018	22/10/2018
RA04	Direct debit request		19/11/2014	
RA05	Remittance advice		15/02/2015	
RL05	Application for renewal of registration by a registered liquidator		27/01/2021	27/01/2021
RL06	Application to remove or vary conditions or lift or shorten suspension		01/01/2021	01/01/2021
RL08	Request to cancel or suspend registration as a liquidator		1/09/2017	1/09/2017
RL30	Notice of significant events involving a liquidator		14/03/2017	01/03/2017
SP03	Summary Prosecutions Enquiry/Record sheet		01/07/1998	
Business names	These paper transactions are not available from 'Find ASIC forms' but are linked directly from relevant pages under www.asic.gov.au/business-names			
	Add an ABN for a business name holder		07/11/2012	19/11/2014

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	Application for person and organisation information from the business names register	01/07/2014	
	Apply for a business name	07/06/2014	
	Change details of other business name representatives	28/05/2012	19/11/2014
	Remove an organisation representative	07/11/2012	19/11/2014
	Request an alternative registration period	07/11/2012	19/11/2014
	Request suppression of business name register details	13/05/2015	13/05/2015
SMSF auditors	These transactions are not available from 'Find ASIC forms' but are linked directly from relevant pages under www.asic.gov.au/smsf-auditor		
	Cancel the registration of an SMSF auditor	21/04/2016	21/04/2016
	SMSF auditor—update capacity	21/04/2016	21/04/2016
	SMSF auditor—apply to vary registration condition	31/01/2013	16/02/2015

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