



**ASIC**  
Australian Securities &  
Investments Commission

Commonwealth of Australia Gazette

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# ASIC Gazette

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### RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review (RG57)* and Information Sheet *ASIC decisions – your rights (INFO 9)* to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at [www.asic.gov.au](http://www.asic.gov.au) or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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21-0157



**Australian Market Licence (Cboe Exchange, Inc.) 2021**

*Corporations Act 2001*

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I, Nathan Bourne, Senior Executive Leader, Australian Securities and Investments Commission grant this Licence under subsection 795B(2) of the *Corporations Act 2001* (the Act) and impose conditions on this Licence under subsection 796A(1) of the Act.

Dated ..... 21<sup>st</sup> September 2021

A handwritten signature in black ink, appearing to be 'N. Bourne', with a long horizontal line extending to the right.

Signed .....

as a delegate of the Minister under section 1101J of the Act

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**1. Name**

This is the *Australian Market Licence (Cboe Exchange, Inc.) 2021*.

**2. Commencement**

This Licence commences when it is granted.

**3. Definitions**

In this Licence unless the contrary intention appears, terms defined in the Act have the same meaning in this Licence and:

*Act* means the *Corporations Act 2001*.

*ASIC* means the Australian Securities and Investments Commission.

*Australian client* means, in relation to a participant in the Market, a person in this jurisdiction on whose behalf the participant deals on the Market.

*Australian participant* means:

- (a) an Australian entity that is a participant in the Market;
- (b) a foreign branch or office of an Australian entity where:
  - (i) the foreign branch or office is a participant in the Market; or
  - (ii) the Australian entity is a participant in the Market.
- (c) an Australian branch or office of a foreign entity where:
  - (i) the Australian branch or office is a participant in the Market; or
  - (ii) the foreign entity is a participant in the Market.

*foreign participant* means a participant who is not an Australian participant.

*Licensee* means Cboe Exchange, Inc. ARBN 652 505 265.

*Market* means the financial market the Licensee is authorised to operate under this Licence.

*reporting period* means each period of 3 months, or part thereof, during which the Market is operated in Australia, ending on 31 March, 30 June, 30 September, or 31 December.

**4. Grant of Licence**

The Licensee is granted a licence to operate a financial market through which participants may make or accept offers to acquire or dispose of derivatives.

**5. Clearing and settlement arrangements**

Clearing and settlement arrangements for transactions effected through the Market under this Licence must be provided by The Options Clearing Corporation.

## 6. Periodic trade reporting

- (1) It is a condition of this Licence that the Licensee must give to ASIC, in a machine-readable format, at least the following information within 30 days after the end of each reporting period, for each product specified in the following table:

Category	Products
Commodity derivatives	Agricultural derivatives, Energy derivatives, Metals derivatives, Index derivatives, Environmental derivatives, Freight derivatives, Multi Commodity derivatives
Credit derivatives	Index derivatives, Index Tranche derivatives, Single Name derivatives, Swaptions, Total Return Swap, Total Return Swap Index, Exotic derivatives
Equity derivatives	Swap, Portfolio Swap, Contract for Difference, Option, Forward, Other derivatives
Foreign Exchange derivatives	Swap, Forward, Vanilla Option, NDF, NDO, Continuous FX, Simple Exotic, Exotic, Complex Exotic
Interest Rate and Inflation derivatives	FRA, Interest Rate Swap, Cross Currency, Inflation Swap, Forward Debt, CapFloor, Swaption, Debt Option, Inflation CapFloor, Exotic
Equity financial products other than Equity derivatives	Products that are each of (a), (b), (c), (d), (e) and (f) in the definition of Equity Securities in rule 1.4.3. of <i>ASIC Market Integrity Rules (Securities Markets) 2017</i>

- (a) the total number of trades and their total value, in AUD-equivalent terms, of trades during the reporting period by all participants; and
- (b) the total number of trades and their total value, in AUD-equivalent terms, of trades during the reporting period by each Australian participant, identifying each Australian participant by their registered name and, if applicable, their branch name and, if available, their Legal Entity Identifier; and
- (c) the total number of trades and their total value, in AUD-equivalent terms, of trades during the reporting period by each foreign participant but only in relation to the trades that the Licensee believes to be trades on behalf of one or more Australian clients, identifying each foreign participant by their registered name and, if applicable, their branch name and, if available, their Legal Entity Identifier; and
- (d) the total number of trades and their total value, in AUD-equivalent terms, of the trades that are included in the information in (b) and (c)

above and which are trades between any two participants listed in (b) and (c) above.

**7. Market ceases to operate**

- (1) It is a condition of this Licence where the Licensee intends to cease operating the Market in this jurisdiction, the Licensee must:
  - (a) establish, document and implement adequate arrangements for ensuring, as applicable, the orderly cessation of the Market;
  - (b) notify ASIC:
    - (i) that the Licensee intends to cease operating the Market in this jurisdiction, as soon as reasonably practicable;
    - (ii) of the arrangements referred to in paragraph (a), as soon as reasonably practicable before ceasing to operate the Market in this jurisdiction; and
    - (iii) of any changes to the arrangements referred to in paragraph (a) following the notification in subparagraph (ii), as soon as reasonably practicable after making those changes.

21-0617

**Australian Securities and Investments Commission****Corporations Act 2001 –  
Paragraphs 992B(1)(a) and (c) – Exemption and Declaration****Enabling legislation**

1. The Australian Securities and Investments Commission (ASIC) makes this instrument under paragraphs 992B(1)(a) and (c) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument [21-0617].

**Commencement**

3. This instrument commences on the day it is signed.

**Exemption**

4. Westpac Banking Corporation ACN 007 457 141 (*Westpac*) does not have to comply with Division 4A (other than section 985J) of Part 7.8 of the Act.

**Declarations**

5. Subject to paragraph 4, Part 7.8 of the Act applies in relation to Westpac as if section 985J of the Act were varied or modified as follows:
  - a. in paragraph 985J(2)(c), omit “7 business days”, substitute “15 business days”; and
  - b. in paragraph 985J(2)(d), omit “21 business days”, substitute “25 business days”.

**Where exemption applies**

6. The exemption in paragraph 4 applies in relation to a retail client where:
  - a. Westpac has entered an agreement with St. George Equity Finance Limited ACN 060 017 923 (*SGEF*) to novate a group of margin lending facilities (the *SGEF margin lending portfolio*) consisting of existing margin lending facilities (*existing facilities*) under which SGEF is the provider; and
  - b. the retail client has an existing facility; and
  - c. the Westpac margin lending facility (*new facility*) will be on the same terms or substantially on the same terms as the existing facility and will:

21-0617

- i. replace the existing facility; and
  - ii. have the same limit as the existing facility; and
- d. Westpac has entered an irrevocable deed poll for the benefit of, and enforceable by, both ASIC and retail clients with whom Westpac enters a margin lending facility as part of the novation of the SGEF margin lending portfolio under which Westpac undertakes to compensate each retail client who:
- i. suffers loss or damage as a result of a contravention by SGEF of any of the provisions in Division 4A of Part 7.8 of the Act; and
  - ii. has not recovered from SGEF any loss or damage that the relevant retail client has suffered as a result of that contravention that arises on or after the entry into the margin lending facility with Westpac and in relation to the margin lending facility with Westpac; and
- e. as at a date not more than 30 days before the novation of the SGEF margin lending portfolio occurs, the retail client has not been in default under the existing facility for 90 days or more.

**Where declarations apply**

7. The declarations in paragraph 5 apply in relation to a retail client where:
- a. the circumstances specified in paragraph 6 are met; and
  - b. the retail client:
    - i. was party to an existing facility; and
    - ii. requests from Westpac a written copy of the assessment prepared by SGEF under paragraph 985E(1)(c) of the Act.

**Conditions**

8. The exemption in paragraph 4 is subject to the condition that if Westpac relies on the exemption in relation to a retail client, Westpac must provide the following information to the retail client prior to the novation of the SGEF margin lending portfolio:
- a. a clear explanation of when the novation of the SGEF margin lending portfolio will occur and when the retail client will be subject to the terms of the new facility; and
  - b. the following information in relation to the deed poll referred to in subparagraph 6(d):

## 21-0617

- i. that Westpac has entered into a deed poll that gives retail clients rights to recover any loss or damage that has not been recovered from SGEF that arises as a result of a breach of any of the provisions in Division 4A of Part 7.8 of the Act by SGEF, where the loss or damage arises on or after the entry into, and in relation to, the replacement facility with Westpac; and
  - ii. a statement that upon request by the retail client Westpac will provide a copy of the deed poll to the retail client free of charge; and
- c. details of who the retail client should contact if the retail client suffers loss or damage after a contract has been entered into with Westpac as part of the novation of the SGEF margin lending portfolio; and
- d. a statement that:
- i. the terms of the new facility (*Westpac terms and conditions*) will be largely the same as the existing facility; and
  - ii. the Westpac terms and conditions are available electronically, with a website address or uniform resource locator where these can be accessed; and
  - iii. a physical copy of the Westpac terms and conditions will be provided upon request.

**Interpretation**

9. In this instrument:

*provider* has the meaning given by section 985EA of the Act.

Dated this 22<sup>nd</sup> day of September 2021



Signed by Brendon Banks  
as a delegate of the Australian Securities and Investments Commission



21-0789

Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 741(1)(b) – Declaration

**Enabling legislation**

1. The Australian Securities and Investments Commission (ASIC) makes this instrument under paragraph 741(1)(b) of the *Corporations Act 2001 (Act)*.

**Title**

2. This instrument is ASIC Instrument 21-0789.

**Commencement**

3. This instrument commences on the date it is signed.

**Declaration**

4. Chapter 6D of the Act applies to a person who holds Shares or CHES Depository Interests (*CDIs*) in the Company, as if section 707 was modified or varied by omitting subsections 707(3) and (4) and substituting the following subsections:

- “(3) An offer of a body’s securities for sale within 12 months after their issue needs disclosure to investors under this Part if the body issued the securities:
- (a) without disclosure to investors under this Part; and
  - (b) with the purpose of the person to whom they were issued selling or transferring the securities, or granting, issuing or transferring interests in, or options over, them;

and sections 708 and 708A does not say otherwise.

**Where this instrument applies**

5. The declaration in paragraph 4 applies where a person who holds Shares or CDIs makes an offer of those Shares or CDIs (*Sale Securities*) for sale within 12 months of their issue and where:
  - (a) those Sale Securities are in the same class as the Shares or CDIs described in the Prospectus;
  - (b) the Sale Securities were issued by the Company without disclosure under Chapter 6D of the Act:
    - (i) upon conversion of G Ordinary Shares and Series A Shares in the Company, on or around settlement of the Offer made under the Prospectus; or
    - (ii) on exercise of Options; and
  - (c) a Prospectus in connection with the IPO describes:
    - (i) the issue of CDIs under the IPO;
    - (ii) the conversion of G Ordinary Shares and Series A Shares into Shares;
    - (iii) the rights attaching to the Shares issued upon exercise of Options; and

21-0789

- (iv) the nature and effect of the relief in this instrument.

**Interpretation**

6. In this instrument:

*CDI or CHESS Depository Interest* means a unit of beneficial ownership in Shares.

*CHESS* means the Clearing House Electronic Subregister System operated by ASX Settlement and Transfer Corporation Pty Ltd ACN 008 504 532.

*Company* means Blis Group Limited ARBN 651 621 242.

*Existing Option Plan* means the Company's Existing Option Plan described in the Prospectus which was initially adopted in 2013 and provides for the grant of Options.

*G Ordinary Shares* means the G Ordinary Shares of £0.00001 each in the capital of the Company.

*IPO* means the initial public offering of CDIs in the Company, as described in the Company's relief application dated 28 May 2021.

*Listing* means the admission of the Company to the official list of the securities exchange operated by ASX Limited ACN 008 624 691.

*New Equity Incentive Plan* means the Company's New Equity Incentive Plan described in the Prospectus which was adopted in 2021 and provides for the grant of Options.

*Options* means the options issued by the Company to:

- (a) certain directors, employees and consultants under:
- (i) the Existing Option Plan, prior to Listing and without disclosure under Chapter 6D of the Act; and
  - (ii) the New Equity Incentive Plan, after Listing and without disclosure under Chapter 6D of the Act; or
- (b) other persons prior to Listing without disclosure under Chapter 6D of the Act, as disclosed in the Prospectus.

*Prospectus* means the prospectus in relation to the IPO, lodged with ASIC by the Company on or around 24 September 2021.

*Series A Shares* means the Series Participating Preferred A shares of £0.00001 each in the capital of the Company.

*Shares* means fully paid ordinary shares of the Company.

Dated this 20<sup>th</sup> day of September 2021



Signed by Daniel Slupek  
as a delegate of the Australian Securities and Investments Commission

21-0791

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Rabo Australia Limited  
ACN 060 452 217 ("the Licensee")  
Darling Park Tower 3  
Level 16  
201 Sussex Street  
SYDNEY NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 241232 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 16 September 2021

Signed  .....

Gerard Mithen  
A delegate of the Australian Securities and Investments Commission

21-0795

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Unified Financial Services Pty Ltd  
ACN 066 587 602 ("the Licensee")  
Carlton Clocktower Complex  
Suite G 21-22  
255 Drummond Street  
CARLTON VIC 3053

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 230510 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 16 September 2021

Signed  .....

Gerard Mithen  
A delegate of the Australian Securities and Investments Commission

21-0805

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Niche Advantage Pty Ltd  
ACN 118 359 265 ("the Licensee")  
Unit 14, Birubi Chambers,  
Hawker Place,  
Hawker ACT 2614

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 488735 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 22 September 2021

Signed



.....  
Chun Kei Marco Lam  
A delegate of the Australian Securities and Investments Commission

21-0806

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Stafford Strategic Pty Ltd  
ACN 612 220 809 ("the Licensee")  
Unit 1 146 Fullarton Road,  
Rose Park, SA 5067

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 487909 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 22 September 2021

Signed 

.....  
Chun Kei Marco Lam  
A delegate of the Australian Securities and Investments Commission

21-0807

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Financial Alliance (VIC) Pty Ltd  
ACN 119 401 491 ("the Licensee")  
10 Fifth Avenue Point Cook VIC 3030

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 485721 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 20 September 2021

Signed *N Vonarx* .....

Nicholas Vonarx  
A delegate of the Australian Securities and Investments Commission

21-0808

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Macey & Associates Pty Ltd  
ACN 001 340 263 ("the Licensee")  
PO Box 1466  
Nowra NSW 2541

Pursuant to 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 274757 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 20 September 2021

Signed *N Vonarx* .....

Nicholas Vonarx  
A delegate of the Australian Securities and Investments Commission



21-0809

**Australian Securities and Investments Commission  
Corporations Act 2001 – Subsection 741(1)(b) – Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 741(1)(b) of the *Corporations Act 2001 (Act)*.

**Title**

2. This instrument is ASIC Instrument 21-0809.

**Commencement**

3. This instrument commences on the date it is signed.

**Declaration**

4. Chapter 6D of the Act applies to holders of ordinary shares in Touch Ventures Limited ACN 612 559 958 (*TVL*) as if section 707 was modified or varied by omitting subsection 707(3) and subsection 707(4) and substituting the following subsection:

- “(3) An offer of a body’s securities for sale within 12 months after their issue needs disclosure to investors under this Part if the body issued the securities:
- (a) without disclosure to investors under this Part; and
  - (b) with the purpose of the person to whom they were issued:
    - (i) selling or transferring them; or
    - (ii) granting, issuing or transferring interests in, or options or warrants, over, them;
- and section 708 and 708A does not say otherwise.”

**Where this instrument applies**

5. This instrument applies where a person who holds Shares makes an offer of Shares for sale within 12 months after their issue where:
  - (a) the Shares were issued, or are to be issued, by TVL without disclosure under Chapter 6D of the Act:
    - (i) to holders of Options in TVL on exercise of the Options; or
    - (ii) upon the vesting and exercise of Performance Rights

2

21-0809

in each case where those Options or Performance Rights were issued or granted without disclosure under Chapter 6D of the Act;

(b) TVL lodges a prospectus in connection with the IPO which describes the terms of the Options on issue in TVL prior to the Allotment Date and the terms of the Performance Rights.

### Interpretation

6. In this instrument:

*Allotment Date* means the date that Shares are issued under the IPO.

*IPO* means the proposed initial public offering of Shares in TVL.

*Options* means Options over new Shares issued by TVL between 18 October 2016 and the time TVL lodges with ASIC a prospectus in connection with the IPO.

*Performance Rights* means the performance rights granted by TVL on 23 January 2020.

*Shares* means fully paid ordinary shares in TVL.

Dated this 21<sup>st</sup> day of September 2021



Signed by Genevieve Lai  
as a delegate of the Australian Securities and Investments Commission

21-0810

## NOTICE UNDER SECTION 920E OF THE CORPORATIONS ACT 2001

Notice is given under section 920E of the *Corporations Act 2001* that the Australian Securities and Investments Commission has made a banning order in the terms set out below, which order took effect on 14 September 2021.

## AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

## IN THE MATTER OF ROSS ANDREW HOPKINS

SECTIONS 920A AND 920B  
OF THE CORPORATIONS ACT 2001

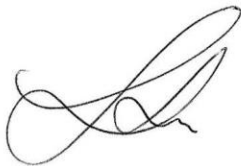
To: Mr Ross Andrew Hopkins

**TAKE NOTICE** that under subsections 920A(1) and 920B(2) of the *Corporations Act 2001* the Australian Securities and Investments Commission permanently prohibits **Ross Andrew Hopkins** from:

- i. providing any financial services; and
- ii. controlling, whether alone or in concert with one or more other entities, an entity that carries on a financial services business.

Dated this 13<sup>th</sup> day of September 2021.

Signed:



Lisa Lu  
Delegate of the Australian Securities and Investments Commission

Your attention is drawn to s920C(2) of the *Corporations Act 2001* which provides that a person must not engage in conduct which breaches a banning order that has been made against the person. Contravention of s920C(2) is an offence.

21-0811

**Australian Securities and Investments Commission  
Corporations Act 2001 – Subsection 741(1) – Exemption and Declaration**

**Enabling Legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 741(1) of the *Corporations Act 2001* (the **Act**).

**Title**

2. This instrument is ASIC Instrument 21-0811.

**Commencement**

3. This instrument commences on the date it is signed.

**Exemption**

4. A shareholder of Pacific Edge who makes an offer of ordinary shares for sale (*sale offer*) within 12 months after their issue does not have to comply with subsection 707(3) of the Act where:
  - (a) at the time of the issue of the shares:
    - (i) Pacific Edge was listed on the financial market operated by NZX Limited for a period of at least 3 months and was not listed on the financial market operated by ASX Limited; and
    - (ii) a notice that complied with clause 20 of Schedule 8 of the *Financial Markets Conduct Regulations 2014* of New Zealand was given to NZX Limited; or
  - (b) prior to the listing of Pacific Edge on a financial market operated by the ASX Limited, the shares were issued to employees of Pacific Edge under Pacific Edge's short term incentive scheme in lieu of a cash bonus; or
  - (c) the issue of the shares relates to an exercise of options that have been on issue for a period of 12 months or more prior to exercise; and

at the time of the sale offer, Pacific Edge is listed on the financial market operated by ASX Limited as an exempt foreign listing.

**Declaration**

5. Chapter 6D of the Act applies in relation to Pacific Edge as if the following provisions were modified or varied:
  - (a) in section 708A:

## 21-0811

- (i) in paragraph (1)(a) omit “(12G) or (12H),” and substitute “(12G), (12H) or (12J),”;

Note: ASIC Corporations (Non-Traditional Rights Issues) 2016/84 notionally inserted section 708A(12A) of the Act, ASIC Corporations (Sale Offers: Securities Issued on Conversion of Convertible Notes) 2016/82 notionally inserted section 708A(12C) of the Act and ASIC Corporations (Regulatory Capital Securities) Instrument 2016/71 notionally inserted section 708A(12G) and (12H) of the Act.

- (ii) in paragraph (1A)(a) omit “(5),” and substitute (5) or (12J),” and

- (iii) in subsection (12A) omit paragraph “(b)”;

- (iv) after subsection (12I) insert:

*“Sale offers of quoted securities: NZ foreign exempt listed body – case 8*

- (12J) The sale offer of securities in a New Zealand foreign exempt listed body does not need disclosure to investors under this Part if:
- (a) trading in that class of securities on a prescribed financial market on which they were quoted was not suspended for more than a total of 5 days during:
- (i) if this section applies because of subsection (1)—the shorter of the period during which the class of securities were quoted, and the period of 12 months before the day on which the relevant securities were issued; or
- (ii) if this section applies because of subsection (1A)—the shorter of the period during which the class of securities were quoted, and the period of 12 months before the day on which the relevant securities were sold by the controller; and
- (b) either:
- (i) if this section applies because of subsection (1)—the body gives the relevant market operators for the body a notice that the body reasonably believes complies with clause 20 of Schedule 8 of the *Financial Markets Conduct Regulations 2014* of New Zealand before the sale offer is made; or
- (ii) if this section applies because of subsection (1A)—both the body, and the controller, gives the relevant market operators for the body a notice that the body and the controller reasonably believe complies with clause 20 of Schedule 8 of the *Financial*

## 21-0811

*Markets Conduct Regulations 2014* of New Zealand before the sale offer is made; and

- (c) The notice given under paragraph (b) states, in addition to any requirements of the *Financial Markets Conduct Regulations 2014* of New Zealand at the time the notice is given, that:
- (i) if this section applies because of subsection (1) - the body issued the relevant securities without disclosure to investors under this Part; or
  - (ii) if this section applies because of subsection (1A) - the controller sold the securities without disclosure to investors under this Part; and
  - (iii) the notice is being given under paragraph 708A(12J) as notionally inserted by ASIC instrument 21-0811;
  - (iv) as at the date of the notice, the body has complied with its obligations under rule 1.15.2 of the listing rules of ASX Limited.

In this subsection:

***New Zealand foreign exempt listed body*** means a body that is

- (a) listed on the financial market operated by NZX Limited; and
- (b) listed on the financial market operated by ASX Limited as an exempt foreign listing.

***relevant market operators*** means both ASX Limited and NZX Limited.”.

- (b) in section 708AA after paragraph (2)(f) insert:

“(2A) In this section, relevant market operator means both ASX Limited and NZX Limited”.

- (c) omit subsections 708AA(7) to (11), and substitute:

“(7) For a New Zealand foreign exempt listed body, a notice complies with this subsection if:

- (a) the body reasonably believes the notice complies with clause 20 of Schedule 8 of the *Financial Markets Conduct Regulations 2014* of New Zealand and

21-0811

- (b) the notice states, in addition to any requirements of the *Financial Markets Conduct Regulations 2014* of New Zealand at the time the notice is given, that:
- (i) the body will offer the relevant securities for issue without disclosure under this Part;
  - (ii) the notice is being given under paragraph (2)(f); and
  - (iii) as at the date of the notice, the body has complied with its obligations under rule 1.15.2 of the listing rules of ASX Limited.

In this subsection:

**New Zealand foreign exempt listed body** means a body that is

- (a) listed on the financial market operated by NZX Limited; and
  - (b) listed on the financial market operated by ASX Limited as an exempt foreign listing.;
- (d) in subsection 708AA(12) as notionally inserted by *ASIC Corporations (Non-Traditional Rights Issues) 2016/84* omit subsection (a) and substitute:
- “(a) any information that would be excluded information under clause 20(5) of Schedule 8 of the *Financial Markets Conduct Regulations 2014* of New Zealand (as that clause exists on the date the notice is given) and that would need to be included in the notice if the notice had been given at that time and that has not been included in the notice or otherwise provided to the relevant market operator; or”

### Interpretation

6. In this instrument:

**Pacific Edge** means Pacific Edge Limited ARBN 653 308 144 (New Zealand company number 1119032), a body incorporated under the *Companies Act 1993* of New Zealand and registered as a foreign company under Part 5B.2 of the Act.

Dated this 21<sup>st</sup> day of September 2021



Signed by Daniel Slupek  
as a delegate for the Australian Securities and Investments Commission

21-0813

Notice is given under section 920E of the Corporations Act 2001 that the Australian Securities and Investments Commission has made a banning order in the terms set out below, which order took effect on 20 September 2021.

**Australian Securities and Investments Commission  
In the matter of Dylan Christopher Rands  
Banning order under sections 920A and 920B of the *Corporations Act 2001***

To: Dylan Christopher Rands

**Take notice** that under s920A and s920B of the *Corporations Act 2001* the Australian Securities and Investments Commission prohibits Dylan Christopher Rands:

1. for a period of five years (**five-year period**), from providing any financial services; and
2. for a period that commences on a day that is 21 days after the commencement of the five-year period, and concludes on the day that the five-year period concludes, from performing the following specified functions involved in the carrying on of a financial services business (including as an officer, manager, employee, contractor or in some other capacity):
  - (a) managing, supervising, or auditing the provision of a financial service; and
  - (b) the provision of training about a financial service or a financial product.

Dated this 20th day of September 2021.



Signed:  
Graeme Darcy Plath  
Delegate of the Australian Securities and Investments Commission

Your attention is drawn to s920C(2) of the *Corporations Act 2001* which provides that a person must not engage in conduct which breaches a banning order that has been made against the person. A contravention of s920C(2) is an offence.



21-0815

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 1020F(1)(b) – Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 1020F(1)(b) of the *Corporations Act 2001 (Act)*.

**Title**

2. This instrument is ASIC Instrument 21-0815.

**Commencement**

3. This instrument commences on the date it is signed.

**Exemption**

4. A CDI over a share in the Company is exempt from subsection 1020B(2) of the Act.

**Where the exemption applies**

5. The exemption in paragraph 4 applies to an offer:

- (a) by Shareholders (*Selling Shareholders*) to sell CDIs to SaleCo or its nominee; and
- (b) by SaleCo to sell CDIs to Applicants (*Public Offer*),

where all of the following are satisfied:

- (i) the Selling Shareholders have, before the Public Offer, agreed to have CDIs issued to them pursuant to the Restructure under an Irrevocable Offer Deed;
- (ii) each Selling Shareholder has entered into an Irrevocable Offer Deed before the Public Offer;
- (iii) the Public Offer is made under the Prospectus or the Pathfinder Prospectus (or both); and
- (iv) the Company offers to issue CDIs which are in the same class as the CDIs offered for sale by SaleCo under the Prospectus.

**Interpretation**

6. In this instrument:

*ASX* means ASX Limited or the financial market operated by ASX Limited, as the context requires.

*Applicants* means applicants for CDIs over shares in the Company offered under the Prospectus or the Pathfinder Prospectus.

*CDI* means a unit of beneficial ownership in shares, known as a CHESS Depository Interest, that is issued for the purpose of enabling shares to be recorded and transferred in accordance with the operating rules of the ASX.

*Company* means Blis Group PLC ARBN 651 621 242.

*Irrevocable Offer Deed* means a deed poll under which a Selling Shareholder irrevocably agrees to sell to SaleCo (or its nominee) some or all of the CDIs the Shareholder is due to receive pursuant to the Restructure.

*Pathfinder Prospectus* means a draft prospectus prepared by the Company and Saleco and provided to a person in the circumstances covered by subsection 734(9) of the Act.

*Prospectus* means the prospectus lodged by the Company and SaleCo on or about 24 September 2021 in connection with the proposed listing of the Company on ASX and includes any supplementary or replacement prospectus in relation to that Prospectus.

*Restructure* means the conversion of shares in the Company classified as 'Series A shares' and 'G ordinary shares' into ordinary shares, and the issue of CDIs over the ordinary shares conditional on the Company being admitted to the official list of ASX subject to satisfaction of certain conditions precedent determined by ASX.

*SaleCo* means BG Saleco PLC ARBN 651 621 402.

*Shareholder* means a person who hold shares in the Company at the date of this instrument.

Dated this 22<sup>nd</sup> day of September 2021



Signed by Adam Prior  
as a delegate of the Australian Securities and Investments Commission

21-0816

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 1020F(1)(c) – Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 1020F(1)(c) of the *Corporations Act 2001 (Act)*.

**Title**

2. This instrument is ASIC Instrument 21-0816.

**Commencement**

3. This instrument commences on the date it is signed.

**Declaration**

4. Part 7.9 of the Act applies in relation to section 1020B products under or in connection with a public offer of Blis Group PLC ARBN 651 621 242 as if:
  - a) sub-paragraph 1020B(7C)(a)(ii) of the Act (as notionally inserted by *ASIC Corporations (Short Selling) Instrument 2018/745*) were modified by inserting “(or agreement to pay)” after “including payment of”; and
  - b) sub-paragraph 1020B(7D)(a)(iii) of the Act (as notionally inserted by *ASIC Corporations (Short Selling) Instrument 2018/745*) were modified by inserting “(or agreement to pay)” after “including payment of”.

Dated this 22<sup>nd</sup> day of September 2021



Signed by Adam Prior  
as a delegate of the Australian Securities and Investments Commission

21-0818

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 655A(1)(b) - Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 655(1)(b) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 21-0818.

**Commencement**

3. This instrument commences on the date it is signed.

**Declaration**

4. Chapter 6 of the Act applies to the Bidder as if:
  - (a) section 617 of the Act was modified or varied by inserting after subsection 617(2) the following subsection:

“(2A) If derivatives or rights exist at the date set by the bidder under subsection 633(2) that:

- (a) will convert, or may be converted, to securities in the bid class; or
- (b) confer an entitlement of any kind to be issued securities in the bid class,

the bid may extend to securities that come to be in the bid class during the period from the date set by the bidder under subsection 633(2) to the end of the offer period in accordance with the terms of, or otherwise in connection with, any of those rights or derivatives.”;

- (b) section 636 was modified or varied by inserting after paragraph 636(1)(j) the following paragraph:

“(ja) If the bid is to extend to securities that come to be in the bid class during the period from the date set by the bidder under subsection 633(2) to the end of the offer period in accordance with the terms of, or otherwise in connection with, specified rights or derivatives (see subsection 617(2A)) – a statement to that effect;”

- (c) subsection 641(1) were modified or varied by inserting:

## 21-0818

- (i) the words “, derivatives or rights” after the word “securities” where appearing in the chapeau of paragraph (a);
- (ii) the words “or conferring an entitlement of any kind to be issued securities in the bid class” after the word “class” in subparagraph (a)(ii); and
- (iii) the words “, derivatives or rights” after the word “securities” where appearing in paragraph (b).

**Where this instrument applies**

5. This instrument applies to offers made by the Bidder under an off-market takeover bid for all of the ordinary shares in the Target, in respect of which a Transaction Booklet was lodged with ASIC on 23 September 2021, where:
- (a) the Target has sought approval for the grant of up to 187,429 Performance Rights to Mr Peter Bender at the Target’s annual general meeting to be held on 29 October 2021;
  - (b) the Bidder has disclosed in the Transaction Booklet that, subject to ASIC relief, the bid extends to ordinary shares issued after the Register Date and before the end of the offer period in connection with the vesting of the Performance Rights;
  - (c) the bid is not subject to a defeating condition that will not be satisfied merely because securities come to be in the bid class during the period from the date set by the bidder under subsection 633(2) to the end of the offer period in accordance with the terms of, or otherwise in connection with the Performance Rights.

**Interpretation**

6. In this instrument:

*Bidder* means JBS Australia Pty Limited ACN 011 062 338 or its nominee entity JBS Aquaculture Pty Ltd ACN 653 348 700;

*Defeating condition* means a prescribed occurrence condition of the kind referred to in paragraph 652C(1)(d) of the Act;

*Huon Long Term Incentive Plan* means the Huon Long Term Incentive and Bonus Sacrifice Plan adopted by Huon on 23 October 2014;

*Key Management Personnel* means Neil Kearney, Peter Bender, Frances Bender, Simon Lester and Tony Dynon;

*offer period* has the same meaning as in section 9 of the Act;

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*Performance Rights* means performance rights, security appreciation rights or security rights that are on issue on the Register Date that:

- (a) are issued by the Target to Key Management Personnel under the Huon Long Term Incentive Plan;
- (b) are referred to in any announcement released by the Target to the ASX prior to the date on which the Bidder announced its bid; and
- (c) the Target may issue ordinary shares on the vesting of the performance rights in accordance with the terms of the Huon Long Term Incentive Plan;

*Register Date* means the date set by the Bidder for the purposes of subsection 633(2) of the Act;

*Target* means Huon Aquaculture Group Limited ACN 114 456 781; and

*Transaction Booklet* means an explanatory booklet issued on behalf of the Target and the Bidder that includes a bidder's statement under section 636, a target's statement under section 638 and (in respect of the concurrent schemes of arrangement) an explanatory statement under section 412.

Dated this 23<sup>rd</sup> day of September 2021



Signed by Daniel Slupek  
as a delegate of the Australian Securities and Investments Commission

21-0819

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 655A(1)(b) – Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this declaration under paragraph 655A(1)(b) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 21-0819.

**Commencement**

3. This instrument commences on the date it is signed.

**Declaration**

4. Chapter 6 of the Act applies to Huon Aquaculture Group Limited ACN 114 456 781 (*Target*) and JBS Australia Pty Ltd ACN 011 062 338 or its nominee entity JBS Aquaculture Pty Ltd ACN 653 348 700 (*Bidder*) as if Part 6.5 were modified or varied as follows:

- (a) at the end of section 648C, add:

“; or

(c) in accordance with section 648CA.”;

- (b) after section 648C, insert:

**“648CA Deemed manner of sending documents**

- (1) A bidder’s statement, offer (within the meaning of section 620) or target’s statement is deemed to have been sent to a holder of securities in relation to offers under an off-market bid for the purposes of this Chapter where either:

- (a) all of the following are satisfied:

- (i) the holder has nominated an electronic address for the purposes of receiving electronic copies of shareholder communications from the person sending the target’s statement;

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- (ii) an electronic message is sent to the nominated electronic address of the holder, which contains links to an electronic copy of the bidder's statement, offer or target's statement that is in a form that allows text within the copy to be searched by a computer;
  - (iii) the person sending the electronic message does not receive a notification to the effect that the electronic message is unable to be delivered to the nominated electronic address; and
  - (iv) facilities are in place that enable the holder, either through an online portal or website that is accessible by the holder or a telephone number, to request that the bidder's statement, offer or target's statement is to be sent to the holder by a manner specified in paragraphs 648C(a) or (b); or
- (b) all of the following are satisfied:
- (i) the holder has not nominated an electronic address for the purposes of receiving electronic copies of shareholder communications from the person sending the target's statement;
  - (ii) a letter or postcard (*the Postcard*) is sent to the nominated address of the holder, which contains a Uniform Resource Locator to an electronic copy of the bidder's statement, offer or target's statement that is in a form that allows text within the copy to be searched by a computer; and
  - (iii) facilities are in place that enable the holder, either through an online portal or website that is accessible by the holder or a telephone number specified in the Postcard, to request that the bidder's statement, offer or target's statement is to be sent to the holder by a manner specified in paragraphs 648C(a) or (b)."

**Where this instrument applies**

5. This instrument applies in relation to the proposed off-market takeover bid announced by the Bidder on 20 August 2021 for all of the ordinary shares in the Target (*Bid*), where by 7:30pm AEDT on the date of this declaration, the Target has provided to ASX Limited ACN 008 624 691 (*ASX*) for release on the financial market operated by ASX, an announcement:



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- (a) explaining the effect of the declaration;
- (b) that includes a statement to the effect that Target shareholders may request to be sent hard copies of the bidder's statement and target's statement:
  - (i) if the holder is outside of Australia—by pre-paid airmail post or by courier; or
  - (ii) if the holder is in Australia—by pre-paid ordinary post or by courier,and a statement that explains how shareholders can make this request; and
- (c) includes a statement to the effect that the fact that ASIC has granted this relief in connection with the Bid should not be taken as a reflection of ASIC's views on any other aspect of the Bid.

Dated this 23<sup>rd</sup> day of September 2021



Signed by Daniel Slupek  
as a delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001

Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-third day of September 2021

Rosanne Bell

DELEGATE OF

THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

**Name of Company**

**ARBN**

ALLIANZ GLOBAL INVESTORS ASIA PACIFIC LIMITED	160 464 200
BINTANG SUBSEA (S) PTE. LTD.	617 008 189
FILTEC (AUS) LIMITED	611 127 005
MINDPEARL LIMITED	165 637 856
SRG GLOBAL ASSET SERVICES (NZ) LIMITED	609 636 839
TRANSFERWISE LTD	168 331 191

CORPORATIONS ACT 2001

Section 601CL(5)

ASIC has struck the foreign companies listed below off the register.

Dated this twenty-third day of September 2021

Rosanne Bell  
DELEGATE OF  
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

**Name of Company**

**ARBN**

WIRE ROPE INDUSTRIES LTD.

154 277 835

CORPORATIONS ACT 2001  
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

**AERAMENTUM RESOURCES PTY LTD**

ACN 650 754 484 will change to a public company limited by shares. The new name will be AERAMENTUM RESOURCES LIMITED ACN 650 754 484.

**LAKEBA GROUP PTY. LTD.** ACN 603 471 807 will change to a public company limited by shares. The new name will be LAKEBA GROUP LIMITED ACN 603 471 807.

**Q.W.S. PTY.** ACN 074 439 919 will change to a proprietary company limited by shares. The new name will be Q.W.S. PTY LTD ACN 074 439 919.

**DOVER CASTLE METALS PTY LTD**

ACN 169 880 380 will change to a public company limited by shares. The new name will be DOVER CASTLE METALS LTD ACN 169 880 380.

**PETRAGAS LIMITED** ACN 139 319 636 will change to a proprietary company limited by shares. The new name will be HILTABA PTY LTD ACN 139 319 636.

**THE LOCKYER VALLEY FRUIT & VEGETABLE PROCESSING COMPANY PTY LTD**

ACN 602 420 600 will change to a public company limited by shares. The new name will be THE LOCKYER VALLEY FRUIT & VEGETABLE PROCESSING COMPANY LIMITED ACN 602 420 600