2027 enforcement priorities

ASIC
Australian Securities & Investments Commission

Protecting vulnerable consumers and small businesses

- Misconduct by banks, insurers, and superannuation trustees that compounds cost of living pressures
- Predatory practices targeting consumers and small businesses experiencing financial difficulty, including harmful debt collection and debt management practices
- Harmful financial advice and lead generation models

Lifting standards and accountability across the financial system

- Consumer harm arising from poorly implemented technologies, including AI and automated systems
- Misconduct in private credit and managed investment schemes, with a focus on disclosures, governance, conflicts, and valuation practices
- Misconduct by auditors and other professional gatekeepers

Targeting serious misconduct and holding wrongdoers to account

- Maintaining our focus to hold to account those responsible for the collapse of Shield and First Guardian Master Funds
- Failures in obligations for financial reporting, licensing, and record-keeping
- Exploitation and misuse of ASIC's corporate registers