



ASIC
Australian Securities &
Investments Commission

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RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review (RG57)* and Information Sheet *ASIC decisions – your rights (INFO 9)* to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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22-0643

**Australian Securities and Investments Commission
Corporations Act 2001 – Subsections 601QA(1) and 1020F(1)(a)
Declaration and Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsections 601QA(1) and 1020F(1)(a) of the *Corporations Act 2001 (Act)*.

Title

2. This is ASIC Instrument 22-0643

Commencement

3. This instrument commences on the date it is signed.

Exemptions

4. Elanor Funds Management Limited ACN 125 903 031 (*EFML*) in its capacity as the responsible entity of Elanor Retail Property Fund I (ARSN 615 291 220) and Elanor Retail Property Fund II (ARSN 615 291 284) (*the Schemes*) does not have to comply with:
 - (a) paragraph 601FC(1)(d) to the extent that it requires the responsible entity of a registered scheme to treat members who hold interests in the same class equally;
 - (b) paragraph 601FG(1)(a); and
 - (c) Division 5A of Part 7.9.

Declaration

5. Chapter 5C of the Act applies to a responsible entity as if Part 5C were modified or varied by, after Part 5C.6, inserting:

“Part 5C.6B – Buy-backs by listed schemes**Division 1 – Preliminary****601KJ Application of this Part**

This Part applies to the purchase of interests in a listed managed investment scheme by the responsible entity of the scheme where the purchase is off-market and does not take place in the ordinary course of trading on the financial market of ASX

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Limited (ASX), and the purchase price is paid from scheme property. For the purposes of this Part, such a purchase is referred to as a *buy-back*.

Division 2 – Requirements for buy-backs**601KK Constitutional provisions about buy-backs**

If the responsible entity of the scheme is to have the power to buy back an interest in the scheme, the power must be specified in the scheme's constitution.

601KL Procedural requirements for buy-backs

- (1) A responsible entity must not buy back an interest in the scheme otherwise than in accordance with the scheme's constitution and this section.
- (2) A responsible entity may buy back an interest if it does not materially prejudice the responsible entity's ability to pay its creditors in relation to liabilities incurred or acquired by it as responsible entity of the scheme.
- (3) A responsible entity that buys back an interest in the scheme:
 - (a) must only buy the interest if it has:
 - (i) obtained, by resolution at a meeting of members of the scheme in the last 12 months, the approval of members of the terms of the proposed buy-back arrangement; and
 - (ii) included with the notice of meeting a statement setting out all information known to the responsible entity that was material to the decision how to vote on the resolution other than information that it would be unreasonable to require the responsible entity to disclose because it had previously disclosed the information to the scheme's members;
 - (b) if it reasonably believes that it complies with the listing rules of the ASX that apply to buy-backs as if:
 - (i) the scheme were a company included in the official list of the financial market of the ASX; and
 - (ii) interests in the scheme were shares in the company; and
 - (c) must not dispose of the interests it buys back.
- (4) Immediately after registration of the transfer to the responsible entity of the interests bought back, the interests are cancelled.

601KM Interaction with other provisions of this Chapter

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For the avoidance of doubt, subsection 601GA(4) and Part 5C.6 do not apply to a buy-back under this Part.”.

Where this instrument applies

6. Paragraphs 4 and 5 apply where:
- (a) EFML makes an invitation to all ERF Securityholders (except **Excluded Foreign Holders**) to participate in the Buy-Back at the Buy-Back Price;
 - (b) EFML has given the Explanatory Statement to all members of the Schemes who are eligible to participate under the Buy-Back that:
 - (i) states all information known to EFML that is material to the decision of a member of the Schemes whether or not to participate in the Buy-Back;
 - (ii) specifies the period to elect to participate in the Buy-Back, being at least 21 days;
 - (iii) specifies the scheme assets that will be used to satisfy the liability to pay for interests to be bought back; and
 - (iv) specifies that under the terms of the Buy-Back, EFML is offering to purchase from each ERF Securityholder all of their ERF Securities;
 - (c) after the Explanatory Statement is given to members of the Schemes, the members have a reasonable opportunity to elect to participate in the Buy-Back at the Buy-Back Price; and
 - (d) EFML carries out the Buy-Back in accordance with the terms as described in the Explanatory Statement under which all members of the Scheme are to be treated equally except for Excluded Foreign Holders and any offer from an Excluded Foreign Holder for the sale of ERF Securities received by EFML need not be accepted by EFML.

Interpretation

7. In this instrument:

Buy-Back means an acquisition of ERF Securities by EFML through an off-market buy-back in accordance with this instrument.

Buy-Back Price is the price at which the ERF Securities will be bought back under the Buy-Back which will be \$0.79 per security.

ERF Securityholder means the holder of a ERF Securityholder.

ERF Security means an interest in each of the Schemes where under the terms on which each is to be traded, they must be transferred together.

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Excluded Foreign Holders means ERF Securityholders who EFML determines to exclude on the basis that:

- (a) EFML would be prohibited from making a payment to pursuant to an act, rule or regulation that prohibits EFML from making payments to a person who resides in a jurisdiction outside of Australia or New Zealand;
- (b) the holder resides in a jurisdiction where it would be illegal under the laws of that jurisdiction to make an invitation to the holder or for the holder to participate in the Buy-Back; or
- (c) the holder resides in a jurisdiction outside Australia or New Zealand and it would be unreasonable to make an invitation to the holder having regard to each of the following:
 - (i) the number of holders in that jurisdiction;
 - (ii) the number and the value of ERF Securities held by holders in that jurisdiction; and
 - (iii) the cost of complying with legal requirements and the requirements of any relevant regulatory authority applicable to making the invitation in that jurisdiction.

Explanatory Statement means the Notice of Meeting and Explanatory Statement dated 20 July 2022 sent to ERF Securityholders in relation to the Buy-Back, which is substantially in the same form as provided to ASIC on 11 July 2022.

Dated this 18th day of July 2022.



Signed by Jeremy Smith
as delegate of the Australian Securities and Investments Commission

22-0831

**Australian Securities and Investments Commission
Corporations Act 2001 — Subsection 926A(2)(a) — Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under s926A(2)(a) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 22-0831.

Commencement

3. This instrument commences on the day it is signed.

Cessation

4. The exemption in paragraph 5 ceases to have effect on the earlier of:
 - (a) sub-paragraph 1(1) of Sch 2 of the *ASIC Corporations (Repeal and Transitional) Instrument 2016/396* ceasing to have effect in relation to the relief that was provided under ASIC Class Order [CO 03/1099] *UK regulated financial service providers*; or
 - (b) Quay Partners Investments (UK) LLP a limited liability partnership incorporated under the laws of the UK under partnership number OC400454 and registered with the FCA under firm reference 709710 (the *body*) failing to comply with any written notice given by ASIC directing the body to give to ASIC, within the time specified in the notice, a written statement containing specified information about the financial service business operated by the body in this jurisdiction.

Exemption

5. ASIC exempts the body from the requirement to hold an Australian financial services licence in the case specified in Schedule A.

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Schedule A

1. Where all the following apply:
 - (a) the body has a current Part 4A Permission;
 - (aa) the body is either a body corporate incorporated in the UK or a partnership formed in the UK;
 - (b) the body:
 - (i) is registered under Division 2 of Part 5B.2 of the Act; or
 - (ii) has an Agent at the time the body first purports to rely on this instrument and, from that time, has not failed to have an Agent for any consecutive period of 10 business days;
 - (c) the body's primary business is the provision of financial services;
 - (d) neither the body nor its Agent has been notified by ASIC that the body is excluded from relying on this instrument;
 - (e) if the body becomes aware or should reasonably have become aware of matters that give it reason to believe that it has failed, other than in an immaterial respect, to comply with a requirement set out in Schedule B:
 - (i) 15 business days have not passed since the body became so aware or should reasonably have become so aware without the body providing full particulars of the failure to ASIC (to the extent that the body knows those particulars or would have known them if it had undertaken reasonable enquiries); and
 - (ii) 30 business days have not passed from ASIC receiving those particulars from the body without ASIC notifying the body that it may continue to rely on this instrument; and
 - (f) the body has not notified ASIC that it will not rely on this instrument.
2. Where the body provides any of the following financial services (the *financial services*) in this jurisdiction to wholesale clients (and the body is authorised and regulated under UK laws to provide the financial service in the UK):
 - (a) providing financial product advice;
 - (b) dealing in a financial product;

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(c) making a market for a financial product; or

(d) providing a custodial or depository service;

in respect of any of the following financial products:

(e) eligible deposit products;

(f) derivatives;

(g) foreign exchange contracts;

(h) securities;

(i) debentures, stocks or bonds issued by a government;

(ia) managed investment products; or

(j) interests in a managed investment scheme that is not required to be registered under Chapter 5C of the Act; and

3. Where the body has provided ASIC with:

(a) a copy of the Part 4A Permission;

(b) a notice that it will provide financial services in this jurisdiction in reliance on this instrument;

(c) a deed of the body for the benefit of and enforceable by ASIC and the other persons referred to in subsection 659B(1) of the Act that applies notwithstanding that the body may have ceased to rely, or never have relied, on this instrument, which deed provides that:

(i) the deed is irrevocable except with the prior written consent of ASIC;

(ii) the body submits to the non-exclusive jurisdiction of the Australian courts in legal proceedings conducted by ASIC (including under section 50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in subsection 659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise;

(iii) the body covenants to comply with any order of an Australian court in respect of any matter relating to the provision of the financial services;

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- (iv) if the body is not registered under Division 2 of Part 5B.2 of the Act, service of process on the body in relation to legal proceedings conducted by ASIC (including under section 50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in subsection 659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise can be effected by service on the Agent; and
 - (v) the body covenants that, on written request of either the appropriate regulator or ASIC, it will give or vary written consent and take all other practicable steps to enable and assist the appropriate regulator to disclose to ASIC and ASIC to disclose to the appropriate regulator any information or document that the appropriate regulator or ASIC has that relates to the body; and
- (d) written consents to the disclosure by appropriate regulator to ASIC and ASIC to the appropriate regulator of any information or document that the appropriate regulator or ASIC has that relates to the body. The consents must be in such form (if any) as ASIC specifies in writing.

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Schedule B

1. The body must:
 - (a) provide each of the financial services in this jurisdiction in a manner which would comply, so far as is possible, with the UK regulatory requirements if the financial service were provided in the United Kingdom in like circumstances;
 - (b) notify ASIC, as soon as practicable and in any event within 15 business days after the body became aware or should reasonably have become aware, and in such form if any as ASIC may from time to time specify in writing, of the details of:
 - (i) each significant change to, including the termination of, the Part 4A Permission applying to the body relevant to the financial services the body provides or intends to provide in this jurisdiction; and
 - (ii) each significant particular exemption or other relief which the body may obtain from the UK regulatory requirements relevant to the financial services the body provides or intends to provide in this jurisdiction; and
 - (iii) each action or investigation of the following kinds taken by the FCA, PRA or other overseas regulatory authority against the body in a foreign jurisdiction in relation to financial services provided in the foreign jurisdiction:
 - (A) significant enforcement action;
 - (B) significant disciplinary action;
 - (C) significant investigation (unless, after having taken reasonable steps to enable notification to be given to ASIC, the body is prohibited by law from giving such notification but only to the extent of the prohibition); and
 - (c) provide written disclosure to all persons to whom the financial services are provided in this jurisdiction (before the financial services are provided) containing prominent statements to the following effect:
 - (i) the body is exempt from the requirement to hold an Australian financial services licence under the Act in respect of the financial services; and
 - (ii) as applicable:
 - (A) for a dual-regulated body—the body is authorised by the PRA and regulated by the FCA and PRA under UK laws, which differ from Australian laws; or

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- (B) otherwise—the body is authorised and regulated by the FCA under UK laws, which differ from Australian laws.

22-0831

Interpretation

In this instrument:

Act means the *Corporations Act 2001*;

address, in relation to a company, means the address of the registered office of the company;

Agent means a natural person resident in this jurisdiction or a company, whose name and address were last notified to ASIC by the body for the purposes of this instrument, and who is authorised to accept on the body's behalf, service of process from ASIC and, in relation to proceedings relating to a financial services law, from any person referred to in subsection 659B(1) of the Act;

appropriate regulator means:

- (a) for a dual-regulated body—both the PRA and the FCA;
- (b) otherwise—the FCA;

ASIC Act means the *Australian Securities and Investments Commission Act 2001*;

custodial or depository service has the meaning given by section 766E of the Act;

derivative has the meaning given by section 761D of the Act;

dual-regulated body means a body whose regulated activities in the United Kingdom include a PRA-regulated activity within section 22A of the FSM Act;

eligible deposit product means any deposit-taking facility that is not a deposit product as defined in section 761A of the Act;

FCA means the Financial Conduct Authority of the United Kingdom and, for the avoidance of doubt, includes the Financial Services Authority of the United Kingdom as it was previously known before 1 April 2013;

financial product advice has the meaning given by section 766B of the Act;

financial services law has the meaning given by section 761A of the Act;

foreign exchange contract has the meaning given by section 761A of the Act;

FSM Act means the *Financial Services and Markets Act 2000* of the United Kingdom;

making a market has the meaning given by section 766D of the Act;

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notice and *notified* mean, respectively, written notice and notified in writing;

overseas regulatory authority means a foreign regulatory authority (other than the FCA or PRA) which regulates financial services and which is established by or for the purposes of a foreign government or legislative body;

Part 4A Permission means a permission given under Part 4A of FSM Act or having effect as if so given under that Part;

PRA means the Prudential Regulation Authority of the United Kingdom;

securities has the meaning given by section 761A of the Act;

UK regulatory requirements means the rules that apply in relation to the financial services including any applicable legislation, instruments made under that legislation and any relevant policies or other documents (however described) issued by the FCA or PRA; and

wholesale client has the meaning given in section 761G of the Act.

Dated this 25th day of October 2022.



Signed by Ada Bombardieri
as a delegate of the Australian Securities and Investments Commission.

22-0878


**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Insurance Admin Pty Ltd
ABN 31 058 682 876 ("the Licensee")
1-7 Palmerston Crescent
SOUTH MELBOURNE VIC 3205

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 531682 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 7 November 2022

Signed 

Jedo Charles
A delegate of the Australian Securities and Investments Commission

22-0919



**Australian Market Licence (Refinitiv Transaction Services Pte. Ltd.)
Variation Notice 2022 (No.1)**

Corporations Act 2001

I, Nathan Bourne, Senior Executive Leader, Australian Securities and Investments Commission make this notice under subsection 796A(1) of the *Corporations Act 2001* (the Act).

Dated 8 November 2022

A handwritten signature in black ink, appearing to be 'N. Bourne', written over a horizontal line.

Signed

as a delegate of the Minister under section 1101J of the Act

22-0919

1. Name

This is the *Australian Market Licence (Refinitiv Transaction Services Pte. Ltd.) Variation Notice 2022 (No. 1)*.

2. Commencement

This instrument commences on the day it is made.

3. Variation

For the avoidance of doubt, to the extent (if any) that the *Australian Market Licence (Refinitiv Transaction Services Pte. Ltd.) Variation Notice 2021 (No. 1)* was not effective to vary the *Australian Market Licence (Thomson Reuters Transaction Services Pte Limited) 2017 (the Licence)* in accordance with its terms, the Licence is so varied by this instrument.

22-0920



Australian Market Licence (State Street Global Markets International Limited) Variation Notice 2022 (No.2)

Corporations Act 2001

I, Nathan Bourne, Senior Executive Leader, Australian Securities and Investments Commission make this notice under subsection 796A(1) of the *Corporations Act 2001* (the Act).

Dated 8 November 2022



Signed

as a delegate of the Minister under section 1101J of the Act

22-0920

1. Name

This is the *Australian Market Licence (State Street Global Markets International Limited) Variation Notice 2022 (No. 2)*.

2. Commencement

This instrument commences on the day it is made.

3. Variation

For the avoidance of doubt, to the extent (if any) that the *Australian Market Licence (State Street Global Markets International Limited) Variation Notice 2022 (No. 1)* was not effective to vary the *Australian Market Licence (State Street Global Markets International Limited) 2017 (the Licence)* in accordance with its terms, the Licence is so varied by this instrument.

22-0921

NOTICE UNDER SECTION 920E OF THE CORPORATIONS ACT 2001

Notice is given under section 920E of the *Corporations Act 2001* that the Australian Securities and Investments Commission has made a banning order in the terms set out below, which order took effect on 9 November 2022.

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

IN THE MATTER OF MARK DARRON JENNINGS

SECTIONS 920A AND 920B
OF THE CORPORATIONS ACT 2001

To: Mr Mark Darron Jennings

TAKE NOTICE that under s920A and s920B of the *Corporations Act 2001* the Australian Securities and Investments Commission prohibits Mark Darron Jennings for a period of ten (10) years from:

- i. providing any financial services; and
- ii. controlling, whether alone or in concert with one or more other entities, an entity that carries on a financial services business; and
- iii. performing any function involved in the carrying on of a financial services business (including as an officer, manager, employee, contractor or in some other capacity).

Dated this 28th day of October 2022

Signed:



Lisa Lu
Delegate of the Australian Securities and Investments Commission

Your attention is drawn to s920C(2) of the *Corporations Act 2001* which provides that a person must not engage in conduct which breaches a banning order that has been made against the person. Contravention of s920C(2) is an offence.

22-0932

**Australian Securities and Investments Commission
Corporations Act 2001 – Subsection 655A(1)(b) - Declaration**

Enabling Legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 655A(1)(b) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 22-0932.

Commencement

3. This instrument commences on the date it is signed.

Declaration

4. Chapter 6 of the Act applies to Perth Markets Group Limited ACN 633 346 184 (*Target*) as if section 638 as notionally modified by ASIC Class Order [13/521] were modified or varied as follows:

- (a) omit paragraph 638(5)(d), substitute:

“(d) the statement was made either:

- (i) in a document lodged with ASIC; or
- (ii) in a document lodged with the operator of a prescribed financial market by a listed company, managed investment scheme, notified foreign passport fund or other body in compliance with the listing rules of the prescribed financial market; or
- (iii) if the bidder is an unlisted disclosing entity – in a document:
 - (A) sent to the bidder’s shareholders; and
 - (B) published on the bidder’s website without access restrictions and in a way that is generally available, at the time of lodgement of the target’s statement.”

Where this instrument applies

5. This instrument applies in relation to a proportional off-market bid (*Bid*) by Brisbane Markets Limited ACN 064 983 017 for 65% of each shareholder’s ordinary shares in the Target publicly proposed on 6 October 2022.

Dated this 11th day of November 2022



Signed by Chi Nguyen
as a delegate of the Australian Securities and Investments Commission



ASIC
Australian Securities &
Investments Commission

Australian Securities
and Investments Commission

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22-0938

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: PLATO SYSTEMATIC GLOBAL MACRO PTY LIMITED
A.B.N 57 616 424 170 ("the Licensee")
Level 19
307 Queen Street
BRISBANE QLD 4000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 502096 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 11 November 2022

Signed Jim Lau

Jim Lau
A delegate of the Australian Securities and Investments Commission



ASIC
Australian Securities &
Investments Commission

Australian Securities
and Investments Commission

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22-0943

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: AFTERPAY AUSTRALIA PTY LTD ABN:15 169 342 947 ("the Licensee")
Queen & Collins Tower Level 8
376-390 Collins Street
MELBOURNE VIC 3000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 527911 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 10 November 2022

Signed

Jim Lau

A delegate of the Australian Securities and Investments Commission

22-0944

NOTICE UNDER SECTION 915F OF THE CORPORATIONS ACT 2001

Notice is given under section 915F of the Corporations Act 2001 that the Australian Securities and Investments Commission has taken the action set out in the Notice below, which action took effect on 31 October 2022.

Australian Securities and Investments Commission**Notice of suspension of Australian financial services licence**

To: Quantum Funds Management Ltd
ACN 086 965 664

TAKE NOTICE that under section 915G of the Corporations Act 2001 (Corporations Act), the Australian Securities and Investments Commission hereby suspends Australian financial services licence number 237301 (the licence) held by Quantum Funds Management Ltd until 15 October 2023.

Under section 915H of the Corporations Act, ASIC specifies that the Licence continues in effect, while suspended, as though the suspension had not happened for the purposes of chapters 5C and 7 of the Corporations Act, regarding the provision by Quantum Funds Management Ltd of financial services that are reasonably necessary for, or incidental to, the winding up of the Quantum Mortgage Trust ARSN 095 909 096, Quantum Residential Property Trust ARSN 165 569 335, and the Quantum USA Residential Property Fund ARSN 160 434 138.

Further, under s915H of the Corporations Act, ASIC specifies that the Licence continues in effect, while suspended, as though the cancellation had not happened for the purpose of s912A(1)(g) to the extent that it requires Quantum Funds Management Ltd to have a dispute resolution system complying with s912A(2)(c), which requires Quantum Funds Management Ltd to be a member of the AFCA scheme.

Dated the 14th day of October 2022.



Signed

Sarah Thrift
Delegate of the Australian Securities and Investments Commission

22-0945

**Australian Securities and Investments Commission
Corporations Act 2001 – Subsection 601QA(1) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 601QA(1) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 22-0945.

Commencement

3. This instrument commences on the day it is signed.

Declaration

4. Chapter 5C of the Act applies to MLC Investments Limited ACN 002 641 661 (*MLCI*) as responsible entity of the NCIT - Global Equities Trust No.2 ARSN 087 778 541 (the *Scheme*), as if section 601FL of the Act were modified or varied as follows:
 - (a) omit subsection 601FL(1) and substitute the following text:

“(1) if the responsible entity of a registered scheme wants to retire, it must either:

 - (a) call a members’ meeting explaining its reasons for wanting to retire and to enable the members to vote on a resolution (which must be an extraordinary resolution if the scheme is not listed) to choose a company to be the new responsible entity; or
 - (b) where the scheme only has one member (**single member**), propose a company (**proposed responsible entity**) to be the new responsible entity in accordance with subsection (1A).”;
 - (b) after subsection 601FL(1), insert:

“(1A) The responsible entity can retire and the proposed responsible entity can become the new responsible entity if all of the following requirements are met:

 - (a) the proposed responsible entity must provide its consent in writing to become the scheme’s responsible entity;
 - (b) the responsible entity must provide the single member with:
 - (i) notice of a proposal to choose the proposed responsible entity to be the scheme’s new responsible entity;

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- (ii) sufficient information to explain its reason for wanting to retire and to enable the member to decide whether to consent to the appointment of the proposed responsible entity as the new responsible entity;
- (c) the single member must consent in writing to the retirement of the responsible entity and the appointment of the proposed responsible entity as the new responsible entity;
- (d) as soon as practicable and in any event within 2 business days after the single member has given its written consent, the responsible entity must lodge a notice with ASIC asking it to alter the record of the scheme's registration to name the proposed responsible entity as the scheme's responsible entity;
- (e) if the current responsible entity does not lodge the notice required by paragraph (d) the proposed responsible entity may lodge the notice; and
- (f) ASIC must comply with the notice when it is lodged.

(1B) The responsible entity must arrange for a vote within a reasonable time frame under paragraph (1)(a) on the choice of the proposed responsible entity, unless the single member gives their prior consent in writing to choose the proposed responsible entity without a meeting being held under paragraph (1)(a)."

Where this declaration applies

5. This instrument applies where:
- (a) MLCI as trustee of the JANA High Alpha Global Share Trust and the JANA Tailored Trust No.6 is the only member of the Scheme; and
 - (b) Channel Investment Management Limited ACN 163 234 240 has consented in writing to become the new responsible entity of the Scheme.

Where this declaration ceases to apply

6. This declaration ceases to apply on 30 June 2023.

Dated this 7th day of November 2022.



Signed by Jeremy Smith
as a delegate of the Australian Securities and Investments Commission

22-0946

**Australian Securities and Investments Commission
Corporations Act 2001 — Subsection 601QA(1) — Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 601QA(1)(a) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument.

Commencement

3. This instrument commences on the day it is signed.

Exemption—Unequal treatment in withdrawal from an AQUA managed fund

4. Perpetual Trust Services Limited ACN 000 142 049 (responsible entity) in its capacity as responsible entity for the JPMorgan Global Research Enhanced Index Equity Trust ARSN 166 890 937 (Fund), does not have to comply with paragraph 601FC(1)(d) of the Act to the extent that it would prevent the responsible entity from permitting only authorised participants to withdraw units in the in the JPMorgan Global Research Enhanced Index Equity Active ETF (Managed Fund) and the JPMorgan Global Research Enhanced Index Equity Active ETF (Managed Fund)(Hedged), being the classes of units in the Fund that are AQUA managed funds (Classes of Units).

Where the exemption applies

5. The exemption applies where all of the following are satisfied:
 - (a) there is a Product Disclosure Statement in relation to interests in the Class that are admitted to Trading Status as Managed Fund Products that contains statements to the effect that:
 - (i) the Classes of Units will invest a majority of their assets in equities globally, excluding Australia. A small proportion of the investments will be in cash and cash equivalents. The Classes of Units may also invest in other types of assets that are consistent with its objectives including derivatives to be used for hedging and efficient portfolio management;
 - (ii) the responsible entity will not treat members of the same Class of Units equally to the extent that it restricts withdrawal from the Classes of Units to authorised participants;
 - (iii) except in exceptional circumstances only authorised participants may withdraw their interests from the Classes of Units, but other members may sell their interests on the AQUA market; and

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- (iv) when interests in the Classes of Units are suspended from trading on the AQUA market for more than 5 consecutive Trading Days, members have a right to withdraw from the Class and receive payment for their interests in money within a reasonable time of request unless any of the following apply:
 - (A) the Classes of Units are being wound-up;
 - (B) the Classes of Units are not liquid as defined in subsection 601KA(4) of the Act;
 - (C) the responsible entity suspends withdrawals in accordance with the constitution;
- (b) the constitution of the Fund does not permit a withdrawal fee per interest in the Classes of Units to be payable by a member who is not an authorised participant that is greater than the withdrawal fee per interest that would generally be payable by an authorised participant receiving redemption proceeds in cash while interests in the Classes of Units are quoted when withdrawing the minimum parcel; and
- (c) ASIC has not notified the responsible entity in writing that it is excluded from reliance on the exemption;

Note: Subparagraph 5(b) applies regardless of whether the withdrawal fees for the Fund are calculated on a per interest basis or otherwise.

Interpretation

In this instrument:

AQUA managed fund means a registered scheme with interests admitted to Trading Status as a Managed Fund Product on the financial market operated by ASX under the provisions of the operating rules of ASX relating to what is referred to in those rules as the AQUA market.

AQUA market means the financial market operated by ASX under the provisions of the operating rules of ASX relating to an AQUA Product.

AQUA Product has the same meaning as in the ASX operating rules as at the date of this instrument.

ASX means ASX Limited (ACN 008 624 691).

authorised participant means, in relation to the Classes of Units, a person who:

- (a) has an agreement with the responsible entity of the Fund in relation to making applications to acquire and withdraw interests in the Classes of Units; and
- (b) is either a Trading Participant or has engaged a Trading Participant to act on its behalf to acquire and dispose of interests in the Classes of Units.

Managed Fund Product has the same meaning as in the operating rules of ASX as at the date of this instrument.

minimum parcel means the smallest number or value of interests in the AQUA managed fund that are generally permitted to be withdrawn from the AQUA managed fund by an authorised participant while interests in the AQUA managed fund are quoted.

Trading Day has the same meaning as in the operating rules of ASX as at the date of this instrument.

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Trading Participant has the same meaning as in the operating rules of ASX as at the date of this instrument.

Trading Status has the same meaning as in the operating rules of ASX as at the date of this instrument.

withdrawal fee per interest means, in relation the Classes of Units, the fee that is payable in relation to a withdrawal from the relevant Class divided by the number of interests in the Class to which the withdrawal relates.

Dated this 8th day of November 2022



.....
Signed by Ada Bombardieri
as a delegate of the Australian Securities and Investments Commission

22-0948


**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: ROSE PARTNERS CORPORATE ADVISORY PTY LTD
ACN 107 155 742 ("the Licensee")
Level 5, Suite 18, 150 Albert Road
South Melbourne VIC 3205

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 463393 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 08 November 2022

Signed 

Jedo Charles
A delegate of the Australian Securities and Investments Commission

22-0950

NOTICE UNDER SECTION 915F OF THE CORPORATIONS ACT 2001

Notice is given under section 915F of the Corporations Act 2001 that the Australian Securities and Investments Commission has made a suspension order in the terms set out below, which order took effect on 4 November 2022.

Australian Securities & Investments Commission**Notice of Suspension of Australian Financial Services Licence**

To: Assurance Cover Australia Pty Ltd

TAKE NOTICE that under and s915C (1)(a) and 915C(1)(aa) of the *Corporations Act 2001*, the Australian Securities and Investments Commission suspends the Australian financial services licence number 448227 held by **Assurance Cover Australia Pty Ltd ACN 165 604 731** until **3 April 2023**.

Under s915H of the Act, ASIC specifies that the Licence continues in effect until 3 April 2023 as though the suspension had not happened for the purpose of Chapter 7 regarding the provision of financial services to a person as a retail client or wholesale client if that person was a client immediately prior to this suspension taking effect.

Dated 3 November 2022.



Signed

Andrew Stecher
Delegate of the Australian Securities & Investments Commission

22-0951

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Strategic Capital Management Pty Ltd
ABN 31 092 936 902 ("the Licensee")
65 Stanley Street
DARLINGHURST NSW 2010

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 245580 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 09 November 2022

Signed



Jedo Charles
A delegate of the Australian Securities and Investments Commission

22-0952


**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Tic Tax Accounting Pty Ltd
ACN 136 856 883 ("the Licensee")
2 Silkwood Way
ROWVILLE VIC 3178

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 495433 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 09 November 2022

Signed 
.....

Jedo Charles
a delegate of the Australian Securities and Investments Commission

22-0954

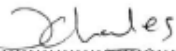
**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Bartley Partners Pty Ltd
ACN 083 323 433 ("the Licensee")
322 Glen Osmond Road
MYRTLE BANK SA 5064

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 446145 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 09 November 2022

Signed 

Jedo Charles
A delegate of the Australian Securities and Investments Commission

22-0956



THE HON JIM CHALMERS MP
TREASURER

Ref: MS22-002143

Friday, 11 November 2022

Mr Michael O'Brien
Managing Director
EQT Holdings Limited
Level 1 575 Bourke Street
MELBOURNE VIC 3000

EQT Holdings Limited application to acquire 100% of voting power in Australian Executor Trustees Limited, under the Corporations Act 2001

Dear Mr O'Brien

I refer to your application to allow EQT Holdings Limited (ACN 607 797 615) (EQT) and to acquire a 100 per cent voting power in Australian Executor Trustees Limited (ABN 84 007 869 794) (AET).

The application was received by me from the Australian Securities and Investments Commission on 23 September 2022. You also provided me with additional information in support of the application on 5 October 2022.

I have considered all of the information made available to me and have noted your undertaking that all existing AET clients receiving traditional trustee services will have their current fees maintained. I have also noted your undertaking that EQT will be offering employment to all AET staff on terms no worse than current arrangements.

Accordingly, I have decided to approve EQT's application for acquiring a 100 per cent voting power in AET. My approval remains in force indefinitely.

I have arranged for a copy of this notice to be published in the *ASIC Gazette*, and for a copy of this letter to be forwarded onto AET.

If you have any questions in relation to your application, please contact Deepti Paton, Director, Market Conduct Division, Treasury on 02 6263 2765 or at Deepti.Paton@treasury.gov.au.

Yours sincerely

A handwritten signature in blue ink, appearing to read 'Jim Chalmers', with a long horizontal line extending to the right.

The Hon Jim Chalmers MP

CORPORATIONS ACT 2001
Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this eleventh day of November 2022

Name of Scheme	ARSN
ATRIUM SEPARATELY MANAGED ACCOUNT	613 481 600
BMO LGM GLOBAL EMERGING MARKETS FUND	600 336 105
FIRST SENTIER GSS CONCENTRATED AUSTRALIAN SHARE FUND	650 778 715
MAGELLAN GSS GLOBAL FUND	641 241 152
RUSSELL INVESTMENTS GLOBAL LISTED INFRASTRUCTURE FUND - \$NZ HEDGED	622 034 744
RUSSELL INVESTMENTS GLOBAL OPPORTUNITIES FUND - \$NZ HEDGED	112 398 786
RUSSELL INVESTMENTS MULTI-ASSET GROWTH STRATEGY FUND (RETAIL)	160 346 967
RUSSELL INVESTMENTS WHOLESALE BLENDED BALANCED FUND	622 034 815
TFS SANDALWOOD PROJECT 2005	113 492 890
THRIVER PRIMERO FUND	638 206 514

YARRA BALANCED FUND

103 973 020

CORPORATIONS ACT 2001
Section 601CL(5)

ASIC has struck the foreign companies listed
below off the register.

Dated this eleventh day of November 2022

Name of Company

ARBN

MY SPIRIT AUSTRALIA LTD

615 755 103

CORPORATIONS ACT 2001
Subsection 601PA(3)

ASIC may deregister the managed investment scheme(s) listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this eleventh day of November 2022

Name of Scheme

ARSN

DIXON ADVISORY LITIGATION FUNDING SCHEME	654 170 411
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CORPORATIONS ACT 2001
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this eleventh day of November 2022

Name of Company

ARBN

AALBORG CSP A/S

605 401 947

AMADEUS HOSPITALITY ASIA PACIFIC PTE. LTD.

112 369 052

BNP PARIBAS SECURITIES SERVICES

149 440 291

RAVENSDOWN LIMITED

128 889 814

Corporations Act 2001
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

ALLSIDE COMMUNITY CARE LIMITED

ACN 649 734 332 will change to a proprietary company limited by shares. The new name will be ALLSIDE COMMUNITY CARE PTY LTD ACN 649 734 332.

BOOMARRA MINERALS PTY LTD

ACN 600 326 261 will change to a public company limited by shares. The new name will be BOOMARRA MINERALS LTD ACN 600 326 261.

DENTAL CORPORATION HOLDINGS LIMITED

ACN 127 265 212 will change to a proprietary company limited by shares. The new name will be DENTAL CORPORATION HOLDINGS PTY LTD ACN 127 265 212.

REQUISITE RESOURCES PTY LTD

ACN 650 982 399 will change to a public company limited by shares. The new name will be REQUISITE RESOURCES LIMITED ACN 650 982 399.

ATMOS SERVICES LIMITED ACN 623 781 840

will change to a proprietary company limited by shares. The new name will be ATMOS SERVICES PTY LTD ACN 623 781 840.

BUPA DENTAL CORPORATION LIMITED

ACN 161 650 979 will change to a proprietary company limited by shares. The new name will be BUPA DENTAL CORPORATION PTY LTD ACN 161 650 979.

PLASTECH RECYCLING LTD ACN 630 400 494

will change to a proprietary company limited by shares. The new name will be PLASTECH RECYCLING PROPRIETARY LIMITED ACN 630 400 494.