



Notification of request by temporary corporate director to add new corporate director of a corporate collective investment vehicle

Use this form to notify of a request by the temporary corporate director to appoint a new corporate director to a corporate collective investment vehicle (CCIV). Prior to lodgement of this form a Form 5208 *Notification of appointment of a temporary corporate director of a corporate collective investment vehicle* and Form 105 *Cover page for office copy of a court order* should have been lodged first to notify ASIC of the appointment, by a Court, of the temporary corporate director (see s1224V(3) of the *Corporations Act 2001*).

If there is insufficient space in any section of the form, print additional copies of the relevant page(s) and submit as part of this lodgement.

Lodgement details

Who should ASIC contact if there is a query about this form?

Name

ASIC registered agent number (if applicable)

Company/entity name

ACN/ABN/ARBN

Telephone number

Postal address

Email address (optional)

CCIV details

Name of CCIV

ACN

Name of **current** corporate director

ACN/ABN

Details of new corporate director

A corporate director must be a public company that holds an Australian Financial Services licence authorising it to operate the business and conduct the affairs of the CCIV.

If the CCIV is a retail CCIV, at least half of the directors of the corporate director must be external directors. Refer to Section 1224G(2) of the Corporations Act 2001 for the requirements that must be met to be an external director.

Date of extraordinary resolution

<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>
[D	D]		[M	M]		[Y	Y]

Company name

ACN

AFS licence number

Registered office

At the office of, C/- (if applicable)

Office, unit, level

Street number and Street name

Suburb/City

State/Territory

Postcode

Declaration by applicant

We have the necessary written consents and agreements referred to in the application concerning the corporate director and we shall give the consents and agreements to the CCIV. The information provided in this application and in any annexures is true and correct at the time of signing.

Signature

If the lodging party is the current temporary corporate director, this form must be signed by two directors or a director and secretary of the temporary corporate director under s1224W(8) of the *Corporations Act 2001*.

If the lodging party is the newly appointed corporate director, this form must be signed by two directors or a director and secretary of the new corporate director chosen by the members under s1224W(9) of the *Corporations Act 2001*.

The information provided is true and correct

Name of the person signing

Capacity

Signature

Date signed

<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>
[D	D]		[M	M]		[Y	Y]

Name of the person signing

Capacity

Signature of applicant

Date signed

<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>
[D	D]		[M	M]		[Y	Y]

Privacy

The information provided to ASIC in this form may include personal information. Please refer to our privacy policy (www.asic.gov.au/privacy) for information about how we handle your personal information, your rights to seek access to and correct personal information, and to complain about breaches of your privacy.

Lodgement

Send completed and signed forms to:
Australian Securities and Investments Commission,
PO Box 4000, Gippsland Mail Centre VIC 3841..

For more information

Web www.asic.gov.au

Need help? www.asic.gov.au/question

Telephone 1300 300 630

Guide: Notification of request by temporary corporate director to add new corporate director of a corporate collective investment vehicle

This guide does not form part of the form. It is included by ASIC to assist you in completing and lodging the Form 5209.

Signature	This form can be completed by the temporary corporate director under s 1224W(8) or the new permanent corporate director chosen by the members under s 1224W(9).	
Lodgement period	If the lodging party is the current temporary corporate director - 2 business days If the lodging party is the newly appointed corporate director - as soon as practicable.	
Lodgement fee	A lodgement fee applies to this form. For information on fees refer to www.asic.gov.au/forms .	
Consent to appointment for Corporate Director	Under sections 1222 and 1224F of the Corporations Act, the Corporate Director must be a public company which holds an Australian Financial Services Licence authorising it to operate the business and conduct the affairs of the CCIV, and not a Chapter 5 body corporate. Further, the consent of the Corporate Director to be appointed to the CCIV on registration is required pursuant to section 1222D of the Corporations Act. A copy of the relevant consent and confirmation the Corporate Director was entitled to be appointed to the CCIV at the relevant time should be retained in the CCIV's records.	
Other forms to be completed	Prior to lodgement of this form, a Form 5208 <i>Notification of appointment of a temporary corporate director of a corporate collective investment vehicle</i> should have been lodged, under s1224V(3) of the Corporations Act 2001, notifying ASIC as soon as practicable of the appointment, by a Court, of the temporary corporate director.	
Additional information	If the current corporate director does not lodge the notice required by s1224W(8) of the <i>Corporations Act 2001</i> , the company chosen by the members to be the new corporate director may lodge that notice. The CCIV is required to keep minutes of meetings and special resolutions passed by the company's members pursuant to s 251A of the Corporations Act 2001.	
How to provide additional information	Annexures If there is insufficient space in any section of the form, you may submit annexures as part of this lodgement. To make any annexure conform to the regulations, you must <ol style="list-style-type: none">1. use A4 size paper of white or light pastel colour with a margin of at least 10mm on all sides2. show the CCIV name and ACN3. number the pages consecutively4. print or type in BLOCK letters in dark blue or black ink so that the document is clearly legible when photocopied5. mark the annexure with an identifying letter or symbol eg a,b,c or 1,2,3 etc.6. endorse the annexure with the words: This annexure (mark) of (number) pages referred to in form (form number and title)7. sign and date the annexure The annexure must be signed by the same person(s) who signed the form.	
Privacy	The information provided to ASIC in this form may include personal information. Please refer to our privacy policy (www.asic.gov.au/privacy) for information about how we handle your personal information, your rights to seek access to and correct personal information, and to complain about breaches of your privacy.	
Lodgement	Send completed and signed forms to: Australian Securities and Investments Commission, PO Box 4000, Gippsland Mail Centre VIC 3841	For more information Web www.asic.gov.au Need help? www.asic.gov.au/question Telephone 1300 300 630