



ASIC REPORTABLE SITUATIONS API - APPLICATION FORM

Use this form to apply to become an Approved User for the ASIC Reportable Situations Application Programming Interface (API).

Are you:

Licensee - Applying for the API under your **own** AFSL or ACL Licence



Start the application form at **Section 1b**

OR

Third party provider - Applying for the API under **another entity's** AFSL or ACL Licence



Complete Section 1a with the authorising entity's details, then, continue completing the form from **Section 1b** with your details as the Applicant

Section 1a: Authorising Entity's Details

Provide details of the Licensee who has given authorisation to you as the Applicant to apply for the API on their behalf

ACN	
Organisation Name	
AFSL or ACL Licensee No.	
Business Address	
Name of Officer (who provided the authorisation)	
Role	
Email Address	
Contact Number (during business hours)	

Proof of authorisation by the Entity to the Applicant is to be attached to this application form.



Section 1b: Applicant Details

Provide your details as the Applicant

ACN	
Organisation Name	
AFSL or ACL Licensee No.	
Business Address	
Phone Number (during business hours)	

Section 2: Test Environment of the API

Provide details for the test environment of the API

Email Address (Generic or permanent, not a personal email)	
IP Address (Static or static IP range)	

Provide details of the Administrator

The Administrator is responsible for approving and managing all requests to access the Digital Services and for receiving email notifications from ASIC

Name	
Role	
Phone Number (during business hours)	
Email Address	

Provide details of the Alternative Contact Person

The Alternative Contact Person will liaise with ASIC on technical matters relating to the API

Name	
Role	
Phone Number (during business hours)	
Email Address	



Section 3: Production Environment of the API

Provide details for the production environment of the API

Email Address <i>(Generic or permanent, not a personal email)</i>	
IP Address <i>(Static or static IP range)</i>	

Provide details of the Administrator

The Contact Person is responsible for approving and managing all requests to access the Digital Services and for receiving email notifications from ASIC

Name	
Role	
Phone Number <i>(during business hours)</i>	
Email Address	

Provide details of the Alternative Contact Person

The Alternative Contact Person will liaise with ASIC on technical matters relating to the API

Name	
Role	
Phone Number <i>(during business hours)</i>	
Email Address	

Section 4: Intended use of API

1. Are you an AFSL or ACL Licensee	<input type="radio"/> Yes, go to question 2 <input type="radio"/> No, go to Section 5
2. Are you building this product/service/software for your own in-house use and/or for use by other third parties?	<input type="radio"/> In-house use only, go to Section 6 <input type="radio"/> Third-party use only, go to Section 5 <input type="radio"/> Both in-house and third-party use, go to Section 5



Section 5: Details of your product/service/software

<p>Users</p> <p>If you are building this product on behalf of an external customer(s), please state who they are</p>	
<p>Link to Website</p>	
<p>Product Description</p> <p>Provide an overview of what your software and product(s) do</p> <ul style="list-style-type: none">- What is your product/service?- How will your product be used?- Will it be used through a web browser or part of a larger application/piece of software?- Who is the product intended for? (e.g. internal or marketed to the wider public)	
<p>Technical Experience</p> <p>List the technical experience your organisation has in developing web services products. Have you developed web services before?</p>	
<p>Customer Service</p> <p>What ongoing customer service will you provide with your product? Provide details of the following and any other relevant information.</p> <ul style="list-style-type: none">- Help desk- Hours of service- Disaster recovery- Other	
<p>Outage</p> <p>What plans will you put in place to manage a software outage?</p>	



Section 6: Privacy

The information provided to ASIC in this form may include personal information. Please refer to our privacy policy (<https://asic.gov.au/privacy>) and the **ASIC Reportable Situations API User Agreement** for information about how we handle your personal information, your rights to seek access to and correct personal information, and to complain about breaches of your privacy.

Section 7: Declaration and Signature

Terms and Conditions	<input type="checkbox"/> I accept the Terms and Conditions set out in the ASIC Reportable Situations API User Agreement
Applicant's API	<input type="checkbox"/> I confirm that the Applicant has completed the development of the API and is ready to test with the ASIC Reportable Situations API
Proof of Authorisation (where applicable)	<input type="checkbox"/> I confirm that authorisation to apply for access to the API on behalf of the Entity has been provided to the Applicant by the Entity and that proof of the authorisation has been attached to this application form
Name	
Signature	
Date Signed	

Email the completed application form to api.breach@asic.gov.au.