

[Redacted]

**From:** [Redacted] >  
**Sent:** Saturday, 20 November 2021 8:10 PM  
**To:** Market supervision - OTC  
**Subject:** New form response notification

**Categories:** [Redacted]

**EXTERNAL EMAIL:** Do not click any links or open any attachments unless you trust the sender and know the content is safe.

Your form has a new entry. Here are all the answers.

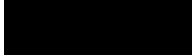
<b>Name (optional)</b>	Abdul Jameel
<b>Email (optional)</b>	[Redacted]
<b>1. Has the frequency of your CFD trading changed?</b>	No
<b>2. Have you committed more or less margin to CFD trading?</b>	Unchanged
<b>3. Have you substituted other investment products for CFDs?</b>	No

**5. Do you use CFDs for hedging other investment risks?** No

**7. What impact have financial losses or profits from CFD trading had on you?** No change

**8. Do you consider you would have made higher profits or higher losses if the CFD Order had not been in effect?** No

**9. Did you apply for wholesale status because of the impact of ASIC's PIO on your trading?** Yes



**From:** [Redacted] >  
**Sent:** Friday, 19 November 2021 7:45 PM  
**To:** Market supervision - OTC  
**Subject:** New form response notification

**Categories:** [Redacted]

**EXTERNAL EMAIL:** Do not click any links or open any attachments unless you trust the sender and know the content is safe.

Your form has a new entry. Here are all the answers.

<b>Name (optional)</b>	Alan Downie
<b>Email (optional)</b>	[Redacted]
<b>1. Has the frequency of your CFD trading changed?</b>	Yes
<b>2. Have you committed more or less margin to CFD trading?</b>	Less
<b>3. Have you substituted other investment products for CFDs?</b>	Yes

**5. Do you use CFDs for hedging other investment risks?** Yes

**6. If you have answered "Yes" to question 5, what proportion of your CFD trades?** Most

**7. What impact have financial losses or profits from CFD trading had on you?** No change

**8. Do you consider you would have made higher profits or higher losses if the CFD Order had not been in effect?** No

**9. Did you apply for wholesale status because of the impact of ASIC's PIO on your trading?** Yes

[Redacted]

**From:** [Redacted] >  
**Sent:** Saturday, 20 November 2021 9:57 AM  
**To:** Market supervision - OTC  
**Subject:** New form response notification

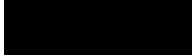
**Categories:** [Redacted]

**EXTERNAL EMAIL:** Do not click any links or open any attachments unless you trust the sender and know the content is safe.

Your form has a new entry. Here are all the answers.

<b>Name (optional)</b>	Colin Elvey
<b>Email (optional)</b>	[Redacted]
<b>1. Has the frequency of your CFD trading changed?</b>	No
<b>2. Have you committed more or less margin to CFD trading?</b>	Unchanged
<b>3. Have you substituted other investment products for CFDs?</b>	Yes

<p><b>4. If you have answered "Yes" to question 3, would you like to share some comments?</b></p>	<p>Crypto Currency</p>
<p><b>5. Do you use CFDs for hedging other investment risks?</b></p>	<p>No</p>
<p><b>7. What impact have financial losses or profits from CFD trading had on you?</b></p>	<p>No change</p>
<p><b>8. Do you consider you would have made higher profits or higher losses if the CFD Order had not been in effect?</b></p>	<p>Yes</p>
<p><b>9. Did you apply for wholesale status because of the impact of ASIC's PIO on your trading?</b></p>	<p>Yes</p>
<p><b>10. Would you like to share further comments?</b></p>	<p>I think the intervention has stuffed the system. I would like to see it lifted not extended.</p>



**From:** [Redacted] >  
**Sent:** Sunday, 21 November 2021 7:53 AM  
**To:** Market supervision - OTC  
**Subject:** New form response notification

**Categories:** [Redacted]

**EXTERNAL EMAIL:** Do not click any links or open any attachments unless you trust the sender and know the content is safe.

Your form has a new entry. Here are all the answers.

<b>Name (optional)</b>	James Feng
<b>Email (optional)</b>	[Redacted]
<b>1. Has the frequency of your CFD trading changed?</b>	No
<b>2. Have you committed more or less margin to CFD trading?</b>	Less
<b>3. Have you substituted other investment products for CFDs?</b>	No

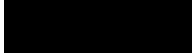
**5. Do you use CFDs for hedging other investment risks?** No

**7. What impact have financial losses or profits from CFD trading had on you?** No change

**8. Do you consider you would have made higher profits or higher losses if the CFD Order had not been in effect?** Yes

**9. Did you apply for wholesale status because of the impact of ASIC's PIO on your trading?** Yes





**From:** [Redacted] >  
**Sent:** Saturday, 20 November 2021 8:27 AM  
**To:** Market supervision - OTC  
**Subject:** New form response notification

**Categories:** [Redacted]

**EXTERNAL EMAIL:** Do not click any links or open any attachments unless you trust the sender and know the content is safe.

Your form has a new entry. Here are all the answers.

<b>Name (optional)</b>	James Robb
<b>1. Has the frequency of your CFD trading changed?</b>	No
<b>2. Have you committed more or less margin to CFD trading?</b>	Unchanged
<b>3. Have you substituted other investment products for CFDs?</b>	Yes

**5. Do you use CFDs for hedging other investment risks?** No

**7. What impact have financial losses or profits from CFD trading had on you?** No change

**8. Do you consider you would have made higher profits or higher losses if the CFD Order had not been in effect?** No

**9. Did you apply for wholesale status because of the impact of ASIC's PIO on your trading?** Yes

[Redacted]

**From:** [Redacted] >  
**Sent:** Saturday, 20 November 2021 11:19 AM  
**To:** Market supervision - OTC  
**Subject:** New form response notification

**Categories:** [Redacted]

**EXTERNAL EMAIL:** Do not click any links or open any attachments unless you trust the sender and know the content is safe.

Your form has a new entry. Here are all the answers.

<b>Name (optional)</b>	James Spilsbury
<b>Email (optional)</b>	[Redacted]
<b>1. Has the frequency of your CFD trading changed?</b>	No
<b>2. Have you committed more or less margin to CFD trading?</b>	More
<b>3. Have you substituted other investment products for CFDs?</b>	Yes

**5. Do you use CFDs for hedging other investment risks? If so, what proportion of your CFD trades?**

No

**7. What impact have financial losses or profits from CFD trading had on you?**

No change

**8. Do you consider you would have made higher profits or higher losses if the CFD Order had not been in effect?**

Yes

**9. Would you like to share further comments?**

Reducing the multiplier does not effect the risk, only the outcome, as you now have to increase your size for less return at a greater risk.

**From:** [REDACTED] >  
**Sent:** Monday, 22 November 2021 3:27 PM  
**To:** Market supervision - OTC  
**Subject:** New form response notification

**Categories:** [REDACTED]

**EXTERNAL EMAIL:** Do not click any links or open any attachments unless you trust the sender and know the content is safe.

Your form has a new entry. Here are all the answers.

<b>Name (optional)</b>	Khoa Nguyen
<b>Email (optional)</b>	[REDACTED]
<b>1. Has the frequency of your CFD trading changed?</b>	No
<b>2. Have you committed more or less margin to CFD trading?</b>	Less
<b>3. Have you substituted other investment products for CFDs?</b>	Yes

<b>4. If you have answered "Yes" to question 3, would you like to share some comments?</b>	Bluechip shares
<b>5. Do you use CFDs for hedging other investment risks? If so, what proportion of your CFD trades?</b>	No
<b>7. What impact have financial losses or profits from CFD trading had on you?</b>	More than anticipated
<b>8. Do you consider you would have made higher profits or higher losses if the CFD Order had not been in effect?</b>	Yes
<b>9. Would you like to share further comments?</b>	CFD should be regulated more

[Redacted]

**From:** [Redacted] >  
**Sent:** Saturday, 20 November 2021 1:31 PM  
**To:** Market supervision - OTC  
**Subject:** New form response notification

**Categories:** [Redacted]

**EXTERNAL EMAIL:** Do not click any links or open any attachments unless you trust the sender and know the content is safe.

Your form has a new entry. Here are all the answers.

<b>Name (optional)</b>	Luciano Damico
<b>Email (optional)</b>	[Redacted]
<b>1. Has the frequency of your CFD trading changed?</b>	Yes
<b>2. Have you committed more or less margin to CFD trading?</b>	Unchanged
<b>3. Have you substituted other investment products for CFDs?</b>	No

**5. Do you use CFDs for hedging other investment risks?**

No

**7. What impact have financial losses or profits from CFD trading had on you?**

More than anticipated

**8. Do you consider you would have made higher profits or higher losses if the CFD Order had not been in effect?**

No

**9. Did you apply for wholesale status because of the impact of ASIC's PIO on your trading?**

Yes

**10. Would you like to share further comments?**

This is not a survey the questions are worded for you to try and justify this suppressive law which you put in place to help your mates. We are no better than China. I am being penalized for others. Why do these restrictions not apply to other industries.



[Redacted]

**From:** [Redacted] >  
**Sent:** Friday, 19 November 2021 4:21 PM  
**To:** Market supervision - OTC  
**Subject:** New form response notification

**Categories:** [Redacted]

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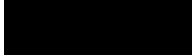
<b>Name (optional)</b>	Matt
<b>1. Has the frequency of your CFD trading changed?</b>	No
<b>2. Have you committed more or less margin to CFD trading?</b>	More
<b>3. Have you substituted other investment products for CFDs?</b>	No

**5. Do you use CFDs for hedging other investment risks?** No

**7. What impact have financial losses or profits from CFD trading had on you?** No change

**8. Do you consider you would have made higher profits or higher losses if the CFD Order had not been in effect?** Yes

**9. Did you apply for wholesale status because of the impact of ASIC's PIO on your trading?** Yes



**From:** [Redacted] >  
**Sent:** Friday, 19 November 2021 5:06 PM  
**To:** Market supervision - OTC  
**Subject:** New form response notification

**Categories:** [Redacted]

**EXTERNAL EMAIL:** Do not click any links or open any attachments unless you trust the sender and know the content is safe.

Your form has a new entry. Here are all the answers.

<b>Name (optional)</b>	Michael Sparks
<b>Email (optional)</b>	[Redacted]
<b>1. Has the frequency of your CFD trading changed?</b>	Yes
<b>2. Have you committed more or less margin to CFD trading?</b>	Unchanged
<b>3. Have you substituted other investment products for CFDs?</b>	No

**5. Do you use CFDs for hedging other investment risks? If so, what proportion of your CFD trades?**

No

**7. What impact have financial losses or profits from CFD trading had on you?**

No change

**8. Do you consider you would have made higher profits or higher losses if the CFD Order had not been in effect?**

No

[Redacted]

**From:** [Redacted] >  
**Sent:** Friday, 19 November 2021 4:14 PM  
**To:** Market supervision - OTC  
**Subject:** New form response notification

**Categories:** [Redacted]

**EXTERNAL EMAIL:** Do not click any links or open any attachments unless you trust the sender and know the content is safe.

Your form has a new entry. Here are all the answers.

<b>Name (optional)</b>	Nick Hardie
<b>Email (optional)</b>	[Redacted]
<b>1. Has the frequency of your CFD trading changed?</b>	No
<b>2. Have you committed more or less margin to CFD trading?</b>	Unchanged
<b>3. Have you substituted other investment products for CFDs?</b>	No

**5. Do you use CFDs for hedging other investment risks? If so, what proportion of your CFD trades?**

No

**7. What impact have financial losses or profits from CFD trading had on you?**

More than anticipated

**8. Do you consider you would have made higher profits or higher losses if the CFD Order had not been in effect?**

Yes

**9. Would you like to share further comments?**

Leave us alone!!

[Redacted]

**From:** [Redacted] >  
**Sent:** Friday, 19 November 2021 8:02 PM  
**To:** Market supervision - OTC  
**Subject:** New form response notification

**Categories:** [Redacted]

**EXTERNAL EMAIL:** Do not click any links or open any attachments unless you trust the sender and know the content is safe.

Your form has a new entry. Here are all the answers.

<b>Name (optional)</b>	Pal Karu
<b>Email (optional)</b>	[Redacted]
<b>1. Has the frequency of your CFD trading changed?</b>	Yes
<b>2. Have you committed more or less margin to CFD trading?</b>	Less
<b>3. Have you substituted other investment products for CFDs?</b>	No

<p><b>5. Do you use CFDs for hedging other investment risks?</b></p>	<p>No</p>
<p><b>7. What impact have financial losses or profits from CFD trading had on you?</b></p>	<p>No change</p>
<p><b>8. Do you consider you would have made higher profits or higher losses if the CFD Order had not been in effect?</b></p>	<p>No</p>
<p><b>9. Did you apply for wholesale status because of the impact of ASIC's PIO on your trading?</b></p>	<p>Yes</p>
<p><b>10. Would you like to share further comments?</b></p>	<p>Higher Leverage is essential and Traders are aware of the risk. So, ASIC intervention is just reducing the access to Trading CFDs.</p>



[Redacted]

**From:** [Redacted]  
**Sent:** Friday, 19 November 2021 10:03 PM  
**To:** Market supervision - OTC  
**Subject:** New form response notification

**Categories:** [Redacted]

**EXTERNAL EMAIL:** Do not click any links or open any attachments unless you trust the sender and know the content is safe.

Your form has a new entry. Here are all the answers.

<b>Name (optional)</b>	Peter Blake
<b>Email (optional)</b>	[Redacted]
<b>1. Has the frequency of your CFD trading changed?</b>	Yes
<b>2. Have you committed more or less margin to CFD trading?</b>	Less
<b>3. Have you substituted other investment products for CFDs?</b>	Yes

**4. If you have answered "Yes" to question 3, would you like to share some comments?**

No

**5. Do you use CFDs for hedging other investment risks? If so, what proportion of your CFD trades?**

No

**7. What impact have financial losses or profits from CFD trading had on you?**

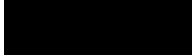
No change

**8. Do you consider you would have made higher profits or higher losses if the CFD Order had not been in effect?**

No

**9. Would you like to share further comments?**

No



**From:** [Redacted] >  
**Sent:** Friday, 19 November 2021 4:18 PM  
**To:** Market supervision - OTC  
**Subject:** New form response notification

**Categories:** [Redacted]

**EXTERNAL EMAIL:** Do not click any links or open any attachments unless you trust the sender and know the content is safe.

Your form has a new entry. Here are all the answers.

<b>Name (optional)</b>	Peter Furner
<b>1. Has the frequency of your CFD trading changed?</b>	No
<b>2. Have you committed more or less margin to CFD trading?</b>	Unchanged
<b>3. Have you substituted other investment products for CFDs?</b>	Yes
<b>4. If you have answered "Yes" to question 3,</b>	futures

would you like to share  
some comments?

5. Do you use CFDs for  
hedging other investment  
risks? No

7. What impact have  
financial losses or profits  
from CFD trading had on  
you? No change

8. Do you consider you  
would have made higher  
profits or higher losses if  
the CFD Order had not  
been in effect? No

9. Did you apply for  
wholesale status because  
of the impact of ASIC's  
PIO on your trading? Yes



**From:** [Redacted] >  
**Sent:** Friday, 19 November 2021 4:10 PM  
**To:** Market supervision - OTC  
**Subject:** New form response notification

**Categories:** [Redacted]

**EXTERNAL EMAIL:** Do not click any links or open any attachments unless you trust the sender and know the content is safe.

Your form has a new entry. Here are all the answers.

<b>Name (optional)</b>	Rod Fredericks's
<b>1. Has the frequency of your CFD trading changed?</b>	Yes
<b>2. Have you committed more or less margin to CFD trading?</b>	Less
<b>3. Have you substituted other investment products for CFDs?</b>	Yes
<b>4. If you have answered "Yes" to question 3,</b>	Shares

would you like to share  
some comments?

5. Do you use CFDs for  
hedging other investment  
risks? If so, what  
proportion of your CFD  
trades?

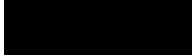
No

7. What impact have  
financial losses or profits  
from CFD trading had on  
you?

More than anticipated

8. Do you consider you  
would have made higher  
profits or higher losses if  
the CFD Order had not  
been in effect?

Yes



**From:** [Redacted] >  
**Sent:** Friday, 19 November 2021 4:09 PM  
**To:** Market supervision - OTC  
**Subject:** New form response notification

**Categories:** [Redacted]

**EXTERNAL EMAIL:** Do not click any links or open any attachments unless you trust the sender and know the content is safe.

Your form has a new entry. Here are all the answers.

<b>1. Has the frequency of your CFD trading changed?</b>	Yes
<b>2. Have you committed more or less margin to CFD trading?</b>	More
<b>3. Have you substituted other investment products for CFDs?</b>	No
<b>5. Do you use CFDs for hedging other investment risks? If so, what proportion of your CFD trades?</b>	No

**7. What impact have financial losses or profits from CFD trading had on you?**

More than anticipated

**8. Do you consider you would have made higher profits or higher losses if the CFD Order had not been in effect?**

Yes

**9. Would you like to share further comments?**

No



**From:** [REDACTED] >  
**Sent:** Friday, 19 November 2021 4:23 PM  
**To:** Market supervision - OTC  
**Subject:** New form response notification

**Categories:** [REDACTED]

**EXTERNAL EMAIL:** Do not click any links or open any attachments unless you trust the sender and know the content is safe.

Your form has a new entry. Here are all the answers.

**1. Has the frequency of your CFD trading changed?** Yes

**2. Have you committed more or less margin to CFD trading?** Unchanged

**3. Have you substituted other investment products for CFDs?** No

**5. Do you use CFDs for hedging other investment risks?** No

**7. What impact have financial losses or profits from CFD trading had on you?**

Less than anticipated

**8. Do you consider you would have made higher profits or higher losses if the CFD Order had not been in effect?**

No

**9. Did you apply for wholesale status because of the impact of ASIC's PIO on your trading?**

Yes



**From:** [Redacted]  
**Sent:** Friday, 19 November 2021 4:02 PM  
**To:** Market supervision - OTC  
**Subject:** New form response notification

**Categories:** [Redacted]

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Your form has a new entry. Here are all the answers.

<b>1. Has the frequency of your CFD trading changed?</b>	Yes
<b>2. Have you committed more or less margin to CFD trading?</b>	More
<b>3. Have you substituted other investment products for CFDs?</b>	No
<b>5. Do you use CFDs for hedging other investment risks?</b>	No

**7. What impact have financial losses or profits from CFD trading had on you?**

More than anticipated

**8. Do you consider you would have made higher profits or higher losses if the CFD Order had not been in effect?**

Yes

**9. Did you apply for wholesale status because of the impact of ASIC's PIO on your trading?**

Yes

**From:** [REDACTED]  
**Sent:** Friday, 19 November 2021 4:07 PM  
**To:** Market supervision - OTC  
**Subject:** New form response notification

**Categories:** [REDACTED]

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Your form has a new entry. Here are all the answers.

<b>1. Has the frequency of your CFD trading changed?</b>	No
<b>2. Have you committed more or less margin to CFD trading?</b>	Unchanged
<b>3. Have you substituted other investment products for CFDs?</b>	No
<b>5. Do you use CFDs for hedging other investment risks?</b>	No

**7. What impact have financial losses or profits from CFD trading had on you?**

No change

**8. Do you consider you would have made higher profits or higher losses if the CFD Order had not been in effect?**

Yes

**9. Did you apply for wholesale status because of the impact of ASIC's PIO on your trading?**

Yes

[Redacted]

**From:** [Redacted]  
**Sent:** Friday, 19 November 2021 7:05 PM  
**To:** Market supervision - OTC  
**Subject:** New form response notification

**Categories:** [Redacted]

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Your form has a new entry. Here are all the answers.

**1. Has the frequency of your CFD trading changed?** No

**2. Have you committed more or less margin to CFD trading?** Unchanged

**3. Have you substituted other investment products for CFDs?** No

**5. Do you use CFDs for hedging other investment risks?** No

<b>7. What impact have financial losses or profits from CFD trading had on you?</b>	No change
<b>8. Do you consider you would have made higher profits or higher losses if the CFD Order had not been in effect?</b>	No
<b>9. Did you apply for wholesale status because of the impact of ASIC's PIO on your trading?</b>	Yes
<b>10. Would you like to share further comments?</b>	I think it is the responsibility of the individual to limit losses, not the Government or the company.





**From:** [Redacted]  
**Sent:** Friday, 19 November 2021 7:47 PM  
**To:** Market supervision - OTC  
**Subject:** New form response notification

**Categories:** [Redacted]

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Your form has a new entry. Here are all the answers.

<b>1. Has the frequency of your CFD trading changed?</b>	No
<b>2. Have you committed more or less margin to CFD trading?</b>	More
<b>3. Have you substituted other investment products for CFDs?</b>	Yes
<b>4. If you have answered "Yes" to question 3, would you like to share some comments?</b>	No

**5. Do you use CFDs for hedging other investment risks?** Yes

**6. If you have answered "Yes" to question 5, what proportion of your CFD trades?**

**7. What impact have financial losses or profits from CFD trading had on you?** More than anticipated

**8. Do you consider you would have made higher profits or higher losses if the CFD Order had not been in effect?** Yes

**9. Did you apply for wholesale status because of the impact of ASIC's PIO on your trading?** Yes

**10. Would you like to share further comments?** The rule had a damaging affect on results

[Redacted]

**From:** [Redacted]  
**Sent:** Friday, 19 November 2021 7:55 PM  
**To:** Market supervision - OTC  
**Subject:** New form response notification

**Categories:** [Redacted]

**EXTERNAL EMAIL:** Do not click any links or open any attachments unless you trust the sender and know the content is safe.

Your form has a new entry. Here are all the answers.

**1. Has the frequency of your CFD trading changed?** No

**2. Have you committed more or less margin to CFD trading?** More

**3. Have you substituted other investment products for CFDs?** No

**5. Do you use CFDs for hedging other investment risks?** Yes

**6. If you have answered "Yes" to question 5, what proportion of your CFD trades?**

60%

**7. What impact have financial losses or profits from CFD trading had on you?**

No change

**8. Do you consider you would have made higher profits or higher losses if the CFD Order had not been in effect?**

No

**9. Did you apply for wholesale status because of the impact of ASIC's PIO on your trading?**

Yes

**10. Would you like to share further comments?**

The Order has made trading more difficult as more margin is required, decreasing the amount of capital that can be allocated to less volatile assets, Hence in order to maintain Hedging requirements, more capital has to be drawn from safer asset classes into more riskier asset classes which seems to defeat the purpose of ASIC's intervention.

Previously with the use of better leverage, risk mitigation was easier to manage with a higher percentage of capital left earning safer interest/dividends.

[Redacted]

**From:** [Redacted]  
**Sent:** Friday, 19 November 2021 8:24 PM  
**To:** Market supervision - OTC  
**Subject:** New form response notification

**Categories:** [Redacted]

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<b>1. Has the frequency of your CFD trading changed?</b>	No
<b>2. Have you committed more or less margin to CFD trading?</b>	More
<b>3. Have you substituted other investment products for CFDs?</b>	No
<b>5. Do you use CFDs for hedging other investment risks?</b>	No

**7. What impact have financial losses or profits from CFD trading had on you?**

No change

**8. Do you consider you would have made higher profits or higher losses if the CFD Order had not been in effect?**

Yes

**9. Did you apply for wholesale status because of the impact of ASIC's PIO on your trading?**

Yes

[Redacted]

**From:** [Redacted]  
**Sent:** Friday, 19 November 2021 8:29 PM  
**To:** Market supervision - OTC  
**Subject:** New form response notification

**Categories:** [Redacted]

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Your form has a new entry. Here are all the answers.

<b>1. Has the frequency of your CFD trading changed?</b>	No
<b>2. Have you committed more or less margin to CFD trading?</b>	Unchanged
<b>3. Have you substituted other investment products for CFDs?</b>	Yes
<b>4. If you have answered "Yes" to question 3, would you like to share some comments?</b>	Forex

**5. Do you use CFDs for hedging other investment risks?** No

**7. What impact have financial losses or profits from CFD trading had on you?** No change

**8. Do you consider you would have made higher profits or higher losses if the CFD Order had not been in effect?** No

**9. Did you apply for wholesale status because of the impact of ASIC's PIO on your trading?** Yes



[Redacted]

**From:** [Redacted]  
**Sent:** Friday, 19 November 2021 10:06 PM  
**To:** Market supervision - OTC  
**Subject:** New form response notification

**Categories:** [Redacted]

**EXTERNAL EMAIL:** Do not click any links or open any attachments unless you trust the sender and know the content is safe.

Your form has a new entry. Here are all the answers.

**1. Has the frequency of your CFD trading changed?** No

**2. Have you committed more or less margin to CFD trading?** Unchanged

**3. Have you substituted other investment products for CFDs?** No

**5. Do you use CFDs for hedging other investment risks?** No

<p><b>7. What impact have financial losses or profits from CFD trading had on you?</b></p>	<p>No change</p>
<p><b>8. Do you consider you would have made higher profits or higher losses if the CFD Order had not been in effect?</b></p>	<p>No</p>
<p><b>9. Did you apply for wholesale status because of the impact of ASIC's PIO on your trading?</b></p>	<p>Yes</p>
<p><b>10. Would you like to share further comments?</b></p>	<p>I don't understand what the problem is. I know the risks and I know what I am doing. People spend more money and lose it on poker machines, lottery tickets, horse racing, dogs, drugs, grog, etc. My behavior is my responsibility. To be good at this CFD trading you have to have your emotions under control and manage your money responsibly while trading. Governments need to stop meddling in my life and others.</p>

[Redacted]

**From:** [Redacted]  
**Sent:** Friday, 19 November 2021 10:47 PM  
**To:** Market supervision - OTC  
**Subject:** New form response notification

**Categories:** [Redacted]

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Your form has a new entry. Here are all the answers.

<b>1. Has the frequency of your CFD trading changed?</b>	No
<b>2. Have you committed more or less margin to CFD trading?</b>	Unchanged
<b>3. Have you substituted other investment products for CFDs?</b>	No
<b>5. Do you use CFDs for hedging other investment risks?</b>	No

**7. What impact have financial losses or profits from CFD trading had on you?**

No change

**8. Do you consider you would have made higher profits or higher losses if the CFD Order had not been in effect?**

No

**9. Did you apply for wholesale status because of the impact of ASIC's PIO on your trading?**

Yes

**From:** [REDACTED]  
**Sent:** Friday, 19 November 2021 10:53 PM  
**To:** Market supervision - OTC  
**Subject:** New form response notification

**Categories:** [REDACTED]

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Your form has a new entry. Here are all the answers.

**1. Has the frequency of your CFD trading changed?** Yes

**2. Have you committed more or less margin to CFD trading?** Less

**3. Have you substituted other investment products for CFDs?** No

**5. Do you use CFDs for hedging other investment risks?** No

<b>7. What impact have financial losses or profits from CFD trading had on you?</b>	No change
<b>8. Do you consider you would have made higher profits or higher losses if the CFD Order had not been in effect?</b>	No
<b>9. Did you apply for wholesale status because of the impact of ASIC's PIO on your trading?</b>	Yes
<b>10. Would you like to share further comments?</b>	As I said before this is not a solution at all.

[Redacted]

**From:** [Redacted]  
**Sent:** Saturday, 20 November 2021 4:02 AM  
**To:** Market supervision - OTC  
**Subject:** New form response notification

**Categories:** [Redacted]

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Your form has a new entry. Here are all the answers.

<b>1. Has the frequency of your CFD trading changed?</b>	No
<b>2. Have you committed more or less margin to CFD trading?</b>	More
<b>3. Have you substituted other investment products for CFDs?</b>	No
<b>5. Do you use CFDs for hedging other investment risks? If so, what proportion of your CFD trades?</b>	Yes

**6. If you have answered "Yes" to question 5, what proportion of your CFD trades?**

10 percent

**7. What impact have financial losses or profits from CFD trading had on you?**

No change

**8. Do you consider you would have made higher profits or higher losses if the CFD Order had not been in effect?**

No



[Redacted]

**From:** [Redacted]  
**Sent:** Saturday, 20 November 2021 4:10 AM  
**To:** Market supervision - OTC  
**Subject:** New form response notification

**Categories:** [Redacted]

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Your form has a new entry. Here are all the answers.

<b>1. Has the frequency of your CFD trading changed?</b>	No
<b>2. Have you committed more or less margin to CFD trading?</b>	Unchanged
<b>3. Have you substituted other investment products for CFDs?</b>	No
<b>5. Do you use CFDs for hedging other investment risks?</b>	No

**7. What impact have financial losses or profits from CFD trading had on you?**

No change

**8. Do you consider you would have made higher profits or higher losses if the CFD Order had not been in effect?**

No

**9. Did you apply for wholesale status because of the impact of ASIC's PIO on your trading?**

Yes

**From:** [REDACTED]  
**Sent:** Saturday, 20 November 2021 1:35 PM  
**To:** Market supervision - OTC  
**Subject:** New form response notification

**Categories:** [REDACTED]

**EXTERNAL EMAIL:** Do not click any links or open any attachments unless you trust the sender and know the content is safe.

Your form has a new entry. Here are all the answers.

<b>1. Has the frequency of your CFD trading changed?</b>	Yes
<b>2. Have you committed more or less margin to CFD trading?</b>	Less
<b>3. Have you substituted other investment products for CFDs?</b>	No
<b>5. Do you use CFDs for hedging other investment risks?</b>	No

<b>7. What impact have financial losses or profits from CFD trading had on you?</b>	No change
<b>8. Do you consider you would have made higher profits or higher losses if the CFD Order had not been in effect?</b>	Yes
<b>9. Did you apply for wholesale status because of the impact of ASIC's PIO on your trading?</b>	Yes
<b>10. Would you like to share further comments?</b>	no

[Redacted]

**From:** [Redacted]  
**Sent:** Saturday, 20 November 2021 2:13 PM  
**To:** Market supervision - OTC  
**Subject:** New form response notification

**Categories:** [Redacted]

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Your form has a new entry. Here are all the answers.

<b>1. Has the frequency of your CFD trading changed?</b>	Yes
<b>2. Have you committed more or less margin to CFD trading?</b>	More
<b>3. Have you substituted other investment products for CFDs?</b>	Yes
<b>5. Do you use CFDs for hedging other investment risks? If so, what proportion of your CFD trades?</b>	No

**7. What impact have financial losses or profits from CFD trading had on you?**

No change

**8. Do you consider you would have made higher profits or higher losses if the CFD Order had not been in effect?**

Yes

**From:** [REDACTED]  
**Sent:** Sunday, 21 November 2021 12:32 PM  
**To:** Market supervision - OTC  
**Subject:** New form response notification

**Categories:** [REDACTED]

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Your form has a new entry. Here are all the answers.

**1. Has the frequency of your CFD trading changed?** Yes

**2. Have you committed more or less margin to CFD trading?** More

**3. Have you substituted other investment products for CFDs?** Yes

**5. Do you use CFDs for hedging other investment risks?** No

**7. What impact have financial losses or profits from CFD trading had on you?**

Less than anticipated

**8. Do you consider you would have made higher profits or higher losses if the CFD Order had not been in effect?**

No

**9. Did you apply for wholesale status because of the impact of ASIC's PIO on your trading?**

Yes