

Financial Services and Credit Panel

In the matter of [REDACTED]

Reprimand under s921T(1) of the Corporations Act 2001

To: [REDACTED]
Representative number [REDACTED]

A Financial Services and Credit Panel convened by the Australian Securities and Investments Commission on 25 September 2024 under s139(1) of the *Australian Securities and Investments Commission Act 2001* to consider the conduct of relevant provider [REDACTED] (the **Sitting Panel**) reasonably believes that [REDACTED] has contravened the following financial services laws under the *Corporations Act 2001* (**Corporations Act**):

- (a) s961B(1) of the Corporations Act;
- (b) s961G of the Corporations Act; and
- (c) 921E(3) of the Corporations Act.

in relation to advice given to a client and implemented in August 2022.

Pursuant to s921T(1)(b) of the Corporations Act, the Sitting Panel gives [REDACTED] a written reprimand.



Signed: _____
Andrew Stecher, Panel Chair

Date: 9 December 2024