



**ASIC**  
Australian Securities &  
Investments Commission

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# ASIC Gazette

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### RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review (RG57)* and Information Sheet *ASIC decisions – your rights (INFO 9)* to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at [www.asic.gov.au](http://www.asic.gov.au) or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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21-0147

**Australian Securities and Investments Commission**  
**Corporations Act 2001 – Paragraphs 1020F(1)(a) and (c) – Exemption and**  
**Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission makes this instrument under paragraphs 1020F(1)(a) and (c) of the *Corporations Act 2001*.

**Title**

2. This instrument is ASIC Instrument 21-0147.

**Commencement**

3. This instrument commences on the day it is signed.

**Exemptions***Exemption – regulation 7.9.44B*

4. The Trustee does not have to comply with regulation 7.9.44B of the Regulations to the extent that it requires the Trustee to ensure that a member of the Fund is given an insurance inactivity notice within a period of 2 weeks that commences on or after 31 March 2021 and ends on or before 30 April 2021.

*Where the exemption applies*

5. The exemption in paragraph 4 applies where the member is given an insurance inactivity notice between 30 March 2021 and 31 March 2021.

*Exemption – regulation 7.9.44C*

6. The Trustee does not have to comply with regulation 7.9.44C of the Regulations to the extent that it requires the Trustee to ensure that a notice about rights to cease insurance is sent to a member of the Fund as the result of an election that is:

- (a) referred to in paragraph 7.9.44C(2)(c) of the Regulations; and
- (b) received by the Trustee between 22 March 2021 and 23 April 2021.

*Condition*

7. If the Trustee relies on the exemption in paragraph 6, the Trustee must ensure that the notice about rights to cease insurance is sent to the member on, or as soon as practicable after, 23 April 2021.

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**Declaration***Declaration – regulation 7.9.44C*

8. Part 7.9 of the *Corporations Act 2001* applies in relation to the Trustee as if regulation 7.9.44C of the Regulations were modified or varied by inserting the following after subregulation 7.9.44C(5):

“(6) If all of the following apply:

- (a) the trustee (*first trustee*) of a regulated superannuation fund (*first fund*) would have been required, but for an exemption given by ASIC under paragraph 1020F(1)(a) of the Act, to give a member of the first fund a notice under paragraph(4)(a);
- (b) the first trustee did not give the member the notice under paragraph (4)(a);
- (c) the member becomes a member of another regulated superannuation fund (*second fund*) as a result of a transfer of the benefits of the member from the first fund to the second fund;
- (d) the second fund is a successor fund (within the meaning of subregulation 1.03(1) of the *Superannuation Industry (Supervision) Regulations 1994*) in relation to the transfer of benefits;

the trustee of the second fund must ensure that the member is given the notice on or before the day which is 22 days after the day the member becomes a member of the second fund, or as soon as practicable after that day.”.

*Where declaration applies*

9. The declaration in paragraph 8 applies in relation to a notice that the Tasplan Trustee would have been required, but for the exemption in subparagraph 4(b) of ASIC Instrument 21-0146, to give to a member of the Tasplan Fund under regulation 7.9.44C of the Regulations.

**Interpretation**

10. In this instrument:

*Fund* means the MTAA Superannuation Fund (ABN 74 559 365 913).

*insurance inactivity notice* has the meaning given by subregulation 7.9.44B(5) of the Regulations.

*notice about rights to cease insurance* has the meaning given by subregulation 7.9.44C(5) of the Regulations.

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*Regulations* means the *Corporations Regulations 2001*.

*Tasplan Fund* means the Tasplan Superannuation Fund (ABN 14 602 032 302).

*Tasplan Trustee* means Tasplan Pty Ltd (ABN 13 009 563 062) in its capacity as trustee of the Tasplan Fund.

*Trustee* means Motor Trades Association of Australia Superannuation Fund Pty Limited (ABN 14 008 650 628) in its capacity as trustee of the Fund.

Dated this 17<sup>th</sup> day of March 2021



Signed by Jane Eccleston

as delegate of the Australian Securities and Investments Commission

21 - 0180

**Australian Securities and Investments Commission**  
**Corporations Act 2001 – Subsection 713(6) - Determination**

Under s713(6) of the *Corporations Act 2001* (the *Corporations Act*), the Australian Securities and Investments Commission determines that the person specified in the Schedule may not rely on s713 of the *Corporations Act* from the date of this instrument until 11 March 2022.

**Schedule**

TBG Diagnostics Limited ACN 010 975 612

Dated this 11<sup>th</sup> day of March 2021

Signed:



Kate Dluzniak  
as a delegate of the Australian Securities and Investments Commission

21-0181

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Pindan Capital Ltd  
ACN 139 599 652 ("the Licensee")  
191 Great Eastern Highway  
BELMONT WA 6104

1. Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001** (the Act), the Australian Securities and Investments Commission (ASIC) hereby cancels Australian Financial Services (AFS) licence number 342911 held by the Licensee, with effect from the date on which this notice is given to the Licensee.
2. Pursuant to section 915H of the Act, the AFS licence continues in effect while cancelled, as though the cancellation had not happened for the purposes of:
  - a. paragraphs 912A(2)(c) of the Act, which requires the Licensee to maintain membership of the Australian Financial Complaints Authority scheme for no less than twelve months from the date the notice of cancellation of the AFS licence is given to the Licensee; and
  - b. section 912B of the Act, which requires the Licensee to maintain compensation arrangements for no less than twelve months from the date the notice of cancellation of the AFS licence is given to the Licensee. The arrangements must satisfy the requirements set out in regulation 7.6.02AAA of the Corporations Regulations 2001

Dated 15 March 2021

Signed   
.....

George Podaras  
A delegate of the Australian Securities and Investments Commission

21-0183

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Yellow Brick Road Wealth Management Pty Limited  
ACN 128 650 037 ("the Licensee")  
Level 11, 1 Chifley Square  
Sydney NSW 2000

1. Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001** (the Act), the Australian Securities and Investments Commission (ASIC) hereby cancels Australian Financial Services (AFS) licence number 323825 held by the Licensee, with effect from the date on which this notice is given to the Licensee.
2. Pursuant to section 915H of the Act, the AFS licence continues in effect while cancelled, as though the cancellation had not happened for the purposes of:
  - a. paragraphs 912A(2)(c) of the Act, which requires the Licensee to maintain membership of the Australian Financial Complaints Authority scheme for no less than twelve months from the date the notice of cancellation of the AFS licence is given to the Licensee; and
  - b. section 912B of the Act, which requires the Licensee to maintain compensation arrangements for no less than twelve months from the date the notice of cancellation of the AFS licence is given to the Licensee. The arrangements must satisfy the requirements set out in regulation 7.6.02AAA of the Corporations Regulations 2001

Dated 18 March 2021

Signed *George Podaras*  
.....

George Podaras  
A delegate of the Australian Securities and Investments Commission

21-0192

**Australian Securities and Investments Commission**  
**Corporations Act 2001 – Paragraph 741(1)(b) – Declaration**

**Enabling provisions**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 741(1)(b) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 21-0192.

**Commencement**

3. This instrument commences on 16 March 2021.

**Declaration**

4. Chapter 6D of the Act applies to Visioneering Technologies, Inc. ARBN 616 156 248 (the *Company*) and its securityholders as if subsection 708A(11) were modified or varied by omitting paragraph 708A(11)(a).

**Where this instrument applies**

5. The declaration applies where:
  - a) a security holder makes an offer for the sale of:
    - i. a placement option within 12 months after its issue; or
    - ii. a CDI issued upon the exercise of a placement option within 12 months after the issue of the CDI;
  - b) the placement option was issued to an investor without disclosure under Part 6D.2 of the Act and is in the same class as an option issued under the SPP prospectus;
  - c) the SPP prospectus was lodged with ASIC before the day on which the placement option was issued;
  - d) in the case of a placement option that is not a JLM option, offers under the SPP prospectus were still open for acceptance on the day on which the placement option is issued;
  - e) in the case of a placement option that is a JLM option, the placement of the JLM option is described in the SPP prospectus and the JLM option is issued on or before the last day on which options are issued under the SPP prospectus;



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- f) the CDIs have been continuously quoted securities as defined in section 9 of the Act (as modified by *ASIC Corporations (Disregarding Technical Relief) Instrument 2016/73*) and ASIC Instrument 20-0518; and
- g) the SPP prospectus provides a summary of the rights and liabilities attaching to the placement options and the underlying CDIs.

**Interpretation**

6. In this instrument:

*ASX* means the Australian Securities Exchange;

*CDI* means a CHESS Depository Interest representing a share;

*Explanatory Memorandum* means the explanatory memorandum to the Company's Notice of Special Meeting of Stockholders dated 26 February 2021;

*investor* means:

- a) in relation to offers to issue placement options received in this jurisdiction:
  - i. a professional investor; or
  - ii. a sophisticated investor;
- b) in relation to offers to issue placement options received outside of this jurisdiction:
  - i. a person who would be able to qualify as a professional investor or sophisticated investor if they had received an offer for placement options in this jurisdiction; or
  - ii. any of the following senior managers within the meaning given in *ASIC Corporations (Disclosure Relief – Offers to Associates) Instrument 2017/737*;

Mr David J. Mazzo  
Mr Tom Dooley  
Mr Andrew Silverberg  
Ms Christine Van Heek

*JLM option* means an option (that will be quoted on ASX) issued to Aureka Partners Pty Ltd or Bell Potter Securities Limited, in accordance with a mandate letter for a placement and securities purchase plan, as described in the Explanatory Memorandum;

*placement* means a placement of:

- a) shares or CDIs; and/or
- b) options (that will be quoted on ASX) to subscribe for CDIs,

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as described by the Company in the Explanatory Memorandum, and includes the placement of the JLM options;

*placement option* means an option (that will be quoted on ASX) to subscribe for CDIs issued under a placement, and includes a JLM option;

*professional investor* means a person described in subsection 708(11) of the Act;

*share* means a share in the Class A common stock of the Company;

*SPP prospectus* means a transaction-specific prospectus prepared in accordance with section 713 of the Act which is lodged with ASIC on or about 18 March 2021 in relation to a securities purchase plan to be offered by the Company;

*securities* has a meaning as affected by subsection 700(1) (as modified by *ASIC Class Order [CO 14/827]*) of the Act; and

*sophisticated investor* means a person described in subsection 708(8) of the Act.

Dated 16 March 2021.



Signed by Kyle Jonathan Wright  
as a delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001  
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this nineteenth day of March 2021

Rosanne Bell  
DELEGATE OF  
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

<b>Name of Company</b>	<b>ARBN</b>
DEEPOCEAN 1 UK LIMITED	132 168 177
GB GROUP PLC	620 786 254
LIZARD INVESTORS LLC	605 732 349
LOEDIGE ASIA LIMITED	168 714 341
MISSING LINK CONSULTANTS LIMITED	620 258 979
RAZEL-BEC	143 670 508
VISTEX, INC.	166 189 859

CORPORATIONS ACT 2001  
Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this nineteenth day of March 2021

Rosanne Bell  
DELEGATE OF  
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

<b>Name of Scheme</b>	<b>ARSN</b>
CENTURIA 2 WENTWORTH STREET FUND	603 048 424
IRONBARK COPPER ROCK EMERGING MARKETS OPPORTUNITIES FUND	124 220 202
IRONBARK COPPER ROCK GLOBAL ALL CAP SHARE FUND	122 000 524
IRONBARK GAVEKAL ASIAN OPPORTUNITIES FUND	143 533 466
NIKKO AM AUSTRALIAN SHARE CONCENTRATED FUND LT	162 074 393
PERPETUAL GLOBAL SHARE FUND HEDGED	608 416 771
PERPETUAL PRIVATE GEARED AUSTRALIAN SHARE FUND	124 209 203
PERPETUAL PRIVATE GEARED HIGH GROWTH FUND	124 209 463

VANGUARD COMMODITIES FUND	165 787 806
VANGUARD MANAGED PAYOUT FUND	165 787 324
YARRA AUSTRALIAN EQUITIES POOLED FUND	090 046 263
YARRA INCOME PLUS POOLED FUND	090 047 448
595 COLLINS TRUST	149 784 369

CORPORATIONS ACT 2001  
Subsection 601PA(3)

ASIC may deregister the managed investment scheme(s) listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this nineteenth day of March 2021

Rosanne Bell  
DELEGATE OF  
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

**Name of Scheme**

**ARSN**

FORT STREET REAL ESTATE CAPITAL FUND IV

623 196 298

CORPORATIONS ACT 2001  
Section 601CL(5)

ASIC has struck the foreign companies listed  
below off the register.

Dated this nineteenth day of March 2021

Rosanne Bell  
DELEGATE OF  
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

<b>Name of Company</b>	<b>ARBN</b>
BUREAU VERITAS NORTH AMERICA, INC.	615 434 243
COBRA BIOTECH LIMITED	617 755 076
COBRA LIFE SCIENCES LIMITED	622 782 558
EMBRAER AVIATION INTERNATIONAL EAI	636 397 923
EMMA & ROE NZ LIMITED	614 171 292
ETF MANAGERS GROUP LLC	633 330 524
J.D. POWER SINGAPORE PTE. LTD.	614 700 051
METLIFECARE LIMITED	165 659 110
MHJ (US) LIMITED	614 168 713
MICHAEL HILL FINANCE (NZ) LIMITED	134 442 827
MICHAEL HILL FRANCHISE HOLDINGS LIMITED	614 169 596
MICHAEL HILL JEWELLER LIMITED	614 926 519

MICHAEL HILL NEW ZEALAND LIMITED	116 446 578
MICHAEL HILL ONLINE HOLDINGS LIMITED	614 168 768
RBC CAPITAL MARKETS, LLC	147 716 229
REQUISITE AUSTRALIA LIMITED	616 221 508



CORPORATIONS ACT 2001  
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

**ADVANGEN LIMITED** ACN 601 342 161 will change to a proprietary company limited by shares. The new name will be **ADVANGEN PTY LIMITED** ACN 601 342 161.

**APPWELL PTY LTD** ACN 612 329 754 will change to a public company limited by shares. The new name will be **OPENN NEGOTIATION LIMITED** ACN 612 329 754.

**CMN MINING PTY LTD** ACN 625 330 878 will change to a public company limited by shares. The new name will be **RONIN RESOURCES LTD** ACN 625 330 878.

**EASTERN METALS PTY LTD** ACN 643 902 943 will change to a public company limited by shares. The new name will be **EASTERN METALS LIMITED** ACN 643 902 943.

**KINERA LIMITED** ACN 610 756 931 will change to a proprietary company limited by shares. The new name will be **KINERA PTY LIMITED** ACN 610 756 931.

**SYNCORDIA HOLDINGS PTY LTD** ACN 638 106 466 will change to a public company limited by shares. The new name will be **SYNCORDIA HOLDINGS LIMITED** ACN 638 106 466.

**ALVO MINERALS PTY LTD** ACN 637 802 496 will change to a public company limited by shares. The new name will be **ALVO MINERALS LIMITED** ACN 637 802 496.

**AVICENA SYSTEMS PTY LTD** ACN 643 590 078 will change to a public company limited by shares. The new name will be **AVICENA SYSTEMS LIMITED** ACN 643 590 078.

**DWS LIMITED** ACN 085 656 088 will change to a proprietary company limited by shares. The new name will be **DWS PTY LTD** ACN 085 656 088.

**GOLD 50 PTY LTD** ACN 645 022 233 will change to a public company limited by shares. The new name will be **GOLD 50 LIMITED** ACN 645 022 233.

**PVW EXPLORATION NL** ACN 624 170 074 will change to a proprietary company limited by shares. The new name will be **PVW EXPLORATION PTY LTD** ACN 624 170 074.