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RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review (RG57)* and Information Sheet *ASIC decisions – your rights (INFO 9)* to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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23-0741

**Australian Securities and Investments Commission
Corporations Act 2001 - Paragraph 601QA(1)(a) - Exemption**

Enabling Legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 601QA(1)(a) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC instrument 23-0741.

Commencement

3. This instrument commences on the day it is signed.

Exemption — Unequal treatment in withdrawal from an AQUA managed fund

4. DFA Australia Limited ACN 065 937 671 (**Responsible Entity**) in its capacity as the responsible entity for the Dimensional Australian Core Equity Trust ARSN 120 009 163 (**Fund**), does not have to comply with paragraph 601FC(1)(d) of the Act to the extent that it would prevent the Responsible Entity from permitting only authorised participants to apply for and withdraw Units in the Fund that is an AQUA managed fund.

Where the exemption applies

5. The exemption applies where all of the following are satisfied:
 - (a) there is a Product Disclosure Statement in relation to interests in the Fund that are admitted to Trading Status as Managed Fund Product that contains statements to the effect that:
 - (i) the Fund will invest substantially all of its assets into Australian shares and may also invest a portion of the portfolio in cash and cash equivalents;
 - (ii) the Responsible Entity will not treat members of the same class equally to the extent that it restricts applications for, and withdrawals of, Units to authorised participants;

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- (iii) except in exceptional circumstances only authorised participants may withdraw Units from the Fund, but other members may sell their Units on the AQUA market; and
- (iv) when Units are suspended from trading on the AQUA market for more than 5 consecutive Trading Days, members have a right to withdraw their Units and receive payment for their interests in money within a reasonable time of request unless any of the following apply:
 - (A) the Fund is being wound up;
 - (B) the Fund is not liquid as defined in subsection 601KA(4) of the Act;
 - (C) the Responsible Entity suspends withdrawals in accordance with the constitution;
- (b) the constitution of the Fund does not permit a withdrawal fee per interest in the Units to be payable by a retail client (as defined in the Corporations Act 2001) that is greater than the withdrawal fee per interest that would generally be payable by an authorised participant receiving redemption proceeds in cash while interests in the Units are quoted when withdrawing the minimum parcel; and
- (c) ASIC has not notified the Responsible Entity in writing that it is excluded from reliance on the exemption.

Note: Subparagraph 5(b) applies regardless of whether the withdrawal fees for the Units are calculated on a per interest basis or otherwise.

Interpretation

6. In this instrument:

AQUA managed fund means a registered scheme with interests admitted to Trading Status as a Managed Fund Product on the AQUA market.

AQUA market means the financial market operated by ASX under the provisions of the operating rules of ASX relating to an AQUA Product.

AQUA Product has the same meaning as in the operating rules of ASX as at the date of this instrument.

ASX means ASX Limited (ACN 008 624 691).

authorised participant means, in relation to the Units, a person who:

- (a) has an agreement with the Responsible Entity of the Fund in relation to making applications to acquire and withdraw interests in the Units; and

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- (b) is either a Trading Participant or has engaged a Trading Participant to act on its behalf to acquire and dispose of interests in the Units.

Managed Fund Product has the same meaning as in the operating rules of ASX as at the date of this instrument.

minimum parcel means the smallest number or value of interests in an AQUA managed fund that are generally permitted to be withdrawn from the AQUA managed fund by an authorised participant while interests in the AQUA managed fund are quoted.

Trading Day has the same meaning as in the operating rules of ASX as at the date of this instrument.

Trading Participant has the same meaning as in the operating rules of ASX as at the date of this instrument.

Trading Status has the same meaning as in the operating rules of ASX as at the date of this instrument.

Units means units in the Fund in any class that are admitted to Trading Status on the AQUA market and that are held on a unit registry so that they are tradeable on the ASX.

withdrawal fee per interest means, in relation to the Units, the fee that is payable in relation to a withdrawal from the Units divided by the number of interests in the Units to which the withdrawal relates.

Dated this 9th day of October 2023



Signed by Terence Chan
as a delegate of the Australian Securities and Investments Commission

23-0742

**Australian Securities and Investments Commission
Corporations Act 2001 - Paragraph 601QA(1)(a) - Exemption**

Enabling Legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 601QA(1)(a) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC instrument 23-0742.

Commencement

3. This instrument commences on the day it is signed.

Exemption — Unequal treatment in withdrawal from an AQUA managed fund

4. DFA Australia Limited ACN 065 937 671 (**Responsible Entity**) in its capacity as the responsible entity for the Dimensional Global Core Equity Trust ARSN 120 009 305 (**Fund**), does not have to comply with paragraph 601FC(1)(d) of the Act to the extent that it would prevent the Responsible Entity from permitting only authorised participants to apply for and withdraw Units in the Fund that is an AQUA managed fund.

Where the exemption applies

5. The exemption applies where all of the following are satisfied:
 - (a) there is a Product Disclosure Statement in relation to interests in the Fund that are admitted to Trading Status as Managed Fund Product that contains statements to the effect that:
 - (i) the Fund will invest substantially all of its assets into international shares and may also invest a portion of the portfolio in cash and cash equivalents;
 - (ii) the Responsible Entity will not treat members of the same class equally to the extent that it restricts applications for, and withdrawals of, Units to authorised participants;

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- (iii) except in exceptional circumstances only authorised participants may withdraw Units from the Fund, but other members may sell their Units on the AQUA market; and
- (iv) when Units are suspended from trading on the AQUA market for more than 5 consecutive Trading Days, members have a right to withdraw their Units and receive payment for their interests in money within a reasonable time of request unless any of the following apply:
 - (A) the Fund is being wound up;
 - (B) the Fund is not liquid as defined in subsection 601KA(4) of the Act;
 - (C) the Responsible Entity suspends withdrawals in accordance with the constitution;
- (b) the constitution of the Fund does not permit a withdrawal fee per interest in the Units to be payable by a retail client (as defined in the Corporations Act 2001) that is greater than the withdrawal fee per interest that would generally be payable by an authorised participant receiving redemption proceeds in cash while interests in the Units are quoted when withdrawing the minimum parcel; and
- (c) ASIC has not notified the Responsible Entity in writing that it is excluded from reliance on the exemption.

Note: Subparagraph 5(b) applies regardless of whether the withdrawal fees for the Units are calculated on a per interest basis or otherwise.

Interpretation

6. In this instrument:

AQUA managed fund means a registered scheme with interests admitted to Trading Status as a Managed Fund Product on the AQUA market.

AQUA market means the financial market operated by ASX under the provisions of the operating rules of ASX relating to an AQUA Product.

AQUA Product has the same meaning as in the operating rules of ASX as at the date of this instrument.

ASX means ASX Limited (ACN 008 624 691).

authorised participant means, in relation to the Units, a person who:

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- (a) has an agreement with the Responsible Entity of the Fund in relation to making applications to acquire and withdraw interests in the Units; and
- (b) is either a Trading Participant or has engaged a Trading Participant to act on its behalf to acquire and dispose of interests in the Units.

Managed Fund Product has the same meaning as in the operating rules of ASX as at the date of this instrument.

minimum parcel means the smallest number or value of interests in an AQUA managed fund that are generally permitted to be withdrawn from the AQUA managed fund by an authorised participant while interests in the AQUA managed fund are quoted.

Trading Day has the same meaning as in the operating rules of ASX as at the date of this instrument.

Trading Participant has the same meaning as in the operating rules of ASX as at the date of this instrument.

Trading Status has the same meaning as in the operating rules of ASX as at the date of this instrument.

Units means units in the Fund in any class that are admitted to Trading Status on the AQUA market and that are held on a unit registry so that they are tradeable on the ASX.

withdrawal fee per interest means, in relation to the Units, the fee that is payable in relation to a withdrawal from the Units divided by the number of interests in the Units to which the withdrawal relates.

Dated this 9th day of October 2023



Signed by Terence Chan
as a delegate of the Australian Securities and Investments Commission

23-0744

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraphs 655A(1)(b) and 673(1)(b) - Declarations**

Enabling Legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 655A(1)(b) and 673(1)(b) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC instrument 23-0744.

Commencement

3. This instrument commences on the day it is signed.

Declaration – Relevant Interest

4. Chapters 6 and 6C of the Act apply to DFA Australia Limited ACN 065 937 671 (**responsible entity**) as if section 609 were modified or varied by inserting after subsection 609(16) (as notionally inserted by ASIC Class Order [CO12/1209]):

(19A) A member of Dimensional Australian Core Equity Trust ARSN 120 009 163 (**Fund**) who is an authorised participant in relation to the Fund does not have a relevant interest in securities that form part of property of the Fund merely because the Fund has an acquisition and withdrawal facility, provided that:

- (a) interests in the Fund are in a class of interests that are admitted to Trading Status as a Managed Fund Product; and
- (b) the current PDS contains a statement to the effect that there are reasonable grounds for the responsible entity to believe that implementation of the investment strategy would not be likely to lead to Fund property including securities in a class of securities that:
 - (i) would represent more than 15% by value of Fund property; and
 - (ii) were, or would result in the responsible entity having a relevant interest in, securities in:
 - (A) a listed company; or
 - (B) an unlisted company with more than 50 members; or

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- (C) a listed body that is formed or incorporated in Australia; or
- (D) a listed scheme.

Note: The responsible entity may have a relevant interest in securities that do not form part of Fund property because of paragraph 608(3)(b).

(19B) If subsection (19A) applies in relation to a member and the member makes a withdrawal request under the acquisition and withdrawal facility:

- (a) subsection (19A) stops applying in relation to any securities that the member would receive if the responsible entity transferred securities to the member in accordance with the withdrawal request; and
- (b) the member is taken to acquire a relevant interest in those securities at that time through a transaction in relation to the securities entered into by the member.

Note: If the member makes a withdrawal request only in relation to some of the member's interests in the Fund, subsection (19A) may still apply in relation to the member's remaining interests.

(19C) If:

- (a) other than because of subsection (19B), a member has a relevant interest in securities that form part of Fund property because subsection (19A) has stopped applying in relation to the Fund; and
- (b) the member makes a withdrawal request in relation to the interests in the Fund;

then for the purposes of section 606, at the time of the withdrawal request:

- (c) the member is taken to acquire a relevant interest in any securities that the member would receive if the responsible entity transferred securities to the member in accordance with the withdrawal request;
- (d) the member is taken to have acquired the relevant interest through a transaction entered into by the member in relation to those securities; and
- (e) the member's voting power is taken to have increased from what it would have been before the withdrawal request if the votes attached to those securities were disregarded to what it was after the withdrawal request (taking the votes attached to those securities into account).

(19D) If, in the previous 6 months:

- (a) a member's voting power has increased because subsection (19A) stopped applying in relation to securities; and
- (b) the member has not been taken to acquire a relevant interest in the securities under subsection (19B) or (19C);

the increase in voting power is to be disregarded in determining the member's, or any other person's, voting power for the purposes of item 9 of the table in section 611.

(19E) In subsections (19A) to (19D) and in this subsection:

acquisition and withdrawal facility means, in relation to the Fund, a facility that the responsible entity has stated in the current PDS that it intends to offer on each Trading Day on which interests in the Fund are traded on the financial market operated by ASX Limited (ACN 008 624 691) which allows:

- (a) a person who is an authorised participant to acquire an interest in the Fund by contributing to the Fund; and
- (b) a member who is an authorised participant to request that the responsible entity satisfy a withdrawal request in relation to an interest in the Fund by transferring to the member;

consideration that predominantly comprises a parcel of securities, the composition of which in relation to an acquisition and a withdrawal is published by or on behalf of the responsible entity from time to time.

Note: The composition of the parcel of securities may be different for an acquisition and a withdrawal.

ASX means ASX Limited (ACN 008 624 691).

authorised participant means, in relation to the Fund, a person who:

- (a) has an agreement with the responsible entity of the Fund in relation to making applications to acquire and withdraw interests in the Fund; and
- (b) is, or who has engaged to act on its behalf, a participant (within the meaning of section 761A) in relation to a financial market, to acquire and dispose of interests in the Fund.

current PDS in relation to a registered scheme, means the most recently lodged Product Disclosure Statement relating to interests in the Fund.

Managed Fund Product has the same meaning as in the operating rules of ASX as at the date of this instrument.

Trading Day has the same meaning as in the operating rules of ASX as at the date of this instrument.

Trading Status has the same meaning as in the operating rules of ASX as at the date of this instrument.

Declaration – Substantial Interest and Beneficial Tracing

5. Chapter 6C of the Act applies to DFA Australia Limited ACN 065 937 671 (**responsible entity**) in relation to Dimensional Australian Core Equity Trust (ARSN 120 009 163) (**Fund**) as if provisions of that Chapter were modified or varied:

- (a) by inserting after section 671A:

"671AA Managed Fund Products

For the purposes of this Chapter and the definition of *substantial holding* in section 9 and subsection 609(19A) applies as if paragraph 609(19A)(c) were omitted."; and

- (b) by inserting after subsection 671B(7):

"Managed Fund Products

- (7A) If a member of the Fund that has an acquisition and withdrawal facility does not have a relevant interest in securities that form part of Fund property because of subsection 609(19A) (as affected by section 671AA), then for the purposes of this section and the definition of *substantial holding* in section 9, the member is taken to have a relevant interest in the securities that would be transferred to the member if and when:

- (a) the member makes a withdrawal request under the acquisition and withdrawal facility for all their interests in the Fund, other than any interests in relation to which the member has a relevant interest in securities that form part of Fund property because of subsection 609(19B); and

Note: Subsection 609(19B) may give a member a relevant interest in securities that form part of scheme property if the member has made a withdrawal request in relation to some or all of their interests in the Fund.

- (b) the numbers and classes of securities transferred to the member under the facility for each interest in the Fund were the same as the numbers and classes of securities most recently disclosed to the member by the responsible

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entity in relation to a withdrawal request for an interest under the facility.

(7B) In subsection (7A), *acquisition and withdrawal facility* has the same meaning as in subsection 609(19E).”, and

(c) by inserting after subsection 672B(1):

“(1AA)If a member of the Fund does not have a relevant interest in securities that form part of Fund property because of subsection 609(19A) (as affected by section 671AA), then for the purposes of this section and section 672DA, the member is taken to have a relevant interest in securities that the member has a relevant interest in for the purposes of section 671B because of subsection 671B(7A).”.

Where this instrument applies

6. This instrument applies where:

- (a) DFA Australia Limited ACN 065 937 671 is the responsible entity of the Fund; and
- (b) the interests in the Fund remain admitted to Trading Status as AQUA Products which are Managed Fund Products on the financial market operated by the ASX Limited (ACN 008 624 691).

Interpretation

7. In this instrument:

ASX means ASX Limited (ACN 008 624 691).

AQUA Product has the same meaning as in the operating rules of ASX as at the date of this instrument.

Managed Fund Product has the same meaning as in the operating rules of ASX as at the date of this instrument.

Trading Status has the same meaning as in the operating rules of ASX as at the date of this instrument.

Dated this 9th day of October 2023



Signed by Terence Chan
as a delegate of the Australian Securities and Investments Commission

23-0745

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraphs 655A(1)(b) and 673(1)(b) - Declarations**

Enabling Legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 655A(1)(b) and 673(1)(b) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC instrument 23-0745.

Commencement

3. This instrument commences on the day it is signed.

Declaration – Relevant Interest

4. Chapters 6 and 6C of the Act apply to DFA Australia Limited ACN 065 937 671 (**responsible entity**) as if section 609 were modified or varied by inserting after subsection 609(16) (as notionally inserted by ASIC Class Order [CO12/1209]):

(19A) A member of Dimensional Global Core Equity Trust ARSN 120 009 305 (**Fund**) who is an authorised participant in relation to the Fund does not have a relevant interest in securities that form part of property of the Fund merely because the Fund has an acquisition and withdrawal facility, provided that:

- (a) interests in the Fund are in a class of interests that are admitted to Trading Status as a Managed Fund Product; and
- (b) the current PDS contains a statement to the effect that there are reasonable grounds for the responsible entity to believe that implementation of the investment strategy would not be likely to lead to Fund property including securities in a class of securities that:
 - (i) would represent more than 15% by value of Fund property; and
 - (ii) were, or would result in the responsible entity having a relevant interest in, securities in:
 - (A) a listed company; or
 - (B) an unlisted company with more than 50 members; or

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- (C) a listed body that is formed or incorporated in Australia; or
- (D) a listed scheme.

Note: The responsible entity may have a relevant interest in securities that do not form part of Fund property because of paragraph 608(3)(b).

(19B) If subsection (19A) applies in relation to a member and the member makes a withdrawal request under the acquisition and withdrawal facility:

- (a) subsection (19A) stops applying in relation to any securities that the member would receive if the responsible entity transferred securities to the member in accordance with the withdrawal request; and
- (b) the member is taken to acquire a relevant interest in those securities at that time through a transaction in relation to the securities entered into by the member.

Note: If the member makes a withdrawal request only in relation to some of the member's interests in the Fund, subsection (19A) may still apply in relation to the member's remaining interests.

(19C) If:

- (a) other than because of subsection (19B), a member has a relevant interest in securities that form part of Fund property because subsection (19A) has stopped applying in relation to the Fund; and
- (b) the member makes a withdrawal request in relation to the interests in the Fund;

then for the purposes of section 606, at the time of the withdrawal request:

- (c) the member is taken to acquire a relevant interest in any securities that the member would receive if the responsible entity transferred securities to the member in accordance with the withdrawal request;
- (d) the member is taken to have acquired the relevant interest through a transaction entered into by the member in relation to those securities; and
- (e) the member's voting power is taken to have increased from what it would have been before the withdrawal request if the votes attached to those securities were disregarded to what it was after the withdrawal request (taking the votes attached to those securities into account).

(19D) If, in the previous 6 months:

- (a) a member's voting power has increased because subsection (19A) stopped applying in relation to securities; and
- (b) the member has not been taken to acquire a relevant interest in the securities under subsection (19B) or (19C);

the increase in voting power is to be disregarded in determining the member's, or any other person's, voting power for the purposes of item 9 of the table in section 611.

(19E) In subsections (19A) to (19D) and in this subsection:

acquisition and withdrawal facility means, in relation to the Fund, a facility that the responsible entity has stated in the current PDS that it intends to offer on each Trading Day on which interests in the Fund are traded on the financial market operated by ASX Limited (ACN 008 624 691) which allows:

- (a) a person who is an authorised participant to acquire an interest in the Fund by contributing to the Fund; and
- (b) a member who is an authorised participant to request that the responsible entity satisfy a withdrawal request in relation to an interest in the Fund by transferring to the member;

consideration that predominantly comprises a parcel of securities, the composition of which in relation to an acquisition and a withdrawal is published by or on behalf of the responsible entity from time to time.

Note: The composition of the parcel of securities may be different for an acquisition and a withdrawal.

ASX means ASX Limited (ACN 008 624 691).

authorised participant means, in relation to the Fund, a person who:

- (a) has an agreement with the responsible entity of the Fund in relation to making applications to acquire and withdraw interests in the Fund; and
- (b) is, or who has engaged to act on its behalf, a participant (within the meaning of section 761A) in relation to a financial market, to acquire and dispose of interests in the Fund.

current PDS in relation to a registered scheme, means the most recently lodged Product Disclosure Statement relating to interests in the Fund.

Managed Fund Product has the same meaning as in the operating rules of ASX as at the date of this instrument.

Trading Day has the same meaning as in the operating rules of ASX as at the date of this instrument.

Trading Status has the same meaning as in the operating rules of ASX as at the date of this instrument.

Declaration – Substantial Interest and Beneficial Tracing

5. Chapter 6C of the Act applies to DFA Australia Limited ACN 065 937 671 (**responsible entity**) in relation to Dimensional Australian Core Equity Trust (ARSN 120 009 163) (**Fund**) as if provisions of that Chapter were modified or varied:

- (a) by inserting after section 671A:

"671AA Managed Fund Products

For the purposes of this Chapter and the definition of *substantial holding* in section 9 and subsection 609(19A) applies as if paragraph 609(19A)(c) were omitted."; and

- (b) by inserting after subsection 671B(7):

"Managed Fund Products

- (7A) If a member of the Fund that has an acquisition and withdrawal facility does not have a relevant interest in securities that form part of Fund property because of subsection 609(19A) (as affected by section 671AA), then for the purposes of this section and the definition of *substantial holding* in section 9, the member is taken to have a relevant interest in the securities that would be transferred to the member if and when:

- (a) the member makes a withdrawal request under the acquisition and withdrawal facility for all their interests in the Fund, other than any interests in relation to which the member has a relevant interest in securities that form part of Fund property because of subsection 609(19B); and

Note: Subsection 609(19B) may give a member a relevant interest in securities that form part of scheme property if the member has made a withdrawal request in relation to some or all of their interests in the Fund.

- (b) the numbers and classes of securities transferred to the member under the facility for each interest in the Fund were the same as the numbers and classes of securities most recently disclosed to the member by the responsible

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entity in relation to a withdrawal request for an interest under the facility.

(7B) In subsection (7A), *acquisition and withdrawal facility* has the same meaning as in subsection 609(19E).”; and

(c) by inserting after subsection 672B(1):

“(1AA)If a member of the Fund does not have a relevant interest in securities that form part of Fund property because of subsection 609(19A) (as affected by section 671AA), then for the purposes of this section and section 672DA, the member is taken to have a relevant interest in securities that the member has a relevant interest in for the purposes of section 671B because of subsection 671B(7A).”.

Where this instrument applies

6. This instrument applies where:

- (a) DFA Australia Limited ACN 065 937 671 is the responsible entity of the Fund; and
- (b) the interests in the Fund remain admitted to Trading Status as AQUA Products which are Managed Fund Products on the financial market operated by the ASX Limited (ACN 008 624 691).

Interpretation

7. In this instrument:

ASX means ASX Limited (ACN 008 624 691).

AQUA Product has the same meaning as in the operating rules of ASX as at the date of this instrument.

Managed Fund Product has the same meaning as in the operating rules of ASX as at the date of this instrument.

Trading Status has the same meaning as in the operating rules of ASX as at the date of this instrument.

Dated this 9th day of October 2023

Signed by Terence Chan
as a delegate of the Australian Securities and Investments Commission

23-0760

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 926A(2)(a) – Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 926A(2)(a) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 23-0760.

Commencement

3. This instrument commences on the day it is signed.

Exemption

4. ASIC exempts Stonepeak (Singapore) Pte. Limited, a body incorporated in Singapore, registration number 202110206E (the **body**) from the requirement to hold an Australian financial services (AFS) licence in the case referred to in Schedule A.

Cessation

5. The exemption in paragraph 4 ceases to have effect on the earlier of:
 - (a) sub-paragraph 1(1) of Schedule 2 of the *ASIC Corporations (Repeal and Transitional) Instrument 2016/396* ceasing to have effect in relation to the relief that was provided under ASIC Class Order [CO 03/1102] *Singapore MAS regulated financial service providers*; or
 - (b) the body not complying with any written notice given by ASIC directing the body to give to ASIC, within the time specified in the notice, a written statement containing specified information about the financial service business operated by the body in this jurisdiction.

23-0760

Schedule A

1. The exemption in paragraph 4 applies where all of the following apply:
 - (a) the body holds a current capital markets services licence granted by the Monetary Authority of Singapore (*MAS*) under section 86 of the Securities and Futures Act;
 - (b) the body is either a body corporate incorporated or a partnership formed in Singapore;
 - (c) the body:
 - (i) is registered under Div 2 of Pt 5B.2 of the Act; or
 - (ii) has an agent at the time the body first purports to rely on this instrument and, from that time, has not failed to have an agent for any consecutive period of 10 business days;
 - (d) the body's primary business is the provision of financial services;
 - (e) neither the body nor its agent has been notified by ASIC that the body is excluded from relying on this instrument;
 - (f) if the body becomes aware or should reasonably have become aware of matters that give it reason to believe that it has failed, other than in an immaterial respect, to comply with a requirement set out in Schedule B:
 - (i) 15 business days have not passed since the body became so aware or should reasonably have become so aware without the body providing full particulars of the failure to ASIC (to the extent that the body knows those particulars or would have known them if it had undertaken reasonable enquiries); and
 - (ii) 30 business days have not passed from ASIC receiving those particulars from the body without ASIC notifying the body that it may continue to rely on this instrument; and
 - (g) the body has not notified ASIC that it will not rely on this instrument.
2. Where the body provides any of the following financial services (the *financial services*) in this jurisdiction to wholesale clients:
 - (a) providing financial product advice;
 - (b) dealing in a financial product;
in respect of any of the following financial products:
 - (c) securities;
 - (d) derivatives;
 - (e) foreign exchange contracts;
 - (f) interests in a managed investment scheme that is not required to be registered under Chapter 5C of the Act; and
 - (g) managed investment products;

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3. Where the body has provided ASIC with all of the following:
- (a) evidence that paragraph 1(a) of Schedule A is satisfied that ASIC has stated in writing is adequate;
 - (b) a notice that it will provide the financial services in this jurisdiction in reliance on this instrument;
 - (c) a deed of the body for the benefit of and enforceable by ASIC and the other persons referred to in s659B(1) of the Act that applies notwithstanding that the body may have ceased to rely, or never have relied, on this instrument, which deed provides that:
 - (A) the deed is irrevocable except with the prior written consent of ASIC; and
 - (B) the body submits to the non-exclusive jurisdiction of the Australian courts in legal proceedings conducted by ASIC (including under s50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in s659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise; and
 - (C) the body covenants to comply with any order of an Australian court in respect of any matter relating to the provision of the financial services; and
 - (D) if the body is not registered under Div 2 of Pt 5B.2 of the Act, service of process on the body in relation to legal proceedings conducted by ASIC (including under section 50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in s659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise can be effected by service on the agent; and
 - (E) the body covenants that, on written request of either MAS or ASIC, it will give or vary written consent and take all other practicable steps to enable and assist MAS to disclose to ASIC and ASIC to disclose to MAS any information or document that MAS or ASIC has that relates to the body;
 - (d) written consents to the disclosure by MAS to ASIC and ASIC to MAS of any information or document that MAS or ASIC has that relates to the body. The consents must be in such form (if any) as ASIC or MAS (as applicable) specifies in writing.

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Schedule B

1. The body must provide each of the financial services in this jurisdiction in a manner which would comply, so far as is possible, with the Singaporean regulatory requirements if the financial service were provided in Singapore in like circumstances.
2. The body must:
 - (a) notify ASIC, as soon as practicable and in any event within 15 business days after the body became aware or should reasonably have become aware, and in such form if any as ASIC may from time to time specify in writing, of the details of:
 - (i) each significant change to, including the termination of, the capital markets services licence applying to the body relevant to the financial services the body provides or intends to provide in this jurisdiction; and
 - (ii) each significant particular exemption or other relief which the body may obtain from the Singaporean regulatory requirements relevant to the financial services the body provides or intends to provide in this jurisdiction; and
 - (iii) each action or investigation of the following kinds taken by MAS or other overseas regulatory authority against the body in a foreign jurisdiction in relation to financial services provided in the foreign jurisdiction:
 - (A) significant enforcement action;
 - (B) significant disciplinary action;
 - (C) significant investigation (unless, after having taken reasonable steps to enable notification to be given to ASIC, the body is prohibited by law from giving such notification but only to the extent of the prohibition); and
 - (b) provide written disclosure to all persons to whom the financial services are provided in this jurisdiction (before the financial services are provided) containing prominent statements to the following effect:
 - (i) the body is exempt from the requirement to hold an Australian financial services licence under the Act in respect of the financial services; and
 - (ii) the body is regulated by MAS under Singaporean laws, which differ from Australian laws.

23-0760

Interpretation

In this instrument:

Act means the *Corporations Act 2001*;

address, in relation to a company, means the address of the registered office of the body;

agent means a natural person resident in this jurisdiction or a company, whose name and address were last notified to ASIC by the body for the purposes of this instrument, and who is authorised to accept on the body's behalf, service of process from ASIC and, in relation to proceedings relating to a financial services law, from any person referred to in s659B(1) of the Act;

ASIC Act means the *Australian Securities and Investments Commission Act 2001*;

financial product advice has the meaning given by section 766B of the Act;

financial services law has the meaning given by section 761A of the Act;

managed investment products has the meaning given by s764A(1)(ba);

MAS means the Monetary Authority of Singapore;

notice and *notified* mean, respectively, written notice and notified in writing;

overseas regulatory authority means a foreign regulatory authority (other than MAS) which regulates financial services and which is established by or for the purposes of a foreign government or legislative body;

securities has the meaning given by section 761A of the Act;

Securities and Futures Act means the Securities and Futures Act (Cap.289);

Singaporean regulatory requirements means the rules that apply in relation to the financial services including any applicable legislation, instruments made under that legislation and any relevant policies or other documents (however described) issued by MAS; and

wholesale client has the meaning given in section 761G of the Act.

Dated this 12th day of October 2023.

Signed by Claire Clegg
as a delegate of the Australian Securities and Investments Commission

23-0765

**Australian Securities and Investments Commission
Corporations Act 2001 – Subsection 655A(1) – Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission makes this exemption under subsection 655A(1)(a) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 23-0765.

Commencement

3. This instrument commences on the day it is signed.

Exemption

4. Pact Group Holdings Limited ACN 145 989 644 (*Pact*) does not have to comply with section 638 of the Act to the extent that subsection 638(1A) of the Act has the effect that a target's statement must contain information that is known to Mr Raphael Geminder, the non-executive chairman of Pact (*Mr Geminder*).

Where this instrument applies

5. The exemption in paragraph 4 applies where:
 - (a) Kin Group Pty Ltd ACN 095 313 714 (*Kin Group*) announced publicly on 13 September 2023 that its wholly-owned subsidiary, Bennamon Industries Pty Ltd ACN 666 620 677 (*Bennamon*), intends to acquire all of the ordinary shares that Kin Group does not own in Pact under an off-market takeover bid (*Offer*);
 - (b) Mr Geminder is the chairman and a non-executive director of Pact, and a director of Bennamon;
 - (c) Pact has advised ASIC that Mr Geminder has not at any time been involved in making decisions in relation to, or the consideration of Pact's response to, the Offer;
 - (d) Pact has advised ASIC that it will include in the target's statement information about the reasons for, and effect of, this instrument.

Dated this 10th day of October 2023



Signed by Sean Ferguson
as a delegate of the Australian Securities and Investments Commission

23-0766

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 655A(1)(a) – Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 655A(1)(a) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 23-0766.

Commencement

3. This instrument commences on the date it is signed.

Exemption

4. The following persons do not have to comply with subsection 606(1) of the Act:
 - (a) Tasmae SaleCo Limited ACN 670 255 875 (*Sale Facility Operator*);
 - (b) Stephen Young as sole shareholder of the Sale Facility Operator; and
 - (c) Tasmae Limited ACN 088 588 425 (*Company*),(each an *Applicant*).

Where this instrument applies

5. This instrument applies where:
 - (a) the acquisition of a relevant interest in Shares from a Selling Shareholder occurs as a result of the Selling Shareholder entering into an agreement or arrangement to participate in, and participating in, a facility or other arrangement established by the Sale Facility Operator and the Company under which:
 - (i) the Selling Shareholder sells or transfers their Shares to the Sale Facility Operator and agrees not to dispose of their shares pursuant to the Sale Implementation Deed;
 - (ii) the Sale Facility Operator sells or transfers the Shares under offers made under the IPO Prospectus; and
 - (iii) the Company, as the Sale Facility Operator's exclusive agent, provides consideration to Selling Shareholders for the sale or transfer referred to in paragraph (a)(i) which is referable to the consideration received by the Company for the sale or transfer referred to in paragraph (a)(ii), subject to the payment by the Company of any costs associated with the sale or transfer

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referred to in paragraph (a)(ii), pursuant to the Sale Implementation Deed;

- (b) each Applicant will cease to have a relevant interest in Shares which the Sale Facility Operator acquired under the facility or arrangement described in paragraph (a) above by the earlier of:
 - (i) the date on which Shares are transferred under an offer of Shares under the IPO Prospectus; and
 - (ii) 30 days from the date the Sale Facility Operator acquires a relevant interest in the Shares under the Sale Implementation Deed;
- (c) the Sale Facility Operator does not sell a Share to a person if it knows or believes that person would contravene section 606 of the Act by acquiring the Share;
- (d) the Sale Facility Operator does not exercise any voting rights attaching to Shares which it acquires under the facility or arrangement described in paragraph (a), without ASIC's consent; and
- (e) each of the Company and the Sale Facility Operator use their best endeavours to obtain as wide a placement of the Shares as practicable.

Interpretation

6. In this instrument:

IPO means the initial public offering of ordinary shares in the Company;

IPO Prospectus means the prospectus proposed to be lodged with ASIC by the Company and Sale Facility Operator on or around 26 October 2023;

Sale Implementation Deed means the sale implementation deed pursuant to which Selling Shareholders will sell to the Sale Facility Operator some or all of their Shares;

Selling Shareholder means each holder of Shares who elects to sell their Shares to the Sale Facility Operator pursuant to the Sale Implementation Deed, who in turn will sell those Shares as part of the IPO; and

Share means a fully-paid ordinary share in the Company.

Dated this 10th day of October 2023



Signed by Daniel Slupek
as a delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001
Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this thirteenth day of October 2023

Name of Scheme	ARSN
ARDEA GLOBAL ALPHA FUND	609 079 174
AUSBIL ESG FOCUS FUND	613 052 565
CAI AUSTRALIAN SHARE FUND	114 291 299
FIDELITY AUSTRALIAN LOW VOLATILITY EQUITY FUND	651 643 104
FIDELITY GLOBAL LOW VOLATILITY EQUITY FUND	622 269 434
FIDELITY SUSTAINABLE WATER & WASTE FUND	617 169 365
ICFM CREDIT FUND	619 134 422
LAZARD GLOBAL MANAGED VOLATILITY FUND	146 484 273
MACKAY SHIELDS MULTI-SECTOR BOND FUND	611 482 243
NIKKO AM NEW ASIA FUND	116 556 113
TFS PREMIUM SANDALWOOD PROJECT 2004	108 714 736
THORNBURG MULTISECTOR OPPORTUNISTIC FUND	637 372 555

CORPORATIONS ACT 2001
Subsection 601CC(4)

ASIC has struck the registered Australian bodies listed below off the register.

Dated this thirteenth day of October 2023

Name of Company

ARBN

MY SWEAR BOX CHARITY FUNDS INCORPORATED

619 352 564

ROTARY CLUB OF D9650 YOUNG LEADERS, NEW

652 414 492

SOUTH WALES, AUSTRALIA INCORPORATED

CORPORATIONS ACT 2001
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this thirteenth day of October 2023

Name of Company	ARBN
AKAR (NEW ZEALAND) LAW ENFORCEMENT AND TACTICAL SUPPLIES LIMITED	610 856 365
ALASHANKOU CHANGCHENG TRADE CO., LTD	606 868 893
ALASHANKOU XINK CO., LTD	606 869 461
ALLIANCE MINING COMMODITIES LIMITED	624 533 311
DEUTSCHE LEASING (ASIA PACIFIC) LTD	127 134 034
J.S. REDPATH LIMITED	164 225 307
LOTAME SOLUTIONS ASIA PTE. LTD.	603 249 501
MNC MEDIA INVESTMENT LTD	164 134 472

CORPORATIONS ACT 2001
Section 601CL(5)

ASIC has struck the foreign companies listed
below off the register.

Dated this thirteenth day of October 2023

Name of Company**ACN**

ACIT B.V.

135 379 850

ASG TECHNOLOGIES GROUP, INC.

057 187 818

BYEEE LIMITED

626 355 682

ENGIE GLOBAL MARKETS

602 835 325

SHARECLARITY LIMITED

611 962 620

CORPORATIONS ACT 2001
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

AMP GROUP SERVICES LIMITED

ACN 080 339 457 will change to a proprietary company limited by shares. The new name will be AMP GROUP SERVICES PTY LIMITED ACN 080 339 457.

NATIONAL MUTUAL FUNDS MANAGEMENT (GLOBAL) LIMITED

ACN 057 398 393 will change to a proprietary company limited by shares. The new name will be NATIONAL MUTUAL FUNDS MANAGEMENT (GLOBAL) PTY LIMITED ACN 057 398 393.

AMP HOLDINGS LIMITED ACN 079 958 062

will change to a proprietary company limited by shares. The new name will be AMP HOLDINGS PTY LIMITED ACN 079 958 062.

PACIFIC RETIREMENT ESTATES PTY LTD

ACN 669 431 065 will change to a public company limited by shares. The new name will be PACIFIC RETIREMENT ESTATES LIMITED ACN 669 431 065.