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## Glossary

## Glossary

Term	Definition
<b>AA Fund</b>	Assetless Administration Fund
<b>ABRS</b>	Australian Business Registry Services
<b>ABS</b>	Australian Bureau of Statistics
<b>ACCC</b>	Australian Competition and Consumer Commission
<b>ACCP</b>	ASIC Consumer Consultative Panel
<b>ACER</b>	Australian Council of Educational Research
<b>AFCA</b>	Australian Financial Complaints Authority
<b>AFP</b>	Australian Federal Police
<b>AFS licence</b>	Australian financial services licence
<b>ANZ</b>	Australia and New Zealand Banking Group Limited
<b>APRA</b>	Australian Prudential Regulation Authority
<b>APRC</b>	Asia-Pacific Regional Committee
<b>APS</b>	Australian Public Service
<b>ASIC Act</b>	<i>Australian Securities and Investments Commission Act 2001</i>
<b>ASX</b>	ASX Limited or the exchange market operated by ASX Limited
<b>ATO</b>	Australian Taxation Office
<b>AUSTRAC</b>	Australian Transaction Reports and Analysis Centre
<b>Better Advice Act</b>	<i>Financial Sector Reform (Hayne Royal Commission Response—Better Advice) Act 2021</i>
<b>BRII</b>	Business Research and Innovation Initiative
<b>CBA</b>	Commonwealth Bank of Australia
<b>CCIV</b>	Corporate collective investment vehicle
<b>CDPP</b>	Commonwealth Director of Public Prosecutions
<b>CHESS</b>	ASX Clearing House Electronic Subregister System
<b>COO</b>	Chief Operating Officer
<b>Corporate Plan</b>	<i>Corporate Plan 2022–26 (Focus 2022–23)</i>
<b>Corporations Act</b>	<i>Corporations Act 2001</i>
<b>CPRs</b>	Commonwealth Procurement Rules
<b>DIRRI</b>	Declaration of independence, relevant relationships and indemnities
<b>EDR</b>	External dispute resolution

Term	Definition
<b>ERS</b>	Enhanced regulatory sandbox
<b>ESA</b>	Enforcement Special Account
<b>ESG</b>	Environmental, social and governance
<b>FAR</b>	Financial Accountability Regime
<b>Financial Services Royal Commission</b>	Royal Commission into Misconduct in the Banking, Superannuation and Financial Services Industry
<b>FOI Act</b>	<i>Freedom of Information Act 1982</i>
<b>FRAA</b>	Financial Regulator Assessment Authority
<b>FSCP</b>	Financial Services and Credit Panel
<b>FTE</b>	Full-time equivalent
<b>GST</b>	Goods and services tax
<b>IDPS</b>	Investor-directed portfolio service
<b>IDR</b>	Internal dispute resolution
<b>IOSCO</b>	International Organization of Securities Commissions
<b>kWh</b>	Kilowatt-hour, a measure of energy
<b>LED</b>	Light-emitting diode, a semiconductor device that converts electricity into light.
<b>LGBTIQ+</b>	Lesbian, gay, bisexual, transgender, intersex and queer
<b>MBR</b>	Modernising Business Registers
<b>MDA</b>	Managed discretionary account
<b>MDP</b>	Markets Disciplinary Panel
<b>MJ</b>	Megajoule, a unit of energy measurement
<b>MoG</b>	Machinery of government
<b>MOU</b>	Memorandum of understanding
<b>NAB</b>	National Australia Bank
<b>National Credit Act</b>	<i>National Consumer Credit Protection Act 2009</i>
<b>NICS</b>	National Indigenous Consumer Strategy
<b>OTC</b>	Over-the-counter
<b>PACE</b>	Positive Action towards Career Engagement
<b>PDS</b>	Product disclosure statement
<b>PGPA Act</b>	<i>Public Governance, Performance and Accountability Act 2013</i>
<b>PGPA Rule</b>	Public Governance, Performance and Accountability Rule 2014
<b>RAP</b>	Reconciliation Action Plan

Term	Definition
<b>RG</b>	Regulatory Guide
<b>SES</b>	Senior Executive Service
<b>SIS Act</b>	<i>Superannuation Industry (Supervision) Act 1993</i>
<b>SME</b>	Small to medium enterprise
<b>SMSF</b>	Self-managed superannuation fund
<b>TMD</b>	Target market determination
<b>WBC</b>	Westpac Banking Corporation



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## Compliance index

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## 8.1 Reporting requirements under the *Public Governance, Performance and Accountability Act 2013*

PGPA rule reference	Part of report/description	Requirement	Location (page(s))
<b>17AD(g)</b>	<b>Letter of transmittal</b>		
17AI	A copy of the letter of transmittal signed and dated by the accountable authority on the date the final text is approved, with a statement that the report has been prepared in accordance with section 46 of the PGPA Act and any enabling legislation that specifies additional requirements in relation to the annual report	Mandatory	1
<b>17AD(h)</b>	<b>Aids to access</b>		
17AJ(a)	Table of contents	Mandatory	2–3
17AJ(b)	Alphabetical index	Mandatory	257
17AJ(c)	Glossary of abbreviations and acronyms	Mandatory	235
17AJ(d)	List of requirements	Mandatory	239
17AJ(e)	Details of contact officer	Mandatory	268
17AJ(f)	Entity's website address	Mandatory	268
17AJ(g)	Electronic address of report	Mandatory	268
<b>17AD(a)</b>	<b>Review by accountable authority</b>		
17AD(a)	A review by the accountable authority of the entity	Mandatory	6
<b>17AD(b)</b>	<b>Overview of the entity</b>		
17AE(1)(a)(i)	A description of the role and functions of the entity	Mandatory	9
17AE(1)(a)(ii)	A description of the organisational structure of the entity	Mandatory	11–12, 95

PGPA rule reference	Part of report/description	Requirement	Location (page(s))
17AE(1)(a)(iii)	A description of the outcomes and programs administered by the entity	Mandatory	17–92
17AE(1)(a)(iv)	A description of the purposes of the entity as included in the Corporate Plan	Mandatory	9–10
17AE(1)(aa)(i)	Name of the accountable authority or each member of the accountable authority	Mandatory	94
17AE(1)(aa)(ii)	Position title of the accountable authority or each member of the accountable authority	Mandatory	94
17AE(1)(aa)(iii)	Period as the accountable authority or member of the accountable authority within the reporting period	Mandatory	94
17AE(1)(b)	An outline of the structure of the portfolio of the entity	Portfolio departments – mandatory	N/A
17AE(2)	Where the outcomes and programs administered by the entity differ from any Portfolio Budget Statement, Portfolio Additional Estimates Statement or other portfolio estimates statement that was prepared for the entity for the period, details of variation and reasons for change	If applicable, mandatory	N/A
<b>17AD(c)</b>	<b>Report on the performance of the entity</b>		
	<b>Annual performance statements</b>		
17AD(c)(i); 16F	Annual performance statement in accordance with paragraph 39(1)(b) of the PGPA Act and section 16F of the PGPA Rule	Mandatory	17–92
<b>17AD(c)(ii)</b>	<b>Report on financial performance</b>		
17AF(1)(a)	A discussion and analysis of the entity's financial performance	Mandatory	14–15, 109–172
17AF(1)(b)	A table summarising the total resources and total payments of the entity	Mandatory	191–193

PGPA rule reference	Part of report/description	Requirement	Location (page(s))
17AF(2)	If there may be significant changes in the financial results during or after the previous or current reporting period, information on those changes, including: the cause of any operating loss of the entity; how the entity has responded to the loss and the actions that have been taken in relation to the loss; and any matter or circumstances that it can reasonably be anticipated will have a significant impact on the entity's future operation or financial results	If applicable, mandatory	N/A
<b>17AD(d)</b>	<b>Management and accountability</b>		
	<b>Corporate governance</b>		
17AG(2)(a)	Information on compliance with section 10 (fraud systems) of the PGPA Rule	Mandatory	212
17AG(2)(b)(i)	A certification by the accountable authority that fraud risk assessments and fraud control plans have been prepared	Mandatory	212
17AG(2)(b)(ii)	A certification by the accountable authority that appropriate mechanisms for preventing, detecting incidents of, investigating or otherwise dealing with, and recording or reporting fraud that meet the specific needs of the entity are in place	Mandatory	212
17AG(2)(b)(iii)	A certification by the accountable authority that all reasonable measures have been taken to deal appropriately with fraud relating to the entity	Mandatory	212
17AG(2)(c)	An outline of structures and processes in place for the entity to implement principles and objectives of corporate governance	Mandatory	93–99, 179–180
17AG(2)(d)–(e)	A statement of significant issues reported to the Minister under paragraph 19(1)(e) of the PGPA Act that relate to non-compliance with finance law and action taken to remedy non-compliance	If applicable, mandatory	213



PGPA rule reference	Part of report/description	Requirement	Location (page(s))
<b>Audit Committee</b>			
17AG(2A)(a)	A direct electronic address of the charter determining the functions of the entity's audit committee	Mandatory	181
17AG(2A)(b)	The name of each member of the entity's audit committee	Mandatory	181–184
17AG(2A)(c)	The qualifications, knowledge, skills or experience of each member of the entity's audit committee	Mandatory	181–184
17AG(2A)(d)	Information about the attendance of each member of the entity's audit committee at committee meetings	Mandatory	181–184
17AG(2A)(e)	The remuneration of each member of the entity's audit committee	Mandatory	185
<b>External scrutiny</b>			
17AG(3)	Information on the most significant developments in external scrutiny and the entity's response to the scrutiny	Mandatory	177–179
17AG(3)(a)	Information on judicial decisions and decisions of administrative tribunals and by the Australian Information Commissioner that may have a significant effect on the operations of the entity	If applicable, mandatory	179
17AG(3)(b)	Information on any reports on operations of the entity by the Auditor-General (other than reports under section 43 of the Act), a Parliamentary Committee or the Commonwealth Ombudsman	If applicable, mandatory	212–213
17AG(3)(c)	Information on any capability review on the entity that was released during the period	If applicable, mandatory	N/A
<b>Management of human resources</b>			
17AG(4)(a)	An assessment of the entity's effectiveness in managing and developing employees to achieve entity objectives	Mandatory	102–104

PGPA rule reference	Part of report/description	Requirement	Location (page(s))
17AG(4)(aa)	Statistics on the entity's employees on an ongoing and non-ongoing basis, at the end of that and the previous reporting period, including the following:  (a) statistics on full-time employees (b) statistics on part-time employees (c) statistics on gender (d) statistics on staff location	Mandatory	194–197
17AG(4)(b)	Statistics on the entity's APS employees on an ongoing and non-ongoing basis, including the following:  › statistics on staffing classification level › statistics on full-time employees › statistics on part-time employees › statistics on gender › statistics on staff location › statistics on employees who identify as Indigenous	No longer mandatory <sup>1</sup>	N/A
17AG(4)(c)	Information on any enterprise agreements, individual flexibility arrangements, Australian workplace agreements, common law contracts and determinations under subsection 24(1) of the <i>Public Service Act 1999</i>	If applicable, mandatory	199
17AG(4)(c)(i)	Information on the number of SES and non-SES employees covered by enterprise agreements, individual flexibility arrangements, Australian workplace agreements, common law contracts and determinations under subsection 24(1) of the <i>Public Service Act 1999</i> during this period	If applicable, mandatory	199
17AG(4)(c)(ii)	The salary ranges available for APS employees by classification level	No longer mandatory <sup>2</sup>	N/A

<sup>1</sup> On 1 July 2019, ASIC moved out of the APS. The *Treasury Laws Amendment (Enhancing ASIC's Capabilities) Act 2018* amended the ASIC Act to remove the requirement for ASIC to engage employees under the *Public Service Act 1999*. Instead, it engages employees under section 120 of the ASIC Act.

<sup>2</sup> As above.

PGPA rule reference	Part of report/description	Requirement	Location (page(s))
17AG(4)(c)(iii)	A description of non-salary benefits provided to employees	If applicable, mandatory	103, 199
17AG(4)(d)(i)	Information on the number of employees at each classification level who received performance pay	No longer mandatory <sup>3</sup>	199
17AG(4)(d)(ii)	Information on aggregate amounts of performance pay at each classification level	No longer mandatory	199
17AG(4)(d)(iii)	Information on the average amount of performance payment, and the range of such payments, at each classification level	No longer mandatory	199
17AG(4)(d)(iv)	Information on the aggregate amount of performance payments	No longer mandatory	199
<b>Assets management</b>			
17AG(5)	An assessment of the effectiveness of assets management where asset management is a significant part of the entity's activities	If applicable, mandatory	218–219
<b>Purchasing</b>			
17AG(6)	An assessment of the entity performance against the Commonwealth Procurement Rules	Mandatory	224–225
<b>Reportable consultancy contracts</b>			
17AG(7)(a)	A summary statement detailing the number of new reportable consultancy contracts entered into during the period; the total actual expenditure on all such contracts (inclusive of GST); the number of ongoing reportable consultancy contracts that were entered into during a previous reporting period; and the total actual expenditure in the reporting year on those ongoing contracts (inclusive of GST)	Mandatory	227

<sup>3</sup> While this content is no longer mandatory for ASIC to report, we have included it because it is data we record, and it may be of interest to the public.

PGPA rule reference	Part of report/description	Requirement	Location (page(s))
17AG(7)(b)	A statement that 'During [reporting period], [specified number] new reportable consultancy contracts were entered into involving total actual expenditure of \$[specified million]. In addition, [specified number] ongoing reportable consultancy contracts were active during the period, involving total actual expenditure of \$[specified million].'	Mandatory	227
17AG(7)(c)	A summary of the policies and procedures for selecting and engaging consultants and the main categories of purposes for which consultants were selected and engaged	Mandatory	228
17AG(7)(d)	A statement that 'Annual reports contain information about actual expenditure on reportable consultancy contracts. Information on the value of reportable consultancy contracts is available on the AusTender website.'	Mandatory	227
<b>Reportable non-consultancy contracts</b>			
17AG(7A)(a)	A summary statement detailing the number of new reportable non-consultancy contracts entered into during the period; the total actual expenditure on such contracts (inclusive of GST); the number of ongoing reportable non-consultancy contracts that were entered into during a previous reporting period; and the total actual expenditure in the reporting period on those ongoing contracts (inclusive of GST)	Mandatory	226
17AG(7A)(b)	A statement that 'Annual reports contain information about actual expenditure on reportable non-consultancy contracts. Information on the value of reportable non-consultancy contracts is available on the AusTender website.'	Mandatory	226

PGPA rule reference	Part of report/description	Requirement	Location (page(s))
<b>17AD(daa)</b>	<b>Additional information about organisations receiving amounts under reportable consultancy contracts or reportable non-consultancy contracts</b>		
17AGA	Additional information, in accordance with section 17AGA, about organisations receiving amounts under reportable consultancy contracts or reportable non-consultancy contracts	Mandatory	227
<b>Australian National Audit Office access clauses</b>			
17AG(8)	If an entity entered into a contract with a value of more than \$100,000 (inclusive of GST) and the contract did not provide the Auditor-General with access to the contractor's premises, the report must include the name of the contractor, the purpose and value of the contract, and the reason why a clause allowing access was not included in the contract	If applicable, mandatory	225–226
<b>Exempt contracts</b>			
17AG(9)	If an entity entered into a contract or there is a standing offer with a value greater than \$10,000 (inclusive of GST) that has been exempted from being published in AusTender because it would disclose exempt matters under the FOI Act, the annual report must include a statement that the contract or standing offer has been exempted, and the value of the contract or standing offer, to the extent that doing so does not disclose the exempt matters	If applicable, mandatory	N/A

PGPA rule reference	Part of report/description	Requirement	Location (page(s))
<b>Small business</b>			
17AG(10)(a)	A statement that '[Name of entity] supports small business participation in the Commonwealth Government procurement market. Small and medium enterprises (SME) and small enterprise participation statistics are available on the Department of Finance's website.'	Mandatory	228
17AG(10)(b)	An outline of the ways in which the procurement practices of the entity support small and medium enterprises	Mandatory	228
17AG(10)(c)	If the entity is considered by the Department administered by the Finance Minister as material in nature – a statement that '[Name of entity] recognises the importance of ensuring that small businesses are paid on time. The results of the Survey of Australian Government Payments to Small Business are available on the Treasury's website.'	If applicable, mandatory	228
<b>Financial statements</b>			
17AD(e)	Inclusion of the annual financial statements in accordance with subsection 43(4) of the PGPA Act	Mandatory	Chapter 5
<b>Executive remuneration</b>			
17AD(da)	Information about executive remuneration in accordance with Subdivision C of Division 3A of Part 2-3 of the Rule	Mandatory	200–205
<b>17AD(f)</b>	<b>Other mandatory information</b>		

PGPA rule reference	Part of report/description	Requirement	Location (page(s))
17AH(1)(a)(i)	If the entity conducted advertising campaigns, a statement that 'During [reporting period], the [name of entity] conducted the following advertising campaigns: [name of advertising campaigns undertaken]. Further information on those advertising campaigns is available at [address of entity's website] and in the reports on Australian Government advertising prepared by the Department of Finance. Those reports are available on the Department of Finance's website.'	If applicable, mandatory	224
17AH(1)(a)(ii)	If the entity did not conduct advertising campaigns, a statement to that effect	If applicable, mandatory	N/A
17AH(1)(b)	A statement that 'Information on grants awarded by [name of entity] during [reporting period] is available at [address of entity's website].'	If applicable, mandatory	218
17AH(1)(c)	Outline of mechanisms of disability reporting, including reference to website for further information	Mandatory	108
17AH(1)(d)	Website reference to where the entity's Information Publication Scheme statement pursuant to Part II of the FOI Act can be found	Mandatory	214
17AH(1)(e)	Correction of material errors in previous annual report	If applicable, mandatory	255
17AH(2)	Information required by other legislation	Mandatory	250–254

Note: N/A means not applicable.

Source: Public Governance, Performance and Accountability Rule 2014, Schedule 2.

## 8.2 Additional compliance reporting requirements

Description	Requirement	Source of requirement	Location (page(s))
Exercise of ASIC's powers under Part 15 of the <i>Retirement Savings Accounts Act 1997</i> and under Part 29 of the <i>Superannuation Industry (Supervision) Act 1993</i>	Mandatory	ASIC Act, s136(1)(a)	212
ASIC's monitoring and promotion of market integrity and consumer protection in relation to the Australian financial system and the provision of financial services	Mandatory	ASIC Act, s136(1)(b)	21–88
ASIC's activities in accordance with each agreement or arrangement entered into by ASIC under s11(14) of the ASIC Act	Mandatory	ASIC Act, s136(1)(c)	212
Information about the activities that ASIC has undertaken during the period in exercise of its powers, and performance of its functions, under Chapter 5 of, or Schedule 2 to, the Corporations Act and any provisions of that Act that relate to that Chapter or Schedule	Mandatory	ASIC Act, s136(1)(ca)	21–27, 54–58
Information about any instances during the period where ASIC failed to consult as required by section 1023F of the Corporations Act or section 301F of the National Credit Act	Mandatory	ASIC Act, s136(1)(cb)	212



Description	Requirement	Source of requirement	Location (page(s))
The operation of the <i>Business Names Registration Act 2011</i> , including details of the level of access to the Business Names Register using the internet and other facilities, the timeliness with which ASIC carries out its duties, functions and powers under the Act, and the cost of registration of a business name under the Act	Mandatory	ASIC Act, s136(1)(d)	76–77, 231
Information, for the relevant period, about the activities undertaken by each Financial Services and Credit Panel during the period, any exams administered by ASIC under subs 921B(3) Corporations Act, any warnings given by ASIC under s912S Corporations Act, any decisions by ASIC not to follow a recommendation under subs 921Q(1) Corporations Act	Mandatory	ASIC Act, s136(1)(da)	187–189
The number of times ASIC used an information-gathering power, the provision of the Corporations Act, the ASIC Act, or another law that conferred the power, and the number of times in the previous financial year ASIC used the power	Mandatory	ASIC Act, s136(1)(e), reg 8AAA(1)	215–217
ASIC's regional administration in referring states and the Northern Territory, including a statement on ASIC's performance against service-level performance indicators during the relevant period	Mandatory	Corporations Agreement, s603(3)	84–85, 89–91

Description	Requirement	Source of requirement	Location (page(s))
A report on the activities of each state and Northern Territory Regional Liaison Committee maintained by the Regional Commissioners	Mandatory	Corporations Agreement, s604(4)	84–85
A report on the work of the financial services and consumer credit external dispute resolution schemes, and ASIC's assessment of the systemic and significant issues the schemes have raised in their reports to ASIC, including information on any action taken in response to the matters raised in these reports	Suggested	Senate Economics References Committee inquiry into the performance of ASIC, Recommendation 4	229–230
Commentary on ASIC's activities related to monitoring compliance with court enforceable undertakings, and on how court enforceable undertakings have led to improved compliance with the law	Suggested	Senate Economics References Committee inquiry into the performance of ASIC, Recommendation 27	60
Accountable authority must state, in the annual financial statements, whether, in the authority's opinion, the statements comply with subsection 42(2)	Mandatory	PGPA Act, s42(3)	Chapter 5
<b>Work health and safety</b>			
(a) initiatives taken during the year to ensure the health, safety and welfare of workers who carry out work for the entity	Mandatory	<i>Work Health and Safety Act 2011</i> , s4(2) Sch 2	105
(b) health and safety outcomes (including the impact on injury rates of workers) achieved as a result of initiatives mentioned under paragraph (a) or previous initiatives	Mandatory	<i>Work Health and Safety Act 2011</i> , s4(2) Sch 2	105

Description	Requirement	Source of requirement	Location (page(s))
(c) statistics of any notifiable incidents of which the entity becomes aware during the year that arose out of the conduct of businesses or undertakings by the entity	Mandatory	<i>Work Health and Safety Act 2011</i> , s4(2) Sch 2	105
(d) any investigations conducted during the year that relate to businesses or undertakings conducted by the entity, including details of all notices given to the entity during the year under Part 10 of this Act	Mandatory	<i>Work Health and Safety Act 2011</i> , s4(2) Sch 2	N/A
(e) such other matters as are required by guidelines approved on behalf of the Parliament by the Joint Committee of Public Accounts and Audit.	Mandatory	<i>Work Health and Safety Act 2011</i> , s4(2) Sch 2	N/A
<b>Environmental performance</b>			
(a) include a report on how the activities of, and the administration (if any) of legislation by, the reporter during the period accorded with the principles of ecologically sustainable development	Mandatory	<i>Environment Protection and Biodiversity Conservation Act 1999</i> , s516A(6)	221–223
(b) identify how the outcomes (if any) specified for the reporter in an Appropriations Act relating to the period contribute to ecologically sustainable development	Mandatory	<i>Environment Protection and Biodiversity Conservation Act 1999</i> , s516A(6)	221–223
(c) document the effect of the reporter's activities on the environment	Mandatory	<i>Environment Protection and Biodiversity Conservation Act 1999</i> , s516A(6)	221–223

Description	Requirement	Source of requirement	Location (page(s))
(d) identify any measures the reporter is taking to minimise the impact of activities by the reporter on the environment	Mandatory	<i>Environment Protection and Biodiversity Conservation Act 1999, s516A(6)</i>	221–223
(e) identify the mechanisms (if any) for reviewing and increasing the effectiveness of those measures	Mandatory	<i>Environment Protection and Biodiversity Conservation Act 1999, s516A(6)</i>	221–223
Any other Committee recommendations <sup>4</sup>			N/A

<sup>4</sup> The Parliamentary Joint Committee on Corporations and Financial Services released a report on ASIC licence transfers as part of its Statutory inquiry into ASIC, the Takeovers Panel, and the corporations legislation on 3 July 2023. The Committee recommended that ASIC include information in its annual report on the numbers of transfers or changes in control that occur each year for each type of ASIC licence, including AFS licences; Australian credit licences; Australian auditor registrations; market licences; benchmark operator licences; and clearing and settlement licences. ASIC intends to report on these new data sets from the 2023–24 Annual Report onwards.

## Annual report 2021–22 errata

ASIC retracts the following statement on page 71 of the 2021–22 ASIC Annual Report:

- On 7 June 2022, ASIC commenced action against SunshineLoans Pty Ltd, which is alleged to have collected \$320,000 in prohibited fees from consumers in relation to small amount credit contracts. The National Credit Code limits the fees that may be charged under these loans to an establishment fee, monthly fees and default fees. Sunshine Loans allegedly charged consumers the maximum amount of those fees, and then sought to charge consumers additional fees in the form of repayment amendment or rescheduling fees.

ASIC corrects the statement with the following:

- On 6 June 2022, ASIC commenced action against SunshineLoans Pty Ltd, in which ASIC alleged SunshineLoans had received payment of \$327,845.00 in prohibited fees from consumers in relation to small amount credit contracts. The National Consumer Credit Code limits the fees that may be charged under these loans to an establishment fee, monthly fees, a fee or charge that is payable in the event of a default, and a government fee, charge or duty payable in relation to the contract. ASIC alleges that SunshineLoans charged consumers additional fees not permitted by the National Credit Code in the form of an amendment or rescheduled payment fee.

On page 250 of the 2021–22 Annual Report, we inadvertently reported that “All major contracts entered into in 2020–21 contained provisions, as required, allowing the Auditor-General access to information held by contractors relating to contract performance”. Due to contracts using supplier terms instead of standard government contract terms, nine contracts did not provide the Auditor-General access to premises in 2021–2022 and are provided in the table below:

Name of contractors	Purpose of contract	Value inc GST
ONE SMALL STEP COLLECTIVE PTY LTD.	Social Media Monitoring Tool	\$182,160.00
BLOOMBERG AUSTRALIA PTY LTD	Renewing two licenses for Bloomberg Anywhere for two years 2022–2024	\$183,494.19
INFORMATICA AUSTRALIA PTY LTD	Informatica Support and Maintenance	\$134,314.16
PLURALSIGHT, LLC	Pluralsight Training - A Cloud Guru Business Plus	\$164,260.75
ONE SMALL STEP COLLECTIVE PTY LTD.	Brandwatch annual subscription	\$183,040.00
ONIGROUP PTY LTD	Google reCAPTCHA Enterprise Software 2021 to 2023	\$297,907.50

Name of contractors	Purpose of contract	Value inc GST
MORNINGSTAR AUSTRALASIA PTY LTD	Morningstar Subscriptions 1 Nov 2021 - 31 Oct 2024 with 2 Optional contract extensions (1 year each)	\$996,600.00
FACTIVA LIMITED	Dow Jones Factiva Electronic Agreement 2022 - 2023	\$247,131.00
THOMSON REUTERS (PROFESSIONAL) AUSTRALIA	Thomson Reuters Electronic Agreement 2022 - 2025	\$1,800,809.51



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## General index

## A

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# Contact details

For general information about ASIC, for specific information for ASIC's regulated populations, and to do business with ASIC, including searching ASIC's registers, registering and searching business names, and lodging and updating company or licence details, go to [www.asic.gov.au](http://www.asic.gov.au).

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### ASIC information for consumers and investors

X: @MoneySmartTeam  
Facebook: [facebook.com/moneysmartAU](https://www.facebook.com/moneysmartAU)  
YouTube: [youtube.com/moneysmartAU](https://www.youtube.com/moneysmartAU)

### ASIC registry and licensing services

X: @ASIC\_Connect  
Facebook: [facebook.com/ASICConnect](https://www.facebook.com/ASICConnect)  
YouTube: [youtube.com/ASICConnect](https://www.youtube.com/ASICConnect)

## To contact ASIC by mail

For all correspondence with ASIC Commissioners and for all regulatory, enforcement, legal, operational and administrative matters:

ASIC, GPO Box 9827  
Brisbane, QLD 4001

For registry and licensing matters, including company and annual statements, notification of changes to company or licence details, routine company or licence compliance documents, deregistration and reinstatements:

ASIC, PO Box 4000  
Gippsland Mail Centre, VIC 3841



