



REGISTRY

COMPANIES (AND THEIR OFFICERS)

- › **Australian Companies Register**
(directors and officers – names, home addresses and other details, share structure, ultimate holding company and for some financial statements)
- › **Foreign Companies Register**
- › **Registrable Australian Bodies Register**
- › **Reserved Company Names Register**

BUSINESS NAMES (AND THEIR HOLDERS)

- › **Business Names Register**

PROFESSIONALS / LICENSEES

- › **Professional Registers:**
AFS Licensees,
AFS Authorised Representatives,
Financial Advisers,
Australian Credit Licensees,
Australian Credit Representatives,
Registered Liquidators,
Official Liquidators,
Registered Company Auditors,
Authorised Audit Companies,
Approved SMSF Auditors,
Registered Managed Investment Schemes

BANNED AND DISQUALIFIED REGISTERS

- › **People who have been:**
Disqualified from involvement in the management of a corporation
Disqualified from auditing SMSF funds
Banned from practicing in the AFS or credit industry.
- › **Organisations that have been:**
Banned from the AFS or credit industry
Banned from the credit industry by a state or territory
- › **Information drawn from the following registers:**
Disqualified Persons Register,
Credit Banned/Disqualified (Persons) Register,
AFS Banned/Disqualified Persons Register,
Banned Securities Representatives Register (pre-AFS licenses),
Banned Futures Representatives Register (pre-AFS licenses)

OTHER REGISTERS

- › **Carbon Registrants Register**
- › **Trustees for Debenture Holders Registers**
- › **Corporate Collective Investment Vehicle Register**

HISTORICAL REGISTERS

- › Data retained and accessible but not updated

NON-REGISTRY

INSOLVENCY

- › **Corporate Insolvency Statistics**
- › **ASIC Published Notices**

MARKETS

- › **Market Infrastructure Licensees**
- › **Short Selling Position Reports**
- › **Quarterly Block Tier Thresholds**
- › **Licensed Domestic Financial Markets**
- › **Licensed Overseas Financial Markets Operating in Australia**
- › **Exempt Markets**
- › **Equity Market Data**

OTHER

- › **Court Enforceable Undertakings Register**
- › **Infringement Notices Register**
- › **Markets Disciplinary Panel Outcomes Register**
- › **Investor Alert List**
- › **Public Warning Notices**
- › **Unclaimed Money**
- › **Offer Notice Board**
- › **Register of Certificates of Transfer for Licensed Trustee Companies**
- › **Registrable Superannuation Entities Document Register**