



ASIC
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Investments Commission

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RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review (RG57)* and Information Sheet *ASIC decisions – your rights (INFO 9)* to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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21-0096

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: ESV Financial Services Pty Ltd
ACN 609 492 051 ("the Licensee")
Level 13
68 York Street
Sydney NSW 2000

Pursuant to 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 484772 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 5 February 2021

Signed 

A delegate of the Australian Securities and Investments Commission

21-0098

**Australian Securities and Investments Commission
Corporations Act 2001—Subsection 601QA(1)(a) - Exemption**

Enabling Legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 601QA(1)(a) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC instrument 21-0098.

Commencement

3. This instrument commences on the day it is signed.

Exemption—Unequal treatment in withdrawal from a Chi-X managed fund

4. PIMCO Australia Management Limited ACN 611 709 507 (**Responsible Entity**) in its capacity as responsible entity for the PIMCO Diversified Fixed Interest Fund ARSN 093 519 558 (**Fund**), does not have to comply with paragraph 601FC(1)(d) of the Act to the extent that it would prevent the responsible entity from permitting only authorised participants to withdraw units in the PIMCO Diversified Fixed Interest Fund — Active ETF Class (Managed Fund) (**Class**), being a class of units in the Fund that is a Chi-X quoted managed fund.

Where the exemption applies

5. The exemption applies where all of the following are satisfied:
 - (a) there is a Product Disclosure Statement in relation to interests in the Class that are investment products admitted to quotation by Chi-X that includes statements to the effect that:
 - (i) the Class will invest in cash and fixed interest securities either directly or indirectly (via investments in other funds managed by the Responsible Entity or its affiliates) which include government, corporate, mortgage and other fixed interest securities. The Class invests predominantly in investment grade securities but may also invest in non-investment grade fixed interest securities and emerging market debt;
 - (ii) the Responsible Entity will not treat members of the same class equally to the extent that it restricts withdrawal from the Class to authorised participants;
 - (iii) except in exceptional circumstances only authorised participants may withdraw their interests from the Class, but other members may sell their interests on the Chi-X Market; and

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- (iv) when interests in the Class are suspended from trading on the Chi-X Market for more than 5 consecutive trading days, members have a right to withdraw from the Class and receive payment for their interests in money within a reasonable time of request unless any of the following apply:
- (A) the Class is being wound-up;
 - (B) the Fund is not liquid as defined in subsection 601KA(4) of the Act;
 - (C) the Responsible Entity suspends withdrawals in accordance with the constitution;
- (b) the constitution of the Fund does not permit a withdrawal fee per interest in the Class to be payable by a member who is not an authorised participant that is greater than the withdrawal fee per interest that would generally be payable by an authorised participant receiving redemption proceeds in cash while interests in the Class are quoted when withdrawing the minimum parcel;
- (c) ASIC has not notified the Responsible Entity in writing that it is excluded from reliance on the exemption; and
- (d) where an authorised participant is not an Australian resident for tax purposes, the Responsible Entity is able to recover any withholding tax liability arising on any distribution of income referable to a redemption amount payable to the authorised participant.

Note: Subparagraph 5(b) applies regardless of whether the withdrawal fees for the Fund are calculated on a per interest basis or otherwise.

Interpretation

6. In this instrument:

admitted to quotation means admitted to quotation pursuant to rule 14.9 of the Chi-X Operating Rules.

authorised participant means, in relation to the Class, a person who:

- (a) has an agreement with the Responsible Entity of the Fund in relation to making applications to acquire and withdraw interests in the Class; and
- (b) is either a Trading Participant or has engaged a Trading Participant to act on its behalf to acquire and dispose of interests in the Class.

Chi-X means Chi-X Australia Pty Ltd (ACN 129 584 667).

Chi-X Market means the financial market operated by Chi-X under Australian Market Licence (Chi-X Australia Pty Ltd) 2011.

Chi-X Operating Rules means the operating rules of the Chi-X Market.

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investment product has the same meaning as in the Chi-X Operating Rules.

quoted managed fund has the same meaning as in the Chi-X Operating Rules.

minimum parcel means the smallest number or value of interests in the Chi-X quoted managed fund that are generally permitted to be withdrawn from the Chi-X quoted managed fund by an authorised participant while interests in the Chi-X quoted managed fund are quoted.

Trading Participant has the same meaning as that given to 'participant' in the Chi-X Operating Rules.

withdrawal fee per interest means, in relation the Class, the fee that is payable in relation to a withdrawal from the Class divided by the number of interests in the Class to which the withdrawal relates.

Dated this 5th day of February 2021



Signed by Flora Lee
As a delegate of the Australian Securities and Investments Commission

21-0099

**Australian Securities and Investments Commission
Corporations Act 2001—Subsection 601QA(1)(a) - Exemption**

Enabling Legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 601QA(1)(a) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC instrument 21-0099.

Commencement

3. This instrument commences on the day it is signed.

Exemption—Unequal treatment in withdrawal from a Chi-X managed fund

4. PIMCO Australia Management Limited ACN 611 709 507 (**Responsible Entity**) in its capacity as responsible entity for the PIMCO Global Bond Fund ARSN 093 530 486 (**Fund**), does not have to comply with paragraph 601FC(1)(d) of the Act to the extent that it would prevent the responsible entity from permitting only authorised participants to withdraw units in the PIMCO Global Bond Fund – Active ETF Class (Managed Fund) (**Class**), being a class of units in the Fund that is a Chi-X quoted managed fund.

Where the exemption applies

5. The exemption applies where all of the following are satisfied:
 - (a) there is a Product Disclosure Statement in relation to interests in the Class that are investment products admitted to quotation by Chi-X that includes statements to the effect that:
 - (i) the Class will invest in cash and fixed interest securities which include government, corporate, mortgage and other fixed interest securities. The Class invests predominantly in investment grade securities but may also invest in non-investment grade fixed interest securities and emerging market debt;
 - (ii) the Responsible Entity will not treat members of the same class equally to the extent that it restricts withdrawal from the Class to authorised participants;
 - (iii) except in exceptional circumstances only authorised participants may withdraw their interests from the Class, but other members may sell their interests on the Chi-X Market; and

- (iv) when interests in the Class are suspended from trading on the Chi-X Market for more than 5 consecutive trading days, members have a right to withdraw from the Class and receive payment for their interests in money within a reasonable time of request unless any of the following apply:
- (A) the Class is being wound-up;
 - (B) the Fund is not liquid as defined in subsection 601KA(4) of the Act;
 - (C) the Responsible Entity suspends withdrawals in accordance with the constitution;
- (b) the constitution of the Fund does not permit a withdrawal fee per interest in the Class to be payable by a member who is not an authorised participant that is greater than the withdrawal fee per interest that would generally be payable by an authorised participant receiving redemption proceeds in cash while interests in the Class are quoted when withdrawing the minimum parcel;
- (c) ASIC has not notified the Responsible Entity in writing that it is excluded from reliance on the exemption; and
- (d) where an authorised participant is not an Australian resident for tax purposes, the Responsible Entity is able to recover any withholding tax liability arising on any distribution of income referable to a redemption amount payable to the authorised participant.

Note: Subparagraph 5(b) applies regardless of whether the withdrawal fees for the Fund are calculated on a per interest basis or otherwise.

Interpretation

6. In this instrument:

admitted to quotation means admitted to quotation pursuant to rule 14.9 of the Chi-X Operating Rules.

authorised participant means, in relation to the Class, a person who:

- (a) has an agreement with the Responsible Entity of the Fund in relation to making applications to acquire and withdraw interests in the Class; and
- (b) is either a Trading Participant or has engaged a Trading Participant to act on its behalf to acquire and dispose of interests in the Class.

Chi-X means Chi-X Australia Pty Ltd (ACN 129 584 667).

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Chi-X Market means the financial market operated by Chi-X under Australian Market Licence (Chi-X Australia Pty Ltd) 2011.

Chi-X Operating Rules means the operating rules of the Chi-X Market.

investment product has the same meaning as in the Chi-X Operating Rules.

quoted managed fund has the same meaning as in the Chi-X Operating Rules.

minimum parcel means the smallest number or value of interests in the Chi-X quoted managed fund that are generally permitted to be withdrawn from the Chi-X quoted managed fund by an authorised participant while interests in the Chi-X quoted managed fund are quoted.

Trading Participant has the same meaning as that given to 'participant' in the Chi-X Operating Rules.

withdrawal fee per interest means, in relation the Class, the fee that is payable in relation to a withdrawal from the Class divided by the number of interests in the Class to which the withdrawal relates.

Dated this 5th day of February 2021



Signed by Flora Lee
As a delegate of the Australian Securities and Investments Commission

21-0100

**Australian Securities and Investments Commission
Corporations Act 2001—Subsection 601QA(1)(a) - Exemption**

Enabling Legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 601QA(1)(a) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC instrument 21-0100.

Commencement

3. This instrument commences on the day it is signed.

Exemption—Unequal treatment in withdrawal from a Chi-X managed fund

4. PIMCO Australia Management Limited ACN 611 709 507 (**Responsible Entity**) in its capacity as responsible entity for the PIMCO Income Fund ARSN 608 585 324 (**Fund**), does not have to comply with paragraph 601FC(1)(d) of the Act to the extent that it would prevent the responsible entity from permitting only authorised participants to withdraw units in the PIMCO Income Fund — Active ETF Class (Managed Fund) (**Class**), being a class of units in the Fund that is a Chi-X quoted managed fund.

Where the exemption applies

5. The exemption applies where all of the following are satisfied:
 - (a) there is a Product Disclosure Statement in relation to interests in the Class that are investment products admitted to quotation by Chi-X that includes statements to the effect that:
 - (i) the Class will invest in cash and fixed interest securities either directly or indirectly (via investments in other funds managed by the Responsible Entity or its affiliates) which include government, corporate, mortgage and other fixed interest securities. The Class invests predominantly in investment grade securities but may invest up to 50% of its net assets in securities rated below investment grade (excluding mortgage-related and other asset backed securities);
 - (ii) the Responsible Entity will not treat members of the same class equally to the extent that it restricts withdrawal from the Class to authorised participants;

- (iii) except in exceptional circumstances only authorised participants may withdraw their interests from the Class, but other members may sell their interests on the Chi-X Market; and
- (iv) when interests in the Class are suspended from trading on the Chi-X Market for more than 5 consecutive trading days, members have a right to withdraw from the Class and receive payment for their interests in money within a reasonable time of request unless any of the following apply:
 - (A) the Class is being wound-up;
 - (B) the Fund is not liquid as defined in subsection 601KA(4) of the Act;
 - (C) the Responsible Entity suspends withdrawals in accordance with the constitution;
- (b) the constitution of the Fund does not permit a withdrawal fee per interest in the Class to be payable by a member who is not an authorised participant that is greater than the withdrawal fee per interest that would generally be payable by an authorised participant receiving redemption proceeds in cash while interests in the Class are quoted when withdrawing the minimum parcel;
- (c) ASIC has not notified the Responsible Entity in writing that it is excluded from reliance on the exemption; and
- (d) where an authorised participant is not an Australian resident for tax purposes, the Responsible Entity is able to recover any withholding tax liability arising on any distribution of income referable to a redemption amount payable to the authorised participant.

Note: Subparagraph 5(b) applies regardless of whether the withdrawal fees for the Fund are calculated on a per interest basis or otherwise.

Interpretation

6. In this instrument:

admitted to quotation means admitted to quotation pursuant to rule 14.9 of the Chi-X Operating Rules.

authorised participant means, in relation to the Class, a person who:

- (a) has an agreement with the Responsible Entity of the Fund in relation to making applications to acquire and withdraw interests in the Class; and
- (b) is either a Trading Participant or has engaged a Trading Participant to act on its behalf to acquire and dispose of interests in the Class.

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Chi-X means Chi-X Australia Pty Ltd (ACN 129 584 667).

Chi-X Market means the financial market operated by Chi-X under Australian Market Licence (Chi-X Australia Pty Ltd) 2011.

Chi-X Operating Rules means the operating rules of the Chi-X Market.

investment product has the same meaning as in the Chi-X Operating Rules.

quoted managed fund has the same meaning as in the Chi-X Operating Rules.

minimum parcel means the smallest number or value of interests in the Chi-X quoted managed fund that are generally permitted to be withdrawn from the Chi-X quoted managed fund by an authorised participant while interests in the Chi-X quoted managed fund are quoted.

Trading Participant has the same meaning as that given to 'participant' in the Chi-X Operating Rules.

withdrawal fee per interest means, in relation the Class, the fee that is payable in relation to a withdrawal from the Class divided by the number of interests in the Class to which the withdrawal relates.

Dated this 5th day of February 2021



Signed by Flora Lee
As a delegate of the Australian Securities and Investments Commission

21/0101

**Australian Securities and Investments Commission
Corporations Act 2001 – Subsection 741(1)(b) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 741(1)(b) of the *Corporations Act 2001 (Act)*.

Title

2. This instrument is ASIC Instrument 21/0101.

Commencement

3. This instrument commences on the date it is signed.

Declaration

4. Chapter 6D of the Act applies to a shareholder of the Company as if section 707 were modified or varied by omitting subsections 707(3) and (4) and substituting the following subsection:

- "(3) An offer of a body's securities for sale within 12 months after their issue needs disclosure to investors under this Part if the body issued the securities:
- (a) without disclosure to investors under this Part; and
 - (b) with the purpose of the person to whom they were issued:
 - (i) selling or transferring them; or
 - (ii) granting, issuing or transferring interests in, or options or warrants over, them;

and section 708 and 708A does not say otherwise."

Where this instrument applies

5. This instrument applies where a shareholder of the Company makes an offer of ordinary shares for sale (*Sale Securities*) where:
 - (a) the Sale Securities were issued on completion of an Intra-Group Restructure on or around settlement of the offer made under the Prospectus;
 - (b) the sale offer occurs within 12 months of the issue of the Sale Securities; and

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- (c) the Prospectus contains disclosure about the issue of the Sale Securities pursuant to the Intra-Group Restructure.

Interpretation

6. In this instrument:

Company means DDH1 Limited ACN 636 677 088;

Intra-Group Restructure means the transfer of all of the outstanding shares in DDH1 Holdings Pty Ltd ACN 625 946 321 to DDH1 Group Holdings Pty Ltd ACN 636 839 613 under the terms of the Share Sale Agreements.

Prospectus means the prospectus for the initial public offering of ordinary shares in the Company lodged with ASIC on or about 10 February 2021.

Share Sale Agreements means the share sale agreements entered into by DDH1 Group Holdings Pty Ltd ACN 636 839 613 and the shareholders of DDH1 Holdings Pty Ltd ACN 625 946 321 in connection with the proposed offer under the Prospectus, the terms of which are summarised in the Prospectus.

Dated this 5th day of February 2021



Signed by Waverley Duong
as a delegate for the Australian Securities and Investments Commission

21/0102

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 655A(1)(a) – Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 655A(1)(a) of the *Corporations Act 2001 (Act)*.

Title

2. This instrument is ASIC Instrument 21/0102.

Commencement

3. This instrument commences on the date it is signed.

Exemption

4. DDH1 Holdings Singapore Pte. Ltd. UEN 201821971R (*Company*), a body incorporated under the Companies Act of Singapore, does not have to comply with subsection 606(1) of the Act in respect of the relevant interest it acquires in the Shares.

Where this instrument applies

5. This instrument applies where:
 - (a) the Company acquires a relevant interest in the Shares as a result of the Restructure Steps; and
 - (b) if the Company's relevant interest in Shares exceeds 20% following the Restructure Steps, that relevant interest does not exceed the maximum relevant interest disclosed in the Prospectus.
6. This instrument shall remain effective, unless otherwise revoked, until the earlier of:
 - (a) the first date on which all of the Restructure Steps are complete; and
 - (b) 31 March 2021.

Interpretation

7. In this instrument:

DDH1 means DDH1 Limited ACN 636 677 088.

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DDH1 Group Holdings means DDH1 Group Holdings Pty Ltd ACN 636 839 613.

DDH1 Holdings means DDH1 Holdings Pty Ltd ACN 625 946 321.

Existing Shareholders means all the shareholders of DDH1 Holdings.

Prospectus means the offer of Shares under the prospectus issued by DDH1 and lodged with ASIC on or about 10 February 2021.

Restructure Steps means the transfer by Existing Shareholders of all of their shares in DDH1 Holdings to DDH1 Group Holdings, under the terms of the Share Sale Agreements, as consideration for the issue of Shares by DDH1 to the Existing Shareholders.

Shares means fully paid ordinary shares in DDH1.

Share Sale Agreements means the share sale agreements entered into by the DDH1 Group Holdings and the Existing Shareholders in connection with the proposed offer under the Prospectus, the terms of which are summarised in the Prospectus.

Dated this 5th day of February 2021



Signed by Waverley Duong
as a delegate for the Australian Securities and Investments Commission

21/0103

**Australian Securities and Investments Commission
Corporations Act 2001 – Subsections 601QA(1), 741(1), 926A(2),
992B(1) and 1020F(1) – Exemptions**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsections 601QA(1), 741(1), 926A(2), 992B(1) and 1020F(1) of the *Corporations Act 2001 (Act)*.

Title

2. This instrument is ASIC Instrument 21/0103.

Commencement

3. This instrument commences on the date it is signed.

Nature of this instrument

4. This instrument is an individual relief instrument, as as referred to in paragraphs 28A to 28D of ASIC Class Order [CO 14/1000].

Disclosure relief*Offers made under an employee incentive scheme*

5. The Company or a related body corporate that makes an offer under an employee incentive scheme covered by this instrument does not have to comply with Part 6D.2, 6D.3 or Part 7.9 of the Act in relation to an offer.

Subsequent sale offers

6. A person that makes a sale offer of an underlying eligible product within 12 months after the issue of the product does not have to comply with Part 6D.2, 6D.3 or Part 7.9 of the Act in relation to the sale offer where:
 - (a) the product was issued or otherwise granted:
 - (i) to an eligible participant under an employee incentive scheme; or
 - (ii) to a trustee in connection with an employee incentive scheme; and
 - (b) the person has no reason to believe the employee incentive scheme is not covered by this instrument.
7. A person that makes a sale offer of a financial product within 12 months after the issue of the product does not have to comply with Part 6D.2, 6D.3 or Part 7.9 of the Act in relation to the sale offer where:
 - (a) the product was issued by reason of the exercise or vesting of an eligible

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product issued or otherwise granted to:

- (i) an eligible participant under an employee incentive scheme; or
 - (ii) to a trustee in connection with an employee incentive scheme; and
- (b) the person has no reason to believe the employee incentive scheme is not covered by this instrument.

Licensing, hawking and other incidental relief

General advice

8. The Company or a related body corporate that makes an offer under an employee incentive scheme covered by this instrument and, in relation to the offer, provides a financial service consisting of general advice in connection with the offer, does not have to comply with subsection 911A(1) of the Act in relation to the advice.

Dealing

9. The Company or a related body corporate that provides any of the following financial services in relation to an offer in connection with an employee incentive scheme covered by this instrument does not have to comply with subsection 911A(1) of the Act in relation to the financial service:
- (a) issuing the eligible product;
 - (b) dealing in the eligible product where any acquisition by purchase or disposal of the eligible product by the Company or a related body corporate occurs either:
 - (i) through a financial services licensee; or
 - (ii) outside this jurisdiction and through a person which is licensed or otherwise authorised to deal in financial products of that kind in the relevant place;
 - (c) dealing in an interest in a managed investment scheme covered by paragraph 13 of this instrument or paragraph 28C of ASIC Class Order [CO 14/1000].

Custodial or depository services

10. The Company or a related body corporate that provides any of the following financial services in connection with an employee incentive scheme covered by this instrument does not have to comply with subsection 911A(1) of the Act in relation to the financial service:
- (a) a custodial or depository service in relation to the eligible product where the body performs their duties in good faith and has sufficient resources to perform those duties;

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- (b) dealing in the eligible product in the course of providing a custodial or depository service covered by paragraph (a).

Hawking

11. The Company or a related body corporate that makes an offer of an eligible product to an eligible participant in the course of, or because of, an unsolicited meeting or telephone call held or made in connection with an employee incentive scheme covered by this instrument does not have to comply with section 736, 992A or 992AA of the Act.

Advertising

12. The Company or a related body corporate that advertises, or publishes a statement that is reasonably likely to induce eligible participants to acquire, an eligible product under an employee incentive scheme covered by this instrument does not have to comply with section 1018A of the Act in relation to the advertisement or publication.

Incidental managed investment scheme

13. The Company or a related body corporate that operates a managed investment scheme only by reason of operating a contribution plan in connection with an employee incentive scheme covered by this instrument does not have to comply with section 601ED of the Act in relation to the operation of that managed investment scheme.

Conditions*Notice of reliance*

14. The Company or a related body corporate making an offer in connection with a particular employee incentive scheme must give ASIC a notice of reliance.

Note: A notice of reliance can cover a particular employee incentive scheme that is intended to operate for many years. A new notice of reliance will be required to be given to ASIC if the Company or a related body corporate establishes a new employee incentive scheme.

15. The Company or a related body corporate may give ASIC the notice of reliance at any time before the body first relies on this instrument in relation to the particular employee incentive scheme but, in any event, must give ASIC the notice of reliance no later than 1 month after the day the body first relies on this instrument in relation to the particular employee incentive scheme.

Disclosure

16. The Company or a related body corporate that makes an offer under an employee incentive scheme must ensure that the offer is made in, or is accompanied by, an offer document.

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Offers must be conditional on quotation

16A. The Company or a related body corporate that makes an offer under an employee incentive scheme in reliance on this instrument must ensure that any offers to eligible participants are:

- (a) made on or after the day the Prospectus has been lodged with ASIC and while the offers of securities that have been made under the Prospectus are still open for acceptance; and
- (b) conditional on the quotation of the Company's shares on the eligible financial market.

Offers of overlying eligible products

17. If the Company, a related body corporate or a trustee makes an offer of an overlying eligible product under an employee incentive scheme, the Company or the related body corporate must ensure that, if the overlying eligible product is not able to be traded on an eligible financial market, the offer is for no more than nominal monetary consideration.

Note: This paragraph 17 does not prohibit an offer for more than nominal monetary consideration of an underlying eligible product held or to be held by a trustee under a trust in relation to which the eligible participant acquires or will acquire a unit in the underlying eligible product because it is or will be held by the trustee under the trust.

5% issue limit

18. The Company or a related body corporate that makes an offer covered by this instrument must, at the time of making the offer, have reasonable grounds to believe that the number of underlying eligible products in a class of underlying eligible products that form part of the issued capital of the Company that have been or may be issued in any of the circumstances covered by the following paragraphs will not exceed 5% of the total number of underlying eligible products in that class on issue:

- (a) underlying eligible products that may be issued under the offer;
- (b) underlying eligible products issued or that may be issued as a result of offers made at any time during the previous 3 year period under:
 - (i) an employee incentive scheme or like scheme of the Company or a related body corporate, where offers were covered by this instrument or an individual instrument made by ASIC in terms similar to this instrument; or
 - (ii) an employee incentive scheme or employee share scheme of the Company or a related body corporate, where the offers were covered by ASIC Class Order [CO 14/1000] or an individual instrument made by ASIC in terms similar to that class order.

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Trusts

19. The Company or a related body corporate that makes an offer of an underlying eligible product under an employee incentive scheme in relation to which a trustee holds or will hold the underlying eligible products must ensure:
- (a) the activities of the trustee of the trust in that capacity are limited to employee incentive schemes of the Company or the related body corporate (whether or not the other employee incentive schemes are covered by this instrument);
 - (b) the trustee maintains written records on the administration of the trust including, in the case of underlying eligible products being held for a specified eligible participant on an allocated basis, written records that identify the underlying eligible products held on an allocated basis for the specified eligible participant;

Note: The written records in relation to underlying eligible products held on an allocated basis may take the form of a sub-register administered by or on behalf of the trustee.
 - (c) the trustee does not levy any fees or charges for administering the trust that are payable directly by any eligible participant or out of the assets of the trust, other than reasonable disbursements including brokerage and tax levied or incurred in connection with the trust;
 - (d) if the trustee is the Company or an associate of the Company —the trustee does not, at its own discretion, exercise any voting rights attaching to any of the underlying eligible products that it holds on trust; and
 - (e) the trustee, either alone or together with one or more other trustees, does not hold more than 5% of the voting shares or voting interests in the Company calculated by reference to all employee incentive schemes or like schemes of the Company or a related body corporate in relation to which offers were covered by this instrument, ASIC Class Order [CO 14/1000] or an individual instrument made, on or after the commencement of this instrument, by ASIC in terms similar to this instrument.

Contribution plans

20. The Company or a related body corporate that makes an offer under an employee incentive scheme that involves a contribution plan:
- (a) must not allow an eligible participant to participate in the contribution plan unless the eligible participant has agreed in writing to the terms of the contribution plan;

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- (b) must not allow an eligible participant to participate in the contribution plan to acquire an overlying eligible product that is not able to be traded on an eligible financial market;

Note: This subparagraph (b) does not prohibit an eligible participant from participating in a contribution plan to acquire an underlying eligible product held or to be held by a trustee under a trust in relation to which the eligible participant acquires or will acquire a unit in the underlying eligible product because it is or will be held by the trustee under the trust.

- (c) must ensure that any contributions (other than contributions in the form of future gross (before-tax) salary or wages or from a loan from the Company or a related body corporate to an eligible participant) under the terms of the contribution plan which are to be used but have not yet been used to acquire underlying eligible products are held by or on behalf of the Company or a related body corporate on trust for eligible participants, in an account with an Australian ADI that is used solely in connection with employee incentive schemes of the Company or a related body corporate (whether or not the other employee incentive schemes are covered by this instrument), whether the account is maintained in this jurisdiction or elsewhere;

- (d) must ensure that if underlying eligible products have been acquired by the trustee for a specified eligible participant on an allocated basis using contributions made by the eligible participant under a contribution plan, the eligible participant has the right to:

- (i) exercise, or to direct the trustee of the underlying eligible products to exercise on their behalf, any voting rights attaching to the underlying eligible products; and
- (ii) receive income deriving from the underlying eligible products, including dividends and distributions;

Note: The right to receive dividends or distributions may be subject to terms that the dividends or distributions are to be applied to repay a loan from the Company or a related body corporate to the eligible participant in connection with the employee incentive scheme.

- (e) unless the eligible participant (or a person covered by one of the sub-subparagraphs in subparagraph 23(3)) has already acquired the eligible products under the employee incentive scheme— must ensure that an eligible participant may, by giving a notice to the Company or a related body corporate, discontinue their participation in the contribution plan, the discontinuance to take effect no more than 45 days after the giving of the notice; and
- (f) must ensure that if an eligible participant has discontinued their participation in the contribution plan, any contributions (other than contributions in the form of future gross (before-tax) salary or wages or from a loan from the

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Company or a related body corporate to an eligible participant) under the terms of the contribution plan that have not been used to acquire underlying eligible products, are repaid to or as directed by the participant as soon as practicable after the participant has discontinued their participation in the contribution plan (such repayment including any accumulated interest (if any), less any tax).

Loans

21. The Company or a related body corporate making an offer of an eligible product under an employee incentive scheme that involves a loan from the Company or a related body corporate to an eligible participant to acquire the product:
- (a) must ensure that the loan is not provided to acquire options or incentive rights; and
 - (b) must ensure that under the terms of the loan:
 - (i) no fees or interest is payable; and
 - (ii) either:
 - (A) the lender has no recourse against the participant in relation to the repayment of the loan; or
 - (B) the recourse of the lender against the participant in relation to the repayment of the loan is limited to forfeiture of the eligible products issued or transferred to, or held on behalf of, the participant in connection with the scheme.

ASIC power to request documents

22. The Company or a related body corporate must, if requested by ASIC and in accordance with the request, make available to ASIC the offer document and all other accompanying information or documents given to eligible participants in connection with the offer made in reliance on this instrument.

Interpretation

23. In this instrument:
- (1) ***able to be traded*** has the meaning given by section 761A of the Act;
- associate*** has the meaning given by Division 2 of Part 1.2 of the Act (except sections 12 and 16);
- casual employee***, in relation to the Company or a related body corporate, means an individual who is, or might reasonably be expected to be, engaged to work the number of hours that are the pro-rata equivalent of 40% or more of a comparable full-time position with the body;

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Company means DDH1 Limited ACN 636 677 088;

contractor, in relation to the Company or a related body corporate, means:

- (a) an individual with whom the body has entered into a contract for the provision of services under which the individual performs work for the body; or
- (b) a company with whom the body has entered into a contract for the provision of services under which an individual, who is a director of the company or their spouse, performs work for the body;

where the individual who performs the work under or in relation to the contract is, or might reasonably be expected to be, engaged to work the number of hours that are the pro-rata equivalent of 40% or more of a comparable full-time position with the body;

contribution, in relation to a contribution plan, does not include:

- (a) nominal monetary consideration; or
- (b) a monetary contribution as consideration for an issue, transfer or grant of an eligible product to the eligible participant without undue delay (including a monetary contribution made to exercise an eligible product or cause an eligible product to vest);

contribution plan means a plan under which an eligible participant may make monetary contributions to acquire eligible products, whether made before or after the acquisition, from one or more of the following:

- (a) gross (before-tax) wages or salary;
- (b) net (after-tax) wages or salary;
- (c) other monies;

eligible financial market means a financial market specified in column 1 of Table A and, unless a contrary intention appears, is limited to the main board of that market;

eligible participant, in relation to the Company or a related body corporate, means a person specified in column 3 of Table A;

eligible product, in relation to the Company, means a financial product specified in column 2 of Table A;

employee incentive scheme means an arrangement under which eligible products of the Company are offered to eligible participants;

financial product advice has the meaning given by section 766B of the Act;

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general advice has the meaning given by section 766B of the Act;

incentive right means a conditional right:

- (a) to acquire underlying eligible products;
- (b) to be paid a cash amount that is ultimately determined by reference to (wholly or in part):
 - (i) the price or value at a given time of the underlying eligible product to which the right relates;
 - (ii) a change in the price or value over a given period of the underlying eligible product to which the right relates;
 - (iii) the amount or value of dividends or distributions paid or payable in relation to the underlying eligible product to which the right relates; or
 - (iv) a change in the amount or value over a given period of time of dividends or distributions paid or payable in relation to the underlying eligible product to which the right relates; or
- (c) to acquire or to be paid a combination of underlying eligible products and a cash amount as determined in accordance with paragraph (b);

nominal monetary consideration means monetary consideration of a token or trivial amount;

notice of reliance, in relation to a particular employee incentive scheme, means a written notice in a form approved in writing by ASIC for the purposes of ASIC Class Order CO 14/1000;

offer, in relation to an eligible product, has a meaning affected by sections 700, 702 and 1010C of the Act and includes:

- (a) an offer to issue the eligible product;
- (b) an issue or grant of the eligible product;
- (c) an offer to transfer the eligible product;
- (d) a transfer of the eligible product;
- (e) an offer to arrange for the issue or transfer of the eligible product;

but does not include an issue, grant or transfer of an underlying eligible product made by reason of the exercise or vesting of an overlying eligible product in circumstances where an offer to issue or transfer the overlying eligible product had been previously made.

offer document, in relation to an offer of eligible products under an

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employee incentive scheme, means a document which includes, or is accompanied by, the following information, statements and explanations worded and presented in a clear, concise and effective manner:

- (a) prominent statements to the effect that:
 - (i) any advice given by the Company in relation to eligible products offered under the employee incentive scheme does not take into account an eligible participant's objectives, financial situation and needs;
 - (ii) eligible participants should consider obtaining their own financial product advice from a person who is licensed by ASIC to give such advice;
 - (iii) the Company will provide a hard copy of the Prospectus to eligible participants on request (and how eligible participants can access an electronic version of the Prospectus); and
 - (iv) the offer is conditional on quotation of the Company's shares on the eligible financial market;
- (b) either:
 - (i) a copy of the terms of the employee incentive scheme; or
 - (ii) a summary of the terms of the scheme together with a statement that, on request and at no charge and within a reasonable time, the Company will provide an eligible participant with a copy of the terms of the scheme;
- (c) general information about the risks of acquiring and holding an eligible product being offered under the employee incentive scheme;
- (d) if a trustee will hold underlying eligible products for specified eligible participants on an allocated basis in connection with the employee incentive scheme and those eligible participants will have the right to:
 - (i) exercise, or direct the trustee to exercise on their behalf, any rights (including voting rights) attaching to the underlying eligible products; or
 - (ii) receive income deriving from the underlying eligible products, including dividends or distributions,

then either — a copy of the trust deed or a summary of the terms of the trust deed together with a statement that, on request and at no charge and within a reasonable time, the Company will provide an eligible participant with a copy of the trust deed;

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- (e) if the employee incentive scheme involves a contribution plan—either:
 - (i) a copy of the contribution plan; or
 - (ii) a summary of the terms of the contribution plan together with a statement that, on request and at no charge and within a reasonable time, the Company will provide an eligible participant with a copy of the terms of the contribution plan;
- (f) if the employee incentive scheme involves a loan from the Company or a related body corporate to an eligible participant to acquire the product—a copy of the terms of the loan;
- (g) the acquisition price of the eligible products in Australian dollars or, where the acquisition price is to be worked out in the future under a formula, an explanation of how an eligible participant could calculate the acquisition price of the eligible products in Australian dollars were that formula applied at the date of the offer;
- (h) an explanation of how an eligible participant could, from time to time, ascertain the market price of the underlying eligible products in Australian dollars following quotation of the Company's shares on the eligible financial market;

overlying eligible product means an eligible product specified in any of paragraphs (g) to (i) in column 2 of Table A;

prospective participant, in relation to an offer of an eligible product under an employee incentive scheme, means a person to whom the offer is made but who can only accept the offer if an arrangement has been entered into that will result in the person becoming covered by one of the paragraphs (a) to (d) of column 3 of Table A;

Prospectus means the disclosure document lodged by the Company under s718 of the Act for an initial public offer and listing on ASX;

related body corporate has the meaning given in section 50 of the Act;

stapled security means two or more eligible products which, under the terms on which each is traded, must be transferred together;

trustee means a body that holds or will hold underlying eligible products on trust for the following persons in connection with an employee incentive scheme:


- (a) eligible participants generally on an unallocated basis; or
- (b) one or more specified eligible participants on an allocated basis;

underlying eligible product means an eligible product specified in any of

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- paragraphs (a) to (f) in column 2 of Table A;
- (2) if the Company is a registered scheme:
 - (i) the conferral of relief on the Company is taken to be the conferral of relief on the responsible entity of the scheme;
 - (ii) a requirement imposed on the Company is taken to be a requirement imposed on the responsible entity of the scheme;
 - (iii) a reference to occupying a position or role with the Company is taken to be a reference to occupying a position or role with the responsible entity of the scheme;
 - (3) an offer of eligible products to an eligible participant under an employee incentive scheme on terms that the eligible participant may renounce the offer in favour of a person covered by one of the following sub-subparagraphs is to be treated as an offer of eligible products to the eligible participant:
 - (i) an immediate family member of the eligible participant;
 - (ii) a company whose members comprise no persons other than the eligible participant or immediate family members of the participant;
 - (iii) a corporate trustee of a self-managed superannuation fund (within the meaning of the *Superannuation Industry (Supervision) Act 1993*) where the eligible participant is a director of the trustee;
 - (4) an employee incentive scheme, employee share scheme, or like scheme, is **covered by** an instrument to the extent that offers are made, or other conduct is carried out, in reliance on the instrument;
 - (5) unless specified to the contrary, a document or other writing to be given in connection with this instrument may be given by electronic means (including, in the case of a document or other writing to be given by the Company or a related body corporate in reliance on this instrument, by way of making it available on a website and notifying the intended recipient that it is available on the website).

Dated this 5th day of February 2021



Signed by Waverley Duong

as a delegate of the Australian Securities and Investments Commission

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Table A

Column 1 Eligible financial market	Column 2 Eligible product	Column 3 Eligible participant
<p>(a) ASX (also known as the Australian Securities Exchange);</p> <p>(b) an approved foreign market (see section 9 of the Act).</p> <p>Note: the definition of approved foreign market is notionally inserted by ASIC Corporations (Definition of Approved Foreign Market) Instrument 2017/669.</p>	<p>(a) a fully paid share of the Company that is in a class of shares able to be traded on an eligible financial market;</p> <p>(b) a beneficial interest in a fully paid share of the Company where the interest is in a class of interests that is able to be traded on an eligible financial market;</p> <p>(c) a fully paid share of the Company in relation to which both of the following apply:</p> <p>(i) a beneficial interest in a share of that class are in a class of interests that is able to be traded on an eligible financial market;</p> <p>(ii) the share is convertible into the beneficial interest without charge or for a nominal fee;</p> <p>(d) a beneficial interest in a fully paid share of the Company in relation to which both of the following apply:</p> <p>(i) the fully paid share is in a class of shares that is able to be traded on an eligible financial market;</p> <p>(ii) the beneficial interest is convertible into the share without charge or for a nominal fee;</p>	<p>(a) a full-time or part-time employee (including an executive director);</p> <p>(b) a non-executive director;</p> <p>(c) a contractor;</p> <p>(d) a casual employee;</p> <p>(e) a prospective participant.</p>

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Column 1 Eligible financial market	Column 2 Eligible product	Column 3 Eligible participant
	<p>(e) a fully paid stapled security of the Company that is in a class of stapled securities that is able to be traded on ASX;</p> <p>(f) where the Company is a registered scheme—an interest in the Company that is in a class of interests that is able to be traded on ASX;</p> <p>(g) a unit in a financial product mentioned in paragraphs (a) to (f);</p> <p>(h) an option to acquire, by way of issue or transfer, a financial product mentioned in paragraphs (a) to (f);</p> <p>(i) an incentive right granted in relation to a financial product mentioned in paragraphs (a) to (f).</p>	

21-0106

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 655A(1)(b) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 655A(1)(b) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 21-0106.

Commencement

3. This instrument commences on 5 February 2021.

Declarations

4. Chapter 6 of the Act applies to Macquarie Agricultural Funds Management No. 2 Pty Limited ACN 611 566 217 as trustee of M2 Acquisition Trust ABN 52 712 569 900 (*BidCo*) as if item 7 of section 611 of the Act were modified or varied by omitting paragraph (a) and substituting the following:
 - “(a) no votes are cast in favour of the resolution by the person proposing to make the acquisition and its associates (unless the associate is a custodian, nominee, trustee, responsible entity or other fiduciary which has received specific instruction from a third party beneficiary, who is not an associate of the person, directing the associate how to vote); and”

Where this instrument applies

5. This instrument applies to the acquisition by BidCo of all the interests in VTH, where the acquisition is approved by a resolution under item 7 of section 611 of the Act passed at a meeting of VTH unitholders convened by the notice of meeting contained in the Scheme Booklet.

Interpretation

6. In this instrument:

Scheme means the trust scheme described in the Scheme Booklet under which MAFML2 proposes to acquire 100% of the issued units in VTH for an all cash consideration of \$1.00 per unit, where the trust scheme is approved by resolutions passed at a meeting of the VTH Unitholders;

21-0106

(a) to approve amendments to the constitution of VTH under section 601GC of the Act; and

(b) to approve the acquisition under item 7 of section 611 of the Act.

Scheme Booklet means the explanatory statement and notice of meeting dated on or around 8 February 2021 sent by VTH RE as responsible entity of VTH to VTH unitholders in relation to the Scheme.

VTH means the Vitalharvest Freehold Trust (ARSN 626 537 362).

VTH RE means The Trust Company (RE Services) Limited (ACN 003 278 831).

VTH Unitholder means a person who holds an interest in the units in VTH.

Dated this 5th day of February 2021



Signed by Teegan Winters
as a delegate of the Australian Securities and Investments Commission

21-0107

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Koonta Pty Ltd
ACN 002 011 341 ("the Licensee")
11 Kardinia Road
MOSMAN NSW 2088

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 239932 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 10 February 2021

Signed *George Podaras*
.....

George Podaras
A delegate of the Australian Securities and Investments Commission

21-0108

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Bedford CA Financial Pty Limited
ACN 607 952 483 ("the Licensee")
PO Box 1811
North Sydney NSW 2059

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 484060 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 10 February 2021

Signed *George Podaras*

George Podaras
A delegate of the Australian Securities and Investments Commission

21-0109

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 655A(1)(a) – Exemption**

Enabling legislation

- 1 The Australian Securities and Investments Commission makes this instrument under paragraph 655A(1)(a) of the *Corporations Act 2001* (the **Act**).

Title

- 2 This instrument is ASIC Instrument 21-0109.

Commencement

- 3 This instrument commences on the date it is signed.

Exemption

- 4 SAZKA Entertainment AG (**SAZKA Entertainment**), being a company incorporated in Switzerland, does not have to comply with section 606 of the Act.

Where this instrument applies

- 5 This instrument applies where at the date of this instrument:
- (a) SAZKA Group a.s. (**SAZKA Group**) holds 100% of the issued shares in Austrian Gaming Holding a.s. (**AGH**);
 - (b) AGH holds 100% of the issued shares in CAME Holding GmbH (**CAME**);
 - (c) CAME holds 100% of the issued shares in Medial Beteiligungs GmbH (**Medial**);
 - (d) Medial holds 38.29% of the issued shares in Casinos Austria AG (**CASAG**);
 - (e) CAME holds 21.5% of the issued shares in CASAG;
 - (f) Bankhaus Schelhammer & Schattera Aktiengesellschaft (**BSS**) holds approximately 1% of the issued shares in CASAG;
 - (g) the Austrian Government, through Österreichische Beteiligungs AG, holds approximately 33.24% of the issued shares in CASAG;
 - (h) CASAG has a relevant interest in 67.1% of all RCT Units as a result of:
 - (i) CASAG holding all the issued shares in Casinos Austria International Holding GmbH (**CAI Holding**);
 - (ii) CAI Holding holding:
 - (A) 5,661,193 RCT Units being approximately 11.37% of all RCT Units; and
 - (B) all the issued shares in Casinos Austria International GmbH (**CAI GmbH**);
 - (iii) CAI GmbH holding all the issued shares in Casinos Austria International Ltd ACN 065 998 807 (**CAIL**); and

21-0109

- (iv) CAIL holding:
 - (A) 2,385,715 RCT Units and 370,000 restricted units in RCT (collectively approximately 5.53% of all RCT Units); and
 - (B) 50% of the issued shares in:
 - (I) Reef Casino Investments Pty Ltd ACN 088 639 767 (**RCI**); and
 - (II) Reef Corporate Services Limited ACN 057 599 621 (**RCS**); and
 - (III) Casinos Austria International (Cairns) Pty Ltd ACN 062 222 011 (**CAIC**);
- (i) the other 50% of the issued shares in RCI, RCS and CAIC is held by Accor Casino Investments (Australia) Pty Limited ACN 089 359 633 (**ACIL**);
- (j) RCI is a 50-50 joint venture company between CAIL and ACIL;
- (k) RCI directly holds 25,000,000 RCT Units (approximately 50.2% of all RCT Units);
- (l) RCS is the responsible entity of the Reef Casino Trust; and
- (m) CAIC is the operator of the Reef Casino.
- (n) SAZKA Entertainment intends to acquire 100% of the shares in SAZKA Group (**Acquisition**).
- (o) SAZKA Entertainment has not acquired, as at the date of this instrument, a relevant interest in RCT Units.

Conditions

- 6 This instrument is conditional upon SAZKA Entertainment entering into a deed in favour of ASIC and the unitholders of RCT within 7 days after the date of this instrument, whereby SAZKA Entertainment has covenanted that for a period commencing from the date of this instrument and ending on the date which is 6 months after completion of the Acquisition, SAZKA Entertainment will not directly or indirectly:
 - (a) acquire relevant interests in RCT Units, or voting power in RCT of greater than 67.1%; or
 - (b) exercise, control the exercise, or procure the exercise, of any votes attached to RCT Units.
- 7 For the avoidance of doubt, this condition does not affect the exercise, controlling the exercise or procuring the exercise of any votes attached to RCT Units by either CASAG, CAI Holding, CAI GmbH, CAIL, ACIL or RCI where SAZKA Entertainment has not participated in or been involved with any decision or deliberation in relation to the exercise, controlling the exercise or procuring the exercise of votes attached to any RCT Units.

21-0109

Interpretation

8 In this instrument:

RCT Unit means a unit in the Reef Casino Trust.

Reef Casino Trust means Reef Casino Trust ARSN 093 156 293.

Dated 9 February 2021

A handwritten signature in blue ink, appearing to read 'KW', is written over a faint, light blue circular stamp or watermark.

Signed by Kyle Wright

as a delegate of the Australian Securities and Investments Commission

21 - 0111

Notice is given under section 920E of the Corporations Act 2001 that the Australian Securities and Investments Commission has made a banning order in the terms set out below, which order took effect on 8 February 2021.

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION**IN THE MATTER OF BENJAMIN WILLIAM ESLER****SECTIONS 920A AND 920B OF THE CORPORATIONS ACT 2001**

To: Benjamin William Esler

**ORDER UNDER SECTIONS 920A AND 920B OF THE
CORPORATIONS ACT 2001**

TAKE NOTICE that, under s920A(1)(d)(i), s920A(1)(e), s920A(1)(f) and s920B of the Corporations Act 2001, the Australian Securities and Investments Commission prohibits Benjamin William Esler for a period of four years and six months from providing any financial services.

Dated this 29th day of January 2021

Signed:


Graeme Darcy Plath
Delegate of the Australian Securities and Investments Commission

Your attention is drawn to s920C and s1311 of the Corporations Act 2001 that provide that a person commits an offence if they engage in conduct that breaches a banning order that has been made against them (Penalty: 600 penalty units or imprisonment for 5 years or both).

21-0117

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 655A(1)(b) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this declaration under paragraph 655A(1)(b) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 21-0117.

Commencement

3. This instrument commences on the date it is signed.

Declaration

4. Chapter 6 of the Act applies to E&P Financial Group Limited ACN 609 913 457 (*Target*) as if Part 6.5 were modified or varied as follows:

- (a) at the end of section 648C, add:

“; or

- (c) in accordance with section 648CA.”;

- (b) after section 648C, insert:

“648CA Deemed manner of sending documents

- (1) A target’s statement is deemed to have been sent to a holder of securities in relation to offers under an off-market bid for the purposes of this Chapter where either:

- (a) all of the following are satisfied:

- (i) the holder has nominated an electronic address for the purposes of receiving electronic copies of shareholder communications from the person sending the target’s statement;

- (ii) an electronic message is sent to the nominated electronic address of the holder, which contains links to an electronic copy of the target’s statement that is in a form that allows text within the copy to be searched by a computer;

21-0117

- (iii) the person sending the electronic message does not receive a notification to the effect that the electronic message is unable to be delivered to the nominated electronic address; and
 - (iv) facilities are in place that enable the holder, either through an online portal or website that is accessible by the holder or a telephone number specified in the electronic message or target's statement, to request that the target's statement is to be sent to the holder by a manner specified in subsections 648C(a) or (b); or
- (b) all of the following are satisfied:
- (i) the holder has not nominated an electronic address for the purposes of receiving electronic copies of shareholder communications from the person sending the target's statement;
 - (ii) a letter or postcard (the *Postcard*) is sent to the nominated address of the holder, which contains a Uniform Resource Locator to an electronic copy of the target's statement that is in a form that allows text within the copy to be searched by a computer; and
 - (iii) facilities are in place that enable the holder, either through an online portal or website that is accessible by the holder or a telephone number specified in the Postcard or target's statement, to request that the target's statement is to be sent to the holder by a manner specified in subsections 648C(a) or (b)."

Where this instrument applies

5. This instrument applies in relation to the off-market takeover bid (*Bid*) by 360 Capital ED1 Pty Limited ACN 643 857 336 (*Bidder*) for all of the ordinary shares in the Target in respect of which a replacement bidder's statement was lodged by the Bidder with ASIC on 18 January 2021 where by 7:30pm AEDT on the date of this declaration, the Target has provided to ASX Limited ACN 008 624 691 (*ASX*) for release on the financial market operated by ASX, an announcement:
- (a) explaining the effect of the declaration;
 - (b) that includes a statement to the effect that Target shareholders may request to be sent hard copies of the target's statement:
 - (i) if the holder is outside of Australia—by pre-paid airmail post or by courier; or
 - (ii) if the holder is in Australia—by pre-paid ordinary post or by courier,and a statement that explains how shareholders can make this request; and

21-0117

- (c) includes a statement to the effect that the fact that ASIC has granted this relief in connection with the Bid should not be taken as a reflection of ASIC's views on any other aspect of the Bid.

Dated this 11th day of February 2021



Signed by Ebony Jackson
as a delegate of the Australian Securities and Investments Commission

21-0118

Notice is given under section 920E of the Corporations Act 2001 that the Australian Securities and Investments Commission has made a banning order in the terms set out below, which order took effect on 10 February 2021

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

IN THE MATTER OF Daniel Stuart McSweeney
AND THE CORPORATIONS ACT 2001

To: Daniel Stuart McSweeney

BANNING ORDER UNDER SECTIONS 920A and 920B OF THE CORPORATIONS ACT 2001

TAKE NOTICE that under sections 920A and 920B of the Corporations Act 2001 the Australian Securities and Investments Commission prohibits Daniel Stuart McSweeney from providing financial services, performing one or more functions as an officer of an entity that carries on a financial services business or controlling an entity that carries on a financial services business PERMANENTLY.

Dated this 9th day of February 2021.

Signed: 
MELANIE BAXTER
Delegate of the
Australian Securities and Investments Commission.

Your attention is drawn to section 920C(2) and s1311 of the Corporations Act 2001 which provides that a person commits an offence if they engage in conduct that breaches a banning order that has been made against them. (Penalty: 600 penalty units or imprisonment for 5 years or both.)

CORPORATIONS ACT 2001
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twelfth day of February 2021

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company

ARBN

FLOW COMMERCE INC.

627 417 823

OPODO LIMITED

620 104 412

CORPORATIONS ACT 2001
Subsection 601PA(3)

ASIC may deregister the managed investment scheme(s) listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twelfth day of February 2021

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Scheme

ARSN

WALKER CAPITAL ALTERNATIVE FUND

646 154 556

CORPORATIONS ACT 2001
Section 601CL(5)

ASIC has struck the foreign companies listed
below off the register.

Dated this twelfth day of February 2021

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company

ARBN

LOVE RECRUITMENT (AUSTRALIA) LTD

638 102 351

MINIMALLY INVASIVE DEVICES, INC.

613 336 520

CORPORATIONS ACT 2001
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

ANDES RESOURCES LIMITED

ACN 166 866 691 will change to a proprietary company limited by shares. The new name will be ANDES RESOURCES PTY LTD ACN 166 866 691.

CLEARDESK LIMITED ACN 624 242 879 will change to a proprietary company limited by shares. The new name will be CLEARDESK PTY LTD ACN 624 242 879.

KVD AUSTRALIA HOLDCO PTY LTD

ACN 604 747 391 will change to a public company limited by shares. The new name will be KVD AUSTRALIA HOLDCO LIMITED ACN 604 747 391.

SMSF ADMINISTRATION ALLIANCE LTD

ACN 625 803 581 will change to a proprietary company limited by shares. The new name will be SMSF ADMINISTRATION ALLIANCE PTY LTD ACN 625 803 581.

BEST & LESS GROUP HOLDINGS PTY LTD

ACN 642 843 221 will change to a public company limited by shares. The new name will be BEST & LESS GROUP HOLDINGS LTD ACN 642 843 221.

JATHCO INVESTMENTS PTY ACN 115 707 223 will change to a proprietary company limited by shares. The new name will be JATHCO INVESTMENTS PTY LTD ACN 115 707 223.

SHENGQUAN AGED CARE SERVICE LTD

ACN 626 497 756 will change to a proprietary company limited by shares. The new name will be SHENGQUAN AGED CARE SERVICE PTY LTD ACN 626 497 756.

VINOMOFO SHAREHOLDERS PTY LIMITED ACN 610 780 213 will change to a public company limited by shares. The new name will be VINOMOFO SHAREHOLDERS LTD ACN 610 780 213.