



**ASIC**  
Australian Securities &  
Investments Commission

Commonwealth of Australia Gazette

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# ASIC Gazette

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#### RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet *ASIC decisions – your rights* (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at [www.asic.gov.au](http://www.asic.gov.au) or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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20-0711

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: KEH Financial Services Pty Limited  
ABN 51 615 956 422 ("the Licensee")  
A1 Richmond Road  
Homebush West NSW 2140

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 494533 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 16 December 2020

Signed  .....

Nicholas Vonarx  
A delegate of the Australian Securities and Investments Commission

20-0841

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Suspension of an Australian Financial Services Licence**

TO: BELLROCK BROKING (NSW) PTY LTD  
ACN 611 143 410 ("the Licensee")  
Myssy and Co Accountants 'R2' G 10 Herb Elliott Avenue Sydney  
Olympic Park Nsw 2127

1. Pursuant to paragraph 915B(3)(d) if body corporate of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby suspends Australian Financial Services Licence number 520281 held by the Licensee until 1 February 2021, with effect from the date on which this notice is given to the Licensee.
2. Pursuant to section 915H of the Act, the Australian Financial Services Licence continues in effect while suspended, as though the suspension had not happened for the purposes of:
  - a. paragraph 912A(1)(g) and 912A(2)(c) of the Act, which requires the Licensee to maintain membership of the Australian Financial Complaints Authority scheme; and
  - b. section 912B of the Act, which requires the Licensee to have compensation arrangements in place for retail clients. The arrangements must satisfy the requirements set out in regulation 7.6.02AAA of the Corporations Regulations 2001.

Dated 18 December 2020

Signed 

Elizabeth Lee  
A delegate of the Australian Securities and Investments Commission

20-0962

**Australian Securities and Investments Commission  
Corporations Act 2001 — Paragraph 911A(2)(l) — Amendment**

**Enabling legislation**

1. The Australian Securities and Investments Commission makes this instrument under paragraph 911A(2)(l) of the *Corporations Act 2001*.

**Title**

2. This instrument is ASIC Instrument 20-0962.

**Commencement**

3. This instrument commences on the date of its gazettal.

**Amendment**

4. ASIC Instrument 14-0838 is amended by, after paragraph 5, inserting:

**“Cessation**

6. This instrument ceases to apply at the end of the period referred to in subitem 1(3) of Schedule 2 to *ASIC Corporations (Repeal and Transitional) Instrument 2016/396*.”

Dated this 18 December 2020.



Signed by William Ly  
as a delegate of the Australian Securities and Investments Commission

20-0964

**Australian Securities and Investments Commission  
Corporations Act 2001 — Paragraph 911A(2)(l) — Amendment**

**Enabling legislation**

1. The Australian Securities and Investments Commission makes this instrument under paragraph 911A(2)(l) of the *Corporations Act 2001*.

**Title**

2. This instrument is ASIC Instrument 20-0964.

**Commencement**

3. This instrument commences on the date of its gazettal.

**Amendment**

4. ASIC Instrument 14-0818 is amended by, after paragraph 5, inserting:

**“Cessation**

6. This instrument ceases to apply at the end of the period referred to in subitem 1(3) of Schedule 2 to *ASIC Corporations (Repeal and Transitional) Instrument 2016/396*.”

Dated this 18 December 2020.



Signed by William Ly  
as a delegate of the Australian Securities and Investments Commission

20-0965

**Australian Securities and Investments Commission  
Corporations Act 2001 — Paragraph 911A(2)(l) — Amendment**

**Enabling legislation**

1. The Australian Securities and Investments Commission makes this instrument under paragraph 911A(2)(l) of the *Corporations Act 2001*.

**Title**

2. This instrument is ASIC Instrument 20-0965.

**Commencement**

3. This instrument commences on the gazettal.

**Amendment**

4. ASIC Instrument 09-00476 is amended by, before Schedule A, inserting:

**“Cessation**

This instrument ceases to apply at the end of the period referred to in subitem 1(3) of Schedule 2 to *ASIC Corporations (Repeal and Transitional) Instrument 2016/396*.”

Dated this 16 December 2020.



Signed by William Ly  
as a delegate of the Australian Securities and Investments Commission

20-0970

**Australian Securities and Investments Commission  
Corporations Act 2001 — Paragraph 911A(2)(l) — Amendment**

**Enabling legislation**

1. The Australian Securities and Investments Commission makes this instrument under paragraph 911A(2)(l) of the *Corporations Act 2001*.

**Title**

2. This instrument is ASIC Instrument 20-0970.

**Commencement**

3. This instrument commences on the gazettal.

**Amendment**

4. ASIC Instrument 14-0417 is amended by:

- (a) after paragraph 7, inserting:

**“Cessation**

8. This instrument ceases to apply at the end of the period referred to in subitem 1(3) of Schedule 2 to *ASIC Corporations (Repeal and Transitional) Instrument 2016/396*.”; and

- (b) after the heading “Interpretation”, omitting “8” and substituting “9”.

Dated this 16 December 2020.



Signed by William Ly  
as a delegate of the Australian Securities and Investments Commission

20-0979

**Australian Securities and Investments Commission  
Corporations Act 2001 — Paragraph 911A(2)(l) — Amendment**

**Enabling legislation**

1. The Australian Securities and Investments Commission makes this instrument under paragraph 911A(2)(l) of the *Corporations Act 2001*.

**Title**

2. This instrument is ASIC Instrument 20-0979.

**Commencement**

3. This instrument commences on the gazettal.

**Amendment**

4. ASIC Instrument 14-0418 is amended by:

- (a) after paragraph 7, inserting:

**“Cessation**

8. This instrument ceases to apply at the end of the period referred to in subitem 1(3) of Schedule 2 to *ASIC Corporations (Repeal and Transitional) Instrument 2016/396*.”; and

- (b) after the heading “Interpretation”, omitting “8” and substituting “9”.

Dated this 16 December 2020.



Signed by William Ly

as a delegate of the Australian Securities and Investments Commission



20-980

**Australian Securities and Investments Commission  
Corporations Act 2001 — Paragraph 911A(2)(l) — Amendment**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 911A(2)(l) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 20-980.

**Commencement**

3. This instrument commences on the gazettal.

**Amendment**

4. ASIC Instrument 14-0175 is amended by:

- (a) after paragraph 7, inserting:

**"Cessation**

8. This instrument ceases to apply at the end of the period referred to in subitem 1(3) of Schedule 2 to *ASIC Corporations (Repeal and Transitional) Instrument 2016/396*"; and

- (b) after the heading "Interpretation", omitting "8" and substituting "9".

Dated this 16 December 2020.



Signed by William Ly  
as a delegate of the Australian Securities and Investments Commission

20-0985

**Australian Securities and Investments Commission  
Corporations Act 2001 — Paragraph 911A(2)(l) — Amendment**

**Enabling legislation**

1. The Australian Securities and Investments Commission makes this instrument under paragraph 911A(2)(l) of the *Corporations Act 2001*.

**Title**

2. This instrument is ASIC Instrument 20-0985.

**Commencement**

3. This instrument commences on the gazettal.

**Amendment**

4. ASIC Instrument 04-0250 is amended by, before Schedule A, inserting:

**“Cessation**

This instrument ceases to apply at the end of the period referred to in subitem 1(3) of Schedule 2 to *ASIC Corporations (Repeal and Transitional) Instrument 2016/396*.”.

Dated this 16 December 2020.



Signed by William Ly  
as a delegate of the Australian Securities and Investments Commission

20-0988

**Australian Securities and Investments Commission  
Corporations Act 2001 — Paragraph 911A(2)(l) — Amendment**

**Enabling legislation**

1. The Australian Securities and Investments Commission makes this instrument under paragraph 911A(2)(l) of the *Corporations Act 2001*.

**Title**

2. This instrument is ASIC Instrument 20-0988.

**Commencement**

3. This instrument commences on the gazettal.

**Amendment**

4. ASIC Instrument 15-1173 is amended by:

- (a) after paragraph 7, inserting:

**"Cessation**

8. This instrument ceases to apply at the end of the period referred to in subitem 1(3) of Schedule 2 to *ASIC Corporations (Repeal and Transitional) Instrument 2016/396*"; and

- (b) after the heading "Interpretation", omitting "8" and substituting "9".

Dated this 16 December 2020.



Signed by William Ly  
as a delegate of the Australian Securities and Investments Commission

20-0989

**Australian Securities and Investments Commission  
Corporations Act 2001 — Paragraph 911A(2)(l) — Amendment**

**Enabling legislation**

1. The Australian Securities and Investments Commission makes this instrument under paragraph 911A(2)(l) of the *Corporations Act 2001*.

**Title**

2. This instrument is ASIC Instrument 20-0989.

**Commencement**

3. This instrument commences on the gazettal.

**Amendment**

4. ASIC Instrument 10-0763 is amended by, before Schedule A, inserting:

**“Cessation**

This instrument ceases to apply at the end of the period referred to in subitem 1(3) of Schedule 2 to *ASIC Corporations (Repeal and Transitional) Instrument 2016/396*.”.

Dated this 16 December 2020.



Signed by William Ly  
as a delegate of the Australian Securities and Investments Commission

20-0991

**Australian Securities and Investments Commission  
Corporations Act 2001 — Paragraph 911A(2)(l) — Amendment**

**Enabling legislation**

1. The Australian Securities and Investments Commission makes this instrument under paragraph 911A(2)(l) of the *Corporations Act 2001*.

**Title**

2. This instrument is ASIC Instrument 20-0991.

**Commencement**

3. This instrument commences on the date of its gazettal.

**Amendment**

4. ASIC Instrument 14-0710 is amended by:

- (a) after paragraph 7, inserting:

**“Cessation**

8. This instrument ceases to apply at the end of the period referred to in subitem 1(3) of Schedule 2 to *ASIC Corporations (Repeal and Transitional) Instrument 2016/396*.”; and

- (b) after the heading “Interpretation”, omitting “8” and substituting “9”.

Dated this 18 December 2020.



Signed by William Ly  
as a delegate of the Australian Securities and Investments Commission

20-0992

**Australian Securities and Investments Commission  
Corporations Act 2001 — Paragraph 911A(2)(l) — Amendment**

**Enabling legislation**

1. The Australian Securities and Investments Commission makes this instrument under paragraph 911A(2)(l) of the *Corporations Act 2001*.

**Title**

2. This instrument is ASIC Instrument 20-0992.

**Commencement**

3. This instrument commences on the gazettal.

**Amendment**

4. ASIC Instrument 16-0217 is amended by:

- (a) after paragraph 7, inserting:

**“Cessation**

8. This instrument ceases to apply at the end of the period referred to in subitem 1(3) of Schedule 2 to *ASIC Corporations (Repeal and Transitional) Instrument 2016/396*.”; and

- (b) after the heading “Interpretation”, omitting “8” and substituting “9”.

Dated this 16 December 2020.



Signed by William Ly  
as a delegate of the Australian Securities and Investments Commission

20-0993

**Australian Securities and Investments Commission  
Corporations Act 2001 — Paragraph 911A(2)(l) — Amendment**

**Enabling legislation**

1. The Australian Securities and Investments Commission makes this instrument under paragraph 911A(2)(l) of the *Corporations Act 2001*.

**Title**

2. This instrument is ASIC Instrument 20-0993.

**Commencement**

3. This instrument commences on the gazettal.

**Amendment**

4. ASIC Instrument 08-00513 is amended by:

- (i) before Schedule A, inserting:

**"Cessation**

This instrument ceases to apply at the end of the period referred to in subitem 1(3) of Schedule 2 to *ASIC Corporations (Repeal and Transitional) Instrument 2016/396*;

- (ii) in Schedule B paragraph (2) omitting "IV" and substituting "III",
  - (iii) in Interpretation, omitting "*NYSBD* means the New York Banking Department" and substituting "*NYSDFS* means the New York State Department of Financial Services";
  - (iv) omitting all references to "NYSBD" in the Instrument and substituting "NYSDFS".

Dated this 16 December 2020.



Signed by William Ly  
as a delegate of the Australian Securities and Investments Commission

**20-1001**

**Australian Securities and Investments Commission  
Corporations Act 2001 — Paragraph 911A(2)(l) — Amendment**

**Enabling legislation**

1. The Australian Securities and Investments Commission makes this instrument under paragraph 911A(2)(l) of the *Corporations Act 2001*.

**Title**

2. This instrument is ASIC Instrument 20-1001.

**Commencement**

3. This instrument commences on the gazettal.

**Amendment**

4. ASIC Instrument 10-0604 is amended by, before Schedule A, inserting:

**“Cessation**

This instrument ceases to apply at the end of the period referred to in subitem 1(3) of Schedule 2 to *ASIC Corporations (Repeal and Transitional) Instrument 2016/396*.”.

Dated this 16 December 2020.



Signed by William Ly  
as a delegate of the Australian Securities and Investments Commission



20-1002

**Australian Securities and Investments Commission  
Corporations Act 2001 — Paragraph 911A(2)(l) — Amendment**

**Enabling legislation**

1. The Australian Securities and Investments Commission makes this instrument under paragraph 911A(2)(l) of the *Corporations Act 2001*.

**Title**

2. This instrument is ASIC Instrument 20-1002.

**Commencement**

3. This instrument commences on the gazettal.

**Amendment**

4. ASIC Instrument 13-0613 is amended by:
  - (a) after paragraph 5(ii), inserting:

**“Cessation**

6. This instrument ceases to apply at the end of the period referred to in subitem 1(3) of Schedule 2 to *ASIC Corporations (Repeal and Transitional) Instrument 2016/396*”; and

- (b) after the heading “Interpretation”, omitting “6” and substituting “7”.

Dated this 16 December 2020.



Signed by William Ly  
as a delegate of the Australian Securities and Investments Commission

20-1131

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian financial services licence**

TO: Zinc Energy Pty Ltd  
A.C.N 620 095 105– ("the Licensee") – under External Administration  
C/- BRI Ferrier  
Level 10  
45 William Street  
MELBOURNE VIC 3000

Pursuant to paragraph 915B(3)(b) of the **Corporations Act 2001** (the Act), the Australian Securities and Investments Commission (ASIC) hereby cancels Australian financial services (AFS) licence number 504687 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 14/12/20

Signed   
.....

Nicholas Vonarx  
a delegate of the Australian Securities and Investments Commission

20-1132

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian financial services licence**

TO: Otsana Pty Ltd  
A.C.N 145 168 216 – ("the Licensee") – under External Administration  
C/- Pitcher Partners  
Level 11  
12-14 The Esplanade  
PERTH WA 6000

Pursuant to paragraph 915B(3)(b) of the **Corporations Act 2001** (the Act), the Australian Securities and Investments Commission (ASIC) hereby cancels Australian financial services (AFS) licence number 435872 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 14 December 2020

Signed



Nicholas Vonarx  
a delegate of the Australian Securities and Investments Commission

20-1135

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian financial services licence**

TO: Hodgson Faraday Limited  
A.C.N 130 606 987 – ("the Licensee") – under External Administration  
C/- McGrathNicol  
Level 12  
20 Martin Place  
SYDNEY NSW 2000

Pursuant to paragraph 915B(3)(b) of the **Corporations Act 2001** (the Act), the Australian Securities and Investments Commission (ASIC) hereby cancels Australian financial services (AFS) licence number 326375 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 14 December 2020

Signed  .....

Nicholas Vonarx  
a delegate of the Australian Securities and Investments Commission

20-1150

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 741(1)(a) – Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 601QA(1)(a), 926A(2)(a) and 1020F(1)(a) of the *Corporations Act 2001 (Act)*.

**Title**

2. This instrument is ASIC Instrument 20-1150.

**Commencement**

3. This instrument commences on the date it is signed.

**Exemption**

4. AWN Holdings Limited ACN 103 472 751 (*Company*) does not have to comply with:
  - (a) section 601ED of the Act in relation to the Sale Facility; and
  - (b) Divisions 2 to 5 of Part 7.9 of the Act in relation to an interest in the Sale Facility; and
  - (c) subsection 911A(1) of the Act in relation to the provision of the following services:
    - (i) dealing in an interest in the Sale Facility; and
    - (ii) the provision of general advice in relation to an interest in the Sale Facility; and
  - (d) Division 5A of Part 7.9 of the Act to the extent the Company invites its shareholders to make an offer to sell Shares or the Company acquires a relevant interest in Shares to be sold through the Sale Facility.

**Where this instrument applies**

5. This instrument applies where the Company meets the requirements and conditions of LI 2018/99 except for subparagraph 5(3)(b)(ii) of LI 2018/99 in relation to the Sale Facility, and would meet the requirements and conditions of LI 2018/99 if subparagraph 5(3)(b)(ii) of LI 2018/99 were omitted and substituted with:

20-1150

- “(ii) the operator disposes of the relevant financial products by subsequently selling or transferring the relevant financial products to a wholesale client;”.

**Interpretation**

6. In this instrument:

**LI 2018/99** means *ASIC Corporations (Share and Interest Sale Facilities) Instrument 2018/99*.

**Sale Facility** means the facility operated by the Company that is described in the Company's Share Sale Facility Booklet given to ASX Limited on or about 25 November 2020.

**Shares** means fully paid ordinary shares in the Company.

**wholesale client** has the meaning given by section 761G of the Act.

Dated this 11<sup>th</sup> day of December 2020



Signed by Allan Erceg  
as a delegate of the Australian Securities and Investments Commission

**20-1152**

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 911A(2)(l) – Amendment**

**Enabling legislation**

1. The Australian Securities and Investments Commission makes this instrument under paragraph 911A(2)(l) of the *Corporations Act 2001*.

**Title**

2. This instrument is ASIC Instrument 20-1152.

**Commencement**

3. This instrument commences on gazettal.

**Amendment of ASIC Instrument 15-0602**

4. ASIC Instrument 15-0602 is amended by, after paragraph 5, inserting:

“5A. This instrument ceases to apply at the end of the period referred to in subitem 1(3) of Schedule 2 to *ASIC Corporations (Repeal and Transitional) Instrument 2016/396*.”.

Dated this 11<sup>th</sup> day of December 2020



Signed by Supri Nooney  
as a delegate of the Australian Securities and Investments Commission

**20- 1154**

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 911A(2)(l) – Amendment**

**Enabling legislation**

1. The Australian Securities and Investments Commission makes this instrument under paragraph 911A(2)(l) of the *Corporations Act 2001*.

**Title**

2. This instrument is ASIC Instrument 20-1154.

**Commencement**

3. This instrument commences on gazettal.

**Amendment**

4. ASIC Instrument [09-00421] is amended by, before Schedule A, inserting:

**“Cessation**

This instrument ceases to apply at the end of the period referred to in subitem 1(3) of Schedule 2 to *ASIC Corporations (Repeal and Transitional) Instrument 2016/396*.”.

Dated this 11<sup>th</sup> day of December 2020



Signed by Supri Nooney  
as a delegate of the Australian Securities and Investments Commission



**20-1155**

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 911A(2)(f) – Amendment**

**Enabling legislation**

1. The Australian Securities and Investments Commission makes this instrument under paragraph 911A(2)(f) of the *Corporations Act 2001*.

**Title**

2. This instrument is ASIC Instrument 20-1155.

**Commencement**

3. This instrument commences on gazettal.

**Amendment of ASIC Instrument 14-0327**

4. ASIC Instrument 14-0327 is amended by, after paragraph 7, inserting:

“7A. This instrument ceases to apply at the end of the period referred to in subitem 1(3) of Schedule 2 to *ASIC Corporations (Repeal and Transitional) Instrument 2016/396*.”

Dated this 11<sup>th</sup> day of December 2020



Signed by Supri Nooney  
as a delegate of the Australian Securities and Investments Commission

**20-1156**

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 911A(2)(f) – Amendment**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 911A(2)(f) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 20-1156.

**Commencement**

3. This instrument commences on gazettal.

**Amendment**

4. ASIC Instrument 13-1147 is amended by, before Schedule A, inserting:

**“Cessation**

This instrument ceases to apply at the end of the period referred to in subitem 1(3) of Schedule 2 to ASIC Corporations (Repeal and Transitional) Instrument 2016/396.”

Dated this 11<sup>th</sup> day of December 2020



Signed by Supri Nooney  
as a delegate of the Australian Securities and Investments Commission

20-1157

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian financial services licence**

TO: Tauro Capital Pty Ltd  
A.C.N 128 127 695 – ("the Licensee") – under External Administration  
C/- PKF Melbourne  
Level 12  
440 Collins Street  
MELBOURNE VIC 3000

Pursuant to paragraph 915B(3)(b) of the **Corporations Act 2001** (the Act), the Australian Securities and Investments Commission (ASIC) hereby cancels Australian financial services (AFS) licence number 440572 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 14 December 2020

Signed

  
.....

Nicholas Vonarx  
a delegate of the Australian Securities and Investments Commission

20-1160

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Akela Capital Pty Ltd  
ACN 140 980 703("the Licensee")  
PO Box 1705  
West Perth WA 6872.

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 514821 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 15 December 2020

Signed  .....

A delegate of the Australian Securities and Investments Commission

20-1161

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Decisive Asset Management Pty Ltd  
ACN 156 142 326 ("the Licensee")  
23 Surfers Avenue  
MERMAID WATERS QLD 4218

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 422812 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 15 December 2020

Signed



Elizabeth Lee  
A delegate of the Australian Securities and Investments Commission

20-1163

**Australian Derivatives Trade Repository Licence (Chicago Mercantile Exchange Inc.) Cancellation Notice 2020***Corporations Act 2001*

I, Nathan Bourne, Senior Executive Leader, delegate of the Australian Securities and Investments Commission make this Notice under section 905H(c) of the *Corporations Act 2001* (the Act).

Dated ....15/12/2020

Signed .....

**1. Name of Notice**

This is the *Australian Derivative Trade Repository Licence (Chicago Mercantile Exchange Inc.) Cancellation Notice 2020*.

**2. Commencement**

This Notice takes effect on the day it is signed.

**3. Cancellation of Australian Derivative Trade Repository Licence**

The *Australian Derivative Trade Repository Licence (Chicago Mercantile Exchange Inc.) 2015*, as varied by the *Australian Derivative Trade Repository Licence (Chicago Mercantile Inc.) Variation Notice 2015 (No. 1)*, is cancelled.

20-1164

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Clover.com.au Pty Ltd  
ACN 602 834 597 ("the Licensee")  
C/ YBF Ventures  
Level 2, 520 Bourke Street  
Melbourne VIC 3000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 479416 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 15 December 2020

Signed  .....

A delegate of the Australian Securities and Investments Commission

20-1165

**Australian Securities and Investments Commission  
Corporations Act 2001 — Paragraphs 601QA(1)(a), 911A(2)(l) and 1020F(1)(a) —  
Exemption**

**Enabling provisions**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 601QA(1)(a), 911A(2)(l) and 1020F(1)(a) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 20-1165.

**Commencement**

3. This instrument commences on the date it is signed.

**Exemptions**

4. Opteon Group Holdings Limited ACN 616 574 755 (*Company*) does not have to comply with:
  - (a) section 601ED of the Act in relation to the Facility; and
  - (b) Divisions 2 to 5 of Part 7.9 of the Act in relation to an interest in the Facility; and
  - (c) the requirement to hold an Australian financial services licence for the provision of the following financial services:
    - (i) dealing in an interest in the Facility; and
    - (ii) the provision of general advice in relation to an interest in the Facility.

**Where relief applies**

5. This instrument applies to the acquisitions of Shares in accordance with the Implementation Deed (*Proposed Acquisitions*), where:
  - (a) the Company is an unlisted company with more than 50 shareholders;
  - (b) under the terms of the Implementation Deed the Company will invite shareholders to sell their Shares to Anacacia Private Equity III LP (*Anacacia*) and/or other shareholders through the Facility;



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- (c) the Company is required to obtain shareholder approval for:
- (i) the acquisition of a relevant interest by Anacacia in the Company of up to 28.3% via the Initial Acquisitions;
  - (ii) the acquisition of a relevant interest by associates of Anacacia in the Company of up to 1% via the December 2020 Trading Window or otherwise during the Follow-On Period;
  - (iii) the acquisition of a relevant interest by Anacacia in the Company, up to a maximum of 35% (when aggregated with the Relevant Interest it acquired via the Initial Acquisitions) via the Follow-On Subscriptions;
  - (iv) the Proposed Amended Shareholders Agreement; and
  - (v) any acquisition of a relevant interest by Anacacia and any other shareholder in the Company as a result of the amendment of the Shareholders' Agreement as set out in the Proposed Amended Shareholders' Agreement);
- (d) the shareholders are given a copy of the Explanatory Memorandum and Independent Expert's Report with the notice of general meeting convening the meeting of shareholders to consider and if thought fit to approve the Proposed Acquisitions and the Proposed Amended Shareholders Agreement; and
- (e) a copy of the Implementation Deed or Proposed Amended Shareholders Agreement is made available to shareholders free of charge on request.

**Interpretation**

6. In this instrument:

**December 2020 Trading Window** means the December 2020 Trading Window as defined in the Explanatory Memorandum

**Explanatory Memorandum** means the explanatory statement to the Notice of Meeting.

**Facility** means a share sale facility operated by the Company in accordance with the terms of the Implementation Deed and the Proposed Amended Shareholders Agreement.

**Follow-On Period** means the follow-on period as defined in the Explanatory Memorandum.

**20-1165**

*Follow-On Subscriptions* means the follow-on subscriptions as defined in the Explanatory Memorandum.

*Implementation Deed* means the agreement entered into between the Company and Anacacia in relation to the Proposed Acquisition dated 3 November 2020.

*Initial Acquisitions* means the initial acquisitions as defined in the Explanatory Memorandum.

*Independent Expert's Report* means the Independent Expert's Report dated 13 November 2020 by PKF Corporate Finance (NSW) Pty Ltd in relation to the Proposed Acquisition.

*Notice of Meeting* means the notice of general meeting that is in substantially in the same form as that provided to ASIC on 13 November 2020.

*Proposed Amended Shareholders Agreement* has the same meaning given to 'Amended Shareholders' Agreement' in the Explanatory Memorandum.

*Share* means a fully paid ordinary share in the Company.

Dated this 15<sup>th</sup> day of December 2020



Signed by Sabrina Mobbs  
as a delegate of the Australian Securities and Investments Commission

20-1167

**Australian Securities and Investments Commission****Corporations Act 2001 – Subsections 713(6), 708AA(3) and 708A(2) - Determinations**

Under s713(6) of the Corporations Act 2001 (the Corporations Act) the Australian Securities and Investments Commission determines that the person specified in the Schedule may not rely on s713 of the Corporations Act from the date of this instrument until 14 December 2021.

Under s708AA(3) of the Corporations Act the Australian Securities and Investments Commission determines that the person specified in the Schedule may not rely on s708AA of the Corporations Act from the date of this instrument until 14 December 2021.

Under s708A(2) of the Corporations Act the Australian Securities and Investments Commission determines that the person specified in the Schedule may not rely on s708A of the Corporations Act from the date of this instrument until 14 December 2021.

**Schedule**

Regional Express Holdings Limited ACN 099 547 270

Dated this 14<sup>th</sup> day of December 2020.



Signed: .....

Kate Dluzniak

as a delegate of the Australian Securities and Investments Commission

20-1170

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: William George Stanley  
ABN 39 376 699 432 ("the Licensee")  
Stanley & Williamson House  
Level 1  
34 Burton Street  
KIRRIBILLI NSW 2061

Pursuant to paragraph 915B(1)(e) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 247322 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 17 December 2020

Signed   
Elizabeth Lee  
A delegate of the Australian Securities and Investments Commission

20-1171

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Jasper Partners Financial Services Pty Ltd  
ACN 613 069 579 ("the Licensee")  
Suite 1, 128-136 Jolimont Road  
East Melbourne VIC 3002

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 488744 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 17 December 2020

Signed  .....

A delegate of the Australian Securities and Investments Commission

20-1172

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Agora Asset Management Pty Ltd  
ACN122 895 989 ("the Licensee")  
26 Young Street  
Moonee Ponds VIC 3039

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 309686 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 17 December 2020

Signed  .....

A delegate of the Australian Securities and Investments Commission

20-1176

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraphs 741(1)(a) – Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission (**ASIC**) makes this instrument under paragraph 741(1)(a) of the *Corporations Act 2001* (the **Act**).

**Title**

2. This instrument is ASIC Instrument 20-1176.

**Commencement**

3. This instrument commences on the date it is signed.

**Exemption**

4. Each of:
  - (a) Pelorus Private Equity Limited ACN 091 209 639 (**Pelorus**); and
  - (b) WOTSO Limited ACN 636 701 267 (**WOTSO**);

do not have to comply with s 711(5), 711(6), 722(1), 723(1), 723(3), 724(1)(b) and 734(5) of the Act.

**Where this instrument applies**

5. This instrument only applies in relation to an offer of WOTSO Shares and Planloc Shares under a prospectus lodged by WOTSO and Pelorus with ASIC on or about 21 December 2020 in connection with the Stapling Proposal and where no ordinary shares will be issued more than 13 months after the date of the prospectus.

**Interpretation**

6. In this instrument:

**BlackWall Property Trust** means BlackWall Property Trust ARSN 109 684 773;

**BWR Unit** means a fully paid unit in BlackWall Property Trust;

**Planloc** means a fully paid ordinary share in the capital of Planloc;

**Planloc Share** means a fully paid ordinary share in the capital of Planloc;

**Stapled Security** means the stapled security comprised of one of WOTSO Share, one Planloc Share and one BWR unit, stapled in accordance with the Stapling Proposal;

**20-1176**

**Stapling Proposal** means a transaction under which each WOTSO Share, each Planloc Share and each BWR Unit will be stapled together forming a single Stapled Security to be quoted on the ASX the terms of which are:

- (a) contained in the Scheme Implementation Deed dated 17 November 2020 entered into between each of WOTSO, BlackWall Fund Services Limited ACN 079 608 825 (in its capacity as responsible entity of BlackWall Property Trust) and Planloc (as amended by Deed of Amendment and Restatement dated 16 December 2020); and
- (b) described in the explanatory statement under section 411 of the Act that is in substantially the same form as that provided to ASIC by WOTSO on 16 December 2020; and

**WOTSO Share** means a fully paid ordinary share in the capital of WOTSO.

Dated this 17<sup>th</sup> day of December 2020



Signed by Jeremiah Leong  
as a delegate of the Australian Securities and Investments Commission



20-1179

## NOTICE UNDER SECTION 915F OF THE CORPORATIONS ACT 2001

Notice is given under section 915F of the *Corporations Act 2001* that the Australian Securities and Investments Commission has taken the action set out in the Notice below, which action took effect on 16th December 2020.

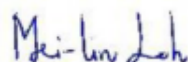
**Australian Securities and Investments Commission****Corporations Act 2001 section 915C****Notice of cancellation of Australian financial services licence**

To: Mortgage & General Financial Services Pty Ltd ACN 005 845 778

TAKE NOTICE that under section 915C of the *Corporations Act 2001* the Australian Securities and Investments Commission hereby cancels Australian financial services licence number 247413 held by Mortgage & General Financial Services Pty Ltd ACN 005 845 778.

Dated this 11<sup>th</sup> day of December 2020

Signed



Mei-lin Loh  
Delegate of the Australian Securities and Investments Commission

20-1181

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 911A(2)(l) – Amendment**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 911A(2)(l) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 20-1181.

**Commencement**

3. This instrument commences on gazettal.

**Amendment of ASIC Instrument 12-0988**

4. ASIC Instrument 12-0988 is amended by, after paragraph 8, inserting:

“9. This instrument ceases to apply at the end of the period referred to in subitem 1(3) of Schedule 2 to *ASIC Corporations (Repeal and Transitional) Instrument 2016/396*.”.

Dated this 18<sup>th</sup> day of December 2020



Signed by Supri Nooney  
as a delegate of the Australian Securities and Investments Commission

20-1184

**Australian Securities and Investments Commission**  
**Corporations Act 2001 – Paragraph 601QA(1)(a) – Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 601QA(1)(a) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 20-1184.

**Commencement**

3. This instrument commences on the day it is signed.

**Exemption**

4. TruePillars RE Ltd ACN 623 138 241 (*Responsible Entity*) in its capacity as the responsible entity of TruePillars Investment Trust ARSN 611 843 317 (the *Scheme*) does not have to comply with subsection 601ED(5) of the Act.

**Where this instrument applies**

5. This instrument applies in relation to the establishment by the Responsible Entity of a class of interests in the Scheme (*Class*) under the terms of the constitution of the Scheme, where the Scheme's constitution includes clauses to the following effect:
  - (a) each Class will be treated as a class of interests separate to any other class of interests in the Scheme;
  - (b) where members of a Class pass a special resolution directing that an amendment to the constitution be made, then the Responsible Entity will amend the constitution under paragraph 601GC(1)(b) of the Act where the following requirements are satisfied:
    - (i) there is no adverse effect on the rights of any other class of members of the Scheme; and

20-1184

- (ii) the amendment is not contrary to the best interests of members of the Scheme generally;
- (c) when members of a Class pass an extraordinary resolution to wind up the Class, that Class must be wound up;
- (d) that the ability of the Responsible Entity to raise debt is limited to the specific assets of the Class for which the funds raised are to be used;
- (e) members of a Class cannot be liable for debts of the Responsible Entity that were incurred for the benefit of members of another Class;
- (f) the scheme property of a Class will not be encumbered in relation to a liability entered into for another Class; and
- (g) The Responsible Entity is not entitled to be indemnified out of the scheme property of a Class in relation to liabilities or expenses incurred for another Class.

**Interpretation**

In this instrument:

***extraordinary resolution*** means an extraordinary resolution as defined under section 9 of the Act except a reference to members has the meaning of members of a Class.

***special resolution*** means a special resolution as defined under section 9 of the Act except a reference to members has the meaning of members of a Class.

Dated this 18 day of December 2020



Signed by Supri Nooney  
as delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001  
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this eighteenth day of December 2020

Rosanne Bell  
DELEGATE OF  
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company	ARBN
BUREAU VERITAS NORTH AMERICA, INC.	615 434 243
COBRA BIOTECH LIMITED	617 755 076
COBRA LIFE SCIENCES LIMITED	622 782 558
EMBRAER AVIATION INTERNATIONAL EAI	636 397 923
EMMA & ROE NZ LIMITED	614 171 292
ETF MANAGERS GROUP LLC	633 330 524
J.D. POWER SINGAPORE PTE. LTD.	614 700 051
METLIFECARE LIMITED	165 659 110
MHJ (US) LIMITED	614 168 713
MICHAEL HILL FINANCE (NZ) LIMITED	134 442 827
MICHAEL HILL FRANCHISE HOLDINGS LIMITED	614 169 596
MICHAEL HILL JEWELLER LIMITED	614 926 519
MICHAEL HILL NEW ZEALAND LIMITED	116 446 578
MICHAEL HILL ONLINE HOLDINGS LIMITED	614 168 768
RBC CAPITAL MARKETS, LLC	147 716 229
REQUISITE AUSTRALIA LIMITED	616 221 508

CORPORATIONS ACT 2001  
Section 601CL(5)

ASIC has struck the foreign companies listed  
below off the register.

Dated this eighteenth day of December 2020

Rosanne Bell  
DELEGATE OF  
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

**Name of Company**

**ARBN**

CHASE DISTILLERY LIMITED	627 008 897
CRAPE MYRTLE (PTC) LTD.	169 201 754
TAN HERITAGE PTY LTD	609 105 875

CORPORATIONS ACT 2001  
Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this eighteenth day of December 2020

Rosanne Bell  
DELEGATE OF  
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Scheme	ARSN
AUSTRALIAN UNITY PROPERTY SECURITIES FUND	090 363 643
BT CAPITAL PROTECTION FUND	160 548 970
FRANKLIN DIVERSIFIED FIXED INCOME FUND	617 965 643
PENDAL ENHANCED AUSTRALIAN SHARES FUND	088 835 385
PENDAL ENHANCED FIXED INTEREST TRUST	099 765 947
PENDAL GLOBAL SHARE FUND	088 826 911
PENDAL INTERNATIONAL SHARE TRUST	088 832 802

CORPORATIONS ACT 2001  
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

**ANZ WEALTH AUSTRALIA LIMITED**

ACN 000 000 779 will change to a proprietary company limited by shares. The new name will be ANZ WEALTH AUSTRALIA PTY LTD ACN 000 000 779.

**FELIX MINERALS PTY LTD ACN 645 132 510**

will change to a public company limited by shares. The new name will be FELIX MINERALS LTD ACN 645 132 510.

**MACQUARIE FINANCE LTD ACN 001 214 964**

will change to a proprietary company limited by shares. The new name will be MACQUARIE FINANCE PTY LIMITED ACN 001 214 964.

**MELBOURNE CAPITAL LIMITED**

ACN 055 638 438 will change to a proprietary company limited by shares. The new name will be MELBOURNE CAPITAL PTY LTD ACN 055 638 438.

**PDD PROFESSIONAL SERVICES LTD**

ACN 645 904 365 will change to a proprietary company limited by shares. The new name will be PDD PROFESSIONAL SERVICES PTY LTD ACN 645 904 365.