



Federal Court of Australia

District Registry: Victoria

Division: General

No: VID551/2020

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Plaintiff

AWARE FINANCIAL SERVICES AUSTRALIA LIMITED (ACN 003 742 756)

Defendant

ORDER

JUDGE: JUSTICE MOSHINSKY

DATE OF ORDER: 4 December 2020

WHERE MADE: Melbourne

THE COURT ORDERS THAT:

Section 19 transcripts

1. By 4.00 pm on 18 December 2020, the plaintiff give discovery of, and produce to the defendant, all transcripts of examinations conducted by the plaintiff under section 19 of the *Australian Securities and Investments Commission Act 2001* (Cth) (**ASIC Act**) in the plaintiff's investigation (**Investigation**) into suspected contraventions of the ASIC Act and *Corporations Act 2001* (Cth) by the defendant which are the subject of this proceeding, together with copies of all documents referred to in those examinations.

Agreed Statement of Facts

2. By 4.00 pm on 22 February 2021, the plaintiff provide the defendant with a draft proposed statement of agreed facts and issues in dispute (**Statement of Agreed Facts**).
3. By 4.00 pm on 22 March 2021, the defendant provide the plaintiff with its comments on the draft Statement of Agreed Facts.
4. By 4.00 pm on 12 April 2021, the plaintiff, after conferral and agreement with the defendant, file and serve a Statement of Agreed Facts.



Case management

5. The matter be listed for a case management hearing at 9.30 am on 23 April 2021.
6. There be liberty to apply on 3 days' notice.
7. Costs be reserved.

Date that entry is stamped: 4 December 2020

Sia Lagos
Registrar