



**ASIC**  
Australian Securities &  
Investments Commission

Commonwealth of Australia Gazette

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# ASIC Gazette

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### RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet *ASIC decisions – your rights* (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at [www.asic.gov.au](http://www.asic.gov.au) or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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20-0900

**Australian Securities and Investments Commission  
Corporations Act 2001 — Paragraph 911A(2)(l) — Amendment**

**Enabling legislation**

1. The Australian Securities and Investments Commission makes this instrument under paragraph 911A(2)(l) of the *Corporations Act 2001*.

**Title**

2. This instrument is ASIC Instrument 20-0900.

**Commencement**

3. This instrument commences on gazettal.

**Amendment**

4. ASIC Instrument 12-1363 is amended by, after paragraph 7, inserting:  

“8. This instrument ceases to apply at the end of the period referred to in subitem 1(3) of Schedule 2 to *ASIC Corporations (Repeal and Transitional) Instrument 2016/396*.”.

Dated this 17<sup>th</sup> day of November 2020.



Signed by Marie-Christine De Greeff  
as a delegate of the Australian Securities and Investments Commission

20-0903

**Australian Securities and Investments Commission  
Corporations Act 2001 — Paragraph 911A(2)(l) — Amendment**

**Enabling legislation**

1. The Australian Securities and Investments Commission makes this instrument under paragraph 911A(2)(l) of the *Corporations Act 2001*.

**Title**

2. This instrument is ASIC Instrument 20-0903.

**Commencement**

3. This instrument commences on gazettal.

**Amendment**

4. ASIC Instrument 08-00696, as varied by ASIC Instrument 13-1059, is amended by, before Schedule A, inserting:

**“Cessation**

This instrument ceases to apply at the end of the period referred to in subitem 1(3) of Schedule 2 to *ASIC Corporations (Repeal and Transitional) Instrument 2016/396*.”

Dated this 20<sup>th</sup> day of November 2020.



Signed by Marie-Christine De Greeff  
as a delegate of the Australian Securities and Investments Commission

20-0906

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Finova Pty Ltd  
ACN 619 598 006 ("the Licensee")  
84 Cameron Street  
Edgecliff NSW 2027

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 506363 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 18 November 2020

Signed   
.....

A delegate of the Australian Securities and Investments Commission

20-0907

**Australian Securities and Investments Commission  
Corporations Act 2001 – Subsections 741(1) and 926A(2) – Exemptions**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsections 741(1) and 926A(2) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 20-0907.

**Commencement**

3. This instrument commences on the date it is signed.

**Nature of this instrument**

4. This instrument is an individual relief instrument, as referred to in paragraphs 28A to 28D of ASIC Class Order [CO 14/1000].

**Disclosure relief***Offers made under an employee incentive scheme*

5. The Company or a related body corporate that makes an offer under an employee incentive scheme covered by this instrument does not have to comply with Part 6D.2 or Part 6D.3 of the Act in relation to the offer.

*Subsequent sale offers*

6. A person that makes a sale offer of an underlying eligible product within 12 months after the issue of the product does not have to comply with Part 6D.2 or Part 6D.3 of the Act in relation to the sale offer where:
  - (a) the product was issued or otherwise granted:
    - (i) to an eligible participant under an employee incentive scheme; or
    - (ii) to a trustee in connection with an employee incentive scheme; and
  - (b) the person has no reason to believe the employee incentive scheme is not covered by this instrument.

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7. A person that makes a sale offer of a financial product within 12 months after the issue of the product does not have to comply with Part 6D.2, 6D.3 or Part 7.9 of the Act in relation to the sale offer where:
- (a) the product was issued by reason of the exercise or vesting of an eligible product issued or otherwise granted to:
    - (i) an eligible participant under an employee incentive scheme; or
    - (ii) to a trustee in connection with an employee incentive scheme; and
  - (b) the person has no reason to believe the employee incentive scheme is not covered by this instrument.

**Other incidental relief***General advice*

8. The Company or a related body corporate that makes an offer under an employee incentive scheme covered by this instrument and, in relation to the offer, provides a financial service consisting of general advice in connection with the offer, does not have to comply with subsection 911A(1) of the Act in relation to the advice.

*Dealing*

9. The Company or a related body corporate that provides any of the following financial services in relation to an offer in connection with an employee incentive scheme covered by this instrument does not have to comply with subsection 911A(1) of the Act in relation to the financial service:
- (a) issuing the eligible product;
  - (b) dealing in the eligible product where any acquisition by purchase or disposal of the eligible product by the Company or a related body corporate occurs either:
    - (i) through a financial services licensee; or
    - (ii) outside this jurisdiction and through a person which is licensed or otherwise authorised to deal in financial products of that kind in the relevant place.

**Conditions**

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*Notice of reliance*

10. The Company or a related body corporate making an offer in connection with a particular employee incentive scheme must give ASIC a notice of reliance.

Note: A notice of reliance can cover a particular employee incentive scheme that is intended to operate for many years. A new notice of reliance will be required to be given to ASIC if the Company or a related body corporate establishes a new employee incentive scheme.

11. The Company or a related body corporate may give ASIC the notice of reliance at any time before the body first relies on this instrument in relation to the particular employee incentive scheme but, in any event, must give ASIC the notice of reliance no later than 1 month after the day the body first relies on this instrument in relation to the particular employee incentive scheme.

*Disclosure*

12. The Company or a related body corporate that makes an offer under an employee incentive scheme must ensure that the offer is made in, or is accompanied by, an offer document.

*Offers must be conditional on quotation*

- 12A. The Company or a related body corporate that makes an offer under an employee incentive scheme in reliance on this instrument must ensure that any offers to eligible participants are:

- (a) made on or after the day the Registration Statement has been lodged with the United States Securities and Exchange Commission; and
- (b) conditional on the quotation of the Company's shares on the eligible financial market.

*Offers of overlying eligible products*

13. If the Company, a related body corporate or a trustee makes an offer of an overlying eligible product under an employee incentive scheme, the Company or the related body corporate must ensure that, if the overlying eligible product is not able to be traded on an eligible financial market, the offer is for no more than nominal monetary consideration.

Note: This paragraph 13 does not prohibit an offer for more than nominal monetary consideration of an underlying eligible product held or to be held by a trustee under a trust in relation to which the eligible participant acquires or will acquire a unit in the underlying eligible product because it is or will be held by the trustee under the trust.

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*5% issue limit*

14. The Company or a related body corporate that makes an offer covered by this instrument must, at the time of making the offer, have reasonable grounds to believe that the number of underlying eligible products in a class of underlying eligible products that form part of the issued capital of the Company that have been or may be issued in any of the circumstances covered by the following paragraphs will not exceed 5% of the total number of underlying eligible products in that class on issue:
- (a) underlying eligible products that may be issued under the offer;
  - (b) underlying eligible products issued or that may be issued as a result of offers made at any time during the previous 3 year period under:
    - (i) an employee incentive scheme or like scheme of the Company or a related body corporate, where offers were covered by this instrument or an individual instrument made by ASIC in terms similar to this instrument; or
    - (ii) an employee incentive scheme or employee share scheme of the Company or a related body corporate, where the offers were covered by ASIC Class Order [CO 14/1000] or an individual instrument made by ASIC in terms similar to that class order.

*Trusts*

15. The Company or a related body corporate that makes an offer of an underlying eligible product under an employee incentive scheme in relation to which a trustee holds or will hold the underlying eligible products must ensure:
- (a) the activities of the trustee of the trust in that capacity are limited to employee incentive schemes of the Company or the related body corporate (whether or not the other employee incentive schemes are covered by this instrument);
  - (b) the trustee maintains written records on the administration of the trust including, in the case of underlying eligible products being held for a specified eligible participant on an allocated basis, written records that identify the underlying eligible products held on an allocated basis for the specified eligible participant;
- Note: The written records in relation to underlying eligible products held on an allocated basis may take the form of a sub-register administered by or on behalf of the trustee.
- (c) the trustee does not levy any fees or charges for administering the trust that are payable directly by any eligible participant or out of the assets of the trust,



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other than reasonable disbursements including brokerage and tax levied or incurred in connection with the trust;

- (d) if the trustee is the Company or an associate of the Company —the trustee does not, at its own discretion, exercise any voting rights attaching to any of the underlying eligible products that it holds on trust; and
- (e) the trustee, either alone or together with one or more other trustees, does not hold more than 5% of the voting shares or voting interests in the Company calculated by reference to all employee incentive schemes or like schemes of the Company or a related body corporate in relation to which offers were covered by this instrument, ASIC Class Order [CO 14/1000] or an individual instrument made, on or after the commencement of this instrument, by ASIC in terms similar to this instrument.

*Contribution plans*

16. The Company or a related body corporate that makes an offer under an employee incentive scheme that involves a contribution plan:

- (a) must not allow an eligible participant to participate in the contribution plan unless the eligible participant has agreed in writing to the terms of the contribution plan;
- (b) must not allow an eligible participant to participate in the contribution plan to acquire an overlying eligible product that is not able to be traded on an eligible financial market;

Note: This subparagraph (b) does not prohibit an eligible participant from participating in a contribution plan to acquire an underlying eligible product held or to be held by a trustee under a trust in relation to which the eligible participant acquires or will acquire a unit in the underlying eligible product because it is or will be held by the trustee under the trust.

- (c) must ensure that any contributions (other than contributions in the form of future gross (before-tax) salary or wages or from a loan from the Company or a related body corporate to an eligible participant) under the terms of the contribution plan which are to be used but have not yet been used to acquire underlying eligible products are held by or on behalf of the Company or a related body corporate on trust for eligible participants, in an account with an Australian ADI that is used solely in connection with employee incentive schemes of the Company or a related body corporate (whether or not the other employee incentive schemes are covered by this instrument), whether the account is maintained in this jurisdiction or elsewhere;
- (d) must ensure that if underlying eligible products have been acquired by the trustee for a specified eligible participant on an allocated basis using

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contributions made by the eligible participant under a contribution plan, the eligible participant has the right to:

- (i) exercise, or to direct the trustee of the underlying eligible products to exercise on their behalf, any voting rights attaching to the underlying eligible products; and
- (ii) receive income deriving from the underlying eligible products, including dividends and distributions;

*Note:* The right to receive dividends or distributions may be subject to terms that the dividends or distributions are to be applied to repay a loan from the Company or a related body corporate to the eligible participant in connection with the employee incentive scheme.

- (e) unless the eligible participant (or a person covered by one of the sub-paragraphs in subparagraph 19(3)) has already acquired the eligible products under the employee incentive scheme— must ensure that an eligible participant may, by giving a notice to the Company or a related body corporate, discontinue their participation in the contribution plan, the discontinuance to take effect no more than 45 days after the giving of the notice; and
- (f) must ensure that if an eligible participant has discontinued their participation in the contribution plan, any contributions (other than contributions in the form of future gross (before-tax) salary or wages or from a loan from the Company or a related body corporate to an eligible participant) under the terms of the contribution plan that have not been used to acquire underlying eligible products, are repaid to or as directed by the participant as soon as practicable after the participant has discontinued their participation in the contribution plan (such repayment including any accumulated interest (if any), less any tax).

*Loans*

17. The Company or a related body corporate making an offer of an eligible product under an employee incentive scheme that involves a loan from the Company or a related body corporate to an eligible participant to acquire the product:
- (a) must ensure that the loan is not provided to acquire options or incentive rights; and
  - (b) must ensure that under the terms of the loan:
    - (i) no fees or interest is payable; and

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(ii) either:

- (A) the lender has no recourse against the participant in relation to the repayment of the loan; or
- (B) the recourse of the lender against the participant in relation to the repayment of the loan is limited to forfeiture of the eligible products issued or transferred to, or held on behalf of, the participant in connection with the scheme.

**ASIC power to request documents**

18. The Company or a related body corporate must, if requested by ASIC and in accordance with the request, make available to ASIC the offer document and all other accompanying information or documents given to eligible participants in connection with the offer made in reliance on this instrument.

**Interpretation**

19. In this instrument:

- (1) *able to be traded* has the meaning given by section 761A of the Act;

*associate* has the meaning given by Division 2 of Part 1.2 of the Act (except sections 12 and 16);

*casual employee*, in relation to the Company or a related body corporate, means an individual who is, or might reasonably be expected to be, engaged to work the number of hours that are the pro-rata equivalent of 40% or more of a comparable full-time position with the body;

*Company* means Asana, Inc, a company registered in the state of Delaware, United States of America with file number 4634283;

*contractor*, in relation to the Company or a related body corporate, means:

- (a) an individual with whom the body has entered into a contract for the provision of services under which the individual performs work for the body; or
- (b) a company with whom the body has entered into a contract for the provision of services under which an individual, who is a director of the company or their spouse, performs work for the body;

where the individual who performs the work under or in relation to the contract is, or might reasonably be expected to be, engaged to work the

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number of hours that are the pro-rata equivalent of 40% or more of a comparable full-time position with the body;

**contribution**, in relation to a contribution plan, does not include:

- (a) nominal monetary consideration; or
- (b) a monetary contribution as consideration for an issue, transfer or grant of an eligible product to the eligible participant without undue delay (including a monetary contribution made to exercise an eligible product or cause an eligible product to vest);

**contribution plan** means a plan under which an eligible participant may make monetary contributions to acquire eligible products, whether made before or after the acquisition, from one or more of the following:

- (a) gross (before-tax) wages or salary;
- (b) net (after-tax) wages or salary;
- (c) other monies;

**eligible financial market** means a financial market specified in column 1 of Table A and, unless a contrary intention appears, is limited to the main board of that market;

**eligible participant**, in relation to the Company or a related body corporate, means a person specified in column 3 of Table A;

**eligible product**, in relation to the Company, means a financial product specified in column 2 of Table A;

**employee incentive scheme** means an arrangement under which eligible products of the Company are offered to eligible participants;

**financial product advice** has the meaning given by section 766B of the Act;

**general advice** has the meaning given by section 766B of the Act;

**incentive right** means a conditional right:

- (a) to acquire underlying eligible products;
- (b) to be paid a cash amount that is ultimately determined by reference to (wholly or in part):

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- (i) the price or value at a given time of the underlying eligible product to which the right relates;
  - (ii) a change in the price or value over a given period of the underlying eligible product to which the right relates;
  - (iii) the amount or value of dividends or distributions paid or payable in relation to the underlying eligible product to which the right relates; or
  - (iv) a change in the amount or value over a given period of time of dividends or distributions paid or payable in relation to the underlying eligible product to which the right relates; or
- (c) to acquire or to be paid a combination of underlying eligible products and a cash amount as determined in accordance with paragraph (b);

***nominal monetary consideration*** means monetary consideration of a token or trivial amount;

***notice of reliance***, in relation to a particular employee incentive scheme, means a written notice in a form approved in writing by ASIC for the purposes of ASIC Class Order CO 14/1000;

***offer***, in relation to an eligible product, has a meaning affected by sections 700, 702 and 1010C of the Act and includes:

- (a) an offer to issue the eligible product;
- (b) an issue or grant of the eligible product;
- (c) an offer to transfer the eligible product;
- (d) a transfer of the eligible product;
- (e) an offer to arrange for the issue or transfer of the eligible product;

but does not include an issue, grant or transfer of an underlying eligible product made by reason of the exercise or vesting of an overlying eligible product in circumstances where an offer to issue or transfer the overlying eligible product had been previously made.

***offer document***, in relation to an offer of eligible products under an employee incentive scheme, means a document which includes, or is accompanied by, the following information, statements and explanations

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worded and presented in a clear, concise and effective manner:

- (a) prominent statements to the effect that:
  - (i) any advice given by the Company in relation to eligible products offered under the employee incentive scheme does not take into account an eligible participant's objectives, financial situation and needs;
  - (ii) eligible participants should consider obtaining their own financial product advice from a person who is licensed by ASIC to give such advice;
  - (iii) the Company will provide a hard copy of the Registration Statement to eligible participants on request (and how eligible participants can access an electronic version of the Registration Statement); and
  - (iv) the offer is conditional on quotation of the Company's shares on the eligible financial market;
- (b) either:
  - (i) a copy of the terms of the employee incentive scheme; or
  - (ii) a summary of the terms of the scheme together with a statement that, on request and at no charge and within a reasonable time, the Company will provide an eligible participant with a copy of the terms of the scheme;
- (c) general information about the risks of acquiring and holding an eligible product being offered under the employee incentive scheme;
- (d) if a trustee will hold underlying eligible products for specified eligible participants on an allocated basis in connection with the employee incentive scheme and those eligible participants will have the right to:
  - (i) exercise, or direct the trustee to exercise on their behalf, any rights (including voting rights) attaching to the underlying eligible products; or
  - (ii) receive income deriving from the underlying eligible products, including dividends or distributions,

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then either — a copy of the trust deed or a summary of the terms of the trust deed together with a statement that, on request and at no charge and within a reasonable time, the Company will provide an eligible participant with a copy of the trust deed;

- (e) if the employee incentive scheme involves a contribution plan—either:
  - (i) a copy of the contribution plan; or
  - (ii) a summary of the terms of the contribution plan together with a statement that, on request and at no charge and within a reasonable time, the Company will provide an eligible participant with a copy of the terms of the contribution plan;
- (f) if the employee incentive scheme involves a loan from the Company or a related body corporate to an eligible participant to acquire the product—a copy of the terms of the loan;
- (g) the acquisition price of the eligible products in Australian dollars or, where the acquisition price is to be worked out in the future under a formula, an explanation of how an eligible participant could calculate the acquisition price of the eligible products in Australian dollars were that formula applied at the date of the offer;
- (h) an explanation of how an eligible participant could, from time to time, ascertain the market price of the underlying eligible products in Australian dollars following quotation of the Company's shares on the eligible financial market;

***overlying eligible product*** means an eligible product specified in any of paragraphs (g) to (i) in column 2 of Table A;

***prospective participant***, in relation to an offer of an eligible product under an employee incentive scheme, means a person to whom the offer is made but who can only accept the offer if an arrangement has been entered into that will result in the person becoming covered by one of the paragraphs (a) to (d) of column 3 of Table A;

***Registration Statement*** means the S-1 Registration Statement pursuant to which the direct listing of the Company's Class A common stock will be made will be prepared in accordance with the US Securities Act of 1933;

***related body corporate*** has the meaning given in section 50 of the Act;

***stapled security*** means two or more eligible products which, under the terms on which each is traded, must be transferred together;



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**trustee** means a body that holds or will hold underlying eligible products on trust for the following persons in connection with an employee incentive scheme:

- (a) eligible participants generally on an unallocated basis; or
- (b) one or more specified eligible participants on an allocated basis;

**underlying eligible product** means an eligible product specified in any of paragraphs (a) to (f) in column 2 of Table A;

- (2) if the Company is a registered scheme:
  - (i) the conferral of relief on the Company is taken to be the conferral of relief on the responsible entity of the scheme;
  - (ii) a requirement imposed on the Company is taken to be a requirement imposed on the responsible entity of the scheme;
  - (iii) a reference to occupying a position or role with the Company is taken to be a reference to occupying a position or role with the responsible entity of the scheme;
- (3) an offer of eligible products to an eligible participant under an employee incentive scheme on terms that the eligible participant may renounce the offer in favour of a person covered by one of the following sub-subparagraphs is to be treated as an offer of eligible products to the eligible participant:
  - (i) an immediate family member of the eligible participant;
  - (ii) a company whose members comprise no persons other than the eligible participant or immediate family members of the participant;
  - (iii) a corporate trustee of a self-managed superannuation fund (within the meaning of the *Superannuation Industry (Supervision) Act 1993*) where the eligible participant is a director of the trustee;
- (4) an employee incentive scheme, employee share scheme, or like scheme, is **covered by** an instrument to the extent that offers are made, or other conduct is carried out, in reliance on the instrument;
- (5) unless specified to the contrary, a document or other writing to be given in connection with this instrument may be given by electronic means (including, in the case of a document or other writing to be given by the Company or a related body corporate in reliance on this instrument, by way of making it



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available on a website and notifying the intended recipient that it is available on the website).

Dated this 29<sup>th</sup> day of September 2020



signed by Will Robertson

as a delegate of the Australian Securities and Investments Commission

**Table A**

Column 1 Eligible financial market	Column 2 Eligible product	Column 3 Eligible participant
(a) New York Stock Exchange	<p>(a) a fully paid share of the Company that is in a class of shares able to be traded on an eligible financial market;</p> <p>(b) a beneficial interest in a fully paid share of the Company where the interest is in a class of interests that is able to be traded on an eligible financial market;</p> <p>(c) a fully paid share of the Company in relation to which both of the following apply:</p> <p>(i) a beneficial interest in a share of that class are in a class of interests that is able to be traded on an eligible financial market;</p> <p>(ii) the share is convertible into the beneficial interest without charge or for a nominal fee;</p> <p>(d) a beneficial interest in a fully paid share of the Company in relation to which both of the following apply:</p> <p>(i) the fully paid share is in a class of shares that is able to be traded on an eligible financial market;</p> <p>(ii) the beneficial interest is</p>	<p>(a) a full-time or part-time employee (including an executive director);</p> <p>(b) a non-executive director;</p> <p>(c) a contractor;</p> <p>(d) a casual employee;</p> <p>(e) a prospective participant.</p>

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Column 1 Eligible financial market	Column 2 Eligible product	Column 3 Eligible participant
	<p>convertible into the share without charge or for a nominal fee;</p> <p>(e) a fully paid stapled security of the Company that is in a class of stapled securities that is able to be traded on ASX;</p> <p>(f) where the Company is a registered scheme—an interest in the Company that is in a class of interests that is able to be traded on ASX;</p> <p>(g) a unit in a financial product mentioned in paragraphs (a) to (f);</p> <p>(h) an option to acquire, by way of issue or transfer, a financial product mentioned in paragraphs (a) to (f);</p> <p>(i) an incentive right granted in relation to a financial product mentioned in paragraphs (a) to (f).</p>	

20-0995

**Australian Securities and Investments Commission  
Corporations Act 2001 — Paragraph 926A(2)(a) — Repeal**

**Enabling legislation**

1. The Australian Securities and Investments Commission makes this instrument under paragraph 926A(2)(a) of the *Corporations Act 2001*.

**Title**

2. This instrument is ASIC Instrument 20-0995.

**Commencement**

3. This instrument commences on the day it is signed.

**Revocation**

4. ASIC Instrument 17-0422 is repealed.

Dated this 18<sup>th</sup> day of November 2020.



Signed by Marie-Christine De Greeff  
as a delegate of the Australian Securities and Investments Commission

**20-1038****Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 1020F(1)(b) – Exemption****Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 1020F(1)(b) of the *Corporations Act 2001 (Act)*.

**Title**

2. This instrument is ASIC Instrument 20-1038.

**Commencement**

3. This instrument commences on the date it is signed.

**Exemption**

4. An ordinary share in the Company is exempt from subsection 1020B(2) of the Act.

**Where the exemption applies**

5. The exemption applies to an offer to sell Offer Shares by:

- (a) the Selling Shareholder to SaleCo; and
- (b) by SaleCo to Applicants;

where all of the following are satisfied:

- (a) the Selling Shareholder has, before the time any sale offer is made to SaleCo, irrevocably issued the Exercise Notice;
- (b) the Selling Shareholder has, before the time any sale offer is made by SaleCo, offered to sell Offer Shares to SaleCo under an Irrevocable Offer Deed;
- (c) settlement of the offer for the sale of Shares under the Prospectus is conditional on the ASX indicating in writing that it will grant permission for the quotation of all of the Shares, including the Offer Shares; and
- (d) SaleCo has offered to sell the Offer Shares to Applicants.

**Interpretation**

6. In this instrument:

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**ASX** means ASX Limited or the financial market it operates, known as the Australian Securities Exchange, as the context requires.

**Applicants** means applicants under the Prospectus.

**Company** means Cashrewards Group Limited ACN 615 084 654 (to be renamed Cashrewards Limited).

**Exercise Notice** means the notice issued by the Selling Shareholder on or around 4 November 2020 to exercise the Options, conditional only on IPO settlement occurring.

**Irrevocable Offer Deed** means a deed under which the Selling Shareholder irrevocably offers to sell the Sell-Down Shares to SaleCo for consideration per Share equal to the offer price per Share under the Prospectus (less their share of expenses of the sale).

**Offer Shares** means the Shares offered by the Company under the Prospectus.

**Options** means the 578,045 options held by the Selling Shareholder that, when exercised, result in the issue of the Sell-Down Shares.

**Prospectus** means the prospectus lodged by the Company and SaleCo on or before 11 November 2020 and includes any supplementary or replacement prospectus in relation to that Prospectus.

**IPO** means the offer by the Company and SaleCo of Shares.

**SaleCo** means Cashrewards SaleCo Limited 644 956 383.

**Selling Shareholder** means Iain Skelton

**Sell-Down Shares** means the 578,045 Shares that the Selling Shareholder intends to sell-down, being Shares that the Selling Shareholder will receive when Options in the Company are exercised.

**Shares** means fully paid ordinary shares in the Company.

Dated this 4<sup>th</sup> day of November 2020



as a delegate of the Australian Securities and Investments Commission

20-1042

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Goldschmidt & Co Pty Ltd  
ACN 009 349 240 ("the Licensee")  
Suite 3  
336 Churchill Avenue  
SUBIACO WA 6008

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 237991 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 16 November 2020

Signed   
.....

George Podaras  
A delegate of the Australian Securities and Investments Commission

20-1059

**Australian Securities and Investments Commission  
Corporations Act 2001 – Subsection 741(1) – Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (**ASIC**) makes this instrument under paragraph 741(1)(b) of the *Corporations Act 2001* (**Corporations Act**).

**Title**

2. This instrument is ASIC Instrument 20-1059.

**Commencement**

3. This instrument commences on the day it is signed.

**Declaration**

4. Chapter 6D of the Corporations Act applies to a person who holds ordinary shares in GenusPlus Group Ltd ACN 620 283 561 (**Company**) as if section 707 was modified or varied by omitting subsections 707(3) and (4) and substituting the following subsections:

“(3) An offer of a body’s securities for sale within 12 months after their issue needs disclosure to investors under this Part if the body issued the securities:

- (a) without disclosure to investors under this Part; and
- (b) with the purpose of the person to whom they were issued:
  - (i) selling or transferring them; or
  - (ii) granting, issuing or transferring interests in, or options or warrants over, them;

and section 708 and 708A does not say otherwise.

- (4) Unless the contrary is proved, a body is taken to issue securities with the purpose referred to in paragraph 3(b) if any of the securities are subsequently sold, or offered for sale, within 12 months after their issue.”

**Where this instrument applies**

5. This instrument applies where a person who holds securities in the Company makes an offer of those securities for sale (**Sale Securities**) where:
  - (a) the sale offer occurs within 12 months of the issue of the Sale Securities;

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- (b) a prospectus is lodged with ASIC by the Company on or around November 2020 in relation to an initial public offer of fully paid ordinary shares of the Company that are in the same class as the Sale Securities (**Prospectus**); and
- (c) the Sale Securities were issued by the Company without disclosure under Chapter 6D of the Corporations Act.

**Interpretation**

6. In this instrument:

*Sale Securities* means each of the following:

- (a) 3,094,737 Shares issued to Neil Rae and Melanie Rae ATF Rae Family Trust on 31 March 2020;
- (b) 1,473,684 Shares issued to Neil Rae, Melanie Rae, Simeon Rae and Dominique Rae ATF Rae Family Superannuation Fund on 31 March 2020;
- (c) 2,947,368 Shares issued to Carjay Investments ACN 115 006 285 on 31 March 2020;
- (d) 1,964,912 Shares issued to Arrochar Pty Ltd ACN 623 092 740 on 31 March 2020;
- (e) 2,222,807 Shares issued to William Taylor Nominees Pty Ltd ACN 008 799 620 on 31 March 2020;
- (f) 1,215,789 Shares issued to Kemper Shaw on 31 March 2020;
- (g) 2,431,580 Shares issued to Powerlines Plus Solutions Pty Ltd ACN 639 415 464 on 31 March 2020;
- (h) 200,000 Shares issued to Simon Robert High on 21 October 2020;
- (i) 100,000 Shares issued to Kathpa Pty Ltd ATF P V Gavazzi No 2 Family Trust on 21 October 2020; and
- (j) 100,000 Shares issued to Sintra Business Services Pty Ltd ATF Martins Family Trust on 21 October 2020.

*Share* means an ordinary share in the Company.

Dated this 9<sup>th</sup> day of November 2020



Signed by Sabrina Mobbs  
as a delegate of the Australian Securities and Investments Commission



## 20-1061

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 655A(1)(a) – Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 655A(1)(a) of the *Corporations Act 2001 (Act)*.

**Title**

2. This instrument is ASIC Instrument 20-1061.

**Commencement**

3. This instrument commences on the date that it is signed.

**Exemption**

4. Bain Capital and its Controllers do not have to comply with section 606 of the Act.

**Where this instrument applies**

5. This instrument applies where:
  - (a) Bain Capital and its Controllers acquire a relevant interest in the Shares as a result of the transfer of the Shares to Bain Capital on implementation of the Court Order and pursuant to the DOCA;
  - (b) the Deed Administrators made available to Shareholders at the Website the following documents:
    - (i) Independent Expert's Report dated 19 October 2020;
    - (ii) Explanatory Statement dated 21 October 2020; and
    - (iii) Report to Creditors dated 25 August 2020; and
  - (c) on 23 October 2020, a notice informing all Shareholders of the Proceedings was served by post or by electronic mail to all Shareholders by the Deed Administrators, with such notice advising Shareholders that documents listed in paragraph (b)(i) and (ii) above would be available for download from the Website.

**Interpretation**

6. In this instrument:

*Bain Capital* means BC Hart Investments, L.P.

## 20-1061

**Controllers** means entities or persons who by operation of section 608 of the Act acquire a relevant interest in the Shares when Bain Capital acquires a relevant interest in such Shares.

**Court Order** means the order of the Federal Court of Australia in the Proceedings dated 10 November 2020, permitting the Deed Administrators to transfer the Shares under section 444GA of the Act.

**Deed Administrators** means Vaughan Strawbridge, John Greig, Salvatore Algeri and Richard Hughes in their capacity as joint and several Deed Administrators of the Deed Companies pursuant to the DOCA.

**Deed Companies** means:

- (a) VAH;
- (b) Virgin Australia Airlines Holdings Pty Ltd (Subject to Deed of Company Arrangement) ACN 093 924 675;
- (c) VAH Newco No.1 Pty Ltd (Subject to Deed of Company Arrangement) ACN 160 881 345;
- (d) Tiger Airways Australia Pty Limited (Subject to Deed of Company Arrangement) ACN 124 369 008;
- (e) Virgin Australia Airlines Pty Ltd (Subject to Deed of Company Arrangement) ACN 090 670 965;
- (f) Virgin Tech Pty Ltd (Subject to Deed of Company Arrangement) ACN 101 808 879;
- (g) A.C.N. 098 904 262 Pty Ltd (Subject to Deed of Company Arrangement) ACN 098 904 262;
- (h) Virgin Australia Regional Airlines Pty Ltd (Subject to Deed of Company Arrangement) ACN 008 997 662;
- (i) Virgin Australia Holidays Pty Ltd (Subject to Deed of Company Arrangement) ACN 118 552 159;
- (j) VB Ventures Pty Ltd (Subject to Deed of Company Arrangement) ACN 125 139 004;
- (k) Virgin Australia Cargo Pty Ltd (Subject to Deed of Company Arrangement) ACN 600 667 838;
- (l) VB Leaseco Pty Ltd (Subject to Deed of Company Arrangement) ACN 134 268 741;
- (m) VB Investco Pty Ltd (Subject to Deed of Company Arrangement) ACN 101 961 095;
- (n) VAH Newco No.2 Pty Ltd (Subject to Deed of Company Arrangement) ACN 160 881 354;
- (o) Virgin Australia International Operations Pty Ltd (Subject to Deed of Company Arrangement) ACN 155 859 608;
- (p) VBNC5 Pty Ltd (Subject to Deed of Company Arrangement) ACN 119 691 502;
- (q) Short Haul 2017 No. 1 Pty Ltd (Subject to Deed of Company Arrangement) ACN 617 644 390;

## 20-1061

- (r) VB PDP 2010-11 Pty Ltd (Subject to Deed of Company Arrangement) ACN 140 818 266;
- (s) VB LH 2008 No. 1 Pty Ltd (Subject to Deed of Company Arrangement) ACN 134 280 354;
- (t) VB LH 2008 No. 2 Pty Ltd (Subject to Deed of Company Arrangement) ACN 134 288 805;
- (u) 737 2012 No.1 Pty. Ltd. (Subject to Deed of Company Arrangement) ACN 154 201 859;
- (v) 737 2012 No. 2 Pty Ltd (Subject to Deed of Company Arrangement) ACN 154 225 064;
- (w) VA Regional Leaseco Pty Ltd (Subject to Deed of Company Arrangement) ACN 127 491 605;
- (x) VA Hold Co Pty Ltd (Subject to Deed of Company Arrangement) ACN 165 507 157;
- (y) Virgin Australia 2013-1 Issuer Co Pty Ltd (Subject to Deed of Company Arrangement) ACN 165 507 326;
- (z) VA Lease Co Pty Ltd (Subject to Deed of Company Arrangement) ACN 165 507 291;
- (aa) Short Haul 2016 No. 1 Pty Ltd (Subject to Deed of Company Arrangement) ACN 612 766 328; and
- (bb) Short Haul 2016 No. 2 Pty Ltd (Subject to Deed of Company Arrangement) ACN 612 796 077.

**DOCA** means the Deed of Company Arrangement between the Deed Companies, Bain Capital and the Deed Administrators dated 25 September 2020.

**Explanatory Statement** means the Explanatory Statement dated 21 October 2020 prepared by the Deed Administrators.

**Independent Expert's Report** means the Independent Expert's Report dated 19 October 2020 prepared by FTI Consulting (Australia) Pty Ltd.

**Proceedings** means the application to the Federal Court of Australia with proceedings no. NSD464/2020.

**Report to Creditors** means the Report to Creditors dated 25 August 2020 and pursuant to section 75-225 of the Insolvency Practice Rules (Corporations) 2016 prepared by the Deed Administrators in respect of the Deed Companies.

**Shareholders** means all of the shareholders of VAH registered in VAH's share register as at 23 October 2020.

**Shares** means all of the issued ordinary shares in VAH.

**VAH** means Virgin Australia Holdings Limited (Subject to Deed of Company Arrangement) ACN 100 686 226.

**20-1061**

*Website* means <https://www2.deloitte.com/au/en/pages/finance/articles/virgin-australia-holdings-limited-subsidiaries.html>.

Dated this 12<sup>th</sup> day of November 2020



Signed by Sabrina Mobbs  
as a delegate of the Australian Securities and Investments Commission

**20-1063**

**Australian Securities and Investments Commission  
Corporations Act 2001 – Subsection 741(1) – Exemption and Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 741(1) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 20-1063.

**Commencement**

3. This instrument commences on the day it is signed.

**Exemption**

4. 3D Resources Limited ACN 120 973 775 (*3D Resources*) does not have to comply with Parts 6D.2 and 6D.3 of the Act.

**Declaration**

5. Chapter 6D of the Act applies to each holder of shares in Cosmo Gold Pty Ltd ACN 636 743 649 (*Demerged Entity*) as if section 707 were modified or varied by omitting subsections 707(3), (4), (5) and (6).

**Where this instrument applies**

6. The exemption in paragraph 4 applies to invitations by 3D Resources to vote at a general meeting on a capital reduction of 3D Resources, and an in specie distribution of shares in the Demerged Entity to holders of shares in 3D Resources (*Demerger Proposal*), pursuant to a notice of meeting that:
  - (a) is in substantially the same form as the draft notice of meeting given to ASIC on 21 October 2020; and
  - (b) includes a statement:
    - (i) describing the need for, and the effect of, the relief contained in this instrument; and
    - (ii) that the notice of meeting is in substantially the same form as the draft notice of meeting given to ASIC on 21 October 2020.

## 20-1063

7. The declaration in paragraph 5 applies where:
- (a) a holder of shares in the Demerged Entity makes an offer of shares in the Demerged Entity (*DE Shares*) for sale;
  - (b) the DE Shares were transferred to a holder of shares in 3D Resources, pursuant to the Demerger Proposal referred to in paragraph 6, within the previous 12 months; and
  - (c) the offer is not made within 12 months of a sale or transfer of the DE Shares by a person, other than 3D Resources Limited, who:
    - (i) controls the Demerged Entity;
    - (ii) would have been required by subsection 707(2) of the Act to give disclosure to investors under Part 6D.2 of the Act but for section 708 of the Act; and
    - (iii) did not give disclosure to investors under Part 6D.2 of the Act because of section 708 of the Act.

Dated this 12<sup>th</sup> day of November 2020



Signed by Sabrina Mobbs  
as a delegate of the Australian Securities and Investments Commission

20-1066

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Eaglehawk Advisory Pty Ltd  
ACN 602 272 891 ("the Licensee")  
38 William Webb Drive  
Evatt ACT 2617

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 480180 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 19 November 2020

Signed   
.....

A delegate of the Australian Securities and Investments Commission

20-1067

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 741(1)(b) – Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 741(1)(b) of the *Corporations Act 2001 (Act)*.

**Title**

2. This instrument is ASIC Instrument 20-1067.

**Commencement**

3. This instrument commences on the date it is signed.

**Declaration**

4. Chapter 6D of the Act applies to an offer of convertible debt securities to sophisticated and professional investors by Webjet Limited ACN 002 013 612 (*Company*) as if paragraph 708A(12C)(c) of the Act, as notionally inserted by *ASIC Corporations (Sale Offers: Securities Issued on Conversion of Convertible Notes) Instrument 2016/82 (Instrument 2016/82)*, were modified by omitting the words “5 days” and substituting the words “10 days”.

**Where this instrument applies**

5. The declaration in paragraph 4 of this instrument applies to an offer for sale of convertible debt securities by the Company where:
  - (a) the offer occurs on or around 16 November 2020;
  - (b) the convertible debt securities were issued to sophisticated and professional investors under an offer which did not require disclosure under Part 6D.2 of the Act because of subsection 708(8) or subsection 708(11) of the Act; and
  - (c) the Company has given ASX Limited ACN 008 624 691 a notice under paragraph 708A(12C) of the Act which complies with subsection 708A(12C) of the Act as notionally inserted by Instrument 2016/82.

Dated this 13<sup>th</sup> day of November 2020



Signed by Sabrina Mobbs  
as a delegate of the Australian Securities and Investments Commission



**20-1071****Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 1020F(1)(a) – Exemption****Enabling provisions**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 1020F(1)(a) of the *Corporations Act 2001 (Act)*.

**Title**

2. This instrument is ASIC Instrument 20-1071.

**Commencement**

3. This instrument commences on the date it is signed.

**Exemption**

4. Opteon Group Holdings Limited ACN 616 574 755 (*Company*) does not have to comply with Division 5A of Part 7.9 of the Act in relation to the Facility.

**Where this instrument applies**

5. This instrument applies to the acquisitions of Shares by Anacacia Private Equity III LP (*Anacacia*) in accordance with the Implementation Deed (*Proposed Acquisitions*), where:
  - (a) the Company is an unlisted company with more than 50 shareholders;
  - (b) under the terms of the Implementation Deed the Company will invite shareholders to sell their Shares to Anacacia and/or other shareholders through the Facility;
  - (c) the Company is required to obtain shareholder approval for:
    - i. the acquisition of a relevant interest by Anacacia in the Company of up to 28.3% via the Initial Acquisitions;
    - ii. the acquisition of a relevant interest by associates of Anacacia in the Company of up to 1% via the December 2020 Trading Window or otherwise during the Follow-On Period;
    - iii. the acquisition of a relevant interest by Anacacia in the Company, up to a maximum of 35% (when aggregated with the Relevant Interest it acquired via the Initial Acquisitions) via the Follow-On Subscriptions;

## 20-1071

- iv. the Proposed Amended Shareholders Agreement; and
  - v. any acquisition of a relevant interest by Anacacia and any other shareholder in the Company as a result of the amendment of the Shareholders' Agreement as set out in the Proposed Amended Shareholders' Agreement);
- (d) the shareholders are given a copy of the Explanatory Memorandum and Independent Expert's Report with the notice of general meeting convening the meeting of shareholders to consider and if thought fit to approve the Proposed Acquisitions and the Proposed Amended Shareholders Agreement; and
- (e) a copy of the Implementation Deed or Proposed Amended Shareholders Agreement is made available to shareholders free of charge on request.

**Interpretation**

6. In this instrument:

**December 2020 Trading Window** means the December 2020 Trading Window as defined in the Explanatory Memorandum.

**Explanatory Memorandum** means the explanatory statement to the Notice of Meeting.

**Facility** means a share sale facility operated by the Company in accordance with the terms of the Implementation Deed and the Proposed Amended Shareholders Agreement.

**Follow -On Period** means the follow-on period as defined in the Explanatory Memorandum.

**Follow-On Subscriptions** means the follow-on subscriptions as defined in the Explanatory Memorandum.

**Implementation Deed** means the agreement entered into between the Company and Anacacia in relation to the Proposed Acquisition dated 3 November 2020.

**Initial Acquisitions** means the initial acquisitions as defined in the Explanatory Memorandum.

**Independent Expert's Report** means the Independent Expert's Report dated 13 November 2020 by PKF Corporate Finance (NSW) Pty Ltd in relation to the Proposed Acquisition.

**Notice of Meeting** means the notice of general meeting that is in substantially in the same form as that provided to ASIC on 13 November 2020.

**20-1071**

*Proposed Amended Shareholders Agreement* has the same meaning given to 'Amended Shareholders' Agreement' in the Explanatory Memorandum.

*Shares* means fully paid ordinary shares in the Company.

Dated this 16<sup>th</sup> day of November 2020



Signed by Sabrina Mobbs  
as a delegate of the Australian Securities and Investments Commission

20-1072

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 655A(1)(b) – Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 655A(1)(b) of the *Corporations Act* 2001 (the *Act*).

**Title**

2. This instrument is ASIC Instrument 20-1072.

**Commencement**

3. This instrument commences on the date it is signed.

**Declaration**

4. Chapter 6 of the Act applies to Opteon Group Holdings Limited ACN 616 574 755 (the *Company*) as if item 7 of section 611 of the Act were modified or varied by omitting paragraph (a) and substituting the following:  
  
“(a) no votes are cast in favour of the resolution by the person proposing to make the acquisition and its associates (unless the associate is a custodian, nominee, trustee, responsible entity or other fiduciary which has received a specific instruction from a third party beneficiary, who is not an associate of the person, directing the associate how to vote); and”.

**Where this instrument applies**

5. This instrument applies to the acquisitions of Shares by Anacacia Private Equity III LP (*Anacacia*) in accordance with the Implementation Deed (*Proposed Acquisitions*), where:
  - (a) the Company is an unlisted company with more than 50 shareholders;
  - (b) under the terms of the Implementation Deed the Company will invite shareholders to sell their Shares to Anacacia and/or other shareholders through a share sale facility operated by the Company in accordance with the terms of the Implementation Deed and the Proposed Amended Shareholders Agreement;
  - (c) the Company is required to obtain shareholder approval for:
    - i. the acquisition of a relevant interest by Anacacia in the Company of up to 28.3% via the Initial Acquisitions;

**20-1072**

- ii. the acquisition of a relevant interest by associates of Anacacia in the Company of up to 1% via the December 2020 Trading Window or otherwise during the Follow-On Period;
  - iii. the acquisition of a relevant interest by Anacacia in the Company, up to a maximum of 35% (when aggregated with the Relevant Interest it acquires via the Initial Acquisitions) via the Follow-On Subscriptions;
  - iv. the Proposed Amended Shareholders Agreement; and
  - v. any acquisition of a relevant interest by Anacacia and any other shareholder in the Company as a result of the amendment of the Shareholders Agreement as set out in the Proposed Amended Shareholders Agreement;
- (d) the shareholders are given a copy of the Explanatory Memorandum and Independent Expert's Report with the notice of general meeting convening the meeting of shareholders to consider and if thought fit to approve the Proposed Acquisitions and the Proposed Amended Shareholders Agreement; and
- (e) a copy of the Implementation Deed or Proposed Amended Shareholders Agreement is made available to shareholders free of charge on request.

**Interpretation**

6. In this instrument:

**December 2020 Trading Window** means the December 2020 Trading Window as defined in the Explanatory Memorandum.

**Explanatory Memorandum** means the explanatory statement to the notice of meeting dated on or about 13 November 2020 that is:

- (a) prepared in accordance with item 7 of section 611 of the Act;
- (b) sent to all Shareholders; and
- (c) substantially in the same form as that provided to ASIC on 13 November 2020.

**Follow-On Period** means the follow-on period as defined in the Explanatory Memorandum.

**Follow-On Subscriptions** means the follow-on subscriptions as defined in the Explanatory Memorandum.

**20-1072**

*Implementation Deed* means the agreement entered into between the Company and Anacacia in relation to the Proposed Acquisition dated 3 November 2020.

*Initial Acquisitions* means the initial acquisitions as defined in the Explanatory Memorandum.

*Independent Expert's Report* means the Independent Expert's Report dated 13 November 2020 by PKF Corporate Finance (NSW) Pty Ltd in relation to the Proposed Acquisition.

*Notice of Meeting* means the notice of general meeting that is in substantially in the same form as that provided to ASIC on 13 November 2020.

*Proposed Amended Shareholders Agreement* has the same meaning given to 'Amended Shareholders' Agreement' in the Explanatory Memorandum.

*Shares* means fully paid ordinary shares in the Company.

Dated this 16<sup>th</sup> day of November 2020



Signed by Sabrina Mobbs  
as a delegate of the Australian Securities and Investments Commission

20-1075

## Australian Securities and Investments Commission

## Corporations Act 2001 – Subsection 601QA(1) – Declaration

- 1 The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 601QA(1) of the *Corporations Act 2001* (the *Act*).

**Title**

- 2 This instrument is ASIC Instrument 20-1075.

**Commencement**

- 3 This instrument commences on the day it is signed.

**Declaration**

- 4 Chapter 5C of the Act applies to Magellan Asset Management Limited ACN 120 593 946 (*MAM*) in its capacity as responsible entity of Magellan Global Fund ARSN 126 366 961 (*MGF*) as if Chapter 5C were further modified or varied as follows:
- (a) In subsection 601KH(5) as notionally inserted by *ASIC Corporations (ASX-listed Schemes On-market Buy-backs) Instrument 2016/1159*, at the beginning of the subsection, insert the words “subject to subsection 601KH(5A),”;
  - (b) After subsection 601KH(5) as notionally inserted by *ASIC Corporations (ASX-listed Schemes On-market Buy-backs) Instrument 2016/1159*, insert the following subsection:

“(5A) If a class of interests in the scheme is admitted to the ASX official list, the responsible entity of the scheme may buy back an interest in that class of interests within the 10/12 limit, provided that the responsible entity has given notice to the ASX which:

    - (a) discloses the responsible entity’s intention to buy back interests in the class of interests in the scheme within the 10/12 limit; and
    - (b) sets out:
      - (i) the number of interests in the class of interests in the scheme held by the responsible entity and any associate of the responsible entity; and

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- (ii) the source of funds to pay for any interests bought back.”
- (c) In subsection 601KH(6) as notionally inserted by *ASIC Corporations (ASX-listed Schemes On-market Buy-backs) Instrument 2016/1159*, at the beginning of the subsection, insert the words “subject to subsection 601KH(6A),”;
- (d) After subsection 601KH(6) as notionally inserted by *ASIC Corporations (ASX-listed Schemes On-market Buy-backs) Instrument 2016/1159*, insert a new subsection as follows:

“(6A) if subsection 601KH(5A) applies, the responsible entity of the scheme may buy back interests on and from the date the scheme is admitted to the ASX official list;”

**Where this declaration applies**

- 5 This declaration applies to the purchase by MAM, in its capacity as the responsible entity of MGF, of interests in MGF, as disclosed in the Explanatory Memorandum lodged with ASIC on 21 October 2020, where the purchase takes place in the ordinary course of trading on the financial market of ASX Limited and the purchase price is paid from the scheme property of MGF.

**Interpretation**

- 6 In this instrument:

**Explanatory Memorandum** means, in respect of each of the Target Trusts, the explanatory memorandum accompanying the notice of meeting dated on or about 21 October 2020 in relation to the Trust Scheme that is:

- (a) sent to the holders of interests in the relevant Target Trust; and
- (b) in substantially the same form as that provided to ASIC on 21 October 2020.

**Target Trusts** means either of:

- (a) Magellan Global Equities Fund ARSN 603 395 302; or
- (b) Magellan Global Trust ARSN 620 753 728.

**Trust Scheme** means the arrangements described in the Explanatory Memorandum under which MAM as responsible entity of MGF proposes to acquire all of the interests in both of the Target Trusts that it does not already own, where the



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acquisition is approved by a resolution passed at a meeting of members of each of the Target Trusts.

Dated this 18<sup>th</sup> November 2020



Signed by Natasha Gomez

as delegate of the Australian Securities and Investments Commission

20-1076

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Stephen Anthony Yong  
ABN 63 495 962 115 ("the Licensee")  
25 Golden Grove Boulevard  
REEDY CREEK QLD 4227

Pursuant to paragraph 915B(1)(e) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 483141 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 18 November 2020

Signed   
.....

George Podaras  
A delegate of the Australian Securities and Investments Commission

20-1077

**Australian Securities and Investments Commission  
Corporations Act 2001 — Paragraph 655A(1)(b) – Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 655A(1)(b) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 20-1077.

**Commencement**

3. This instrument commences on the date it is signed.

**Declaration**

4. Chapter 6 of the Act applies to Nord Gold S.E., a European public limited liability company registered under the laws of England and Wales (Company Number SE000102) (*Bidder*), as if:
  - (a) subsection 623(3) of the Act were modified or varied by, in paragraph (c) omitting “in the target.”, and substituting:

“in the target; or
  - (d) simultaneous market and off-market bids for the same class of securities in the target.”

**Where this instrument applies**

5. This instrument applies in relation to an off-market bid (*Bid*) by the Bidder for all of the ordinary shares in Cardinal Resources Limited ACN 147 325 620 (*Target*) made pursuant to a bidder’s statement lodged by the Bidder with ASIC after the date of this instrument and on or before 24 November 2020 in relation to the Bid which includes offers where the consideration initially offered is the same as the cash sum then offered by the Bidder under its market bid, and a market bid by the Bidder for all of the ordinary shares in the Target with respect to which a bidder’s statement was lodged by the Bidder with ASIC on 15 July 2020, where:
  - (a) the offer period under the market bid ends no later than the day on which the offer period under the Bid ends;
  - (b) if the consideration offered under the market bid is improved by increasing the cash sum offered, the consideration offered under the Bid must be improved by the same amount;

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- (c) if the consideration offered under the Bid is improved by increasing the cash sum offered, the consideration offered under the market bid must be improved by the same amount, unless section 649B of the Act prohibits the increase; and
- (d) the Bid is unconditional; and
- (e) for each takeover bid, the bidder's statement, the replacement bidder's statement, or any document that accompanies the bidder's statement or the replacement bidder's statement discloses the effect and operation of this instrument.

Dated this 18th day of November 2020



Signed by Ebony Jackson  
as a delegate of the Australian Securities and Investments Commission

20-1079

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: ABC Infomax Pty Ltd  
ABN 72 063 988 107 ("the Licensee")  
19 Nathan Street  
COOGEE NSW 2034

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 488995 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 19 November 2020

Signed   
.....

George Podaras  
A delegate of the Australian Securities and Investments Commission

20-1083

**Australian Securities and Investments Commission  
Corporations Act 2001 – Subsection 741(1)(b) – Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 741(1)(b) of the *Corporations Act 2001* (*Act*).

**Title**

2. This instrument is ASIC Instrument 20-1083.

**Commencement**

3. This instrument commences on the day it is signed.

**Declaration**

4. Chapter 6D of the Act applies to holders of shares of common stock or depository interests over shares of common stock in FINEOS Corporation Holdings plc ARBN 633 278 430, a company incorporated in Ireland (*Company*), as if section 707 was modified or varied by omitting subsections 707(3) and (4) and substituting the following subsections:

"(3) An offer of a body's securities for sale within 12 months after their issue needs disclosure to investors under this Part if the body issued the securities:

- (a) without disclosure to investors under this Part; and
- (b) with the purpose of the person to whom they were issued:
  - (i) selling or transferring them; or
  - (ii) granting, issuing or transferring interests in, or options or warrants over, them;

and section 708 and 708A does not say otherwise.

- (4) For the purposes of subsection (3), unless the contrary is proved, a body is taken to issue securities with the purpose referred to in paragraph 3(b) if any of the securities are subsequently sold, or offered for sale, within 12 months after their issue."

**Where this instrument applies**

5. This instrument applies where a person who holds securities in the Company makes an offer of those securities for sale (*Sale Securities*) where:

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- (a) the sale offer occurs within 12 months of the issue of the Sale Securities;
- (b) the Sale Securities were issued or granted by the Company:
  - (i) without disclosure under Chapter 6D of the Act; and
  - (ii) on exercise or vesting of options, restricted share awards, restricted stock units or performance awards granted under the Company's equity incentive plan, as summarised in the Company's prospectus dated 26 July 2019.

Dated this 19<sup>th</sup> day of November 2020



Signed by Ebony Jackson  
as a delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001  
Section 601CL(5)

ASIC has struck the foreign companies listed  
below off the register.

Dated this twentieth day of November 2020

Rosanne Bell  
DELEGATE OF  
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

**Name of Company**

**ARBN**

AVICENNA CENTRE FOR CHINESE MEDICINE LIMITED	633 839 826
CHENGDU YOULAN SCIENCE AND TECHNOLOGY CO., LTD.	619 163 487
CHENGDU YUNYIXIANG SCIENCE AND TECHNOLOGY CO., LTD.	616 230 794



CORPORATIONS ACT 2001  
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twentieth day of November 2020

Rosanne Bell  
DELEGATE OF  
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

**Name of Company**

**ARBN**

GOODIES FOREX LIMITED

163 614 515

HONEYCOMB.TV LIMITED

633 447 088

WATTS ASIA PACIFIC SALES PTE. LTD.

161 042 262

CORPORATIONS ACT 2001  
Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twentieth day of November 2020

Rosanne Bell  
DELEGATE OF  
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

<b>Name of Scheme</b>	<b>ARSN</b>
ACACIA RIDGE INDUSTRIAL TRUST	612 052 490
GANES VALUE GROWTH FUND	115 121 527
MONTGOMERY ALPHA PLUS FUND	613 229 904
NETWEALTH MANAGED ACCOUNT	604 930 252
PINNACLE ASHARES DYNAMIC CASH FUND (MANAGED FUND)	632 118 720
PINNACLE ASHARES GLOBAL DYNAMIC INCOME FUND (MANAGED FUND)	632 117 303
PINNACLE BNY MELLON GLOBAL INFRASTRUCTURE YIELD FUND	627 330 367
SOLARIS HIGH ALPHA AUSTRALIAN EQUITY FUND	128 860 159

CORPORATIONS ACT 2001  
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

**BUZD PTY LTD** ACN 167 321 888 will change to a public company limited by shares. The new name will be FORESENSE TECHNOLOGIES LIMITED ACN 167 321 888.

**ECO-GLOBAL ENERGY PTY LIMITED**

ACN 122 352 925 will change to a public company limited by shares. The new name will be ECO-GLOBAL ENERGY LIMITED ACN 122 352 925.

**INVESTORLINK CORPORATE LIMITED**

ACN 097 504 339 will change to a proprietary company limited by shares. The new name will be SUPERIO PTY LIMITED ACN 097 504 339.

**CENTURION CAPITAL PTY LTD**

ACN 124 655 250 will change to a public company limited by shares. The new name will be CENTURION CAPITAL LIMITED ACN 124 655 250.

**GENIUX PTY LTD** ACN 617 528 980 will change to a public company limited by shares. The new name will be GENIUX LTD ACN 617 528 980.