



ASIC
Australian Securities &
Investments Commission

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RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet *ASIC decisions – your rights* (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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20-0786

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Strawman Pty Ltd
ACN 610 908 211 ("the Licensee")
39 Hampton Street
Croydon Park NSW 2133

Pursuant to 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 501223 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 26 October 2020

Signed 
.....

A delegate of the Australian Securities and Investments Commission

20-0788

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Paul Huy Nguyen & Co Pty Ltd
ACN 071 346 060 ("the Licensee")
Suite 1 20 Bankstown City Plaza
Bankstown NSW 2200

Pursuant to 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 485437 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 26 October 2020

Signed 
.....

A delegate of the Australian Securities and Investments Commission

20-0818

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Manikay Partners Australia Pty Ltd
ACN 162 245 534 ("the Licensee")
Chifley Tower
Level 29 2 Chifley Square
Sydney NSW 2000

Pursuant to 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 450496 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 29 October 2020

Signed 
.....

A delegate of the Australian Securities and Investments Commission

20-0945

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Complete Strata Insurance Underwriting Pty Ltd
ACN 143 415 070 ("the Licensee")
L2
6-8 Thomas Street
CHATSWOOD NSW 2067

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 379787 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 23 October 2020

Signed *George Podaras*

George Podaras
A delegate of the Australian Securities and Investments Commission

20-1003

NOTICE UNDER SECTION 920E OF THE CORPORATIONS ACT 2001

Notice is given under section 920E of the Corporations Act 2001 that the Australian Securities and Investments Commission has made a banning order in the terms set out below, which order took effect on 21 October 2020.

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION**IN THE MATTER OF ROMMEL PANGANIBAN****BANNING ORDER UNDER SECTIONS 920D AND 920B
OF THE CORPORATIONS ACT 2001**

To: Rommel Panganiban

TAKE NOTICE that under subsections 920A(1) and 920B(2) of the Corporations Act 2001 the Australian Securities and Investments Commission varies the order prohibiting **Rommel Panganiban** from providing any financial services permanently referred to in ASIC Instrument 16-0878 by inserting the words “and from performing any function involved in the carrying on of a financial services business” before the word “permanently”.

Dated this 15th day of September 2020

Signed: 
15 September 2020
Delegate of the Australian Securities and
Investments Commission

Your attention is drawn to subsection 920C(2) of the Corporations Act 2001 which provides that a person must not engage in conduct which breaches a banning order that has been made against the person. Contravention of subsection 920C(2) is an offence.

20-1004

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 1020F(1)(c) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 1020F(1)(c) of the *Corporations Act 2001 (Act)*.

Title

2. This instrument is ASIC Instrument 20-1004.

Commencement

3. This instrument commences on the date it is signed.

Declaration

4. Part 7.9 of the Act applies in relation to section 1020B products under or in connection with a public offer of Universal Store Holdings Limited ACN 628 836 484 as if:
 - (a) sub-paragraph 1020B(7C)(a)(ii) of the Act (as notionally inserted by *ASIC Corporations (Short Selling) Instrument 2018/745*) were modified by inserting “(or agreement to pay)” after “including payment of”; and
 - (b) sub-paragraph 1020B(7D)(a)(iii) of the Act (as notionally inserted by *ASIC Corporations (Short Selling) Instrument 2018/745*) were modified by inserting “(or agreement to pay)” after “including payment of”.

Dated this 26th day of October 2020



Signed by Ebony Jackson
as a delegate of the Australian Securities and Investments Commission

20-1005

**Australian Securities and Investments Commission
Corporations Act 2001 – Subsection 741(1) – Declarations**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 741(1)(b) of the *Corporations Act 2001 (Act)*.

Title

2. This instrument is ASIC Instrument 20-1005.

Commencement

3. This instrument commences on the date it is signed.

Declarations

4. Chapter 6D of the Act applies to Rio Tinto Limited ACN 004 458 404 (*Company* or *RTL*) as if the definition of “continuously quoted securities” in section 9 of the Act was modified or varied by omitting subparagraph (b)(iii) of the definition.
5. Part 6D.2 of the Act applies to the Company as if section 708AA (as modified by *ASIC Corporations (Non-traditional Rights Issues) Instrument 2016/84*) were further modified or varied as follows:
 - a. omit paragraph (2)(e), substitute “(e) omitted;”.
6. Part 6D.2 of the Act applies to the Company as if section 708A (as modified by *ASIC Corporations (Non-traditional Rights Issues) Instrument 2016/84* and *ASIC Corporations (Sale Offers That Do Not Need Disclosure) Instrument 2016/80*) were further modified or varied as follows:
 - a. omit paragraph (5)(d), substitute “(d) omitted;”.

Where this instrument applies

7. The declarations in sections 4, 5, and 6 of this instrument apply where:
 - a. the dual listed company arrangements between Rio Tinto plc (*RTP*), a body corporate incorporated in England and Wales, and RTL, remain in place;
 - b. no order under section 340 or 341 of the Act (other than an excluded order, ASIC Instrument 20-0740 dated 24 July 2020 or ASIC Instrument 15-1181 dated 14

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December 2015) covered the Company, or any person as director or auditor at any time in the period of 12 months before the day on which the offer is made.

Interpretation

8. In this instrument:

dual listed companys arrangements, in relation to RTL and RTP, means the arrangements between the two bodies corporate under which they operate together as a single economic enterprise, including arrangements governed by the following documents:

- (a) the sharing agreement;
- (b) the RTP guarantee; and
- (c) the RTL guarantee.

excluded order means a technical relief instrument to the extent it is made under section 340 or 341 of the Act.

Note: ASIC Corporations (Disregarding Technical Relief) Instrument 2016/73 notionally inserts a definition of technical relief instrument in section 9 of the Act.

RTL guarantee means the CRA Deed Poll Guarantee dated 21 December 1995 executed by RTL in favour of certain creditors of RTP, or any replacement guarantee on substantially similar terms, in each case as amended from time to time.

RTP guarantee means the RTZ Deed Poll Guarantee dated 21 December 1995 executed by RTP in favour of certain creditors of RTL, or any replacement guarantee on substantially similar terms, in each case as amended from time to time.

sharing agreement means the DLC Merger Sharing Agreement dated 31 December 1995 between RTL and RTP, or any replacement agreement on substantially similar terms, in each case as amended from time to time.

Dated: 26 October 2020



Signed by Lorraine Mizzi
as a delegate of the Australian Securities and Investments Commission

20-1006

**Australian Securities and Investments Commission
Corporations Act 2001 – Subsection 741(1) – Exemptions**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 741(1)(a) of the *Corporations Act 2001 (Act)*.

Title

2. This instrument is ASIC Instrument 20-1006.

Commencement

3. This instrument commences on the date it is signed.

Exemptions

4. Rio Tinto Limited ACN 004 458 404 (*Company* or *RTL*) does not have to comply with Part 6D.2 or 6D.3 of the Act (other than sections 736 and 738) for an offer of shares for issue under a purchase plan.

Where this instrument applies

5. The exemptions in sections 4 and 5 apply where:
 - a. the dual listed company arrangements between Rio Tinto plc (*RTP*), a body corporate incorporated in England and Wales, and RTL, remain in place;
 - b. no order under section 340 or 341 of the Act (other than an excluded order, ASIC Instrument 20-0740 dated 24 July 2020 or ASIC Instrument 15-1181 dated 14 December 2015) covered the Company, or any person as director or auditor at any time in the period of 12 months before the day on which the offer is made.

Interpretation

6. In this instrument:

dual listed companys arrangements, in relation to RTL and RTP, means the arrangements between the two bodies corporate under which they operate together as a single economic enterprise, including arrangements governed by the following documents:

- (a) the sharing agreement;

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(b) the RTP guarantee; and

(c) the RTL guarantee.

excluded order means a technical relief instrument to the extent it is made under section 340 or 341 of the Act.

Note: *ASIC Corporations (Disregarding Technical Relief) Instrument 2016/73* notionally inserts a definition of technical relief instrument in section 9 of the Act.

RTL guarantee means the CRA Deed Poll Guarantee dated 21 December 1995 executed by RTL in favour of certain creditors of RTP, or any replacement guarantee on substantially similar terms, in each case as amended from time to time.

RTP guarantee means the RTZ Deed Poll Guarantee dated 21 December 1995 executed by RTP in favour of certain creditors of RTL, or any replacement guarantee on substantially similar terms, in each case as amended from time to time.

sharing agreement means the DLC Merger Sharing Agreement dated 31 December 1995 between RTL and RTP, or any replacement agreement on substantially similar terms, in each case as amended from time to time.

Dated: 26 October 2020



Signed by Lorraine Mizzi
as a delegate of the Australian Securities and Investments Commission

20-1009

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraphs 655A(1)(b) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subparagraph 655A(1)(b) of the *Corporations Act 2001 (Act)*.

Title

2. This instrument is ASIC Instrument 20-1009.

Commencement

3. This instrument commences on the day it is signed.

Declaration

4. Chapter 6 of the Act applies to ARA Real Estate Investors 28 Limited (*ARA BidCo*), a company incorporated in the Cayman Islands with registration number 354324, as if subsection 659B(1) of the Act were omitted.

Where this instrument applies

5. The declaration in paragraph 4 applies in relation to the commencement of court proceedings by ARA BidCo under subsections 1322(4) and/or 1325D(1) of the Act, before the end of the offer period for the Proportional Offer and no later than 14 days after the date of this instrument, in relation to ARA BidCo's failure to give the Notice on 14 September 2020.

Interpretation

6. In this instrument:

ARA Group means ARA BidCo and ARA Asset Management Holdings Pte Ltd, a company incorporated in Singapore with company number 201629830D, together with their controlled entities.

Cromwell means Cromwell Property Group, comprising of Cromwell Corporation Limited ACN 001 056 980 and Cromwell Diversified Property Trust ARSN 102 982 598, for which the responsible entity is Cromwell Property Securities Limited ACN 079 147 809.

Notice means the notice required to be given by ARA BidCo under section 630(3) of the Act.

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Proportional Offer means the off-market proportional takeover bid dated 21 August 2020 by ARA BidCo to acquire 29% of the stapled securities in Cromwell not already owned by the ARA Group.

Dated this 27 day of October 2020



Signed by Jasmin Lipton
as a delegate of the Australian Securities and Investments Commission

20-1011

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: LBA Superannuation Services Pty Ltd
611 659 673 ("the Licensee")
Level 1
250 Bay Street
BRIGHTON VIC 3186

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 486801 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 29 October 2020

Signed 
.....

George Podaras
A delegate of the Australian Securities and Investments Commission

20-1017

Australian Securities and Investments Commission
Corporations Act 2001 – Subsections 601QA(1), 741(1), 926A(2), 992B(1), and
1020F(1) – Exemptions

Enabling legislation

1. The Australian Securities and Investments Commission (**ASIC**) makes this instrument under subsections 601QA(1), 741(1), 926A(2), 992B(1) and 1020F(1) of the *Corporations Act 2001* (the **Act**).

Title

2. This instrument is ASIC Instrument 20-1017.

Commencement

3. This instrument commences on the date it is signed.

Nature of this instrument

4. This instrument is an individual relief instrument, as referred to in paragraphs 28A to 28D of ASIC Class Order [CO 14/1000].

Disclosure relief*Offers made under an employee incentive scheme*

5. A Specified Person that makes an offer under an employee incentive scheme covered by this instrument does not have to comply with Part 6D.2, Part 6D.3 or Part 7.9 of the Act in relation to the offer.

Licensing, hawking and other incidental relief*General advice*

6. A Specified Person that makes an offer under an employee incentive scheme covered by this instrument and, in relation to the offer, provides a financial service consisting of general advice in connection with the offer, does not have to comply with subsection 911A(1) of the Act in relation to the advice.

Dealing

7. A Specified Person that provides any of the following financial services in relation to an offer in connection with an employee incentive scheme covered by this instrument does not have to comply with subsection 911A(1) of the Act in relation to the financial service:
 - (a) issuing the eligible product;
 - (b) dealing in the eligible product where any acquisition by purchase or disposal of the eligible product by the Company or a related body corporate occurs either:
 - (i) through a financial services licensee; or

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- (ii) outside this jurisdiction and through a person which is licensed or otherwise authorised to deal in financial products of that kind in the relevant place; and
- (c) dealing in an interest in a managed investment scheme covered by paragraph 11 of this instrument or paragraph 28C of ASIC Class Order [CO 14/1000].

Custodial or depository services

8. A Specified Person that provides any of the following financial services in connection with an employee incentive scheme covered by this instrument does not have to comply with subsection 911A(1) of the Act in relation to the financial services:
- (a) a custodial or depository service in relation to the eligible product where the Specified Person performs their duties in good faith and has sufficient resources to perform those duties; and
 - (b) dealing in the eligible product in the course of providing a custodial or depository service covered by paragraph (a).

Hawking

9. A Specified Person that makes an offer of an eligible product to an eligible participant in the course of, or because of, an unsolicited meeting or telephone call held or made in connection with an employee incentive scheme covered by this instrument does not have to comply with sections 736, 992A or 992AA of the Act.

Advertising

10. A Specified Person that advertises, or publishes a statement that is reasonably likely to induce eligible participants to acquire, an eligible product under an employee incentive scheme covered by this instrument does not have to comply with section 1018A of the Act in relation to an advertisement or publication.

Incidental managed investment scheme

11. A Specified Person that operates a managed investment scheme only by reason of making eligible products available under an employee incentive scheme covered by this instrument or operating a contribution plan in connection with an employee incentive scheme covered by this instrument does not have to comply with section 601ED of the Act in relation to the operation of that managed investment scheme.

Conditions*Notice of reliance*

12. A Specified Person making an offer in connection with a particular employee incentive scheme covered by this instrument must give ASIC a notice of reliance.

Note: A notice of reliance can cover a particular employee incentive scheme that is intended to operate for many years. A new notice of

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reliance will be required to be given to ASIC if the Company or a related body corporate establishes a new employee incentive scheme.

13. The Company or a related body corporate may give ASIC the notice of reliance at any time before the Specified Person first relies on this instrument in relation to the particular employee incentive scheme but, in any event, must give ASIC the notice of reliance no later than 1 month after the day the Specified Person first relies on this instrument in relation to the particular employee incentive scheme.

Disclosure

14. A Specified Person that makes an offer under an employee incentive scheme covered by this instrument must ensure that the offer is made in, or is accompanied by, an offer document.

5% issue limit

15. A Specified Person that makes an offer covered by this instrument must, at the time of making the offer, have reasonable grounds to believe that the number of underlying eligible products in a class of underlying eligible products that form part of the issued capital of the Company that have been or may be issued in any of the circumstances covered by the following paragraphs will not exceed 5% of the total number of underlying eligible products in that class on issue:
- (a) underlying eligible products that may be issued under the offer;
 - (b) underlying eligible products issued or that may be issued as a result of offers made at any time during the previous 3 year period under:
 - (i) an employee incentive scheme or like scheme of the Company or a related body corporate, where offers were covered by this instrument or an individual instrument made by ASIC on terms similar to this instrument; or
 - (ii) an employee incentive scheme or employee share scheme of the Company or a related body corporate, where the offers were covered by ASIC Class Order [CO 03/184] or an individual instrument made by ASIC on terms similar to that class order.

FCPE structure

16. The Company must take all reasonable steps to ensure that:
- (a) the Manager maintains written records on the administration of the FCPE including written records that identify the underlying eligible products held on an allocated basis for the specified eligible participant;
 - (b) the Manager and the Custodian do not levy any fees or charges for administering the FCPE that are payable directly by any eligible participant or out of the assets of the FCPE, other than reasonable disbursements including brokerage and tax levied or incurred in connection with the FCPE or fees and charges, as otherwise

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provided for in the Rules, or as the Company reasonably believes are authorised under the laws of France;

- (c) the Manager does not, at its own discretion, exercise any voting rights attaching to any of the underlying eligible products held in the FCPE;
- (d) the FCPE does not hold more than 5% of the voting shares or voting interests in the Company where such holdings relate to employee incentive schemes of the Company or a related body corporate in reliance on this instrument;
- (e) the FCPE and an employee incentive scheme covered by this instrument, at all times, are approved and regulated by the Relevant Agency; and
- (f) the Custodian complies with the laws of France.

Regulation

- 17. The Specified Person must, at all times, comply with the Rules.
- 18. The Manager must, at all times, be approved by the Relevant Agency.
- 19. The Rules must not be modified or varied in any material respect that would adversely affect the rights and interests of eligible participants, unless:
 - (a) the Company reasonably believes that such modification or variation is required by the laws of France; or
 - (b) ASIC gives prior written notice to the Company that it does not object to the modification or variation.
- 20. The Company must notify ASIC as soon as practicable, and in any event, within 15 business days from the date it knew, or should reasonably have known, of the following notifiable matters:
 - (a) any significant change to the authorisation granted by the Relevant Agency relating to the operation of the FCPE, including any exemptions or other relief granted to any of the Specified Person; and
 - (b) the details of each significant investigation, disciplinary or enforcement action against any of the Specified Persons.

FCPE annual financial statements

- 21. The FCPE annual financial statements must be audited annually.
- 22. If requested by an eligible participant at any time during the period the eligible participant is participating in an employee incentive scheme covered by this instrument, the Company must provide and make available at the registered office or principal place of business of Australian Company, during normal business hours or such other time as is agreed with the eligible participant:
 - (a) the most recent FCPE annual financial statements and accompanying auditors' report;
 - (b) a copy of the Rules;

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- (c) a copy of the offer document; and
- (d) a response to any other reasonable request for information.

Redemption of eligible products

- 23. The Australian Company must accept notices, correspondence and service of process on behalf of each of the Specified Person at its registered office or principal place of business.
- 24. The Australian Company must forward any notice received from an eligible participant in connection with an employee incentive scheme, including a notice relating to the buy-back or redemption of eligible products as provided for in the Rules, to the Manager without delay.
- 25. The Company must notify ASIC within 5 business days of:
 - (a) suspension or termination of the buy-back arrangements or redemption facilities of eligible products in the FCPE; or
 - (b) suspension of the quotation of the underlying eligible products of the Company on the eligible financial market.
- 26. The Company must maintain, at the registered office or principal place of business of the Australian Company, a register of eligible participants who are participating in an employee incentive scheme covered by this instrument that includes details of:
 - (a) the names and addresses of each eligible participant;
 - (b) the extent of the holding of each eligible participant;
 - (c) the date at which the name of each eligible participant was entered in the register; and
 - (d) the date at which any eligible participant's interest ceased.

ASIC power to request documents

- 27. A Specified Person must, if requested by ASIC and in accordance with the request, make available to ASIC the offer document and all other accompanying information or documents given to eligible participants in connection with an offer that is made in reliance on this instrument, including:
 - (a) records relating to the issue or sale of and the buy-back or redemption of eligible products in the FCPE to or from eligible participants; and
 - (b) if any document is not in English, a translation of that document into English which must be a certified translation if requested by ASIC.

Interpretation

- 28. In this instrument:
 - (a) **able to be traded** has the meaning given by section 761A of the Act;

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Australian Company means one or more of the following entities:
Bambora Online Pty Ltd, Global Collect Services Australia Pty Ltd
and Ingenico International (Pacific) Pty Ltd;

casual employee, in relation to the Company or a related body corporate, means an individual who is, or might reasonably be expected to be, engaged to work the number of hours that are the pro-rata equivalent of 40% or more of a comparable full-time position with the body;

Company means Worldline S.A., a body registered in France and listed in the eligible financial market;

Custodian means the custodian of the FCPE from time to time (presently Société Générale, a body registered in France), which, by way of contract, acts for or on behalf of the Company in connection with an offer to an eligible participant under an employee incentive scheme;

contribution, in relation to a contribution plan, does not include:

- (i) nominal monetary consideration; or
- (ii) a monetary contribution as consideration for an issue, transfer or grant of an eligible product to the eligible participant without undue delay (including a monetary contribution made to exercise an eligible product or cause an eligible product to vest);

contribution plan means a plan under which an eligible participant may make monetary contributions to acquire eligible products, whether made before or after the acquisition, from one or more of the following:

- (i) gross (before-tax) wages or salary;
- (ii) net (after-tax) wages or salary;
- (iii) other monies;

eligible financial market means the financial market operated by Euronext Paris and, unless a contrary intention appears, is limited to the main board of that market;

eligible participant means, in relation to the Company or a related body corporate, a person specified in column 2 of Table A who is a resident in this jurisdiction;

eligible product means, in relation to the Company, means a financial product specified in column 1 of Table A;

employee incentive scheme means an arrangement under which eligible products of or relating to the Company are offered to eligible participants through a FCPE (or in connection with a FCPE), and which is designed to support interdependence between the Company and its related bodies corporate and those participants for their long-term mutual benefit;

FCPE means a collective employee investment vehicle known as a Fonds Commun de Placement d'Entreprise approved by the

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Relevant Agency and including any successor FCPEs that are formed on a temporary basis in order to make offers of eligible products to eligible participants;

FCPE annual financial statement means the books of account maintained in respect of the activities of the FCPE (including the statement of assets and liabilities, balance sheet, income statement, together with the related notes) prepared in accordance with French accounting standards and the laws of France;

financial product advice has the meaning given by section 766B of the Act;

general advice has the meaning given by section 766B of the Act;

Manager means the independent management company of the FCPE from time to time (presently Societe Generale Gestion, a French société par actions simplifiée registered in the Paris Trade and Companies Registry, France under number 552 120 222) which, by way of contract with the Company, acts for or on behalf of the Company in connection with an offer to an eligible participant under an employee incentive scheme;

nominal monetary consideration means monetary consideration of a token or trivial amount;

notice of reliance, in relation to a particular employee incentive scheme, means a written notice in a form approved in writing by ASIC;

offer, in relation to an eligible product, has a meaning affected by sections 700, 702 and 1010C of the Act and includes:

- (i) an offer to issue the eligible product;
- (ii) an issue or grant of the eligible product;
- (iii) an offer to transfer the eligible product;
- (iv) a transfer of the eligible product; and
- (v) an offer to arrange for the issue or transfer of the eligible product;

offer document, in relation to an offer of eligible products under an employee incentive scheme, means a document which includes, or is accompanied by, the following information, statements and explanations worded and presented in a clear, concise and effective manner:

- (i) prominent statements to the effect that:
 - a. any advice given by any Specified Person in relation to eligible products offered under the employee incentive scheme does not take into account an eligible participant's objectives, financial situation and needs;
 - b. eligible participants should consider obtaining their own financial product advice from a person who is licensed by ASIC to give such advice; and

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- c. the FCPE and the employee incentive scheme are regulated by the laws of France, and those laws differ from Australian laws;
- (ii) either:
 - a. a copy of the Rules; or
 - b. a summary of the Rules together with a statement that, on request and at no charge and within a reasonable time, the Company will provide an eligible participant with a copy of the Rules;
- (iii) general information about the risks of acquiring and holding an eligible product being offered under the employee incentive scheme;
- (iv) as the FCPE and employee incentive schemes are regulated by the laws of France, a brief description of the legal and practical effect (if any) this may have on the rights and ability of an eligible participant domiciled in Australia to make any claim or enforce any right arising out of or in connection with the offer of an eligible product;
- (v) an explanation of the nature of any special risks associated with cross-border investing, such as risks arising from foreign taxation requirements, foreign currency or time differences;
- (vi) details of how the Rules provide for any fees or charges for administering the FCPE that are payable directly by any eligible participant or out of the assets of the FCPE;
- (vii) the acquisition price of the eligible products in Australian dollars or, where the acquisition price is to be worked out in the future under a formula, an explanation of how an eligible participant could calculate the acquisition price of the eligible products in Australian dollars were that formula applied at the date of the offer; and
- (viii) an explanation of how an eligible participant could, from time to time, ascertain the market price of an underlying eligible product in Australian dollars;

prospective participant, in relation to an offer of an eligible product under an employee incentive scheme, means a person to whom the offer is made but who can only accept the offer if an arrangement has been entered into that will result in the person becoming covered by one of paragraphs (a) to (c) of column 2 of Table A;

related body corporate has the meaning given in section 50 of the Act;

Relevant Agency means the Autorité des Marchés Financiers, the French Market Authority;

Rules means the rules of an employee incentive scheme, the rules of the FCPE and the rules of any successor FCPEs that are formed on a

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temporary basis, each as approved by the Relevant Agency and as amended from time to time;

Specified Person means:

- (i) the Company;
- (ii) the related bodies corporate of the Company;
- (iii) the Custodian;
- (iv) the Manager; and
- (v) any person who, by way of contract between the person and the Company, acts for or on behalf of the Company in connection with an offer of an eligible product to an eligible participant under an employee incentive scheme;

underlying eligible product means an eligible product specified in any of paragraphs (a) to (b) in column 1 of Table A;

- (b) an offer of eligible products to an eligible participant under an employee incentive scheme on terms that the eligible participant may renounce the offer in favour of a person covered by one of the following paragraphs is to be treated as an offer of eligible products to the eligible participant:
 - (i) an immediate family member of the eligible participant;
 - (ii) a company whose members comprise no persons other than the eligible participant or immediate family members of the participant; and
 - (iii) a corporate trustee of a self-managed superannuation fund (within the meaning of the *Superannuation Industry (Supervision) Act 1993*) where the eligible participant is a director of the trustee; and
- (c) an employee incentive scheme, employee share scheme, or like scheme is covered by an instrument to the extent that offers are made, or other conduct is carried out, in reliance on this instrument; and
- (d) for the avoidance of doubt, a document or other writing to be given in connection with this instrument may be given by electronic means (including, in the case of a document or other writing to be given by a Specified Person in relation to this instrument, by way of making it available on a website and notifying the intended recipient that it is available on the website).

Dated this 29th day of October 2020



Signed by Allan Erceg

as a delegate of the Australian Securities and Investments Commission

20-1017

Table A

Column 1 Eligible product	Column 2 Eligible participant
<p>(a) a fully paid share of the Company that is in a class of shares able to be traded on an eligible financial market;</p> <p>(b) a unit in a financial product mentioned in paragraph (a);</p> <p>(c) a financial product that relates to a financial product mentioned in paragraph (a) including a conditional right to acquire by way of issue or transfer a financial product mentioned in paragraph (a);</p> <p>where in each case in relation to a product mentioned in paragraph (a):</p> <p>(d) the share is in the same class as financial products which were able to be traded on the relevant financial market at all times in the 3 months before the day the offer document is first given to an eligible participant; and</p> <p>(e) the class of class of shares were not suspended for more than a total of 5 days during the shorter of the period during which the class of products were able to be traded, and the period of 12 months before the day the offer document is first given to an eligible participant.</p>	<p>(a) a full-time or part-time employee (including an executive director);</p> <p>(b) a non-executive director;</p> <p>(c) a casual employee;</p> <p>(d) a prospective participant.</p>

CORPORATIONS ACT 2001
Section 601CL(5)

ASIC has struck the foreign companies listed
below off the register.

Dated this thirtieth day of October 2020

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company	ARBN
FLEXIDRILL CONSTRUCTION LIMITED	609 022 700
GOGO CHARTERS LLC	629 749 159
SMART MEMBRANE SOLUTIONS AUSTRALIA LIMITED	626 140 547

CORPORATIONS ACT 2001
Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this thirtieth day of October 2020

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Scheme	ARSN
DISTRESSED ASSETS FUND	125 580 850
KARARA AUSTRALIAN EQUITIES FUND	128 561 419
KARARA SMALL COMPANIES FUND	096 280 698
SCHRODER GLOBAL QUALITY FUND	136 599 409
SCHRODER MICROCAP FUND	118 352 480
TPT EQUITY FUND	090 694 456

CORPORATIONS ACT 2001
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this thirtieth day of October 2020

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company

ARBN

DYNAMIC GAIN CENTURY LIMITED	163 299 910
PROMINENT DEVELOPMENT OPPORTUNITY (AUSTRALIA) LIMITED	164 619 998
SYNCORA GUARANTEE INC.	114 795 256

CORPORATIONS ACT 2001
Subsection 601PA(3)

ASIC may deregister the managed investment scheme(s) listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this thirtieth day of October 2020

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Scheme

ARSN

HEATHLEY DEVELOPMENT AND FINANCE TRUST

628 611 950

Corporations Act 2001
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

ALICE RIVER RESOURCES PTY LTD

ACN 636 421 782 will change to a public company limited by shares. The new name will be PACGOLD LIMITED ACN 636 421 782.

ENDOTHELIUM SCANNING

NANOTECHNOLOGY PROPRIETARY LIMITED

ACN 626 857 412 will change to a public company limited by shares. The new name will be ENDOTHELIUM SCANNING NANOTECHNOLOGY LIMITED ACN 626 857 412.

LIVAC HOLDING CO PTY LTD ACN 605 212 217 will change to a public company limited by shares. The new name will be LIVAC HOLDING CO LIMITED ACN 605 212 217.

XPED HOLDINGS LIMITED ACN 132 435 342 will change to a proprietary company limited by shares. The new name will be XPED HOLDINGS PTY LTD ACN 132 435 342.

BORSA GROUP LTD ACN 635 861 095 will change to a proprietary company limited by shares. The new name will be BORSA GROUP PTY LTD ACN 635 861 095.

KOPPAR RESOURCES EUROPE PTY LTD

ACN 619 314 055 will change to a public company limited by shares. The new name will be KUNIKO LIMITED ACN 619 314 055.

WYNYARD PROPERTIES HOLDINGS LIMITED

ACN 054 346 315 will change to a proprietary company limited by shares. The new name will be WYNYARD PROPERTIES HOLDINGS PTY LTD ACN 054 346 315.