



**ASIC**  
Australian Securities &  
Investments Commission

Commonwealth of Australia Gazette

No. A44/20, Tuesday 27 October 2020

Published by ASIC

# ASIC Gazette

## Contents

### Notices under Corporations Act 2001

20-0969	20-0971	20-0972	20-0976	20-0977	20-0982	20-0983
20-0984	20-0990	20-0997	20-0998			

### Company/scheme deregistrations

### Change of company type

#### RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet *ASIC decisions – your rights* (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at [www.asic.gov.au](http://www.asic.gov.au) or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

ISSN 1445-6060 (Online version)  
ISSN 1445-6079 (CD-ROM version)

Available from [www.asic.gov.au](http://www.asic.gov.au)  
Email [gazette.publisher@asic.gov.au](mailto:gazette.publisher@asic.gov.au)

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20-0969

**Australian Securities and Investments Commission**  
**Corporations Act 2001 – Subsection 1020F(1) – Declaration**

**Enabling legislation**

- 1 The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 1020F(1) of the Corporations Act 2001 (the *Act*).

**Title**

- 2 This instrument is ASIC Instrument 20-0969.

**Commencement**

- 3 This instrument commences on the day it is signed.

**Exemptions**

- 4 Magellan Asset Management Limited ACN 120 593 946 (*MAM*) in its capacity as responsible entity of Magellan Global Fund ARSN 126 366 961 (*MGF*) is exempt from complying with:

- (a) section 1013B(1) of the Act; and
- (b) section 1016A(2) of the Act.

**Declaration**

- 5 Part 7.9 of the Act applies to MAM as if section 1015C of the Act were varied or modified as follows:
- (a) in subparagraph (1)(a)(ii), omit 'and' and substitute 'or'; and
  - (b) after subparagraph (1)(a)(ii), insert:
    - '(iii) sent to the person, at the person's address (including an electronic address) as set out in the register of members maintained by the responsible entity of the relevant Target Trust under Chapter 2C, in any manner by which a notice of meeting may be delivered under section 5(1)(f) of the *Corporations (Coronavirus Economic Response) Determination (No. 3) 2020*.'

**Where this instrument applies**

- 6 This instrument applies where:
- (a) MAM offers interests in MGF as consideration to holders of interests in a Target Trust to acquire their interests under the Trust Scheme as described in the Explanatory Memorandum;

20-0969

- (b) holders of interests in a Target Trust who receive the Explanatory Memorandum are:
  - (i) made aware that upon request, MAM will send a printed copy of the Explanatory Memorandum to that member or that member's agent at any other address nominated by that member or that member's agent;
  - (ii) requested to approve resolutions required for the relevant Trust Scheme to be implemented at a meeting of members to be held on or about 25 November 2020; and
- (c) the Explanatory Memorandum clearly explains near or at the front that it constitutes a Product Disclosure Statement and an explanatory memorandum.

**Interpretation**

7 In this instrument:

**Explanatory Memorandum** means, in respect of each of the Target Trusts, the explanatory memorandum accompanying the notice of meeting dated on or about 21 October 2020 in relation to the Trust Scheme that is:

- (a) sent to the holders of interests in the relevant Target Trust; and
- (b) in substantially the same form as that provided to ASIC on 19 October 2020.

**Target Trusts** means either of:

- (a) Magellan Global Equities Fund ARSN 603 395 302; or
- (b) Magellan Global Trust ARSN 620 753 728.

**Trust Scheme** means the arrangements described in the Explanatory Memorandum under which MAM as responsible entity of MGF proposes to acquire all of the interests in both of the Target Trusts that it does not already own, where the acquisition is approved by a resolution passed at a meeting of members of each of the Target Trusts.

Dated this 19<sup>th</sup> day of October 2020



Signed by Natasha Gomez

as delegate of the Australian Securities and Investments Commission

20-0971

**Australian Securities and Investments Commission****Corporations Act 2001 – Paragraph 1020F(1)(a) – Exemption****Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 1020F(1)(a) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 20-0971.

**Commencement**

3. This instrument commences on the day it is signed.

**Exemption**

4. Magellan Asset Management Limited ACN 120 593 946 (*MAM*) in its capacity as responsible entity of Magellan Global Fund ARSN 126 366 961 (*MGF*) does not have to comply with Division 5A of Part 7.9 of the Act.

**Where this instrument applies**

5. Paragraph 4 applies where the unsolicited offer or invitation is made to holders of interests in a Target Trust to acquire their interests under the Trust Scheme as described in the relevant Explanatory Memorandum.

**Interpretation**

6. In this instrument:

*Explanatory Memorandum* means, in respect of each of the Target Trusts, the explanatory memorandum accompanying the notice of meeting dated on or about 21 October 2020 in relation to the Trust Scheme that is:

- (a) sent to the holders of interests in the relevant Target Trust; and
- (b) in substantially the same form as that provided to ASIC on 19 October 2020.

20-0971

*Target Trust* means either of:

- (a) Magellan Global Equities Fund ARSN 603 395 302; or
- (b) Magellan Global Trust ARSN 620 753 728.

*Trust Scheme* means the arrangements described in the Explanatory Memorandum under which MAM as responsible entity of MGF proposes to acquire all of the interests in both of the Target Trusts that it does not already own, where the acquisition is approved by a resolution passed at a meeting of members of each of the Target Trusts.

Dated this 19<sup>th</sup> day of October 2020



Signed by Natasha Gomez

as delegate of the Australian Securities and Investments Commission

20-0972

**Australian Securities and Investments Commission****Corporations Act 2001- Paragraph 1020F(1)(c) - Declaration****Enabling legislation**

- 1 The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 1020F(1)(c) of the *Corporations Act 2001* (the *Act*).

**Title**

- 2 This instrument is ASIC Instrument 20-0972.

**Commencement**

- 3 This instrument commences on the day it is signed.

**Declaration**

- 4 Part 7.9 of the Act applies to Magellan Asset Management Limited ACN 120 593 946 (*MAM*) in its capacity as responsible entity of Magellan Global Fund ARSN 126 366 961 (*MGF*) as if the following provisions were modified:

- (a) at the end of subsection 1013H(c) omit '.' and substitute '; or';
- (b) after subsection 1013H(c), insert:
  - '(d) an application of the kind referred to in paragraph (b) will be made to the operator of that market no later than 7 days after the date of the issue of the product.';
- (c) at the end of subparagraph 1016D(1)(b), omit '.' and substitute '; or';
- (d) after subparagraph 1016D(1)(b) insert:
  - '(c) no later than 7 days after the date of the issue of the product, an application has been made to the operator of that market for the taking of such action as is necessary to enable the financial products of that kind to be traded on that market.';
- (e) omit subparagraphs 1016D(2)(a) and 1016D(2)(b) and substitute:
  - '(a) an application has not, within 7 days of the date of issue of the product, been made to the operator of that market for the taking of such action as

20-0972

is necessary to enable financial products of that kind to be traded on the market; or

- (b) the product is not able to be traded on that market at the end of 3 months after the date of issue of the product';
- (f) after the words '(see subsection (4))' in subparagraph 1016E(1)(b)(i), insert 'or no later than 7 days after the date of issue of the product'; and
- (g) after the words 'the relevant date' in subparagraph 1016E(1)(b)(ii), insert 'or the date of issue of the product'.

**Where this instrument applies**

5 This instrument applies where:

- (a) MAM offers interests in MGF as consideration to holders of interests in a Target Trust to acquire their interests under the Trust Scheme as described in the Explanatory Memorandum;
- (b) holders of interests in each of the Target Trusts who receive an Explanatory Memorandum are requested to approve resolutions required for the Trust Scheme to be implemented at a meeting of members to be held on or about 25 November 2020;
- (c) the Explanatory Memorandum clearly explains near or at the front that it constitutes a Product Disclosure Statement and an explanatory memorandum; and
- (d) the Explanatory Memorandum discloses the full particulars of the issue of one of the financial products including (as applicable):
  - (i) the timing arrangements for MAM to make the application for:
    - (A) Closed Class Units in MGF to be admitted to quotation on the ASX under the ASX Listing Rules;
    - (B) Open Class Units in MGF to be admitted to quotation on the ASX under the AQUA Rules; and
  - (ii) the expected timing arrangements for the quotation of:
    - (A) the Closed Class Units of MGF on the main financial market operated by ASX; and

20-0972

- (B) the Open Class Units of MGF on the AQUA market operated by ASX.

**Interpretation**

5 In this instrument:

*ASX* means the financial market operated by ASX Limited ACN 008 624 691 known as the Australian Securities Exchange.

*Closed Class Units* means the interests issued by MGF and described as ‘Closed Class Units’ in the Explanatory Memorandum.

*Explanatory Memorandum* means, in respect of each of the Target Trusts, the explanatory memorandum accompanying the notice of meeting dated on or about 21 October 2020 in relation to the Trust Scheme that is:

- (a) sent to the holders of interests in the relevant Target Trust; and
- (b) in substantially the same form as that provided to ASIC on 19 October 2020.

*Open Class Units* means the interests issued by MGF and described as ‘Open Class Units’ in the Explanatory Memorandum.

*Target Trusts* means either of:

- (a) Magellan Global Equities Fund ARSN 603 395 302; or
- (b) Magellan Global Trust ARSN 620 753 728.

*Trust Scheme* means the arrangements described in the Explanatory Memorandum under which MAM as responsible entity of MGF proposes to acquire all of the interests in both of the Target Trusts that it does not already own, where the acquisition is approved by a resolution passed at a meeting of members of each of the Target Trusts.

Dated this 19<sup>th</sup> day of October 2020



Signed by Natasha Gomez

as delegate of the Australian Securities and Investments Commission



**20-0976**

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 655A(1)(a) – Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 655A(1)(a) of the *Corporations Act 2001 (Act)*.

**Title**

2. This instrument is ASIC Instrument 20-0976.

**Commencement**

3. This instrument commences on the date it is signed.

**Exemption**

4. An Exempt Person and its Controllers do not have to comply with section 606 of the Act.

**Where this instrument applies**

5. This instrument applies where:
  - (a) an Exempt Person and/or its Controllers acquire a relevant interest in the Shares as a result of the transfer of the Shares to the Exempt Person on implementation of the Court Order and pursuant to the Petroventures DOCA;
  - (b) on 8 July 2020, a notice informing all Shareholders of the Proceedings was served by post or by electronic mail to all Shareholders by the Deed Administrators' Solicitors, with such notice including an Explanatory Statement advising Shareholders that documents listed in paragraph 5(c) would be available for download from the Website; and
  - (c) the Deed Administrators made electronically available to Shareholders the:
    - i. Explanatory Statement dated 8 July 2020;
    - ii. Report to Creditors by the Deed Administrators dated 27 April 2020;
    - iii. Originating Process dated 2 July 2020 filed in the Proceedings; and
    - iv. Copies of the affidavits in support of the Originating Process; and
  - (d) the Deed Administrators state in the Explanatory Statement that all Shareholders could obtain from the Deed Administrators' Solicitors copies

20-0976

of the Petroventures DOCA or other Court documents not available on the Website.

### Interpretation

6. In this instrument:

**Controllers** mean entities or persons who by operation of section 608 of the Act acquire a relevant interest in the Shares when an Exempt Person acquires a relevant interest in such Shares.

**Court Order** means the order of the Supreme Court of New South Wales in the Proceedings dated 22 September 2020 under section 444GA of the Act, permitting the Deed Administrators to transfer the Shares to an Exempt Person.

**Deed Administrators** means Mr Bruce Gleeson and Mr Daniel Soire in their capacity as joint and several deed administrators of Gulf Energy pursuant to the Petroventures DOCA.

**Exempt person** means Petroventures or its Nominees.

**Explanatory Statement** means the circular sent to Shareholders dated 8 July 2020 prepared by the Deed Administrators.

**Gulf Energy** means Gulf Energy Limited (Subject to Deed of Company Arrangement) ACN 094 620 176.

**Nominees** means one or more entities or persons nominated by Petroventures under the Petroventures DOCA to be transferred Shares on implementation of the Court Order and pursuant to the Petroventures DOCA.

**Petroventures** means Petroventures Pty Ltd ACN 059 161 821 (in its own capacity and in its capacity as trustee of the New Horizons Trust).

**Petroventures DOCA** means the deed of company arrangement between Gulf Energy, Petroventures and the Deed Administrators executed 7 May 2020.

**Proceedings** means Supreme Court of New South Wales Proceeding No. 2020/195935.

**Shareholders** means all shareholders of Gulf Energy registered in that company's share register as at 8 July 2020.

**Shares** means all the issued ordinary shares in Gulf Energy.

**Solicitors** means Mr Thomas Russell and Ms Lydia O'Keefe of Piper Alderman.

**Website** means <https://bit.ly/gulf195>.

**20-0976**

Dated this 20<sup>th</sup> day of October 2020



Signed by Jeremiah Leong  
as a delegate of the Australian Securities and Investments Commission

**20-0977****Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 655A(1)(b) – Declaration****Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 655A(1)(b) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 20-0977.

**Commencement**

3. This instrument commences on the day it is signed.

**Declaration**

4. Chapter 6 of the Act applies to Magellan Asset Management Limited ACN 120 593 946 (*MAM*) in its capacity as responsible entity of Magellan Global Fund ARSN 126 366 961 (*MGF*) as if item 7 of section 611 of the Act were modified or varied by omitting paragraph (a) and substituting the following:

“(a) no votes are cast in favour of the resolution by the person proposing to make the acquisition and its associates (unless the associate is a custodian, nominee, trustee, responsible entity or other fiduciary which has received a specific instruction from a third party beneficiary, who is not an associate of the person, directing the associate how to vote); and”

**Where this instrument applies**

5. This instrument applies to the acquisition by MAM in its capacity as responsible entity of MGF of all the units in the Magellan Global Trust ARSN 620 753 728 (*MGG*) where:
  - (a) the holders of interests in MGG are given a copy of the Explanatory Memorandum; and
  - (b) the acquisition is approved by a resolution for the purposes of item 7 of section 611 of the Act passed at a meeting of the holders of interests in MGG to be held on or about 25 November 2020.

**Interpretation**

6. In this instrument:

*Explanatory Memorandum* means the explanatory memorandum accompanying the notice of meeting dated on or about 21 October 2020 that:

- (a) is prepared in accordance with item 7 of section 611 of the Act; and

**20-0977**

- (b) is sent to holders of interests in MGG; and
- (c) is substantially in the same form as that provided to ASIC on 19 October 2020.

Dated this 20 day of October 2020



Signed by Jasmin Lipton  
as a delegate of the Australian Securities and Investments Commission


20-0982

**Australian Securities and Investments Commission****Corporations Act 2001 – Subsection 713(6) - Determination**

Under s713(6) of the *Corporations Act 2001* (the Corporations Act), the Australian Securities and Investments Commission determines that the person specified in the Schedule may not rely on s713 of the Corporations Act from the date of this instrument until 16 October 2021.

**Schedule**

Holista CollTech Limited ACN 094 515 992

Dated this 16<sup>th</sup> day of October 2020Signed: 

Christine Croft  
as a delegate of the Australian Securities and Investments Commission


20-0983

**Australian Securities and Investments Commission****Corporations Act 2001 – Subsection 708A(2) - Determination**

Under s708A(2) of the *Corporations Act 2001* (the *Corporations Act*), the Australian Securities and Investments Commission determines that the person specified in the Schedule may not rely on s708A of the *Corporations Act* from the date of this instrument until 16 October 2021.

**Schedule**

Holista CollTech Limited ACN 094 515 992

Dated this 16<sup>th</sup> day of October 2020Signed: 

Christine Croft  
as a delegate of the Australian Securities and Investments Commission


20-0984

**Australian Securities and Investments Commission****Corporations Act 2001 – Subsection 713(6) - Determination**

Under s713(6) of the *Corporations Act 2001* (the Corporations Act), the Australian Securities and Investments Commission determines that the person specified in the Schedule may not rely on s713 of the Corporations Act from the date of this instrument until 19 October 2021.

**Schedule**

Smiles Inclusive Limited ACN 621 105 824

Dated this 19<sup>th</sup> day of October 2020Signed: 

Christine Croft  
as a delegate of the Australian Securities and Investments Commission



20-0990

**NOTICE UNDER SECTION 920E OF THE CORPORATIONS ACT 2001**

Notice is given under section 920E of the Corporations Act 2001 that the Australian Securities and Investments Commission has made a banning order in the terms set out below, which order took effect on 7 October 2020.

**AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION****IN THE MATTER OF TODD ERWIN BUTLER****BANNING ORDER UNDER SECTIONS 920A AND 920B  
OF THE CORPORATIONS ACT 2001**

To: Todd Erwin Butler

**TAKE NOTICE** that under paragraphs 920A(1)(d), (da) and (f) and paragraph 920B(1)(a) of the *Corporations Act* 2001 the Australian Securities and Investments Commission prohibits **Todd Erwin Butler** from providing any financial services for a period of five (5) years.

Dated this 2<sup>nd</sup> day of October 2020



Signed:.....

Megan Deane

Delegate of the Australian Securities and  
Investments Commission

Your attention is drawn to subsection 920C(2) of the *Corporations Act* 2001 which provides that a person must not engage in conduct which breaches a banning order that has been made against the person. Contravention of subsection 920C(2) is an offence.

20-0997

**Australian Market Licence (MarketAxess Europe Limited) 2020***Corporations Act 2001*

I, Nathan Bourne, Senior Executive Leader, Australian Securities and Investments Commission grant this Licence under subsection 795B(2) of the *Corporations Act 2001* (the Act) and impose conditions on this Licence under subsection 796A(1) of the Act.

Dated .....22 September 2020 .....

A handwritten signature in black ink, appearing to be "N. Bourne", followed by a horizontal line.

Signed .....

as a delegate of the Minister under section 1101J of the Act

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**1. Name**

This is the *Australian Market Licence (MarketAxess Europe Limited) 2020*.

**2. Commencement**

This Licence commences when it is granted.

**3. Definitions**

In this Licence unless the contrary intention appears, terms defined in the Act have the same meaning in this Licence and:

*Act* means the *Corporations Act 2001*.

*ASIC* means the Australian Securities and Investments Commission.

*Australian client* means, in relation to a participant in the Market, a person in this jurisdiction on whose behalf the participant deals on the Market.

*Australian participant* means:

- (a) an Australian entity that is a participant in the Market;
- (b) a foreign branch or office of an Australian entity where:
  - (i) the foreign branch or office is a participant in the Market; or
  - (ii) the Australian entity is a participant in the Market.
- (c) an Australian branch or office of a foreign entity where:
  - (i) the Australian branch or office is a participant in the Market; or
  - (ii) the foreign entity is a participant in the Market.

*bond* means:

- (a) a debenture within the meaning of the Act;
- (b) a financial product that is an undertaking to repay money deposited with or lent to the body by a person if:
  - (i) the person deposits or lends the money in the ordinary course of a business carried on by the person; and
  - (ii) the body receives the money in the ordinary course of carrying on a business that neither comprises nor forms part of a business of borrowing money and providing finance;
- (c) a financial product that is an undertaking by an Australian ADI to repay money deposited with it, or lent to it, in the ordinary course of its banking business; and
- (d) a chose in action that includes an undertaking by a government, a government agency or a semi-government agency to pay as a debt money

deposited with or lent to the government, government agency or semi-government agency.

*foreign participant* means a participant who is not an Australian participant.

*Licensee* means MarketAxess Europe Limited ARBN 605 029 712.

*Market* means the financial market the Licensee is authorised to operate under this Licence.

*reporting period* means each period of 3 months, or part thereof, during which the Market is operated in Australia, ending on 31 March, 30 June, 30 September, or 31 December.

#### 4. Grant of Licence

- (1) The Licensee is granted a licence to operate a financial market through which participants may make or accept offers to acquire or dispose of any of the following financial products:
  - (a) bonds.

#### 5. Participants

- (1) It is a condition of this Licence that the Licensee must ensure that each Australian participant:
  - (a) is a professional investor, or is a branch or office of an entity that is a professional investor; and
  - (b) only deals on the Market on their own behalf or on behalf of a person who is a professional investor.

#### 6. Clearing and settlement arrangements

- (1) It is a condition of this Licence that the Licensee must:
  - (a) clearly inform participants of the Market of their respective responsibilities in relation to the clearing and/or settlement of trades entered into on the Market; and
  - (b) have in place adequate arrangements to facilitate the efficient clearing and/or settlement of trades entered into on the Market, which must be arrangements of one or more of the following types:
    - (i) arrangements for the clearing and settlement of the trades with a clearing and settlement facility; or
    - (ii) arrangements to:
      - a. notify each party to a trade entered into on the Market of the identity of the other party to the trade; or

- b. otherwise be satisfied that each party to a trade entered into on the Market knows the identity of the other party to the trade,

so that the parties to the trade can settle the trade in accordance with arrangements agreed between them.

## 7. Periodic trade reporting

- (1) It is a condition of this Licence that the Licensee must give to ASIC, in a machine-readable format, at least the following information within 30 days after the end of each reporting period, for each product specified in the following table:

Category	Products
Interest Rate financial products other than Interest Rate derivatives	Australian Commonwealth Government debt instruments, Australian State or Local Government debt instruments, Supranational and Foreign Government debt instruments, Corporate debt instruments, Deposits [Cash deposits], Term deposits, Negotiable certificates of deposit

- (a) the total number of trades and their total value, in AUD-equivalent terms, of trades during the reporting period by all participants; and
- (b) the total number of trades and their total value, in AUD-equivalent terms, of trades during the reporting period by each Australian participant, identifying each Australian participant by their registered name and, if applicable, their branch name and, if available, their Legal Entity Identifier; and
- (c) the total number of trades and their total value, in AUD-equivalent terms, of trades during the reporting period by each foreign participant but only in relation to the trades that the Licensee believes to be trades on behalf of one or more Australian clients, identifying each foreign participant by their registered name and, if applicable, their branch name and, if available, their Legal Entity Identifier; and
- (d) the total number of trades and their total value, in AUD-equivalent terms, of the trades that are included in the information in (b) and (c) above and which are trades between any two participants listed in (b) and (c) above.

## 8. Market ceases to operate

- (1) It is a condition of this Licence where the Licensee intends to cease operating the Market in this jurisdiction, the Licensee must:
- (a) establish, document and implement adequate arrangements for ensuring, as applicable, the orderly cessation of the Market;
- (b) notify ASIC:

- (i) that the Licensee intends to cease operating the Market in this jurisdiction, as soon as reasonably practicable;
- (ii) of the arrangements referred to in paragraph (a), as soon as reasonably practicable before ceasing to operate the Market in this jurisdiction; and
- (iii) of any changes to the arrangements referred to in paragraph (a) following the notification in subparagraph (ii), as soon as reasonably practicable after making those changes.

20-0998



**Australian Market Licence (MarketAxess Singapore Pte. Limited)  
2020**

*Corporations Act 2001*

I, Nathan Bourne, Senior Executive Leader, Australian Securities and Investments Commission grant this Licence under subsection 795B(2) of the *Corporations Act 2001* (the Act) and impose conditions on this Licence under subsection 796A(1) of the Act.

Dated .....22 September 2020 .....

A handwritten signature in black ink, appearing to be 'N. Bourne', followed by a long horizontal line.

Signed .....

as a delegate of the Minister under section 1101J of the Act

**1. Name**

This is the *Australian Market Licence (MarketAxess Singapore Pte. Limited) 2020*.

**2. Commencement**

This Licence commences when it is granted.

**3. Definitions**

In this Licence unless the contrary intention appears, terms defined in the Act have the same meaning in this Licence and:

*Act* means the *Corporations Act 2001*.

*ASIC* means the Australian Securities and Investments Commission.

*Australian client* means, in relation to a participant in the Market, a person in this jurisdiction on whose behalf the participant deals on the Market.

*Australian participant* means:

- (a) an Australian entity that is a participant in the Market;
- (b) a foreign branch or office of an Australian entity where:
  - (i) the foreign branch or office is a participant in the Market; or
  - (ii) the Australian entity is a participant in the Market.
- (c) an Australian branch or office of a foreign entity where:
  - (i) the Australian branch or office is a participant in the Market; or
  - (ii) the foreign entity is a participant in the Market.

*bond* means:

- (a) a debenture within the meaning of the Act;
- (b) a financial product that is an undertaking to repay money deposited with or lent to the body by a person if:
  - (i) the person deposits or lends the money in the ordinary course of a business carried on by the person; and
  - (ii) the body receives the money in the ordinary course of carrying on a business that neither comprises nor forms part of a business of borrowing money and providing finance;
- (c) a financial product that is an undertaking by an Australian ADI to repay money deposited with it, or lent to it, in the ordinary course of its banking business; and
- (d) a chose in action that includes an undertaking by a government, a government agency or a semi-government agency to pay as a debt money



deposited with or lent to the government, government agency or semi-government agency.

*foreign participant* means a participant who is not an Australian participant.

*Licensee* means MarketAxess Singapore Pte. Limited ARBN 627 448 391.

*Market* means the financial market the Licensee is authorised to operate under this Licence.

*reporting period* means each period of 3 months, or part thereof, during which the Market is operated in Australia, ending on 31 March, 30 June, 30 September, or 31 December.

#### 4. Grant of Licence

- (1) The Licensee is granted a licence to operate a financial market through which participants may make or accept offers to acquire or dispose of any of the following financial products:
  - (a) bonds.

#### 5. Participants

- (1) It is a condition of this Licence that the Licensee must ensure that each Australian participant:
  - (a) is a professional investor, or is a branch or office of an entity that is a professional investor; and
  - (b) only deals on the Market on their own behalf or on behalf of a person who is a professional investor.

#### 6. Clearing and settlement arrangements

- (1) It is a condition of this Licence that the Licensee must:
  - (a) clearly inform participants of the Market of their respective responsibilities in relation to the clearing and/or settlement of trades entered into on the Market; and
  - (b) have in place adequate arrangements to facilitate the efficient clearing and/or settlement of trades entered into on the Market, which must be arrangements of one or more of the following types:
    - (i) arrangements for the clearing and settlement of the trades with a clearing and settlement facility; or
    - (ii) arrangements to:
      - a. notify each party to a trade entered into on the Market of the identity of the other party to the trade; or

- b. otherwise be satisfied that each party to a trade entered into on the Market knows the identity of the other party to the trade,

so that the parties to the trade can settle the trade in accordance with arrangements agreed between them.

## 7. Periodic trade reporting

- (1) It is a condition of this Licence that the Licensee must give to ASIC, in a machine-readable format, at least the following information within 30 days after the end of each reporting period, for each product specified in the following table:

Category	Products
Interest Rate financial products other than Interest Rate derivatives	Australian Commonwealth Government debt instruments, Australian State or Local Government debt instruments, Supranational and Foreign Government debt instruments, Corporate debt instruments, Deposits [Cash deposits], Term deposits, Negotiable certificates of deposit

- (a) the total number of trades and their total value, in AUD-equivalent terms, of trades during the reporting period by all participants; and
- (b) the total number of trades and their total value, in AUD-equivalent terms, of trades during the reporting period by each Australian participant, identifying each Australian participant by their registered name and, if applicable, their branch name and, if available, their Legal Entity Identifier; and
- (c) the total number of trades and their total value, in AUD-equivalent terms, of trades during the reporting period by each foreign participant but only in relation to the trades that the Licensee believes to be trades on behalf of one or more Australian clients, identifying each foreign participant by their registered name and, if applicable, their branch name and, if available, their Legal Entity Identifier; and
- (d) the total number of trades and their total value, in AUD-equivalent terms, of the trades that are included in the information in (b) and (c) above and which are trades between any two participants listed in (b) and (c) above.

## 8. Market ceases to operate

- (1) It is a condition of this Licence where the Licensee intends to cease operating the Market in this jurisdiction, the Licensee must:
  - (a) establish, document and implement adequate arrangements for ensuring, as applicable, the orderly cessation of the Market;
  - (b) notify ASIC:

- (i) that the Licensee intends to cease operating the Market in this jurisdiction, as soon as reasonably practicable;
- (ii) of the arrangements referred to in paragraph (a), as soon as reasonably practicable before ceasing to operate the Market in this jurisdiction; and
- (iii) of any changes to the arrangements referred to in paragraph (a) following the notification in subparagraph (ii), as soon as reasonably practicable after making those changes.

CORPORATIONS ACT 2001  
Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-second day of October 2020

Rosanne Bell  
DELEGATE OF  
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Scheme	ARSN
CENTURIA 8 CENTRAL AVENUE FUND	165 822 051
EATON VANCE INTERNATIONAL (AUSTRALIA) SENIOR LOAN FUND	153 924 675
MACQUARIE GLOBAL INFRASTRUCTURE FUND III (B)	126 977 526
MACQUARIE GLOBAL INFRASTRUCTURE FUND III (A)	126 977 071
PIONEER SELF STORAGE FUND	601 881 690
RFM POULTRY	164 851 218
T.ROWE PRICE ASIA EX-JAPAN FUND	128 842 080

CORPORATIONS ACT 2001  
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-second day of October 2020

Rosanne Bell  
DELEGATE OF  
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

**Name of Company**

**ARBN**

BIDFINUK PTY LTD

635 840 596

NIKKISO AMERICA, INC

168 556 410

CORPORATIONS ACT 2001  
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

**ASTRON LIMITED** ACN 000 285 272 will change to a proprietary company limited by shares. The new name will be **ASTRON PTY LIMITED** ACN 000 285 272.

**HOMES.COM.AU PTY LTD** ACN 625 496 139 will change to a public company limited by shares. The new name will be **HOMES.COM.AU LIMITED** ACN 625 496 139.

**NEXION GROUP PTY LTD** ACN 628 415 887 will change to a public company limited by shares. The new name will be **NEXION GROUP LTD** ACN 628 415 887.

**CHEQ PTY LTD** ACN 633 925 505 will change to a public company limited by shares. The new name will be **CHEQ LIMITED** ACN 633 925 505.

**MIGHTY KINGDOM GROUP PTY LTD** ACN 627 145 260 will change to a public company limited by shares. The new name will be **MIGHTY KINGDOM LIMITED** ACN 627 145 260.

**SOVEREIGN GOLD N.L.** ACN 008 963 639 will change to a proprietary company limited by shares. The new name will be **SOVEREIGN GOLD PTY LIMITED** ACN 008 963 639.