



ASIC
Australian Securities &
Investments Commission

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RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet *ASIC decisions – your rights* (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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20-0133

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Total Financial Solutions Australia Limited
ACN 003 771 579 ("the Licensee")
Level 8
1 Chifley Square
Sydney NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 224954 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 29 June 2020

Signed

A handwritten signature in blue ink, appearing to read 'John Connor', is written over a horizontal dotted line.

John Connor

A delegate of the Australian Securities and Investments Commission

20 - 0281

Notice is given under section 920E of the Corporations Act 2001 that the Australian Securities and Investments Commission has made a banning order in the terms set out below, which order took effect on 22 January 2020.

Australian Securities and Investments Commission
In the matter of Nicholas James Ellis
Banning order under s920A and s920B of the Corporations Act 2001

To: Nicholas James Ellis

Take notice that under s920A(1) and s920B(2) of the *Corporations Act 2001* the Australian Securities and Investments Commission prohibits Nicholas James Ellis from providing any financial services permanently.

Dated this 15th day of January 2020.

Signed:



Graeme Darcy Plath
Delegate of the Australian Securities and Investments Commission

Under s920C(2) of the *Corporations Act 2001* a person must not engage in conduct which breaches a banning order that has been made against the person. A contravention of s920C(2) is an offence.

20-0528

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Henderson Matusch Pty Ltd
ACN 107 343 091 ("the Licensee")
GPO Box 314
Brisbane QLD 4001

1. Pursuant to 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 274484 held by the Licensee, with effect from the date on which this notice is given to the Licensee.
2. Pursuant to section 915H of the Act, the AFS licence continues in effect while cancelled, as though the cancellation had not happened for the purposes of:
 - a. paragraphs 912A(2)(c) of the Act, which requires the Licensee to maintain membership of the Australian Financial Complaints Authority scheme for no less than twelve months from the date the notice of cancellation of the AFS licence is given to the Licensee; and
 - b. section 912B of the Act, which requires the Licensee to maintain compensation arrangements for no less than twelve months from the date the notice of cancellation of the AFS licence is given to the Licensee. The arrangements must satisfy the requirements set out in regulation 7.6.02AAA of the Corporations Regulations 2001

Dated 25 June 2020

Signed



John Connor

A delegate of the Australian Securities and Investments Commission

20-0549

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Peli Capital Pty Ltd
ACN 153 605 040 ("the Licensee")
PO Box 3080
NEWMARKET QLD 4051

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 447123 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 2 June 2020

Signed

A handwritten signature in blue ink, appearing to read 'John Connor', is written over a horizontal dotted line.

John Connor

A delegate of the Australian Securities and Investments Commission

20-0581

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: CRESCERE PARTNERS PTY LTD
ACN 169 353 995 ("the Licensee")
UNIT 415 4 COLUMBIA COURT
BAULKHAM HILLS NSW 2153

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 500640 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 30 June 2020

Signed



John Connor

A delegate of the Australian Securities and Investments Commission

20-0607

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Vicsuper Pty Ltd
ACN 087 619 412 ("the Licensee")
GPO Box 89
Melbourne VIC 3001

1. Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 237333 held by the Licensee, with effect from the date on which this notice is given to the Licensee.
2. Pursuant to section 915H of the Act, the AFS licence continues in effect while cancelled, as though the cancellation had not happened for the purposes of:
 - a. paragraphs 912A(2)(c) of the Act, which requires the Licensee to maintain membership of the Australian Financial Complaints Authority scheme for no less than twelve months from the date the notice of cancellation of the AFS licence is given to the Licensee.

Dated 30 June 2020

Signed



John Connor

A delegate of the Australian Securities and Investments Commission

20-0613

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: WEBSTER INVESTMENTS PTY LTD
ACN 004 651 576 ("the Licensee")
Po Box 31
Ballarat Victoria 3353

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 238263 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 30 June 2020

Signed

A handwritten signature in blue ink, appearing to read 'John Connor', is written over a horizontal dotted line.

John Connor

A delegate of the Australian Securities and Investments Commission

20-0614

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Centrum Financial Group Pty Ltd
ACN 108 375 524 ("the Licensee")
C/- Calvin Cheng
133 Alexander Street
Crows Nest NSW 2065

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 271295 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 22 June 2020

Signed



John Connor

A delegate of the Australian Securities and Investments Commission

20-0621

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Grandview (VIC) Pty Ltd
ACN 129 014 348 ("the Licensee")
28 Grandview Road
Brighton VIC 3186

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 333372 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 24 June 2020

Signed

A handwritten signature in blue ink, appearing to read 'John Connor', is written over a horizontal dotted line.

John Connor

A delegate of the Australian Securities and Investments Commission

20-0624

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Troika Capital Pty Ltd
ACN 059 728 282 ("the Licensee")
U 2 148 Mill Point Rd
South Perth WA 6151

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 255367 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 29 June 2020

Signed

A handwritten signature in blue ink, appearing to read 'John Connor', is written over a horizontal dotted line.

John Connor

A delegate of the Australian Securities and Investments Commission

20-0625

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Publishing One Pty Ltd
ACN 118 988 686 ("the Licensee")
c/- Compliance & Risk Services Pty Ltd
Level 25
360 Collins Street
Melbourne VIC 3000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 302081 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 30 June 2020

Signed



John Connor
A delegate of the Australian Securities and Investments Commission

20-0626

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: THEBOOKS PTY LTD A.C.N. 149 742 601 ("the Licensee")
8 Saltbreeze Boulevard
ARMSTRONG CREEK VIC 3217

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 485796 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 29 June 2020

Signed

A handwritten signature in blue ink, appearing to read 'John Connor', is written over a horizontal dotted line.

John Connor

A delegate of the Australian Securities and Investments Commission

20-0628

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: INSURANCE SOLUTIONS QUEENSLAND PTY LTD ACN 010 586 606
("the Licensee")
8 Basswood Court
BONOGIN QLD 4213

Pursuant to paragraph 915B(4)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 224888 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 26 June 2020

Signed

A handwritten signature in blue ink, appearing to read 'John Connor', written over a horizontal dotted line.

John Connor

A delegate of the Australian Securities and Investments Commission

20-0630

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Mody & Co Pty Ltd
ACN 105 544 858 ("the Licensee")
PO Box 275
MALVERN VIC 3144

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 486753 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 26 June 2020

Signed

A handwritten signature in blue ink, appearing to read 'John Connor', is written over a horizontal dotted line.

John Connor

A delegate of the Australian Securities and Investments Commission

20-0632

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Hunter United Employees' Credit Union Limited
ACN 087 650 182 ('the Licensee')
C/- IMB Ltd
253-259 Crown Street
WOLLONGONG NSW 2500

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 238316 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 1 July 2020

Signed

A handwritten signature in blue ink, appearing to read 'John Connor', is written over a horizontal dotted line.

John Connor

A delegate of the Australian Securities and Investments Commission

20-0633

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: First State Super Financial Services Pty Ltd
ACN 096 452 318 ('the Licensee')
Level 21
83 Clarence Street
Sydney NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 240019 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 30 June 2020

Signed

A handwritten signature in blue ink, appearing to read 'John Connor', is written over a horizontal dotted line.

John Connor

A delegate of the Australian Securities and Investments Commission

20-0639

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Automotive Global Insurance Pty Ltd
ACN 093 891 573 ("the Licensee")
PO Box 6646
BAULKHAM HILLS NSW 2153

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 239678 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Pursuant to section 915H of the Act, the AFS licence continues in effect while cancelled, as though the cancellation had not happened for the purposes of paragraphs 912A(2)(c) of the Act, which requires the Licensee to maintain membership of the Australian Financial Complaints Authority scheme for no less than twelve months from the date the notice of cancellation of the AFS licence is given to the Licensee

Dated 26 June 2020

Signed

A handwritten signature in blue ink, appearing to read 'John Connor', is written over a horizontal dotted line.

John Connor

A delegate of the Australian Securities and Investments Commission

20-0641

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 655A(1)(b) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 655A(1)(b) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 20-0641.

Commencement

3. This instrument commences on the day it is signed.

Declaration

4. Chapter 6 of the Act applies to Aurene Ularring Pty Ltd ACN 640 687 618 (*the Bidder*) as if Parts 6.4 and 6.6 were modified or varied as follows:
 - (a) after subsection 617(2), insert:

“(2A) The bid may also extend to securities that come to be in the bid class during the period from the date set by the bidder under subsection 633(2) to the end of the offer period due to the issue of ordinary shares by the target during the offer period.”; and
 - (b) after paragraph 636(1)(j), insert:

“(ja) if the bid is to extend to securities that come to be in the bid class during the period from the date set by the bidder under subsection 633(2) to the end of the offer period due to the Target's issue of ordinary shares in the bid class during the bid period (see subsection 617(2A)) – a statement to that effect.”.

Where this instrument applies

5. This declaration applies to an offer by the Bidder under an off-market takeover bid for all of the ordinary shares in the Target, where:
 - (a) the Bidder has lodged a bidder's statement with ASIC dated 15 June 2020 that includes a statement that the Bid extends to the New Securities, subject to ASIC relief being granted;

20-0641

- (b) the Bidder does not rely on the relief provided by this instrument other than to extend the offer under the Bid to the New Securities; and
- (c) the Bid is not subject to a defeating condition that will not be satisfied merely because securities come to be in the bid class as a result of the issue of the New Securities by the Target during the offer period.

Interpretation

6. In this instrument:

- (a) *Bid* means an off-market takeover bid for all of the ordinary shares in Alt Resources Limited ACN 168 928 416 (the *Target*) announced on 7 May 2020 and pursuant to a bidder's statement dated and lodged with ASIC on 15 June 2020.
- (b) *New Securities* means up to 30,558,333 Securities in the capital of the Target which may be issued to directors, key executives and employees of, and service providers to, the Target, subject to the approval of the members of the Target having been obtained prior to the end of the offer period and in respect of which a notice of meeting will be dispatched by the Target within 21 days of the date of this instrument.

Dated this 26th day of June 2020



Signed by Sabrina Mobbs
as a delegate of the Australian Securities and Investments Commission

20-0643

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Acliu Pty Ltd
ABN 12 169 480 639 ("the Licensee")
PO Box Q898
QVB NSW 1230

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 489138 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 29 June 2020

Signed



John Connor

A delegate of the Australian Securities and Investments Commission

20-0645

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Sentry Financial Planning Pty Ltd
ACN: 099 029 526 ("the Licensee")
Level 1
190 Stirling Street
PERTH WA 6000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 247105 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Pursuant to section 915H of the Act, the AFS licence continues in effect while cancelled, as though the cancellation had not happened for the purposes of paragraph 912A(2)(c) of the Act, which requires the Licensee to maintain membership of the Australian Financial Complaints Authority scheme for no less than twelve months from the date the notice of cancellation of the AFS licence is given to the Licensee.

Dated 29 June 2020

Signed

A handwritten signature in blue ink, appearing to read 'John Connor', is written over a horizontal dotted line.

John Connor

A delegate of the Australian Securities and Investments Commission

20-0646

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Panoptic Underwriting Agency Pty Ltd
ACN 153 195 165 ("the Licensee")
PO Box 440
Tullamarine VIC 3043

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 433816 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 29 June 2020

Signed

A handwritten signature in blue ink, appearing to read 'John Connor', is written over a horizontal dotted line.

John Connor

A delegate of the Australian Securities and Investments Commission

20-0647

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Prodigy Investment Partners Limited
ABN 22 600 471 430 ("the Licensee")
'Alluvion', Level 18
58 Mounts Bay Road
PERTH WA 6000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 466173 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 29 June 2020

Signed

A handwritten signature in blue ink, appearing to read 'John Connor', is written over a horizontal dotted line.

John Connor

A delegate of the Australian Securities and Investments Commission

20-0648

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: BDO PRIVATE WEALTH ADVISERS (EAST COAST) PTY LTD
ACN 128 702 161 ("the Licensee")
Level 11 1 Margaret Street
SYDNEY NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 488775 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 29 June 2020

Signed

A handwritten signature in black ink, appearing to read 'John Connor', is written over a horizontal dotted line.

John Connor

A delegate of the Australian Securities and Investments Commission

20-0650

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Dixon and Doshi Financial Services Pty Ltd
ACN 608 881 698 ("the Licensee")
SE 1104 1 Queens Road
MELBOURNE VIC 3004

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 487129 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 29 June 2020

Signed

A handwritten signature in blue ink, appearing to read 'John Connor', is written over a horizontal dotted line.

John Connor

A delegate of the Australian Securities and Investments Commission

20-0651

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Techinvest Pty Limited
ABN 43 084 084 444 ("the Licensee")
39 Hunter Street
Sydney NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 241183 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 29 June 2020

Signed

A handwritten signature in blue ink, appearing to read 'John Connor', is written over a dotted line.

John Connor

A delegate of the Australian Securities and Investments Commission

20-0652

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Lime FS Pty Ltd
ACN 611 398 666 ("the Licensee")
GPO BOX 5311
SYDNEY NSW 2001

1. Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001** (the Act), the Australian Securities and Investments Commission (ASIC) hereby cancels Australian financial services (AFS) licence number 485923 held by the Licensee, with effect from the date on which this notice is given to the Licensee.
2. Pursuant to section 915H of the Act, the AFS licence continues in effect while cancelled, as though the cancellation had not happened for the purposes of:
 - a. paragraphs 912A(2)(c) of the Act, which requires the Licensee to maintain membership of the Australian Financial Complaints Authority scheme for no less than twelve months from the date the notice of cancellation of the AFS licence is given to the Licensee; and
 - b. section 912B of the Act, which requires the Licensee to maintain compensation arrangements for no less than twelve months from the date the notice of cancellation of the AFS licence is given to the Licensee. The arrangements must satisfy the requirements set out in regulation 7.6.02AAA of the Corporations Regulations 2001.

Dated 29 June 2020

Signed



John Connor

A delegate of the Australian Securities and Investments Commission

20-0653

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 655A(1)(b) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (ASIC) makes this declaration under paragraph 655A(1)(b) of the *Corporations Act 2001* (the Act).

Title

2. This instrument is ASIC Instrument 20-0653.

Commencement

3. This instrument commences on the date it is signed.

Declaration

4. Chapter 6 of the Act applies to WAM Active Limited ACN 126 420 719 (WAM) as if paragraph 631(1)(b) of the Act were modified or varied by omitting the words “within 2 months after the proposal” and replacing them with “within 2 months and 8 days after the proposal”.

Where this instrument applies

5. The declaration in paragraph 4 applies in relation to the public proposal by WAM on or about 28 April 2020 to make an off-market takeover bid for ordinary shares in Keybridge Capital Limited ACN 088 267 190 (**Proposed Bid**) where within two business days of the date of this instrument WAM has given a notice to ASX Limited ACN 008 624 691 (**ASX**) for release on the financial market operated by ASX that provides an explanation of the need for, and the effect of, the declaration in paragraph 4.

Dated this 29th day of June 2020



Signed by Will Robertson
as a delegate of the Australian Securities and Investments Commission

20-0654

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Kennedy Barnden Advisory Pty Ltd
ACN 612 773 556 ("the Licensee")
484 The Entrance Rd
Erina Heights NSW 2261

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 489112 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 29 June 2020

Signed

A handwritten signature in blue ink, appearing to read 'John Connor', is written over a horizontal dotted line.

John Connor

A delegate of the Australian Securities and Investments Commission

20-0655

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Suspension of an Australian Financial Services Licence

TO: Reef Capital Coaching Pty Limited
092 309 978 ("the Licensee")
PO Box 721
NOOSA HEADS QLD 4567

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby suspends Australian Financial Services Licence number 288200 held by the Licensee until 30 June 2021, with effect from the date on which this notice is given to the Licensee.

Dated 29 June 2020

Signed

A handwritten signature in blue ink, appearing to read 'John Connor', is written over a horizontal dotted line.

John Connor

A delegate of the Australian Securities and Investments Commission

20-0656

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: WILKINSON INSURANCE BROKERS PTY. LIMITED
ACN 007 333 968 ("the Licensee")
286 Main Street LILYDALE VIC 3140

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 244317 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 29 June 2020

Signed

A handwritten signature in black ink, appearing to read 'John Connor', written over a dotted line.

John Connor
A delegate of the Australian Securities and Investments Commission

20-0657

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Investment Management Services Pty Ltd
ACN 005 557 264 ("the Licensee")
PO Box 557
Collins Street West VIC 8007

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 236609 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 29 June 2020

Signed

A handwritten signature in blue ink, appearing to read 'John Connor', is written over a horizontal dotted line.

John Connor

A delegate of the Australian Securities and Investments Commission

20-0666

**Australian Securities and Investments Commission
Corporations Act 2001—Paragraph 601QA(1)(a) - Exemption**

Enabling Legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 601QA(1)(a) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 20-0666.

Commencement

3. This instrument commences on the date it is signed.

Exemption

4. ETFS Management (AUS) Limited ACN 150 433 828 (**Responsible Entity**) in its capacity as responsible entity for the ETFS Ultra Short Nasdaq 100 Hedge Fund ARSN 636 515 887 and the ETFS Ultra Long Nasdaq 100 Hedge Fund ARSN 636 516 320 (each a **Fund**), does not have to comply with paragraph 601FC(1)(d) of the Act to the extent that it would prevent the Responsible Entity from permitting only authorised participants to withdraw from the Fund.

Where the exemption applies

5. The exemption applies where all of the following are satisfied:
 - (a) there is a Product Disclosure Statement in relation to interests in the Fund that are admitted to Trading Status as Managed Fund Product that contain statements to the effect that:
 - (i) the Responsible Entity will not treat members of the same class equally to the extent that it restricts withdrawal from the Fund to authorised participants; and
 - (ii) except in exceptional circumstances only authorised participants may withdraw their interests from the Fund, but other members may sell their interests on the AQUA market; and
 - (iii) when interests in the Fund are suspended from trading on the AQUA market for more than 5 consecutive Trading Days, members have a right to withdraw from the Fund and receive payment for their interests in money within a reasonable time of request unless any of the following apply:
 - (A) the Fund is being wound-up;

20-0666

Managed Fund Product has the same meaning as in the operating rules of the ASX as at the date of this instrument.

minimum parcel means the smallest number or value of interests in the AQUA managed fund that are generally permitted to be withdrawn from the AQUA managed fund by an authorised participant while interests in the AQUA managed fund are quoted.

Trading Day has the same meaning as in the ASX operating rules as at the date of this instrument.

Trading Participant has the same meaning as in the operating rules of the ASX as at the date of this instrument.

Trading Status has the same meaning as in the operating rules of the ASX as at the date of this instrument.

withdrawal fee per interest means, in relation the Fund, the fee that is payable in relation to a withdrawal from the Fund divided by the number of interests in the Fund to which the withdrawal relates.

Dated this 30th day of June, 2020



Signed by Sarah Stenner
as a delegate of the Australian Securities and Investments Commission

20-0669

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 669(1)(b) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (ASIC) makes this instrument under paragraph 669(1)(b) of the *Corporations Act 2001* (the Act)

Title

2. This instrument is ASIC Instrument 20-0699.

Commencement

3. This instrument commences on the date it is signed.

Declarations

4. Chapter 6A of the Act applies to Henslow Acquisitionco Pty Ltd ACN 636 393 470 (“Henslow”) as if:
 - a. Subsection 666A(3) were modified or varied by inserting the following new paragraph after paragraph (b):

“(c) the day the Panel application lodged by Winpar Holdings Limited on 28 June 2020 is finally determined by way of the Panel making a decision:

 - (i) not to conduct proceedings; or
 - (ii) if proceedings are conducted, to make or not make a declaration of unacceptable circumstances.”
 - b. Subsection 664E(4) were modified or varied by deleting the words “within 1 month after the end of the objection period” and replacing them with the words:

“by the later of 1 month after:

 - (a) the end of the objection period; and

20-0669

- (b) the day the Panel application lodged by Winpar Holdings Limited on 28 June 2020 is finally determined by way of the Panel making a decision:
 - (i) not to conduct proceedings; or
 - (ii) if proceedings are conducted, to make or not make a declaration of unacceptable circumstances"
- c. Subsection 664F(2) were modified or varied by deleting the words "within 1 month after the end of the objection period" and replacing them with the words:

"by the later of 1 month after:

 - (a) the end of the objection period; and
 - (b) the day the Panel application lodged by Winpar Holdings Limited on 28 June 2020 is finally determined by way of the Panel making a decision:
 - (i) not to conduct proceedings; or
 - (ii) if proceedings are conducted, to make or not make a declaration of unacceptable circumstances"

Where this instrument applies

- 5. The declaration in paragraph 4 applies in relation to the compulsory acquisition by Henslow of preference shares in Webster Limited ACN 009 476 000 under Part 6A.2 of the Act pursuant to the compulsory acquisition notice lodged by Henslow dated 15 May 2020.

Dated this 1st day of July 2020



Signed by Will Robertson
as a delegate of the Australian Securities and Investments Commission

20 - 0670

Notice is given under section 920E of the Corporations Act 2001 that the Australian Securities and Investments Commission has made a banning order in the terms set out below, which order took effect on 29 June 2020.

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

To: David James Patrick Horton

**ORDER UNDER SECTIONS 920A AND 920B OF THE
CORPORATIONS ACT 2001**

TAKE NOTICE that, under s920A(1)(da)(i), s920A(1)(c), s920A(1)(f) and s920B of the Corporations Act 2001, the Australian Securities and Investments Commission prohibits David James Patrick Horton for a period of five years from providing any financial services.

Dated this 29th day of June 2020

Signed:

Graeme Darcy Plath

Delegate of the Australian Securities and Investments Commission

Your attention is drawn to s920C and s1311 of the Corporations Act 2001 that provide that a person commits an offence if they engage in conduct that breaches a banning order that has been made against them (Penalty: 600 penalty units or imprisonment for 5 years or both).

20 - 0671

Notice is given under section 920E of the Corporations Act 2001 that the Australian Securities and Investments Commission has made a banning order in the terms set out below, which order took effect on 28 May 2020.

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION**IN THE MATTER OF STEVEN JAMES MARSH****SECTIONS 920A AND 920B OF THE CORPORATIONS ACT 2001**

To: Steven James Marsh

**ORDER UNDER SECTIONS 920A AND 920B OF THE
CORPORATIONS ACT 2001**

TAKE NOTICE that, under s920A(1)(d)(i), s920A(1)(da)(i), s920A(1)(e), s920A(1)(f) and s920B of the Corporations Act 2001, the Australian Securities and Investments Commission prohibits Steven James Marsh for a period of three years from providing any financial services.

Dated this 27th day of May 2020

Signed:

Graeme Darcy Plath

Delegate of the Australian Securities and Investments Commission

Your attention is drawn to s920C and s1311 of the Corporations Act 2001 that provide that a person commits an offence if they engage in conduct that breaches a banning order that has been made against them (Penalty: 600 penalty units or imprisonment for 5 years or both).

20 - 0672

Notice is given under section 920E of the Corporations Act 2001 that the Australian Securities and Investments Commission has made a banning order in the terms set out below, which order took effect on 7 May 2020.

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION**IN THE MATTER OF ALAN DAVIES****SECTIONS 920A AND 920B OF THE CORPORATIONS ACT 2001**

To: Alan Davies

**ORDER UNDER SECTIONS 920A AND 920B OF THE
CORPORATIONS ACT 2001**

TAKE NOTICE that, under paragraph 920A(1)(da) and section 920B of the Corporations Act 2001, the Australian Securities and Investments Commission prohibits Alan Davies for a period of three years from providing any financial services.

Dated this 30th day of March 2020

Signed:

Graeme Darcy Plath

Delegate of the Australian Securities and Investments Commission

Your attention is drawn to s920C and s1311 of the Corporations Act 2001 that provide that a person commits an offence if they engage in conduct that breaches a banning order that has been made against them (Penalty \$2,750 or imprisonment for 6 months or both).

20 - 0673

Notice is given under section 920E of the Corporations Act 2001 that the Australian Securities and Investments Commission has made a banning order in the terms set out below, which order took effect on 9 April 2020.

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION**IN THE MATTER OF BIMALJEET SEKHON****SECTIONS 920A AND 920B OF THE CORPORATIONS ACT 2001**

To: Bimaljeet Sekhon

**ORDER UNDER SECTIONS 920A AND 920B OF THE
CORPORATIONS ACT 2001**

TAKE NOTICE that, under paragraphs 920A(1)(da), 920A(1)(e) and 920A(1)(f) and section 920B of the Corporations Act 2001, the Australian Securities and Investments Commission prohibits Bimaljeet Sekhon for a period of three years from providing any financial services.

Dated this 6th day of April 2020


Signed:
Graeme Darcy Plath
Delegate of the Australian Securities and Investments Commission

Your attention is drawn to s920C and s1311 of the Corporations Act 2001 that provide that a person commits an offence if they engage in conduct that breaches a banning order that has been made against them (Penalty: 600 penalty units or imprisonment for 5 years or both).

20-0674

NOTICE UNDER SECTION 915F OF THE CORPORATIONS ACT 2001

Notice is given under section 915F of the Corporations Act 2001 that the Australian Securities and Investments Commission has taken the action set out in the Notice below, which action took effect on 7 May 2020.

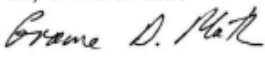
**Australian Securities and Investments Commission
Corporations Act 2001 section 915C**

Notice of Cancellation of Australian Financial Services Licence

To: Risk Insurance Consultants Pty Ltd ACN 163 644 424 (**Licensee**)

Under s915C(1)(a) and s915C(1)(aa) of the Corporations Act 2001 the Australian Securities and Investments Commission cancels Australian financial services licence number 439239 held by the Licensee.

Dated this 30th day of March 2020

Signed 

Graeme Darcy Plath
a delegate of the Australian Securities and Investments Commission

20-0675

NOTICE UNDER SECTION 915F OF THE CORPORATIONS ACT 2001

Notice is given under section 915F of the Corporations Act 2001 that the Australian Securities and Investments Commission has taken the action set out in the Notice below, which action took effect on 16 March 2020.

**Australian Securities and Investments Commission
Corporations Act 2001 section 915C**

Notice of Cancellation of Australian Financial Services Licence

To: Olive Financial Markets Pty Ltd ACN 145 551 739 (**Olive**)

Under s915C(1)(a) and s915C(1)(aa) of the Corporations Act 2001 (**Act**) the Australian Securities and Investments Commission (**ASIC**) cancels Australian financial services licence number 390906 (**Licence**) held by Olive.

Under s915H of the Act ASIC specifies that the Licence continues in effect until 12 June 2020, while cancelled, as though the cancellation had not happened for the purpose of:

- (a) Paragraphs 912A(1)(g) of the Act to the extent that it requires Olive to have a dispute resolution system complying with s912A(2)(b) of the Act (which requires Olive to be a member of the AFCA scheme);
- (b) Section 912B of the Act and regulations 7.6.02AAA and 7.6.02AA of the *Corporations Regulations 2001* (which require Olive to have arrangements for compensating retail clients including the holding of professional indemnity insurance cover); and
- (c) Chapter 7 of the Act regarding the provision of a financial service to a person as a retail client or wholesale client if that person was a client immediately prior to the cancellation taking effect and if the financial service relates to the termination of an existing arrangement with a client.

Dated this 13th day of March 2020

Signed



Graeme Darcy Plath
a delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001
Section 601CL(5)

ASIC has struck the foreign companies listed
below off the register.

Dated this third day of July 2020

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company

ARBN

ALLIED GOLD MINING LIMITED

151 368 053

ELANTIS SOLUTIONS INC.

626 450 486

IT GLOBAL (UK) LIMITED

090 768 844

TRONOX INTERNATIONAL FINANCE LLP

160 448 475

3-D MATRIX ASIA PTE. LTD.

624 174 689

CORPORATIONS ACT 2001
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this third day of July 2020

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company

ARBN

CAMBRIDGE SEMANTICS INCORPORATED

632 402 969

OAKTREE CAPITAL (HONG KONG) LIMITED

609 956 836

SEADRILL AUSTRALIA PTE. LTD.

125 635 065

SHAPEFORM LIMITED

140 492 057

CORPORATIONS ACT 2001

Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this third day of July 2020

Rosanne Bell

DELEGATE OF

THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Scheme

ARSN

JPMORGAN EMERGING MARKETS OPPORTUNITIES FUND

165 797 044

TSL PROPERTY FUND

130 439 155

CORPORATIONS ACT 2001

Subsection 601PA(3)

ASIC may deregister the managed investment scheme(s) listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this third day of July 2020

Rosanne Bell

DELEGATE OF

THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Scheme

ARSN

THE 163 PROPERTY TRUST

143 241 794

Corporations Act 2001
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

GOULBURN CAPITAL LTD ACN 630 675 099 will change to a proprietary company limited by shares. The new name will be GOULBURN CAPITAL PTY LTD ACN 630 675 099.

POSITIVE CARE SOLUTIONS LIMITED

ACN 165 105 288 will change to a proprietary company limited by shares. The new name will be POSITIVE CARE SOLUTIONS PTY LIMITED ACN 165 105 288.

HILLS ASSOCIATES LIMITED ACN 007 552 954 will change to a proprietary company limited by shares. The new name will be HILLS ASSOCIATES PTY LTD ACN 007 552 954.

PRP DIAGNOSTIC IMAGING HOLDINGS LTD

ACN 615 759 898 will change to a proprietary company limited by shares. The new name will be PRP DIAGNOSTIC IMAGING HOLDINGS PTY LTD ACN 615 759 898.