



**ASIC**  
Australian Securities &  
Investments Commission

Commonwealth of Australia Gazette  
No. A16/20, Tuesday 21 April 2020

Published by ASIC

# ASIC Gazette

## Contents

### Notices under Corporations Act 2001

<b>20-0226</b>	<b>20-0247</b>	<b>20-0255</b>	<b>20-0258</b>	<b>20-0279</b>	<b>20-0292</b>	<b>20-0316</b>
<b>20-0319</b>	<b>20-0329</b>	<b>20-0333</b>	<b>20-0334</b>	<b>20-0339</b>	<b>20-0340</b>	<b>20-0341</b>
<b>20-0342</b>	<b>20-0343</b>	<b>20-0344</b>	<b>20-0345</b>	<b>20-0347</b>	<b>20-0348</b>	<b>20-0350</b>
<b>20-0352</b>	<b>20-0358</b>	<b>20-0359</b>	<b>20-0361</b>	<b>20-0363</b>	<b>20-0365</b>	<b>20-0366</b>
<b>20-0368</b>	<b>20-0371</b>	<b>20-0373</b>	<b>20-0381</b>	<b>20-0386</b>		

### Company/scheme deregistrations

### Change of company type

#### RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet *ASIC decisions – your rights* (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at [www.asic.gov.au](http://www.asic.gov.au) or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

ISSN 1445-6060 (Online version)  
ISSN 1445-6079 (CD-ROM version)

Available from [www.asic.gov.au](http://www.asic.gov.au)  
Email [gazette.publisher@asic.gov.au](mailto:gazette.publisher@asic.gov.au)

© Commonwealth of Australia, 2020

This work is copyright. Apart from any use permitted under the *Copyright Act 1968*, all rights are reserved. Requests for authorisation to reproduce, publish or communicate this work should be made to: Gazette Publisher, Australian Securities and Investment Commission, GPO Box 9827, Melbourne Vic 3001

20-0226

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Lily-Claire Magalie Adolphe  
ABN 71 080 849 656 ("the Licensee")  
6 Tooronga Court  
ASHWOOD VIC 3147

Pursuant to paragraph 915B(1)(e) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 488653 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 15 April 2020

Signed



**John Connor**

**A delegate of the Australian Securities and Investments Commission**

20-0247

**Australian Securities and Investments Commission  
Corporations Act 2001 — Subsection 601CK(7) — Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 601CK(7) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 20-0247

**Commencement**

3. This instrument commences on gazettal.

**Declaration**

4. Section 601CK of the Act does not apply to Sezzle, Inc. ARBN 633 327 358 (the *Company*), a body incorporated in the State of Delaware, US, in relation to:
  - (a) its financial year ended 31 December 2019 (a *relevant financial year*); and
  - (b) each of its subsequent financial years (a *relevant financial year*) when they arise.

**Where this instrument applies**

5. This instrument applies to the Company for so long as it:
  - (a) is registered under Division 2 of Part 5B.2 of the Act; and
  - (b) is included in the official list of the financial market operated by ASX Limited;
  - (c) is not required under the law of the place of its incorporation to prepare a balance-sheet, a cash flow statement or a profit and loss statement; and
  - (d) is not a reporting company;

and where:

- (e) the Company gave ASX Limited the information set out in Appendix 4E (preliminary final report) in relation to the Company's financial year that ended on 31 December 2019 on 27 February 2020 in order to comply with ASX Listing Rule 4.3A;

20-0247

- (f) the Company has complied with:
- (i) the condition in section 6 in relation to the Company's financial year that ended on 31 December 2019; and
  - (ii) the conditions in section 7 in relation to any subsequent relevant financial year ending after 31 December 2019.

**Conditions**

6. For the purposes of obtaining the relief available under section 4 in relation to the Company's financial year that ended on 31 December 2019 – the Company must have lodged with ASIC its Key Financial Statements for the financial year ended 31 December 2019 on or before 30 April 2020.
7. For the purposes of obtaining the relief available under section 4 in relation to any subsequent relevant financial year of the Company ending after 31 December 2019 – the Company must;
- (a) have lodged with ASIC its Key Financial Statements for the relevant financial year within 3 months after the end of the relevant financial year; and

Note: The requirement to lodge the Key Financial Statements with ASIC within 3 months after the end of the relevant financial year is consistent with the lodgement deadlines for a disclosing entity under s319(3) of the Act.

- (b) if ASIC requested a Reconciliation Report in relation to the Company's Key Financial Statements for a previous relevant financial year – the Company must have provided the Reconciliation Report in relation to the Company's Key Financial Statement for the previous relevant financial year to ASIC within 1 month after having received the request or within such additional time as ASIC may have allowed by notice to the Company in writing.

Note: This condition is a condition precedent to relief for a relevant financial year. Failure to provide a Reconciliation Report in relation to a previous relevant financial year within the time required will result in the relief under section 4 being unavailable for the relevant financial year and future relevant financial years but will not affect relief that was relied on for any previous relevant financial year. The request may be in relation to any previous relevant financial year that is not necessarily immediately preceding the relevant financial year.

**Interpretation**

8. In this instrument:

**AIFRS** means the Australian International Financial Reporting Standards.

**Exchange Act** means Securities Exchange Act of 1934 of the US.

20-0247

**Key Financial Statements** means the Company's balance-sheet, a cash flow statement and a profit and loss statement for the relevant financial year, in such form and containing such particulars as the Company would be required to prepare if it were a reporting company, together with:

- (i) a statement in writing by a director of the Company certifying that to the best of their knowledge and belief, the Key Financial Statements were prepared in conformance with the requirements of US GAAP; and
- (ii) a statement in writing using ASIC Form 405 verifying that the copies of the Key Financial Statements are true copies of the documents.

Note: ASIC Corporations (Electronic Lodgment of Financial Reports) Instrument 2016/181 does not apply to lodgment of Key Financial Statements with ASIC. The Company must also still lodge a Form 406 Annual return of a foreign company each year within 1 month after the date to which it is made up.

**Reconciliation Report** means a report that provides a summary of the material differences between:

- (i) the Key Financial Statements for the relevant financial year prepared in conformance with US GAAP; and
- (ii) the Key Financial Statements for the relevant financial year if they were prepared in conformance with AIFRS.

**reporting company** means a body incorporated in the US or a State of the US that is subject to the provisions of sections 12(b), 12(g) or 15(d) of the Exchange Act and which is required to file reports periodically with the SEC as required under sections 13 or 15(d) of the Exchange Act.

**SEC** means the Securities Exchange Commission of the US.

**US** means the United States of America.

**US GAAP** means generally accepted accounting principles of the US.

Dated this 17th day of April 2020



Signed by Lorraine Mizzi  
as a delegate of the Australian Securities and Investments Commission

20-0255

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian financial services licence**

TO: Anne Street Partners Financial Services Pty Ltd – Under External Administration  
ACN 107 671 563 ('the Licensee')  
C/- PricewaterhouseCoopers  
'Tower One International Towers Sydney'  
Level 17  
100 Barangaroo Avenue  
BARANGAROO NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001** (the Act), the Australian Securities and Investments Commission (ASIC) hereby cancels Australian financial services (AFS) licence number 258853 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 3 April 2020

Signed



**John Connor**

**A delegate of the Australian Securities and Investments Commission**

20-0258

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: ACN 083 938 416 (RBL) Pty Ltd  
ACN 083 938 416 ("the Licensee")  
PO Box 480  
BENDIGO VIC 3552

1. Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 238042 held by the Licensee, with effect from the date on which this notice is given to the Licensee.
2. Pursuant to section 915H of the Act, the AFS licence continues in effect while cancelled, as though the cancellation had not happened for the purposes of:
  - a. paragraphs 912A(2)(c) of the Act, which requires the Licensee to maintain membership of the Australian Financial Complaints Authority scheme for no less than twelve months from the date the notice of cancellation of the AFS licence is given to the Licensee.
  - b. Section 912B of the Act, which requires the licensee to maintain compensation arrangements for no less than twelve months from the date of the notice of cancellation is given to the licensee. The arrangements must satisfy the requirements set out in regulation 7.6.02AAA of the Corporations Regulations 2001.

Dated 3 April 2020

Signed



**John Connor**

**A delegate of the Australian Securities and Investments Commission**

20-0279

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian financial services licence**

TO: ACN 143 933 844 Pty Ltd ("the Licensee") – under External Administration  
C/- Grant Thornton Australia Limited  
'King George Central'  
Level 18  
145-147 Ann Street  
BRISBANE QLD 4000

Pursuant to paragraph 915B(3)(b) of the **Corporations Act 2001** (the Act), the Australian Securities and Investments Commission (ASIC) hereby cancels Australian financial services (AFS) licence number 423717 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 3 April 2020

Signed

A handwritten signature in blue ink, appearing to read 'John Connor', is written over a horizontal dotted line.

**John Connor**

**A delegate of the Australian Securities and Investments Commission**



20-0292

**Australian Securities and Investments Commission  
Corporations Act 2001 – Subsection 655A(1) – Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (**ASIC**) makes this instrument under subsection 655A(1) of the *Corporations Act 2001 (Act)*.

**Title**

2. This instrument is ASIC Instrument 20-0292.

**Commencement**

3. This instrument commences on the date it is signed.

**Declaration**

4. Chapter 6 of the Act applies to Stanmore Coal Limited ACN 131 920 968 (**Target**) as if:
  - (a) item 10 of the table in subsection 635(1) of the Act were modified or varied by omitting the words “14 days” and substituting the words “32 days”; and
  - (b) item 13 of the table in subsection 635(1) of the Act were modified or varied by omitting the words “14 days” and substituting the words “32 days”.

**Where this instrument applies**

5. This instrument applies in relation to the market takeover bid (**Bid**) by Golden Investments (Australia) Pty. Ltd. (**Bidder**) for all of the ordinary shares in the Target in respect of which a bidder's statement was lodged by the Bidder with ASIC on 2 April 2020 where by 7:30pm AEST on the date of this declaration, the Target has provided to ASX Limited ACN 008 624 691 (**ASX**) for release on the financial market operated by ASX, an announcement:
  - (a) explaining the effect of the declaration;
  - (b) recommending that shareholders in the Target take no action in relation to the Bid until they have considered the target's statement; and
  - (c) stating that the target's statement in response to the Bid will be provided to ASIC and ASX, and will be sent to the Target's shareholders and to the Bidder, on or before 4 May 2020.

Dated this 15<sup>th</sup> day of April 2020



Signed by Christopher Tran  
as a delegate for the Australian Securities and Investments Commission

20-0316

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Airlie Funds Management Pty Ltd  
ACN: 159 022 974 ("the Licensee")  
MLC Centre  
Level 33, 19 Martin Place  
SYDNEY NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 425487 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 15 April 2020

Signed



**John Connor**

**A delegate of the Australian Securities and Investments Commission**

20-0319

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian financial services licence**

TO: Bananacrest Community Credit Union Ltd  
ACN 087 649 750 ("the Licensee")  
'Coffs Central Shopping Centre'  
Level 3  
35-61 Harbour Drive  
COFFS HARBOUR NSW 2450

Pursuant to paragraph 915B(3)(a) of the **Corporations Act 2001** (the Act), the Australian Securities and Investments Commission (ASIC) hereby cancels Australian financial services (AFS) licence number 241077 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 8 April 2020

Signed

A handwritten signature in blue ink, appearing to read 'John Connor', is written over a horizontal dotted line.

**John Connor**

**A delegate of the Australian Securities and Investments Commission**

20-0329

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian financial services licence**

TO: CFS Private Wealth Pty Ltd  
A.C.N 141 584 885 ("the Licensee") – under External Administration  
CA McGrathNicol  
Level 7  
175 Eagle Street  
BRISBANE QLD 4000

Pursuant to paragraph 915B(3)(b) of the **Corporations Act 2001** (the Act), the Australian Securities and Investments Commission (ASIC) hereby cancels Australian financial services (AFS) licence number 348701 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 7 April 2020

Signed



**John Connor**

**A delegate of the Australian Securities and Investments Commission**

20-0333

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

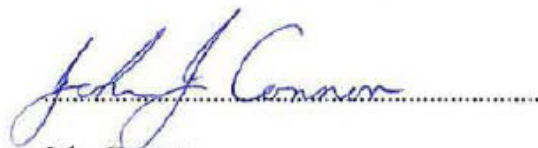
**Notice of Cancellation of an Australian Financial Services Licence**

TO: IPAC Portfolio Management Limited  
ACN 071 315 618 ("the Licensee")  
Level 23  
33 Alfred Street  
Sydney NSW 2000

Pursuant to paragraph 915B(3)(c) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 234658 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 8 April 2020

Signed



**John Connor**

**A delegate of the Australian Securities and Investments Commission**

20-0334

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

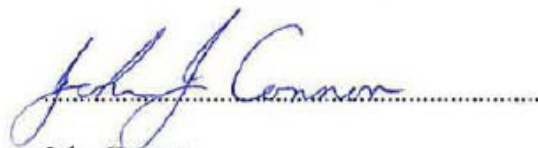
**Notice of Cancellation of an Australian Financial Services Licence**

TO: National Asset Management Limited  
ACN 062 806 884 ("the Licensee")  
Level 1  
105-153 Miller Street  
North Sydney NSW 2060

Pursuant to paragraph 915B(3)(c) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 230688 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 7 April 2020

Signed



**John Connor**

**A delegate of the Australian Securities and Investments Commission**

20-0339

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

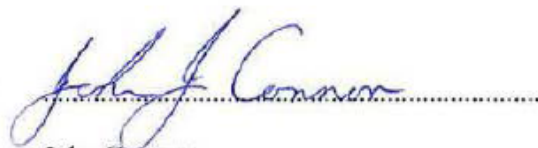
**Notice of Cancellation of an Australian Financial Services Licence**

TO: Peter Andrew Zunker  
ABN: 44 254 964 171 ("the Licensee")  
Unit A140  
41 Gotha Street  
FORTITUDE VALLEY QLD 4006

Pursuant to paragraph 915B(1)(e) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 488605 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 9 April 2020

Signed



**John Connor**

**A delegate of the Australian Securities and Investments Commission**

20-0340

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Bunnett & Bassal Pty Ltd  
ACN: 059 864 783 ("the Licensee")  
39 Princes Highway  
DANDENONG VIC 3175

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 488372 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 9 April 2020

Signed



**John Connor**

**A delegate of the Australian Securities and Investments Commission**



20-0341

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Henry Shiu Bang AU  
ABN: 33 587 123 419 ("the Licensee")  
Suite 476  
Level 4  
311-315 Castlereagh Street  
SYDNEY NSW 2000

Pursuant to paragraph 915B(1)(e) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 488058 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 15 April 2020

Signed

A handwritten signature in blue ink, appearing to read 'John Connor', is written over a horizontal dotted line.

**John Connor**  
A delegate of the Australian Securities and Investments Commission

20-0342

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: MS Advice (SA) Pty Ltd  
ACN: 611 605 595 ("the Licensee")  
Level 2  
180 Flinders Street  
ADELAIDE SA 5000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 487243 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 9 April 2020

Signed



**John Connor**

**A delegate of the Australian Securities and Investments Commission**

20-0343

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Remunerator Financial Services Pty Ltd.  
ACN: 075 357 274 ("the Licensee")  
Level 11  
484 St Kilda Road  
MELBOURNE VIC 3004

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 236658 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 9 April 2020

Signed



**John Connor**

**A delegate of the Australian Securities and Investments Commission**

20-0344

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 741(1)(a) – Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 741(1)(a) of the *Corporations Act 2001* (*Act*).

**Title**

2. This instrument is ASIC Instrument 20-0344.

**Commencement**

3. This instrument commences on the date it is signed.

**Exemption**

4. Electro Optic Systems Holdings Limited ACN 092 708 364 (*Issuer*) does not have to comply with Part 6D.2 or 6D.3 of the Act (other than sections 736 and 738) for an offer for issue of shares under the purchase plan to be announced on or about 15 April 2020.

**Where this instrument applies**

5. This instrument applies where the Issuer:
  - (a) meets the requirements and conditions of LI 2019/547 except for:
    - (i) the definition of *current and previous plan purchases* in section 4;
    - (ii) the definition of *purchase plan* in section 4;
    - (iii) section 8; and
  - (b) would meet the requirements and conditions of LI 2019/547 if:
    - (i) the definition of *current and previous plan purchase* in section 4 were varied or modified as follows:
      - A. in paragraph (b), omit “or any shares or interest in the class under a similar arrangement in the 12 months before the application”; and
      - B. in paragraph (c), omit “,” and substitute “.”;

20-0344

C. omit paragraph (d); and

- (ii) the definition of ***purchase plan*** in section 4 were varied or modified as follows:

A. in paragraph (f), omit the words 'in any 12-month period'; and

- (iii) at the end of section 8, insert:

“(7) For the purposes of determining the \$30,000 limit in section 8 (wherever occurring), the issuer should disregard all plan purchases under the excluded purchase plan.”

#### Interpretation

6. In this instrument:

***excluded purchase plan*** means the Issuer's purchase plan set out in the share purchase plan offer booklet dated 27 November 2019.

***LI 2019/547*** means *ASIC Corporations (Share and Interest Purchase Plans) Instrument 2019/547*.

***plan purchases***, in relation to an application for shares in the Issuer under the excluded purchase plan, means the shares applied for and issued under the excluded purchase plan.

***purchase plan*** has the meaning given by *LI 2019/547* as modified by this instrument.

Dated this 14<sup>th</sup> day of April 2020



Signed by Sabrina Mobbs  
as a delegate of the Australian Securities and Investments Commission

20-0345

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Leedam General Pty Ltd  
ACN: 066 083 430 ("the Licensee")  
Level 1  
110 King William Road  
GOODWOOD SA 5034

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 511978 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 9 April 2020

Signed



**John Connor**

**A delegate of the Australian Securities and Investments Commission**

20-0347

## NOTICE UNDER SECTION 915F OF THE CORPORATIONS ACT 2001

Notice is given under section 915F of the *Corporations Act 2001* that the Australian Securities and Investments Commission has taken the action set out in the Notice below, which action took effect on 15 April 2020.

**Australian Securities and Investments Commission  
Corporations Act 2001 section 915C**

**Notice of suspension of Australian financial services licence**

To: Ausfunds Management Limited ACN 163 209 363

TAKE NOTICE that under section 915C of the *Corporations Act 2001*, the Australian Securities and Investments Commission hereby suspends Australian financial services licence number 440900 held by Ausfunds Management Limited ACN 163 209 363 until 8 April 2021.

Dated this 8<sup>th</sup> day of April 2020.

Signed:

Lisa Lu, a delegate of the Australian Securities and Investments Commission

20-0348

Notice is given under section 915F of the Corporations Act 2001 that the Australian Securities and Investments Commission has made an order in the terms set out below, which order took effect on 9 April 2020.

**Australian Securities & Investments Commission**

**Notice of Cancellation of Australian Financial Services Licence**

To: Tailormade Financial Strategies Pty Ltd ACN 128 332 749

TAKE NOTICE that under section 915B of the *Corporations Act 2001* (the Act), the Australian Securities and Investments Commission (ASIC) cancels Australian financial services licence number 464688 (the Licence) held by Tailormade Financial Strategies Pty Ltd ACN 128 332 749.

Under section 915H of the Act, ASIC specifies that the Licence continues in effect as though the cancellation had not happened for the purpose of:

- (a) paragraph 912A(1)(g) of the Act to the extent that it requires Tailormade Financial Strategies to have a dispute resolution system complying with s912A(2)(c) of the Act (which requires Tailormade Financial Strategies Pty Ltd to be a member of the AFCA scheme) and
- (b) section 912B of the Act and regulation 7.6.02AAA of the *Corporations Regulations 2001* (which requires Tailormade Financial Strategies Pty Ltd to have arrangements for compensating retail clients including the holding of adequate professional indemnity insurance cover).

Dated this 2nd day of April 2020.

Signed: 

Melanie Baxter, a delegate of the Australian Securities and Investments Commission



20-0350

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Prerak Patel  
ABN: 83 605 057 998 ("the Licensee")  
Unit 23  
5 Inglewood Pl  
BAULKHAM HILLS NSW 2153

Pursuant to paragraph 915B(1)(e) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 484996 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 15 April 2020

Signed



**John Connor**

**A delegate of the Australian Securities and Investments Commission**

20-0352

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: TPCN Investments Pty Ltd  
ACN: 008 081 178 ("the Licensee")  
98 L'Estrange Street  
GLENUNGA SA 5064

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 241176 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 9 April 2020

Signed



**John Connor**

**A delegate of the Australian Securities and Investments Commission**

20-0358

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

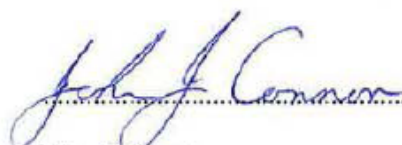
**Notice of Suspension of an Australian Financial Services Licence**

TO: Ignition Wealth Limited  
ACN 602 351 968 ("the Licensee")  
Level 17  
100 Miller Street  
NORTH SYDNEY NSW 2060

1. Pursuant to paragraph 915B(3)(d) if body corporate of the **Corporations Act 2001** (the Act), the Australian Securities and Investments Commission hereby suspends Australian Financial Services Licence number 470605 held by the Licensee until 1 November 2020, with effect from the date on which this notice is given to the Licensee.
2. Pursuant to section 915H of the Act, the Australian Financial Services Licence continues in effect while suspended, as though the suspension had not happened for the purposes of:
  - a. paragraph 912A(1)(g) and 912A(2)(c) of the Act, which requires the Licensee to maintain membership of the Australian Financial Complaints Authority scheme; and
  - b. section 912B of the Act, which requires the Licensee to have compensation arrangements in place for retail clients. The arrangements must satisfy the requirements set out in regulation 7.6.02AAA of the Corporations Regulations 2001.

Dated 15 April 2020

Signed



**John Connor**

**A delegate of the Australian Securities and Investments Commission**

20-0359

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Evolve Small Business Accounting Pty Ltd  
ACN: 605 906 394 ("the Licensee")  
721 Lawrence Hargrave Drive  
COLEDALE NSW 2515

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 486762 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 15 April 2020

Signed

A handwritten signature in blue ink, appearing to read 'John Connor', is written over a horizontal dotted line.

**John Connor**

**A delegate of the Australian Securities and Investments Commission**

20-0361

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Westmore Pty Ltd  
ACN: 112 145 472 ("the Licensee")  
Unit 5  
691 Marshall Road  
MALAGA WA 6090

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 484732 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 15 April 2020

Signed



**John Connor**

**A delegate of the Australian Securities and Investments Commission**

20-0363

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Benjamin Siu Kit Tam  
ABN: 87 652 889 214 ("the Licensee")  
90 Hurstville Road  
HURSTVILLE NSW 2220

Pursuant to paragraph 915B(1)(e) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 385113 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 15 April 2020

Signed

A handwritten signature in blue ink, appearing to read 'John Connor', is written over a horizontal dotted line.

**John Connor**

**A delegate of the Australian Securities and Investments Commission**

20-0365

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Taurus Holdings Australia Pty Ltd  
ACN: 143 141 137 ("the Licensee")  
178 Claisebrook Road  
PERTH WA 6000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 488832 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 15 April 2020

Signed

A handwritten signature in blue ink, appearing to read 'John Connor', is written over a horizontal dotted line.

**John Connor**

**A delegate of the Australian Securities and Investments Commission**

20-0366

**Australian Securities and Investments Commission  
Corporations Act 2001 – Subsection 741(1) – Exemption and Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 741(1) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 20-0366.

**Commencement**

3. This instrument commences on the day it is signed.

**Exemption**

4. A shareholder of Vista who makes an offer of ordinary shares for sale (*sale offer*) within 12 months after their issue does not have to comply with subsection 707(3) of the Act where:
  - (a) at the time of the issue of shares, Vista was listed on the financial market operated by NZX Limited for a period of at least 3 months and a notice that complied with clause 20 of schedule 8 of the *Financial Markets Conduct Regulations 2014* of New Zealand was given to NZX Limited; and
  - (b) at the time of the sale offer, Vista is listed on the financial market operated by ASX Limited as an exempt foreign listing.

**Declaration**

5. Chapter 6D of the Act applies in relation to Vista as if the following provisions were modified or varied:
  - (a) in section 708A:
    - (i) in paragraph (1)(a) omit "(12A) or (12C)," and substitute "(12A), (12C) or (12G).";

*Note:* ASIC Corporations (Non-Traditional Rights Issues) 2016/84 notionally inserted section 708A(12A) of the Act and ASIC Corporations (Sale Offers: Securities Issued on Conversion of Convertible Notes) 2016/82 notionally inserted section 708A(12C) of the Act.

    - (ii) in paragraph (1A)(a) omit "(5)," and substitute "(5) or (12G)."; and
    - (iii) in subsection (12A) omit paragraph "(b)";



## 20-0366

(iv) after subsection (12F) insert:

*“Sale offers of quoted securities: NZ Foreign exempt listed body—case 6*

(12G) The sale offer of securities in a New Zealand foreign exempt listed body does not need disclosure to investors under this Part if:

(a) trading in that class of securities on a prescribed financial market on which they were quoted was not suspended for more than a total of 5 trading days during:

- (i) if this section applies because of subsection (1) – the shorter of the period during which the class of securities were quoted, and the period of 12 months before the day on which the relevant securities were issued; or
- (ii) if this section applies because of subsection (1A) – the shorter of the period during which the class of securities were quoted, and the period of 12 months before the day on which the relevant securities were sold by the controller; and

(b) either:

- (i) if this section applies because of subsection (1) – the body gives the relevant market operators for the body a notice that the body reasonably believes complies with clause 20 of Schedule 8 of the *Financial Markets Conduct Regulations 2014* of New Zealand before the sale offer is made; or
- (ii) if this section applies because of subsection (1A) – both the body, and the controller, gives the relevant market operators for the body a notice that the body and the controller reasonably believe complies with clause 20 of Schedule 8 of the *Financial Markets Conduct Regulations 2014* of New Zealand before the sale offer is made;

(c) the notice given under paragraph (b) states, in addition to any requirements of the *Financial Markets Conduct Regulations 2014* of New Zealand at the time the notice is given, that:

- (i) if this section applies because of subsection (1) – the body issued the relevant securities without disclosure to investors under this Part; or
- (ii) if this section applies because of subsection (1A) – the controller sold the securities without disclosure to investors under this Part; and

## 20-0366

- (iii) the notice is being given under paragraph 708A(12G) as notionally inserted by instrument 20-0366;
- (iv) as at the date of the notice, the body has complied with its obligations under rule 1.15.2 of the listing rules of ASX Limited.

In this subsection:

***New Zealand foreign exempt listed body*** means a body that is:

- (a) listed on the financial market operated by NZX Limited; and
- (b) listed on the financial market operated by ASX Limited as an exempt foreign listing.

***relevant market operators*** means both ASX Limited and NZX Limited.”.

- (b) in section 708AA after paragraph (2)(f) insert:

“(2A) In this section, relevant market operator means both ASX Limited and NZX Limited”.

- (c) Omit subsections 708AA(7) to (11), and substitute:

“(7) For a New Zealand foreign exempt listed body, a notice complies with this subsection if:

- (a) the body reasonably believes the notice complies with clause 20 of Schedule 8 of the *Financial Markets Conduct Regulations 2014* of New Zealand;
- (b) the notice states, in addition to any requirements of the *Financial Markets Conduct Regulations 2014* of New Zealand at the time the notice is given, that
  - (i) the body will offer the relevant securities for issue without disclosure under this Part;
  - (ii) the notice is being given under paragraph (2)(f); and
  - (iii) as at the date of the notice, the body has complied with its obligations under rule 1.15.2 of the listing rules of ASX Limited.

In this subsection:

***New Zealand foreign exempt listed body*** means a body that is:

20-0366

- (a) listed on the financial market operated by NZX Limited; and
- (b) listed on the financial market operated by ASX Limited as an exempt foreign listing.”
- (d) in subsection 708AA(12) as notionally inserted by ASIC Corporations (Non-Traditional Rights Issues) 2016/84 omit subsection (a) and substitute:
  - “(a) any information that would be excluded information under clause 20(5) of Schedule 8 of the *Financial Markets Conduct Regulations 2014* of New Zealand (as that clause exists on the date the notice is given) and that would need to be included in the notice if the notice had been given at that time and that has not been included in the notice or otherwise provided to the relevant market operator; or”
- (e) in section 7 of Part 2 of ASIC Corporations (Share and Interest Purchase Plans) Instrument 2019/547, omit paragraph (f)(i) and substitute:
  - “(i) not more than 30 days before the offer, given a notice to ASX that complies with subsection 708A(6), 708A(12G) or 1012DA(6) of the Act in relation to an issue of shares or interests in the class made otherwise than under a purchase plan; or”
- (f) and from the date of commencement of this instrument until and including 31 October 2020, in ASIC Corporations (Share and Interest Purchase Plans) Instrument 2019/547, omit all references to \$30,000 and substitute:

“NZ\$50,000”.

#### Interpretation

##### 6. In this instrument

**Vista** means Vista Group International Limited ARBN 600 417 203 (New Zealand Company Number 1353402), a body incorporated under the *Companies Act 1993* of New Zealand and registered as a foreign company under Part 5B.2 of the Act.

Dated this 15<sup>th</sup> day of April 2020



Signed by Sabrina Mobbs  
as a delegate of the Australian Securities and Investments Commission

**20-0368**

**Australian Securities and Investments Commission  
Corporations Act 2001 — Subsection 741(1) — Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission makes this instrument under subsection 741(1) of the *Corporations Act 2001 (Act)*.

**Title**

2. This instrument is ASIC Instrument 20-0368.

**Commencement**

3. This instrument commences on the day it is signed.

**Declaration**

4. ASIC Instrument 20-0332 is amended by omitting the reference in paragraph 5(a) to “*instrument 18-0299*” and substituting “*ASIC Instrument 20-0332*”.

Note: The effect of this declaration is that subparagraph 708A(12G)(c)(iii) of the Act notionally inserted by ASIC Instrument 20-0332 is modified.

Dated this 16th day of April 2020



Signed by Remziye Hussein  
as a delegate of the Australian Securities and Investments Commission

20-0371

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: PJC Inv Pty Ltd as Trustee for PJC Inv Trust & PJE Pty Ltd as Trustee  
for the PJE Family Trust & MJ Inv Trust  
ABN: 83 104 703 870 ("the Licensee")  
PO Box 928  
PARRAMATTA NSW 2124

Pursuant to paragraph 915B(2)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 484796 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 15 April 2020

Signed



**John Connor**

**A delegate of the Australian Securities and Investments Commission**

20-0373

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Nicholas G Alexander  
ABN: 70 863 384 766 ("the Licensee")  
8 Birrell Crt  
KEW VIC 31010

Pursuant to paragraph 915B(1)(e) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 235184 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 15 April 2020

Signed



**John Connor**

**A delegate of the Australian Securities and Investments Commission**

**20-0381**

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 741(1)(a) – Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 741(1)(a) of the *Corporations Act 2001 (Act)*.

**Title**

2. This instrument is ASIC Instrument 20-0381.

**Commencement**

3. This instrument commences on 16 April 2020.

**Exemption**

4. Surf Lakes Holdings Ltd ACN 613 372 955 (*Company*) does not have to comply with subsection 715(2) of the Act.

**Where this instrument applies**

5. This instrument applies in relation to an offer of shares by the Company under an offer information statement where:
  - (a) the offer information statement includes:
    - (i) an audited financial report of the Company for the 12 month period from 1 July 2018 to 30 June 2019, prepared in accordance with the accounting standards;
    - (ii) an audited financial report of the Company for the 6 month period from 1 July 2019 to 31 December 2019, prepared in accordance with the accounting standards;
    - (iii) an explanation of the nature and effect of this instrument;
    - (iv) a statement that the Company will provide the audited financial report for the 12 month period from 1 July 2019 to 30 June 2020 on request and free of charge to any person who is made an offer of shares under the offer information statement after the date that financial report is lodged with the Australian Securities and Investments Commission; and

**20-0381**

- (b) the Company makes its first offer of shares under the offer information statement by no later than 30 June 2020.

Dated 16 April 2020



Signed by Fiona Laidlaw  
as a delegate of the Australian Securities and Investments Commission



20-0386

**Australian Securities and Investments Commission  
Corporations Act 2001 — Subsection 601CK(7) — Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this declaration under subsection 601CK(7) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 20-0386.

**Commencement**

3. This instrument commences on gazettal.

**Declaration**

4. Section 601CK does not apply to S&P Dow Jones Indices LLC ARBN 632 809 842 (*Company*) a foreign company incorporated in the State of Delaware in the United States of America in relation to a financial year ending on or after 31 December 2019 (*the relevant financial year*).

**Where this instrument applies**

5. This instrument applies where:
  - (a) The Company is registered under Division 2 of Part 5B.2 of the Act.
  - (b) The Company is not required by the law of the place of its incorporation or formation to prepare:
    - (i) a balance sheet at the end of the relevant financial year,
    - (ii) a profit and loss statement for the relevant financial year, or
    - (iii) a cash flow statement for the relevant financial year,
  - (c) The Company has not engaged in any activities in Australia other than in connection with the administration of financial benchmarks during the relevant financial year.
  - (d) The Company has not been a disclosing entity, a borrower in relation to a debenture or a guarantor of such a borrower in Australia at any time during the period commencing at the start of the relevant financial year

20-0386

and ending on the day on which the Company lodges a return under s601CK(9) of the Act following the end of the relevant financial year.

- (e) The Company's ultimate holding company is S&P Global Inc, a company incorporated in the State of New York in the United States of America.
- (f) S&P Global Inc has lodged with the United States Securities and Exchange Commission an annual report (**Form 10-K**) which includes in relation to S&P Global Inc and its subsidiaries (including the Company), the following:
  - (i) Consolidated Balance Sheets;
  - (ii) Consolidated Statements of Income;
  - (iii) Consolidated Statements of Comprehensive Income;
  - (iv) Consolidated Statements of Equity; and
  - (v) Consolidated Statements of Cash Flows;(collectively, **the consolidated financial statements**) for the relevant financial year within 4 months after the end of the relevant financial year.
- (g) The Company has lodged a return in the form prescribed for the purposes of s601CK(9) of the Act with ASIC within 4 months after the end of the relevant financial year with a copy of the most recent Form 10-K and most recent consolidated financial statements for S&P Global Inc attached.

Dated 16 April 2020



Signed by Fiona Laidlaw  
as a delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001  
Section 601CL(5)

ASIC has struck the foreign companies listed  
below off the register.

Dated this seventeenth day of April 2020

Rosanne Bell  
DELEGATE OF  
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company	ARBN
DC PLACEMENT ADVISORS GMBH	611 335 650
LINGOHR & PARTNER ASSET MANAGEMENT GMBH	168 652 873
MDA SYSTEMS LTD.	154 051 117
NAKHON FLOORING PRODUCTS CO., LTD.	630 087 179
1576087 ONTARIO LIMITED	116 196 573

CORPORATIONS ACT 2001  
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this seventeenth day of April 2020

Rosanne Bell  
DELEGATE OF  
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

**Name of Company**

**ARBN**

GOLD COAST PROPERTIES (IOM) LIMITED

604 450 946

MITSUI & CO LTD

001 855 465

CORPORATIONS ACT 2001  
Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this seventeenth day of April 2020

Rosanne Bell  
DELEGATE OF  
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

**Name of Scheme**

**ARSN**

AMP CAPITAL CHINA GROWTH FUND

122 303 744

CORPORATIONS ACT 2001  
Subsection 601PA(3)

ASIC may deregister the managed investment scheme(s) listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this seventeenth day of April 2020

Rosanne Bell  
DELEGATE OF  
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

**Name of Scheme**

**ARSN**

JPMORGAN GLOBAL EQUITY INCOME FUND

166 890 697

THE GATEWAY TRUST

090 498 856

Corporations Act 2001  
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

**AUSTRALASIA FINANCIAL SERVICES LTD**

ACN 158 339 372 will change to a proprietary company limited by shares. The new name will be AUSTRALASIA FINANCIAL SERVICES PTY LTD ACN 158 339 372.

**HIGHTOWER FINANCE LTD ACN 619 298 270**

will change to a proprietary company limited by shares. The new name will be HIGHTOWER FINANCE PTY. LIMITED ACN 619 298 270.

**WESFARMERS RESOURCES LIMITED**

ACN 096 857 126 will change to a proprietary company limited by shares. The new name will be WESFARMERS RESOURCES PTY LTD ACN 096 857 126.

**FINDER ENERGY LIMITED ACN 112 222 563** will change to a proprietary company limited by shares. The new name will be FINDER ENERGY PTY LTD ACN 112 222 563.

**WESFARMERS BENGALLA LIMITED**

ACN 008 744 278 will change to a proprietary company limited by shares. The new name will be WESFARMERS BENGALLA PTY LTD ACN 008 744 278.