



ASIC
Australian Securities &
Investments Commission

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RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet *ASIC decisions – your rights* (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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20-0183

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

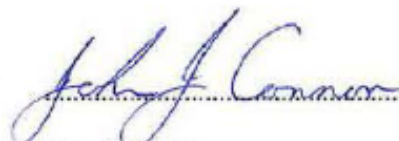
Notice of Cancellation of an Australian Financial Services Licence

TO: CVJ Superannuation Pty Ltd
ACN 609 424 562 ("the Licensee")
84 Cawarra Road
Caringbah NSW 2229

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 483451 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 1 April 2020

Signed



John Connor

A delegate of the Australian Securities and Investments Commission

20-0189

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Superannuation Industry (Supervision) Act 1993
Subsection 130F(2)

DISQUALIFICATION ORDER

To: Kent Hacker

Approved SMSF auditor registration number 100204251

Under s130F(2) of the *Superannuation Industry (Supervision) Act 1993* the Australian Securities and Investments Commission disqualifies Kent Hacker from being an approved SMSF auditor with effect from 11 March 2020.

Dated this 4 March 2020.

Signed: 

Scott Rea

Delegate of the Australian Securities and Investments Commission

20-0194

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

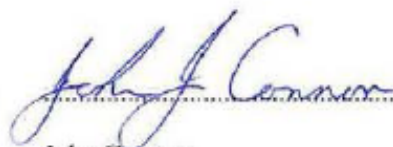
Notice of Cancellation of an Australian Financial Services Licence

TO: AK Financial Planning Services Pty Ltd
ACN 163 468 540 ("the Licensee")
Unit 4
202-208 Glen Ormond Road
FULLARTON SA 5063

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 483580 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 1 April 2020

Signed



John Connor

A delegate of the Australian Securities and Investments Commission

20-0202

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: JLKB Pty Ltd
ACN 138 434 009 ("the Licensee")
Amicas Group
PO Box 365
MYAREE WA 6154

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 482521 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 1 April 2020

Signed



John Connor
A delegate of the Australian Securities and Investments Commission

20-0206

**Australian Securities and Investments Commission
Corporations Act 2001 - Subsection 601QA(1)(b) - Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 601QA(1)(b) of the *Corporations Act 2001 (Act)*.

Title

2. This instrument is ASIC Instrument 20-0206.

Commencement

3. This instrument commences on the day it is signed.

Declaration

4. Chapter 5C of the Act applies to 360 Capital FM Limited ACN 090 664 396 in its capacity as responsible entity of 360 Capital Equity Investment Trust ARSN 638 677 488 (*Passive Trust*), and 360 Capital Equity Active Trust ARSN 638 677 040 (*Active Trust*), as if the following provisions of that Chapter were modified or varied as follows:

- (a) after section 601FE insert:

“601FEA Modification of duties: stapled securities

- (1) This section applies to a registered scheme where the interests in the scheme and interests in another registered scheme or schemes are components of stapled securities.
 - (2) For the purposes of paragraphs 601FC(1)(c) and 601FD(1)(c), an obligation to act in the best interests of the members of the scheme is an obligation to act in the best interests of the members of the scheme having regard to their interests as members of the scheme and of the other scheme.
 - (3) For the purposes of paragraphs 601FC(1)(e), 601FD(1)(d) and 601FD(1)(e), and subsection 601FE(1), an obligation to not make use of information, or not make improper use of position, in order to cause detriment to the members of the scheme is an obligation not to do those things in order to cause detriment to the members of the scheme having regard to their membership of the scheme and the other scheme.”;

- (b) after subsection 208(2) as notionally inserted by section 601LC, insert:

“(2A) Member approval is not required for the giving of a financial benefit and the benefit need not be given within 15 months if all the following conditions are satisfied:

- (a) the benefit either:
 - (i) is given out of the scheme property of a registered scheme; or
 - (ii) could endanger the scheme property;
- (b) all of the interests in the scheme and all of the interests in another registered scheme or schemes are components of stapled securities;
- (c) the benefit is given by:
 - (i) the responsible entity of the scheme; or
 - (ii) an entity that the responsible entity controls; or
 - (iii) an agent of, or person engaged by, the responsible entity;
- (d) the benefit is given to:
 - (i) an entity wholly owned, whether directly or indirectly, by the scheme; or
 - (ii) an entity that is wholly owned, whether directly or indirectly, by another registered scheme or schemes, or
 - (iii) an entity wholly owned, whether directly or indirectly, by one or more of the scheme and another registered scheme or schemes.

(2B) For the purposes of this section:

- (a) an entity is wholly owned by another entity if all of the interests in the first-mentioned entity are held by, or form part of the trust property of the second-mentioned entity or a wholly owned entity of it; and
- (b) a reference to the giving of a benefit to an entity which is a trust is a reference to the giving of a benefit to the trustee of the trust so as to form part of the trust property of the trust or for the benefit of the trust beneficiaries.”;

- (c) insert after section 601PC:

“601PD Stapled securities

For the purposes of this Chapter:

stapled securities means two or more financial products, including interests in registered schemes, where:

- (a) under the terms on which each of the financial products are to be traded, they must be transferred together; and
- (b) there are no financial products in the same class as those financial products which may be transferred separately.”.

Where this declaration applies

5. This declaration applies where each interest in the Passive Trust must, under the terms upon which it is to be traded, only be transferred with an interest in the Active Trust.

Dated this 12th day of March 2020



Signed by Joshua Bradbury
as a delegate of the Australian Securities and Investments Commission

20-0207

NOTICE UNDER SECTION 920E OF THE CORPORATIONS ACT 2001

Notice is given under section 920E of the *Corporations Act 2001* that the Australian Securities and Investments Commission has made a banning order in the terms set out below, which order took effect on 30 March 2020.

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

IN THE MATTER OF ALEXANDER BRUCE THOMAS

SECTIONS 920A AND 920B
OF THE CORPORATIONS ACT 2001

To: Mr Alexander Bruce Thomas

TAKE NOTICE that under subsections 920A(1) and 920B(2) of the *Corporations Act 2001* the Australian Securities and Investments Commission prohibits ALEXANDER BRUCE THOMAS from providing any financial services for three (3) years.

Dated this 25th day of March 2020.

Signed:

Lisa Lu
Delegate of the Australian Securities and Investments Commission

Your attention is drawn to subsection 920C(2) of the *Corporations Act 2001* which provides that a person must not engage in conduct which breaches a banning order that has been made against the person. Contravention of subsection 920C(2) is an offence.

20-0218

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraphs 655A(1)(b) and 673(1)(b) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 655A(1)(b) and 673(1)(b) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 20-0218.

Commencement

3. This instrument commences on the date it is signed.

Declarations

4. Chapters 6 and 6C of the Act apply to Mount Ridley Mines Limited ACN 092 304 964 (*Company*) as if section 609 were modified or varied by, after subsection (13) (as notionally inserted by ASIC Class Order [CO 13/520]), inserting:

“(13A) a body corporate does not have a relevant interest in its own securities merely because, under an escrow arrangement entered into by the body corporate, the body corporate applies restrictions on the disposal of the securities by the holder”.

5. Chapters 6 and 6C of the Act apply to the Company as if section 9 were modified or varied by, after subparagraph (a)(ii)(C) in the definition of *substantial holding* (as notionally inserted by ASIC Class Order [CO 13/520]), inserting:

“or
(D) subsection 609(13A) (securities subject to escrow arrangement);”

6. Chapter 6C of the Act applies to the Company as if section 671B were modified or varied by, omitting “or” in paragraph (7)(b) and “.” in paragraph (7)(c) (as notionally inserted by ASIC Class Order [CO 13/520]), and after subparagraph (7)(c) inserting:

“; or
(d) subsection 609(13A) (securities subject to escrow arrangement).”.

Where this instrument applies

7. The instrument applies in relation to the Company acquiring a relevant interest in up to 1,696,234,804 ordinary shares in the Company (*Escrowed Securities*) arising because

20-0218

the Company has entered into one or more escrow agreements or deeds (each an ***Escrow Arrangement***) with a ***Security Holder*** in connection with the proposed acquisition of Longland Resources Ltd (a company incorporated in England and Wales, company number 10480001) where each Escrow Arrangement:

- (a) does not restrict the exercise of voting rights attached to the Escrowed Securities;
- (b) in the case of a takeover bid (including both a full or proportional bid):
 - (i) allows the Security Holder to accept into the takeover bid where holders of at least half of the bid class securities that are not subject to an Escrow Arrangement have accepted into the bid; and
 - (ii) requires that the Escrowed Securities be returned to escrow if the bid does not become unconditional;
- (c) allows the Escrowed Securities to be transferred or cancelled as part of a compromise or arrangement under Part 5.1 of the Act;
- (d) terminates no later than 12 months following the date of issue of the Escrowed Securities; and
- (e) is substantially in the same form as the draft escrow agreement provided to ASIC via email on 28 February 2020 at 6:45PM.

Interpretation

8. In this instrument:

Security Holder means any of the following persons who hold shares in the Company:

- (a) Thomas Harvey Abraham-James
- (b) BNP Paribas Nominees Pty Limited, ACN 084 150 023
- (c) Fidues Limited ATO J Stalker Discretionary Settlement, Isle of Man Registration Number: 066743C
- (d) Laurentiu Daniel Stefan
- (e) Sabona Investments Limited S.A, Panama Registration Number: 759990
- (f) Vincent Hendrickx
- (g) Michael Anthony Jackson

20-0218

- (h) Geoffrey Allen Gibson
- (i) David Alexander Holwell
- (j) Explore Pty Ltd, ACN 080 679 303
- (k) Benjamin David Kirkpatrick
- (l) Archean Pty Ltd ATF Bluett Family Trust, ACN 619 694 754
- (m) Vatnar hf, Iceland Registration Number: 580811-0940

Dated this 30th day of March 2020



Signed by Lydia Sia
as a delegate of the Australian Securities and Investments Commission

20-0237

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Southern Cross Taxation Solutions Pty Ltd
ACN: 124 113 257 ("the Licensee")
Unit 1
19 Davey Street
MANDURAH WA 6210

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 482583 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 1 April 2020

Signed

A handwritten signature in blue ink, appearing to read 'John Connor', is written over a dotted line.

John Connor

A delegate of the Australian Securities and Investments Commission

20-0254

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: QATO Capital Limited
ACN 601 673 107 ("the Licensee")
Last notified principal place of business:
Suite 3 Level 2
67 Hardware Lane
Melbourne VIC 3000

Pursuant to paragraph 915B(3)(b) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 491935 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 1 April 2020

Signed



John Connor

A delegate of the Australian Securities and Investments Commission

20-0257

**Corporations (TW SEF LLC) Exemption Notice Variation 2020 (No. 1)***Corporations Act 2001*

I, Nathan Bourne, Senior Executive Leader, Australian Securities and Investments Commission make this notice under subsection 791C(2) of the *Corporations Act 2001* (the Act).

Dated 29 March 2020

Signed

A handwritten signature in black ink, appearing to be 'N. Bourne', followed by a horizontal line.

.....
as a delegate of the Minister under section 1101J of the Act

1. Name

This is the *Corporations (TW SEF LLC) Exemption Notice Variation 2020 (No. 1)*.

2. Commencement

This instrument takes effect on the day it is made.

3. Variation

Corporations (TW SEF, LLC) Exemption Notice 2017 is varied as described in the Schedule.

Schedule	Variation
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[1]	Section 4
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substitute

Period of exemption

4. This exemption ceases to apply on 1 July 2020.

20-0293

**Australian Securities and Investments Commission
Corporations Act 2001 - Section 915B and 915H**

Notice of Cancellation of an Australian Financial Services Licence

TO: TPFL Limited ACN 105 164 047 (in liquidation)
c/- Selter Shepard
83 York Street
SYDNEY NSW 2000

Pursuant to paragraph 915B(3)(b) of the *Corporations Act 2001*, the Australian Securities and Investments Commission (ASIC) hereby varies ASIC Instrument 10-0239 as varied by ASIC Instrument 10-0936, ASIC Instrument 12-0400, ASIC Instrument 13-0382, ASIC Instrument 14-0253, ASIC Instrument 15-0593, ASIC Instrument 17-0611, ASIC Instrument 18-0529 and ASIC Instrument 19-0711 (Instrument) by deleting Schedule A of the Instrument and substituting Schedule A.

Schedule A

The provision by the Licensee until 30 June 2021 of financial services that are reasonably necessary for or incidental to the winding up of the

Tey's Strata Development Trust ARSN 112 939 509

Dated this 30th day of March 2020.



Signed by Andrew Duffy
as delegate of the Australian Securities and Investments Commission

20-0296

**Australian Securities and Investments Commission
Corporations Act 2001 — Subsection 601CK(7) — Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 601CK(7) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 20-0296

Commencement

3. This instrument commences on gazettal.

Declaration

4. Section 601CK of the Act does not apply to Imricor Medical Systems, Inc. ARBN 633 106 019 (*Imricor*), a body incorporated in the State of Delaware, US, in relation to:
 - (a) its financial year ended 31 December 2019 (a *relevant financial year*); and
 - (b) each of its subsequent financial years (a *relevant financial year*) when they arise.

Where this instrument applies

5. This instrument applies to Imricor for so long as it:
 - (a) is registered under Division 2 of Part 5B.2 of the Act; and
 - (b) is a listed corporation that is included in the official list of the financial market operated by ASX Limited; and
 - (c) is not required under the law of the place of its incorporation to prepare a balance-sheet, a cash flow statement or a profit and loss statement; and
 - (d) is not a reporting company.
6. This instrument applies in relation to a relevant financial year of Imricor where, within 3 months after the end of the relevant financial year, Imricor lodges with ASIC:

20-0296

- (a) a copy of its balance-sheet, a cash flow statement and a profit and loss statement (together, the *key financial statements*) for the relevant financial year, in such form and containing such particulars as Imricor would be required to prepare if it were a reporting company, together with a statement in writing by a director of Imricor certifying that to the best of their knowledge and belief, the key financial statements were prepared in conformance with the requirements of US GAAP; and
- (b) if requested in writing by ASIC - a report that provides a summary of the material differences between:
 - (i) the key financial statements for the relevant financial year prepared in conformance with US GAAP; and
 - (ii) the key financial statements for the relevant financial year if they were prepared in conformance with AIFRS.

Note: The requirement to lodge the key financial statements with ASIC within 3 months after the end of the relevant financial year is consistent with the lodgement deadlines for a disclosing entity under s319(3) of the Act.

Interpretation

7. In this instrument:

AIFRS means the Australian International Financial Reporting Standards.

Exchange Act means Securities Exchange Act of 1934 of the US.

reporting company means a body incorporated in the US or a State of the US that is subject to the provisions of sections 12(b), 12(g) or 15(d) of the Exchange Act and which is required to file reports periodically with the SEC as required under sections 13 or 15(d) of the Exchange Act.

SEC means the Securities Exchange Commission of the US.

US means the United States of America.

US GAAP means generally accepted accounting principles of the US.

Dated this 30th day of March 2020



Signed by Roxton Narcis
as a delegate of the Australian Securities and Investments Commission

20-0304

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Lifestyle Matters Pty Ltd
ACN 095 706 362 ("the Licensee")
PO Box 1130
Camberwell VIC 3124

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 227935 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 1 April 2020

Signed



John Connor

A delegate of the Australian Securities and Investments Commission

20-0306

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**


Notice of Cancellation of an Australian Financial Services Licence

TO: Ledger Rutledge & Walker Pty Ltd
ACN 092 853 891 ("the Licensee")
PO Box 308
Deakin West ACT 2600

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 484884 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 1 April 2020

Signed

A handwritten signature in blue ink, appearing to read 'John Connor', is written over a dotted line.

John Connor

A delegate of the Australian Securities and Investments Commission

20/0311

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 741(1)(b) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 741(1)(b) of the *Corporations Act 2001 (Act)*.

Title

2. This instrument is ASIC Instrument [20/0311]

Commencement

3. This instrument commences on 1 April 2020.

Declaration

4. Chapter 6D of the Act applies to an offer under a rights issue to shareholders of Webjet Limited ACN 002 013 612 (*Company*) as if paragraph 708AA(2)(c) of the Act was modified by deleting the words "5 days" and substituting the words "10 days".
5. Chapter 6D of the Act applies to an offer for sale of ordinary shares in the Company as if paragraph 708A(5)(b) of the Act was modified by deleting the words "5 days" and substituting the words "10 days".

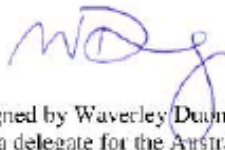
Where this instrument applies

6. The declaration in paragraph 4 of this instrument applies to an offer under rights issue to shareholders of the Company where:
 - (a) the offer occurs in the period between the date of this instrument and 8 May 2020; and
 - (b) the Company has given ASX Limited ACN 008 624 691 (*ASX*) a notice under paragraph 708AA(2)(f) of the Act which complies with subsection 708AA(7) of the Act.
7. The declaration in paragraph 5 of this instrument applies to an offer for sale of ordinary shares in the Company (*Shares*) where:
 - (a) the offer occurs in the period between the date of this instrument and 8 May 2020; and
 - (b) the Shares were issued to sophisticated and professional investors under an offer which did not require disclosure under Part 6D.2 of the Act because of subsection 708(8) or subsection 708(11) of the Act; and

20/0311

(c) the Company has given the ASX a notice under paragraph 708A(5)(c) of the Act which complies with subsection 708A(6) of the Act.

Dated this 1st day of April 2020



Signed by Waverley Duong
as a delegate for the Australian Securities and Investments Commission

20-0321

Australian Securities and Investments Commission
Corporations Act 2001 – Subsections 601QA(1), 741(1), 926A(2), 992B(1), and
1020F(1) – Exemptions

Enabling legislation

1. The Australian Securities and Investments Commission (ASIC) makes this instrument under subsections 601QA(1), 741(1), 926A(2), 992B(1) and 1020F(1) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 20-0321.

Commencement

3. This instrument commences on the date it is signed.

Nature of this instrument

4. This instrument is an individual relief instrument, as referred to in paragraphs 28A to 28D of ASIC Class Order [CO 14/1000].

Disclosure relief*Offers made under an employee incentive scheme*

5. A Specified Person that makes an offer under an employee incentive scheme covered by this instrument does not have to comply with Part 6D.2, Part 6D.3 or Part 7.9 of the Act in relation to the offer.

Subsequent sale offers

6. A person that makes a sale offer of an underlying eligible product within 12 months after the issue of the product does not have to comply with Part 6D.2, Part 6D.3 or Part 7.9 of the Act in relation to the sale offer where:

(a) the product was issued or otherwise granted:

- (i) to an eligible participant under an employee incentive scheme; or
- (ii) to a FCPE or a trustee in connection with an employee incentive scheme; and

(b) the person has no reason to believe the employee incentive scheme is not covered by this instrument.

7. A person that makes a sale offer of a financial product within 12 months after the issue of the product does not have to comply with Part 6D.2, 6D.3 or Part 7.9 of the Act in relation to the sale offer where:

(a) the product was issued by reason of the exercise or vesting of an eligible product issued or otherwise granted to:

- (i) an eligible participant under an employee incentive scheme; or
- (ii) to a FCPE or trustee in connection with an employee incentive scheme; and

20-0321

- (b) the person has no reason to believe the employee incentive scheme is not covered by this instrument.

Licensing, hawking and other incidental relief*General advice*

- 8. A Specified Person that makes an offer under an employee incentive scheme covered by this instrument and, in relation to the offer, provides a financial service consisting of general advice in connection with the offer, does not have to comply with subsection 911A(1) of the Act in relation to the advice.

Dealing

- 9. A Specified Person that provides any of the following financial services in relation to an offer in connection with an employee incentive scheme covered by this instrument does not have to comply with subsection 911A(1) of the Act in relation to the financial service:
 - (a) issuing the eligible product;
 - (b) dealing in the eligible product where any acquisition by purchase or disposal of the eligible product by the Company or a related body corporate occurs either:
 - (i) through a financial services licensee; or
 - (ii) outside this jurisdiction and through a person which is licensed or otherwise authorised to deal in financial products of that kind in the relevant place; and
 - (c) dealing in an interest in a managed investment scheme covered by paragraph 13 of this instrument or paragraph 28C of ASIC Class Order [CO 14/1000].

Custodial or depository services

- 10. A Specified Person that provides any of the following financial services in connection with an employee incentive scheme covered by this instrument does not have to comply with subsection 911A(1) of the Act in relation to the financial services:
 - (a) a custodial or depository service in relation to the eligible product where the Specified Person performs their duties in good faith and has sufficient resources to perform those duties; and
 - (b) dealing in the eligible product in the course of providing a custodial or depository service covered by paragraph (a).

Hawking

- 11. A Specified Person that makes an offer of an eligible product to an eligible participant in the course of, or because of, an unsolicited meeting or telephone call held or made in connection with an employee incentive scheme covered by this instrument does not have to comply with sections 736, 992A or 992AA of the Act.

20-0321

Advertising

12. A Specified Person that advertises, or publishes a statement that is reasonably likely to induce eligible participants to acquire, an eligible product under an employee incentive scheme covered by this instrument does not have to comply with section 1018A of the Act in relation to an advertisement or publication.

Incidental managed investment scheme

13. A Specified Person that operates a managed investment scheme only by reason of making eligible products available under an employee incentive scheme covered by this instrument or operating a contribution plan in connection with an employee incentive scheme covered by this instrument does not have to comply with section 601ED of the Act in relation to the operation of that managed investment scheme.

Conditions*Notice of reliance*

14. A Specified Person making an offer in connection with a particular employee incentive scheme covered by this instrument must give ASIC a notice of reliance.

Note: A notice of reliance can cover a particular employee incentive scheme that is intended to operate for many years. A new notice of reliance will be required to be given to ASIC if the Company or a related body corporate establishes a new employee incentive scheme.

15. The Company or a related body corporate may give ASIC the notice of reliance at any time before the Specified Person first relies on this instrument in relation to the particular employee incentive scheme but, in any event, must give ASIC the notice of reliance no later than 1 month after the day the Specified Person first relies on this instrument in relation to the particular employee incentive scheme.

Disclosure

16. A Specified Person that makes an offer under an employee incentive scheme covered by this instrument must ensure that the offer is made in, or is accompanied by, an offer document.

5% issue limit

17. A Specified Person that makes an offer covered by this instrument must, at the time of making the offer, have reasonable grounds to believe that the number of underlying eligible products in a class of underlying eligible products that form part of the issued capital of the Company that have been or may be issued in any of the circumstances covered by the following paragraphs will not exceed 5% of the total number of underlying eligible products in that class on issue:
- (a) underlying eligible products that may be issued under the offer;
 - (b) underlying eligible products issued or that may be issued as a result of offers made at any time during the previous 3 year period under:
 - (i) an employee incentive scheme or like scheme of the Company or a related body corporate, where offers were covered by this

20-0321

instrument or an individual instrument made by ASIC on terms similar to this instrument; or

- (ii) an employee incentive scheme or employee share scheme of the Company or a related body corporate, where the offers were covered by ASIC Class Order [CO 03/184] or an individual instrument made by ASIC on terms similar to that class order.

FCPE structure

18. The Company must take all reasonable steps to ensure that:

- (a) the Manager maintains written records on the administration of the FCPE including written records that identify the underlying eligible products held on an allocated basis for the specified eligible participant;
- (b) the Manager and the Custodian do not levy any fees or charges for administering the FCPE that are payable directly by any eligible participant or out of the assets of the FCPE, other than reasonable disbursements including brokerage and tax levied or incurred in connection with the FCPE or fees and charges, as otherwise provided for in the Rules, or as the Company reasonably believes are authorised under the laws of France;
- (c) the Manager does not, at its own discretion, exercise any voting rights attaching to any of the underlying eligible products held in the FCPE;
- (d) the FCPE does not hold more than 5% of the voting shares or voting interests in the Company where such holdings relate to employee incentive schemes of the Company or a related body corporate in reliance on this instrument;
- (e) the FCPE and an employee incentive scheme covered by this instrument, at all times, are approved and regulated by the Relevant Agency; and
- (f) the Custodian complies with the laws of France.

Regulation

19. The Company must take all reasonable steps to ensure that:

- (a) written records are maintained in relation to the administration of the incentive rights offered under an employee incentive scheme covered by this instrument; and
- (b) those incentive rights are at all times regulated in accordance with French law.

20. The Specified Person must, at all times, comply with the Rules.

21. The Manager must, at all times, be approved by the Relevant Agency.

22. Neither the Rules nor the terms of incentive rights offered under an employee incentive scheme covered by this instrument must be modified or varied in any material respect that would adversely affect the rights and interests of eligible participants, unless:

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- (a) the Company reasonably believes that such modification or variation is required by the laws of France; or
 - (b) ASIC gives prior written notice to the Company that it does not object to the modification or variation.
- 23. The Company must notify ASIC as soon as practicable, and in any event, within 15 business days from the date it knew, or should reasonably have known, of the following notifiable matters:
 - (a) any significant change to the authorisation granted by the Relevant Agency relating to the operation of the FCPE, including any exemptions or other relief granted to any of the Specified Person or the terms of incentive rights offered under an employee incentive scheme covered by this instrument; and
 - (b) the details of each significant investigation, disciplinary or enforcement action against any of the Specified Persons.

FCPE annual financial statements

- 24. The FCPE annual financial statements must be audited annually.
- 25. If requested by an eligible participant at any time during the period the eligible participant is participating in an employee incentive scheme covered by this instrument, the Company must provide and make available at the registered office or principal place of business of Australian Company, during normal business hours or such other time as is agreed with the eligible participant:
 - (a) the most recent FCPE annual financial statements and accompanying auditors' report;
 - (b) a copy of the Rules;
 - (c) a copy of the offer document; and
 - (d) a response to any other reasonable request for information.

Redemption of eligible products

- 26. The Australian Company must accept notices, correspondence and service of process on behalf of each of the Specified Person at its registered office or principal place of business.
- 27. The Australian Company must forward any notice received from an eligible participant in connection with an employee incentive scheme, including a notice relating to the buy-back or redemption of eligible products as provided for in the Rules, to the Manager without delay.
- 28. The Company must notify ASIC within 5 business days of:
 - (a) suspension or termination of the buy-back arrangements or redemption facilities of eligible products in the FCPE; or
 - (b) suspension of the quotation of the underlying eligible products of the Company on the eligible financial market.

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29. The Company must maintain, at the registered office or principal place of business of the Australian Company, a register of eligible participants who are participating in an employee incentive scheme covered by this instrument that includes details of:
- (a) the names and addresses of each eligible participant;
 - (b) the extent of the holding of each eligible participant;
 - (c) the date at which the name of each eligible participant was entered in the register; and
 - (d) the date at which any eligible participant's interest ceased.

ASIC power to request documents

30. A Specified Person must, if requested by ASIC and in accordance with the request, make available to ASIC the offer document and all other accompanying information or documents given to eligible participants in connection with an offer that is made in reliance on this instrument, including:
- (a) records relating to the issue or sale of and the buy-back or redemption of eligible products in the FCPE to or from eligible participants; and
 - (b) if any document is not in English, a translation of that document into English which must be a certified translation if requested by ASIC.

Interpretation

31. In this instrument:
- (a) ***able to be traded*** has the meaning given by section 761A of the Act;
- Australian Company*** means each of
- Freyssinet Australia Pty Ltd ACN 002 617 736
 - Reinforced Earth Pty Ltd ACN 001 215 327
 - Austress – PSC Design Pty Ltd ACN 003 886 155
 - Menard Oceania Pty Ltd ACN 109 340 276
 - GFWA Pty Ltd ACN 102 928 361
 - Spiecapag Australia Pty Ltd ACN 067 306 138
 - Bachy Soletanche Australia Pty Ltd ACN 099 819 762
 - Mentor IMC (Australia) Pty Ltd ACN 132 816 696
 - Sixense Oceania Pty Ltd ACN 163 754 845
 - VINCI Energies Australia Pty Ltd ACN 099 819 762
 - Electrix Pty Ltd ACN 067 232 393
 - J & P Richardson Industries Pty Ltd ACN 001 952 325
 - Cegelec Oil & Gas Australia Pty Ltd ACN 616 228 758
 - VINCI Construction Australasia Management Pty Ltd ACN 620 371 477
 - Seymour Whyte Constructions Pty Ltd ACN 105 493 187

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Rob Carr Pty Ltd ACN 007 198 843

Foreva Solutions Pty Ltd ACN 622 705 766

Soletanche Freyssinet Services Pty Ltd ACN 624 375 926

casual employee, in relation to the Company or a related body corporate, means an individual who is, or might reasonably be expected to be, engaged to work the number of hours that are the pro-rata equivalent of 40% or more of a comparable full-time position with the body;

Company means VINCI S.A., a body registered in France and listed in the eligible financial market;

Custodian means the custodian of the FCPE from time to time (presently CACEIS Bank, a body registered in France), which, by way of contract, acts for or on behalf of the Company in connection with an offer to an eligible participant under an employee incentive scheme;

contribution, in relation to a contribution plan, does not include:

- (i) nominal monetary consideration; or
- (ii) a monetary contribution as consideration for an issue, transfer or grant of an eligible product to the eligible participant without undue delay (including a monetary contribution made to exercise an eligible product or cause an eligible product to vest);

contribution plan means a plan under which an eligible participant may make monetary contributions to acquire eligible products, whether made before or after the acquisition, from one or more of the following:

- (i) gross (before-tax) wages or salary;
- (ii) net (after-tax) wages or salary;
- (iii) other monies;

eligible financial market means the financial market operated by Euronext Paris and, unless a contrary intention appears, is limited to the main board of that market;

eligible participant means, in relation to the Company or a related body corporate, a person specified in column 2 of Table A who is a resident in this jurisdiction;

eligible product means, in relation to the Company, means a financial product specified in column 1 of Table A;

employee incentive scheme means an arrangement under which eligible products are offered to eligible participants, and which is designed to support interdependence between the Company and its related bodies corporate and those participants for their long-term mutual benefit;

FCPE means a collective employee investment vehicle known as a Fonds Commun de Placement d'Entreprise approved by the Relevant Agency (including the FCPE called "Castor International" and the temporary FCPE called "Castor International Relais 2020") and including any successor

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FCPEs that are formed on a temporary basis in order to make offers of eligible products to eligible participants;

FCPE annual financial statement means the books of account maintained in respect of the activities of the FCPE (including the statement of assets and liabilities, balance sheet, income statement, together with the related notes) prepared in accordance with French accounting standards and the laws of France;

financial product advice has the meaning given by section 766B of the Act;

general advice has the meaning given by section 766B of the Act;

incentive right means a conditional right to acquire (by issue or transfer) underlying eligible products;

nominal monetary consideration means monetary consideration of a token or trivial amount;

notice of reliance, in relation to a particular employee incentive scheme, means a written notice in a form approved in writing by ASIC;

Manager means the independent management company of the FCPE from time to time (presently Amundi Asset Management, a body registered in the Paris Trade and Companies Registry, France under number 437 574 452) which, by way of contract with the Company, acts for or on behalf of the Company in connection with an offer to an eligible participant under an employee incentive scheme;

offer, in relation to an eligible product, has a meaning affected by sections 700, 702 and 1010C of the Act and includes:

- (i) an offer to issue the eligible product;
- (ii) an issue or grant of the eligible product;
- (iii) an offer to transfer the eligible product;
- (iv) a transfer of the eligible product; and
- (i) an offer to arrange for the issue or transfer of the eligible product;

offer document, in relation to an offer of eligible products under an employee incentive scheme, means a document which includes, or is accompanied by, the following information, statements and explanations worded and presented in a clear, concise and effective manner:

- (i) prominent statements to the effect that:
 - a. any advice given by any Specified Person in relation to eligible products offered under the employee incentive scheme does not take into account an eligible participant's objectives, financial situation and needs;
 - b. eligible participants should consider obtaining their own financial product advice from a person who is licensed by ASIC to give such advice; and

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- c. the FCPE and the employee incentive scheme are regulated by the laws of France, and those laws differ from Australian laws;
- (ii) either:
 - a. a copy of the Rules; or
 - b. a summary of the Rules together with a statement that, on request and at no charge and within a reasonable time, the Company will provide an eligible participant with a copy of the Rules;
- (iii) general information about the risks of acquiring and holding an eligible product being offered under the employee incentive scheme;
- (iv) as the FCPE and employee incentive schemes are regulated by the laws of France, a brief description of the legal and practical effect (if any) this may have on the rights and ability of an eligible participant domiciled in Australia to make any claim or enforce any right arising out of or in connection with the offer of an eligible product;
- (v) an explanation of the nature of any special risks associated with cross-border investing, such as risks arising from foreign taxation requirements, foreign currency or time differences; and
- (vi) details of how the Rules provide for any fees or charges for administering the FCPE that are payable directly by any eligible participant or out of the assets of the FCPE;
- (vii) the acquisition price of the eligible products in Australian dollars or, where the acquisition price is to be worked out in the future under a formula, an explanation of how an eligible participant could calculate the acquisition price of the eligible products in Australian dollars were that formula applied at the date of the offer; and
- (viii) an explanation of how an eligible participant could, from time to time, ascertain the market price of an underlying eligible product in Australian dollars;

prospective participant, in relation to an offer of an eligible product under an employee incentive scheme, means a person to whom the offer is made but who can only accept the offer if an arrangement has been entered into that will result in the person becoming covered by one of paragraphs (a) to (c) of column 2 of Table A;

related body corporate has the meaning given in section 50 of the Act;

Relevant Agency means the Autorité des Marchés Financiers, the French Market Authority;

Rules means the rules of an employee incentive scheme, the rules of the FCPE and the rules of any successor FCPEs that are formed on a temporary basis, each as approved by the Relevant Agency and as amended from time to time;

Specified Person means:

- (i) the Company;

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- (ii) the related bodies corporate of the Company;
- (iii) the Custodian;
- (iv) the Manager; and
- (v) any person who, by way of contract between the person and the Company, acts for or on behalf of the Company in connection with an offer of an eligible product to an eligible participant under an employee incentive scheme;

underlying eligible product means an eligible product specified in any of paragraphs (a) to (b) in column 1 of Table A;

- (b) an offer of eligible products to an eligible participant under an employee incentive scheme on terms that the eligible participant may renounce the offer in favour of a person covered by one of the following paragraphs is to be treated as an offer of eligible products to the eligible participant:
 - (i) an immediate family member of the eligible participant;
 - (ii) a company whose members comprise no persons other than the eligible participant or immediate family members of the participant;
 - (iii) a corporate trustee of a self-managed superannuation fund (within the meaning of the *Superannuation Industry (Supervision) Act 1993*) where the eligible participant is a director of the trustee; and
- (c) an employee incentive scheme, employee share scheme, or like scheme is **covered by** an instrument to the extent that offers are made, or other conduct is carried out, in reliance on this instrument; and
- (d) for the avoidance of doubt, a document or other writing to be given in connection with this instrument may be given by electronic means (including, in the case of a document or other writing to be given by a Specified Person in relation to this instrument, by way of making it available on a website and notifying the intended recipient that it is available on the website).

Dated this 3rd day of April 2020



Signed by Lydia Sia
as a delegate of the Australian Securities and Investments Commission

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Table A

<i>Column 1</i> Eligible product	<i>Column 2</i> Eligible participant
<p>(a) a fully paid share of the Company that is in a class of shares able to be traded on an eligible financial market;</p> <p>(b) a unit in a financial product mentioned in paragraph (a);</p> <p>(c) an incentive right granted in relation to a financial product mentioned in paragraphs (a) or (b);</p> <p>(d) another financial product that relates to a financial product mentioned in paragraph (a) or (b),</p> <p>where in each case in relation to a product mentioned in paragraph (a):</p> <p>(e) the product is in the same class as financial products which were able to be traded on the relevant financial market at all times in the 3 months before the day the offer document is first given to an eligible participant; and</p> <p>(f) the class of products were not suspended for more than a total of 5 days during the shorter of the period during which the class of products were able to be traded, and the period of 12 months before the day the offer document is first given to an eligible participant.</p>	<p>(a) a full-time or part-time employee (including an executive director);</p> <p>(b) a non-executive director;</p> <p>(c) a casual employee;</p> <p>(d) a prospective participant.</p>

[20-0322]

**Australian Securities and Investments Commission
Corporations Act 2001 – Subsection 655A(1)(b) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 655A(1)(b) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 20-0322.

Commencement

3. This instrument commences on the date it is signed.

Declaration

4. Chapter 6 of the Act applies to OtherLevels Holdings Limited ACN 603 987 266 (the *Company*) and Shareholders of the Company as if item 10(b) of section 611 of the Act were modified by inserting after the words “offers are made to every person who holds securities” the words “and who has a registered address in Australia or New Zealand”.

Where this instrument applies

5. This instrument applies where:
 - (a) the Company makes an offer of new Shares pursuant to a non-renounceable rights issue to Eligible Shareholders of 1 new share for every 1 existing Shares held by that Eligible Shareholder at the record date of the offer, at an issue price of \$0.005 per Share (the *Offer*);
 - (b) the Offer is on terms substantially the same as the ASX announcement on 3 April 2020;
 - (c) the Offer is made to every Eligible Shareholder;
 - (d) the Offer does not need disclosure to investors under Part 6D.2 of the Act because of section 708AA of the Act; and
 - (e) the Offer commences on or about 15 April 2020.

[20-0322]

Interpretation

6. In this instrument:

ASX means ASX Limited ACN 008 624 691 or the market it operates;

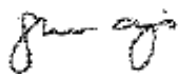
Eligible Shareholders means shareholders other than the Ineligible Shareholders;

Ineligible Shareholders means the approximately 4 Shareholders with registered addresses as at the record date of the Offer other than in Australia or New Zealand holding, in aggregate, approximately 6.46% of all Shares;

Share means a fully paid ordinary share in the issued capital of the Company; and

Shareholder means a person who is the registered holder of a Share as at the record date of the Offer.

Dated this 3rd day of April 2020



Signed by Gloria Yip
as a delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001
Section 601CL(5)

ASIC has struck the foreign companies listed
below off the register.

Dated this third day of April 2020

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company	ARBN
ADELANTE NZ LIMITED	616 184 171
AUGUSTINE AUD LIMITED	612 528 677
CERULE NZ LIMITED	617 882 452
CTCI ADVANCED SYSTEMS INC.	612 933 829
GLOBAL RESILIENCE SERVICES LIMITED	601 684 922
MURCIELAGO (MALTA) LIMITED	618 028 694
SOME PARK INVESTMENTS LIMITED	607 004 679

CORPORATIONS ACT 2001
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this third day of April 2020

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company

ELANTIS SOLUTIONS INC.

ARBN

626 450 486

CORPORATIONS ACT 2001
Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this third day of April 2020

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Scheme

ARSN

MACQUARIE EUCALYPT PROJECT 2005	112 774 428
PIMCO EMERGING MARKETS BOND FUND	100 167 511
PIMCO GLOBAL REALRETURN FUND	105 379 413

CORPORATIONS ACT 2001
Subsection 601PA(3)

ASIC may deregister the managed investment scheme(s) listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this third day of April 2020

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Scheme

ARSN

JPMORGAN SYSTEMATIC ALPHA FUND

608 987 962

Corporations Act 2001
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

CHRONIC AIRWAY THERAPEUTICS LIMITED

ACN 628 608 113 will change to a proprietary company limited by shares. The new name will be CHRONIC AIRWAY THERAPEUTICS PROPRIETARY LIMITED ACN 628 608 113.

KONKRETE DISTRIBUTED REGISTRIES LTD

ACN 617 252 909 will change to a proprietary company limited by shares. The new name will be KONKRETE DISTRIBUTED REGISTRIES PTY LTD ACN 617 252 909.

MIRAMAR HOLDINGS PTY LTD

ACN 635 359 965 will change to a public company limited by shares. The new name will be MIRAMAR RESOURCES LIMITED ACN 635 359 965.

WESTSIDE ENERGY LIMITED ACN 168 060 366 will change to a proprietary company limited by shares. The new name will be WESTSIDE ENERGY PTY LTD ACN 168 060 366.

FAUSTINA FOUNDATION LTD ACN 625 478 613 will change to a proprietary company limited by shares. The new name will be FAUSTINA FOUNDATION PTY LTD ACN 625 478 613.

LEGEND CORPORATION LIMITED

ACN 102 631 087 will change to a proprietary company limited by shares. The new name will be LEGEND CORPORATION PTY LTD ACN 102 631 087.

URB INVESTMENTS LIMITED ACN 615 320 262 will change to a proprietary company limited by shares. The new name will be URB INVESTMENTS PTY LIMITED ACN 615 320 262.