

Notice of intention not to submit an insolvency supplementary report

Submit notice of intention not to lodge an insolvency supplementary report

Save & close

Insolvency practitioner

Initial statutory report lodgement

Company details

Reasons for not lodging supplementary report

Contact details

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Initial statutory report lodgement

* Have you lodged an initial statutory report?

- Yes
 No

* Reference number from initial statutory report lodged (submission)

Validate initial statutory report reference number

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Registered liquidator information session – 13 March 2020

You need the reference number for the initial statutory report to proceed with this transaction.

The system will not allow you to proceed without an initial statutory report reference number to cross reference.

If you have not submitted an initial statutory report, you cannot proceed with the transaction.

The exception is where you have lodged the old EX01 form (i.e. prior to the Regulatory Portal and the new transactions). In this case, you should use the lodgement number at the top right of the EX01 previously lodged.

If you receive a validation error for the EX01 lodgement number **please continue with the transaction despite the error message.**

If you do not have an insolvency initial statutory report reference number or an

EX01 lodgement number, lodge an insolvency initial statutory report before you can lodge an notice of intention not to submit an insolvency supplementary report.

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Company details

* Is the report about a public company?
 Yes
 No

* Australian Company Number (ACN)
111 111 111

* Company name
Company name

Current Director/Secretary

▼ Director name

Given name
name

Other given name(s)

Family name
name

Date of birth
D.O.B

► Director name

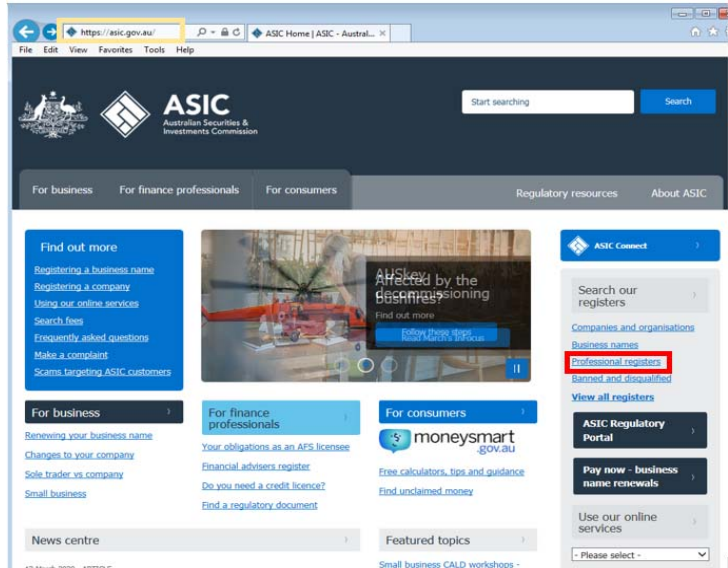
* Does the company hold an Australian financial services licence or an Australian credit licence?
 Yes
 No

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To complete the question about whether the company holds an Australian Financial services licence or an Australian Credit licence go to “asic.gov.au”.

Notice of intention not to submit an insolvency supplementary report



Once at asic.gov.au, select “Professional registers” on the right hand side.

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- Select One
- Australian Financial Services Licensee
 - Australian Financial Services Authorised Representative
 - Registered Auditor
 - Official Liquidator
 - Registered Liquidator
 - Credit Registered Person
 - Credit Representative
 - Credit Licensee
 - Futures Broker (Pre-FSR)
 - Futures Adviser (Pre-FSR)
 - Investment Adviser (Pre-FSR)
 - Securities Dealer (Pre-FSR)
 - Life Insurance Broker (Pre-FSR)
 - General Insurance Broker (Pre-FSR)
 - Foreign Insurance Agent (Pre-FSR)
 - Futures Representative (Pre-FSR)
 - Securities Representative (Pre-FSR)

Professional Registers

* Enter Keyword(s) Family or Organization Name or Licence or Registration Number

* In
Select Register **Credit Licensee**

With ?
Select All

Did you know a search under Organisations & Business Names may reveal more information?

Back Clear Search

The professional register search page appears – click the drop down.

Select the relevant register and carry out your search.

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Reasons for not lodging supplementary report

Inadequate books and records

* Have you lodged the Request assistance for external administration transaction?

Yes

No

Inadequate funds

* Have you lodged the Submit request for assetless administration funding transaction?

Yes

No

Director(s) cannot be located / outside jurisdiction

Bankrupt director

Other reason

* Provide details

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There are options provided as “reasons for not lodging supplementary report”

- Inadequate Books and records (which has a follow-on question about Request for assistance – explained in slides coming up)
- Inadequate funds (also has follow on question about AAF Applications explained in slides coming up)
- Director(s) cannot be located / outside jurisdiction
- Bankrupt director; or
- Other Reason (including a free text box to complete).

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Reasons for not lodging supplementary report

Inadequate books and records

* Have you lodged the Request assistance for external administration transaction?

Yes
 No

We will not approve submission of this transaction until we finalise the outcome of the Request assistance for external administration transaction.

Inadequate books and records

* Have you lodged the Request assistance for external administration transaction?

Yes
 No

We suggest that you lodge the Request assistance for external administration transaction.

If you select “Inadequate books and records” you will see the following call outs

- where you have lodged a request assistance for external administration the notice of intention not to submit an insolvency supplementary report transaction will not be processed until the Request for is finalised
- where you have not lodged a request assistance for external administration you are promoted to consider it.

Note: that answering no to “have you lodged a request for assistance” **does not prevent you** from continuing with this transaction.

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Reasons for not lodging supplementary report

Inadequate funds

* Have you lodged the Submit request for assetless administration funding transaction?

Yes
 No

We are unlikely to grant the approval of the current transaction.

Inadequate funds

* Have you lodged the Submit request for assetless administration funding transaction?

Yes
 No

We suggest that you lodge the Submit request for assetless administration funding transaction.

If you select “Inadequate funds” you will see the following call outs:

- where you have lodged a AAF application which is not yet completed we will not process the notice of intention not to submit an insolvency supplementary report request until that is finalised
- where you have not lodged a AAF request you are prompted to consider it.

Note: Selecting yes to “have you lodged a AAF application” **does not stop this transaction from being processed** – so if they see this prompt they need to know to stop**