



ASIC
Australian Securities &
Investments Commission

Commonwealth of Australia Gazette

No. A54/19, Tuesday 24 December 2019

Published by ASIC

ASIC Gazette

Contents

Notices under Corporations Act 2001

19-0746	19-1067	19-1199	19-1200	19-1201	19-1202
19-1215	19-1216	19-1223	19-1224	19-1225	19-1234
19-1264	19-1278	19-1279	19-1280	19-1288	

Company/scheme deregistrations

Change of company type

RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet *ASIC decisions – your rights* (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

ISSN 1445-6060 (Online version)
ISSN 1445-6079 (CD-ROM version)

Available from www.asic.gov.au
Email gazette.publisher@asic.gov.au

© Commonwealth of Australia, 2019

This work is copyright. Apart from any use permitted under the *Copyright Act 1968*, all rights are reserved. Requests for authorisation to reproduce, publish or communicate this work should be made to: Gazette Publisher, Australian Securities and Investment Commission, GPO Box 9827, Melbourne Vic 3001

19 - 0746

Notice is given under section 915F of the Corporations Act 2001 that the Australian Securities and Investments Commission has made an order in the terms set out below, which order took effect on 19 December 2019.

**Australian Securities and Investments Commission
Corporations Act 2001 section 915B**

Notice of Suspension of Australian Financial Services Licence

To: Halifax Investment Services Pty Ltd ACN 096 980 522 (**Halifax**)

Under s915B(3)(b) of the Corporations Act 2001 (**Act**) the Australian Securities and Investments Commission (**ASIC**) suspends Australian financial services licence number 225973 (**Licence**) held by Halifax until 8 January 2021.

Under s915H of the Act ASIC specifies that the Licence continues in effect while suspended, as though the suspension had not happened for the purpose of:

- (a) Paragraphs 912A(1)(g) of the Act to the extent that it requires Halifax to have a disputes resolution system complying with s912A(2)(c) of the Act (which requires Halifax to be a member of the AFCA scheme);
- (b) Section 912B of the Act and regulations 7.6.02AAA and 7.6.02AA of the *Corporations Regulations 2001* (which require Halifax to have arrangements for compensating retail clients including the holding of professional indemnity insurance cover); and
- (c) Chapter 7 of the Act regarding the provision of a financial service to a person as a retail client or wholesale client if that person was a client immediately prior to the suspension taking effect and if the financial service concerns the termination of an existing arrangement with the client.

Dated this 18th day of December 2019

Signed



Graeme Darcy Plath
a delegate of the Australian Securities and Investments Commission

19-1067

Notice is given under section 915F of the Corporations Act 2001 that the Australian Securities and Investments Commission has made an order in the terms set out below, which order took effect on 15 October 2019.

Australian Securities & Investments Commission

Notice of Cancellation of Australian Financial Services Licence

To: Mervyn LOBB

TAKE NOTICE that under s915C (1) of the *Corporations Act 2001*, the Australian Securities and Investments Commission cancels Australian financial services licence number 268187 held by Mervyn Lobb.

Dated 9th October 2019.

Signed



Melanie Baxter
Delegate of the Australian Securities & Investments Commission

19-1199



Australian Market Licence (State Street GlobalLink Asia Pacific Limited) 2019

Corporations Act 2001

I, Nathan Bourne, Senior Executive Leader, Australian Securities and Investments Commission grant this Licence under subsection 795B(1) of the *Corporations Act 2001* (the Act) and impose conditions on this Licence under subsection 796A(1) of the Act.

Dated 16/12/2019

Signed 

as a delegate of the Minister under s1101J of the Act

1. Name

This is the *Australian Market Licence (State Street GlobalLink Asia Pacific Limited) 2019*.

Note: This instrument should be read in conjunction with the *Corporations (State Street GlobalLink Asia Pacific Limited – FX Connect) Exemption Notice 2019* and the *Corporations (State Street GlobalLink Asia Pacific Limited – Currenex) Exemption Notice 2019*.

2. Commencement

This Licence commences when it is granted.

3. Definitions

In this Licence unless the contrary intention appears, terms defined in the Act have the same meaning in this Licence and:

Act means the *Corporations Act 2001*.

ASIC means the Australian Securities and Investments Commission.

Australian client means, in relation to a participant in a Market, a person in this jurisdiction on whose behalf the participant deals on that Market.

Australian participant means:

- (a) an Australian entity that is a participant in a Market;
- (b) a foreign branch or office of an Australian entity where:
 - (i) the foreign branch or office is a participant in a Market; or
 - (ii) the Australian entity is a participant in a Market.
- (c) an Australian branch or office of a foreign entity where:
 - (i) the Australian branch or office is a participant in a Market; or
 - (ii) the foreign entity is a participant in a Market.

foreign participant means a participant who is not an Australian participant.

Licensee means State Street GlobalLink Asia Pacific Limited
ARBN 626 835 283.

Market means a financial market the Licensee is authorised to operate under this Licence.

reporting period means each period of 3 months, or part thereof, during which a Market is operated in Australia, ending on 31 March, 30 June, 30 September, or 31 December.

4. Grant of Licence

- (1) The Licensee is granted a licence to operate the financial markets, known as FX Connect and Currenex, through which participants may make or accept offers to acquire or dispose of any of the following financial products:
 - (a) a financial product mentioned in paragraph 764A(1)(k) of the Act;
 - (b) derivatives that are foreign exchange swaps or foreign exchange forwards.

5. Participants

- (1) It is a condition of this Licence that the Licensee must ensure that each:
 - (a) Australian participant:
 - (i) is a professional investor, or a branch or office of an entity that is a professional investor; and
 - (ii) only deals on a Market on their own behalf or on behalf of a professional investor.
 - (b) Foreign participant only deals on a Market:
 - (i) on their own behalf; or
 - (ii) on behalf of a person who is not an Australian client; or
 - (iii) if the Foreign participant is a professional investor—on behalf of an Australian client who is a professional investor.

6. Information about the operation of each Market

It is a condition of this Licence that the Licensee must at all times make available to participants information, including updated information, about the operation of each Market.

7. Clearing and settlement arrangements

- (1) It is a condition of this licence that the Licensee must:
 - (a) clearly inform participants of each Market of their respective responsibilities in relation to the clearing and/or settlement of trades entered into on each Market; and

- (b) have in place adequate arrangements to facilitate the efficient clearing and/or settlement of trades entered into on each Market, which must be arrangements of one or more of the following types:
 - (i) arrangements for the clearing and settlement of the trades with a clearing and settlement facility; or
 - (ii) arrangements to:
 - (A) notify each party to a trade entered into on each Market of the identity of the other party to the trade or
 - (B) otherwise be satisfied that each party to a trade entered into on each Market knows the identity of the other party to the trade,
- so that the parties to the trade can settle a trade in accordance with arrangements agreed between them.

8. Quarterly notifications

- (1) It is a condition of this Licence that the Licensee must notify ASIC of details of the following matters, within 30 days after the end of each reporting period:
 - (a) details of any kind of disciplinary action taken by the Licensee against a participant including the participant's name and the reason for and nature of the action taken;
 - (b) if the Licensee became aware of:
 - (i) a matter that the Licensee considers has adversely affected, is adversely affecting, or may adversely affect the ability of a participant, who is a financial services licensee, to meet the participant's obligations as a financial services licensee; or
 - (ii) a matter, concerning a participant who is a financial services licensee, that is of a kind prescribed under Reg 7.2.01 of the Corporations Regulations 2001;
 - (c) if the Licensee became aware that a person had come to have, or had ceased to have, more than 15% of the voting power in or in a holding company of the Licensee;
 - (d) if a person became or ceased to be a director, secretary or senior manager of the Licensee or of a holding company of the Licensee (including when a person changes from one of those positions to another); and
 - (e) details of any changes made to its operating rules in a notice that must:
 - (i) set out the text of the change; and
 - (ii) specify the date on which the change was made; and

(iii) contain an explanation of the purpose of the change.

9. Periodic trade reporting

- (1) It is a condition of this Licence that the Licensee must give to ASIC, in a machine-readable format, at least the following information within 30 days after the end of each reporting period for each Market and for each product of each Market specified in the following table:

Category	Products
Foreign Exchange financial products	Spot, Forward, NDF, Swap

- (a) the total number of trades and their total value, in AUD-equivalent terms, of trades during the reporting period by all participants; and
- (b) the total number of trades and their total value, in AUD-equivalent terms, of trades during the reporting period by each Australian participant, identifying each Australian participant by their registered name and, if applicable, their branch name and, if available, their Legal Entity Identifier;
- (c) the total number of trades and their total value, in AUD-equivalent terms, of trades during the reporting period by each Foreign participant but only in relation to the trades that the Licensee believes to be trades on behalf of one or more Australian clients, identifying each Foreign participant by their registered name and, if applicable, their branch name and, if available, their Legal Entity Identifier; and
- (d) the total number of trades and their total value, in AUD-equivalent, of the trades that are included in the information in (b) and (c) above and which are trades between any two participants listed in (b) and (c).

10. Annual report

It is a condition of this Licence that the Licensee must include, in its annual report to ASIC under section 792F of the Act, details of any new class of financial services provided by the Licensee that is incidental to the operation of a Market.

11. Record keeping

- (1) It is a condition of this Licence that the Licensee must have adequate arrangements in place for the recording of order information and trades entered into through each Market.

- (2) It is a condition of this Licence that the Licensee must keep for a period of at least 7 years the records of order information and trades entered into through each Market.

12. Market ceases to operate

- (1) It is a condition of this Licence that, where the Licensee intends to cease operating a Market in this jurisdiction, the Licensee must:
 - (a) establish, document and implement adequate arrangements for ensuring, as applicable, the orderly cessation of that Market; and
 - (b) notify ASIC:
 - (i) that the Licensee intends to cease operating that Market in this jurisdiction, as soon as reasonably practicable;
 - (ii) of the arrangements referred to in paragraph (a), at least 4 weeks before ceasing to operate that Market in this jurisdiction; and
 - (iii) of any changes to the arrangements referred to in paragraph (a) following the notification in subparagraph (ii), as soon as reasonably practicable after making those changes.

19-1200




**Corporations (State Street GlobalLink Asia Pacific Limited – FX
Connect) Exemption Notice 2019**

Corporations Act 2001

I, Nathan Bourne, Senior Executive Leader, Australian Securities and Investments
Commission make this notice under subsection 791C(1) of the *Corporations Act 2001* (the
Act).

Dated16/12/2019.....

Signed.....

as a delegate of the Minister under section 1101J of the Act

1. Name

This is the Corporations (State Street GlobalLink Asia Pacific Limited – FX Connect) Exemption Notice 2019.

Note: This instrument should be read in conjunction with the *Australian Market Licence (State Street GlobalLink Asia Pacific Limited) 2019*.

2. Authority

This instrument is made under subsection 791C(1) of the Corporations Act 2001.

3. Commencement

(1) This instrument commences on the later of:

- (a) the time that is immediately after the commencement of the *Australian Market Licence (State Street GlobalLink Asia Pacific Limited) 2019*; and
- (b) the date of execution.

4. Definitions

In this instrument unless the contrary intention appears, terms defined in the Act have the same meaning in this instrument and:

Act means the *Corporations Act 2001*.

ASIC means the Australian Securities and Investments Commission;

Corporations Regulations means the *Corporations Regulations 2001*.

Licensee means State Street GlobalLink Asia Pacific Limited
ARBN 626 835 283

Market means the financial market, known as FX Connect, operated by the Licensee under the *Australian Market Licence (State Street GlobalLink Asia Pacific Limited) 2019*.

5. Exemption - Notifications to ASIC

- (1) The Licensee does not have to comply with any of the following provisions of the Act in relation to the Market:
- (a) paragraph 792B(2)(a) – relating to notifying ASIC of a new class of financial service incidental to the operation of a financial market;
 - (b) paragraph 792B(2)(b) – relating to notifying ASIC of disciplinary action taken against a participant;
 - (c) paragraph 792B(3)(a) – relating to notifying ASIC of a matter that has (or may) adversely affect the ability of a participant, who is a financial services licensee, to meet the participant's obligations as a financial services licensee;
 - (d) paragraph 792B(3)(b) – relating to notifying ASIC of a matter concerning a participant who is a financial services licensee, of a kind prescribed by the regulations made for the purposes of paragraph 792B(3)(b);

Note: See Corporations Regulation 7.2.01 for the matters prescribed for the purposes of paragraph 792B(3)(b)
 - (e) paragraph 792B(5)(a) – relating to notifying ASIC of a person becoming or ceasing to be a director, secretary or senior manager of the Licensee or of a holding company of the Licensee; and
 - (f) paragraph 792B(5)(b) – relating to notifying of voting power of more than 15% in the Licensee or a holding company of the Licensee.

6. Exemption - Changing the operating rules

The Licensee does not have to comply with section 793D of the Act in relation to changes to the operating rules of the Market.

7. Exemption - Content of operating rules

- (1) The Licensee does not have to comply with any of the following in relation to the Market:
- (a) Corporations Regulation 7.2.07(b)(ii) – relating to operating rules for the monitoring of participants' compliance with the operating rules;
 - (b) Corporations Regulation 7.2.07(b)(v) – relating to operating rules for the expulsion or suspension of a participant for breaches of Chapter 7 of the Act or regulations made under that Chapter;
 - (c) Corporations Regulation 7.2.07(b)(vii) – relating to operating rules for the expulsion or suspension of, or enforcement action against, a participant for failing to meet obligations under commitments entered into on the market;

- (d) Corporations Regulation 7.2.07(f) – relating to operating rules dealing with the terms of the contract formed between participants;
 - (e) Corporations Regulation 7.2.07(h) – relating to operating rules to deal with mechanisms for settling market-related disputes between participants; and
 - (f) Corporations Regulation 7.2.07(i) – relating to operating rules to deal with assessment and investigation of market-related disputes between participants.
- (2) The Licensee is exempt from the requirement to have market operating rules that comply with paragraph 798DA(2) of the Act.

8. Exemption - Content of written procedures

The Licensee does not have to comply with Corporations Regulation 7.2.08 relating to written procedures for the Market for the matters specified under the regulation.

19-1201

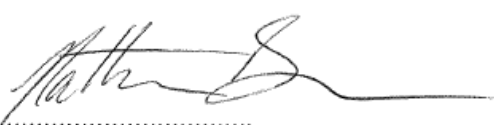


**Corporations (State Street GlobalLink Asia Pacific Limited –
Currenex) Exemption Notice 2019**

Corporations Act 2001

I, Nathan Bourne, Senior Executive Leader, Australian Securities and Investments
Commission make this notice under subsection 791C(1) of the *Corporations Act 2001* (the
Act).

Dated 16/12/2019

Signed 

as a delegate of the Minister under section 1101J of the Act

1. Name

This is the Corporations (State Street GlobalLink Asia Pacific Limited – Currenex) Exemption Notice 2019.

Note: This instrument should be read in conjunction with the *Australian Market Licence (State Street GlobalLink Asia Pacific Limited) 2019*.

2. Authority

This instrument is made under subsection 791C(1) of the Corporations Act 2001.

3. Commencement

(1) This instrument commences on the later of:

- (a) the time that is immediately after the commencement of the *Australian Market Licence (State Street GlobalLink Asia Pacific Limited) 2019*; and
- (b) the date of execution.

4. Definitions

In this instrument unless the contrary intention appears, terms defined in the Act have the same meaning in this instrument and:

Act means the *Corporations Act 2001*.

ASIC means the Australian Securities and Investments Commission;

Corporations Regulations means the *Corporations Regulations 2001*.

Licensee means State Street GlobalLink Asia Pacific Limited
ARBN 626 835 283

Market means the financial market, known as Currenex, operated by the Licensee under the *Australian Market Licence (State Street GlobalLink Asia Pacific Limited) 2019*.

5. Exemption - Notifications to ASIC

- (1) The Licensee does not have to comply with any of the following provisions of the Act in relation to the Market:
- (a) paragraph 792B(2)(a) – relating to notifying ASIC of a new class of financial service incidental to the operation of a financial market;
 - (b) paragraph 792B(2)(b) – relating to notifying ASIC of disciplinary action taken against a participant;
 - (c) paragraph 792B(3)(a) – relating to notifying ASIC of a matter that has (or may) adversely affect the ability of a participant, who is a financial services licensee, to meet the participant's obligations as a financial services licensee;
 - (d) paragraph 792B(3)(b) – relating to notifying ASIC of a matter concerning a participant who is a financial services licensee, of a kind prescribed by the regulations made for the purposes of paragraph 792B(3)(b);
- Note: See Corporations Regulation 7.2.01 for the matters prescribed for the purposes of paragraph 792B(3)(b)
- (e) paragraph 792B(5)(a) – relating to notifying ASIC of a person becoming or ceasing to be a director, secretary or senior manager of the Licensee or of a holding company of the Licensee; and
 - (f) paragraph 792B(5)(b) – relating to notifying of voting power of more than 15% in the Licensee or a holding company of the Licensee.

6. Exemption - Changing the operating rules

The Licensee does not have to comply with section 793D of the Act in relation to changes to the operating rules of the Market.

7. Exemption - Content of operating rules

- (1) The Licensee does not have to comply with any of the following in relation to the Market:
- (a) Corporations Regulation 7.2.07(b)(ii) – relating to operating rules for the monitoring of participants' compliance with the operating rules;
 - (b) Corporations Regulation 7.2.07(b)(v) – relating to operating rules for the expulsion or suspension of a participant for breaches of Chapter 7 of the Act or regulations made under that Chapter;
 - (c) Corporations Regulation 7.2.07(b)(vii) – relating to operating rules for the expulsion or suspension of, or enforcement action against, a participant for failing to meet obligations under commitments entered into on the market;

- (d) Corporations Regulation 7.2.07(f) – relating to operating rules dealing with the terms of the contract formed between participants;
 - (e) Corporations Regulation 7.2.07(h) – relating to operating rules to deal with mechanisms for settling market-related disputes between participants; and
 - (f) Corporations Regulation 7.2.07(i) – relating to operating rules to deal with assessment and investigation of market-related disputes between participants.
- (2) The Licensee is exempt from the requirement to have market operating rules that comply with paragraph 798DA(2) of the Act.

8. Exemption - Content of written procedures

The Licensee does not have to comply with Corporations Regulation 7.2.08 relating to written procedures for the Market for the matters specified under the regulation.

19-1202

**Australian Securities and Investments Commission
Corporations Act 2001– Subsection 111AT(1) – Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (**ASIC**) makes this instrument under subsection 111AT(1) of the *Corporations Act 2001* (the **Act**).

Title

2. This instrument is ASIC Instrument 19-1202.

Commencement

3. This instrument commences on the day it is signed.

Exemption

4. 360 Capital FM Limited ACN 090 664 396 (the **Responsible Entity**) in its capacity as the responsible entity of 360 Capital Digital Infrastructure Fund (ARSN 635 566 531) (the **Scheme**) does not have to comply with Part 2M.3 of the Act in relation to the scheme's first half-year ended 28 February 2020.

Conditions

5. The Responsible Entity must:
 - (a) comply with Part 2M.3 of the Act as if the first half-year of the Scheme is from 2 July 2019 to 31 December 2019; and
 - (b) include in the first half-year report of the Scheme a summary of the effect of this instrument.
6. The exemption ceases to apply where the Responsible Entity fails to comply with the conditions specified in paragraph 5 of this instrument by 16 March 2020.

Dated 16 day of December 2019



Signed by David Halperin
as a delegate of ASIC

19-1215

**Australian Market Licence (BGC Brokers L.P.) 2019***Corporations Act 2001*

I, Nathan Bourne, Senior Executive Leader, Australian Securities and Investments Commission grant this Australian market licence under subsection 795B(2) of the *Corporations Act 2001* (the Act) and impose conditions on this Licence under subsection 796A(1) of the Act.

Dated 16/12/2019

Signed 

as a delegate of the Minister under s1101J of the Act

1. Name of Licence

This Licence is the *Australian Market Licence (BGC Brokers L.P.) 2019*.

2. Commencement

This Licence commences on the day after execution.

3. Definitions

In this Licence unless the contrary intention appears, terms defined in the Act have the same meaning in this Licence and:

Act means the *Corporations Act 2001*;

ASIC means the Australian Securities and Investments Commission;

Australian client means, in relation to a participant in the Market, a person in this jurisdiction on whose behalf the participant deals on the Market.

Australian participant means, in relation to dealing on the Market, a participant carrying on business in this jurisdiction.

Foreign participant means a participant who is not an Australian participant.

Licensee means BGC Brokers L.P. ARBN 127 129 766.

Market means the financial market the Licensee is authorised to operate under this licence.

Reporting period means each period of 3 months, or part thereof, during which the Market is operated in Australia, beginning at the start of each calendar year and ending on 31 March, 30 June, 30 September, or 31 December.

4. Grant of Licence

The Licensee is granted a licence to operate a financial market through which participants may make or accept offers to acquire or dispose of derivatives.

5. Trading on market – participant

- (1) It is a condition of this licence that the Licensee ensures that a person carrying on business in this jurisdiction does not directly participate in the Market unless the person:

- (a) is a professional investor dealing in a financial product on its own behalf; or
- (b) is a professional investor dealing in a financial product on behalf of a person who is a professional investor.

6. Clearing and settlement arrangements

- (1) It is a condition of this licence that the Licensee must:
- (a) clearly inform participants of the Market of their respective responsibilities in relation to the clearing and/or settlement of transactions executed on the Market; and
 - (b) have in place adequate arrangements to facilitate the efficient clearing and/or settlement of transactions executed on the Market, which must be arrangements of one or more of the following types:
 - (i) arrangements for the clearing and settlement of the transactions with a clearing and settlement facility; or
 - (ii) arrangements to:
 - a. notify each party to a transaction executed on the Market of the identity of the other party to the transaction; or
 - b. otherwise be satisfied that each party to a transaction executed on the Market knows the identity of the other party to the transaction,
 so that the parties to the transaction can settle the transaction in accordance with arrangements agreed between them.

7. Periodic trade reporting

- (1) It is a condition of this Licence that the Licensee must give to ASIC, in a machine-readable format, at least the following information within 30 days after the end of each Reporting Period for each Product of a kind specified in the following table:

Kind of Product	Products
Commodity derivatives	Agricultural derivatives, Energy derivatives, Metals derivatives, Index derivatives, Environmental derivatives, Freight derivatives, Multi Commodity derivatives
Credit derivatives	Index derivatives, Index Tranche derivatives, Single Name derivatives, Swaptions, Total Return Swap, Total Return Swap Index, Exotic derivatives
Equity derivatives	Swap, Portfolio Swap, Contract for Difference, Option, Forward, Other derivatives

Foreign Exchange financial derivatives	Forward, Vanilla Option, NDF, NDO, Continuous FX, Simple Exotic, Exotic, Complex Exotic
Interest Rate and Inflation derivatives	FRA, Interest Rate Swap, Cross Currency, Inflation Swap, Forward Debt, CapFloor, Swaption, Debt Option, Inflation CapFloor, Exotic

- (a) the total number of trades and their total value, in AUD-equivalent terms, of trades during the Reporting period by all participants; and
- (b) the total number of trades and their total value, in AUD-equivalent terms, of trades during the Reporting period by each Australian participant, identifying each Australian participant by their registered name and, if applicable, their branch name and, if available, their Legal Entity Identifier; and
- (c) the total number of trades and their total value, in AUD-equivalent terms, of trades during the Reporting period by each Foreign participant but only in relation to the trades that the Licensee believes to be trades on behalf of one or more Australian clients, identifying each Foreign participant by their registered name and, if applicable, their branch name and, if available, their Legal Entity Identifier; and
- (d) the total number of trades and their total value, in AUD-equivalent terms, of the trades that are included in the information in (b) and (c) above and which are trades between any two participants listed in (b) and (c) above.

8. Market ceases to operate

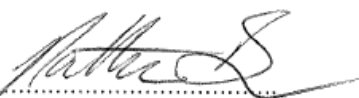
- (1) It is a condition of this licence where the Licensee intends to cease operating the Market in this jurisdiction, the Licensee must:
 - (a) establish, document and implement adequate arrangements for ensuring, as applicable, the orderly cessation of the Market;
 - (b) notify ASIC:
 - (i) that the Licensee intends to cease operating the Market, as soon as reasonably practicable;
 - (ii) of the arrangements referred to in paragraph (a), at least 4 weeks before ceasing to operate the Market; and
 - (iii) of any changes to the arrangements referred to in paragraph (a) following the notification in subparagraph (ii), as soon as reasonably practicable after making those changes.

19-1216

**Corporations (BGC Brokers LP) Exemption Revocation Notice 2019***Corporations Act 2001*

I, Nathan Bourne, Senior Executive Leader, Australian Securities and Investments Commission, make this notice under subsection 791C(2) of the *Corporations Act 2001* (the Act).

Dated 16/12/2019

Signed 

as a delegate of the Minister under section 1101J of the Act

1. Name

This is the *Corporations (BGC Brokers LP) Exemption Revocation Notice 2019*.

2. Commencement

This instrument commences on the later of:

- (a) the time that is immediately after the commencement of the *Australian Market Licence (BGC Brokers L.P.) 2019*; and
- (b) the date of execution.

3. Revocation of exemption

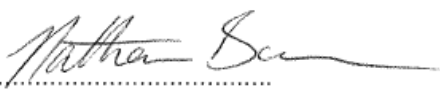
The *Corporations (BGC Brokers LP) Exemption Notice 2012* is revoked.

19-1223

**Australian Market Licence (SwapEx, LLC) 2019***Corporations Act 2001*

I, Nathan Bourne, Senior Executive Leader, Australian Securities and Investments Commission grant this Licence under subsection 795B(2) of the *Corporations Act 2001* (the Act) and impose conditions on this Licence under subsection 796A(1) of the Act.

Dated16/12/2019.....

Signed.....

as a delegate of the Minister under section 1101J of the Act

1. Name

This is the *Australian Market Licence (SwapEx, LLC) 2019*.

2. Commencement

This Licence commences when it is granted.

3. Definitions

In this Licence unless the contrary intention appears, terms defined in the Act have the same meaning in this Licence and:

Act means the *Corporations Act 2001*.

ASIC means the Australian Securities and Investments Commission.

Australian client means, in relation to a participant in the Market, a person in this jurisdiction on whose behalf the participant deals on the Market.

Australian participant means:

- (a) an Australian entity that is a participant in the Market;
- (b) a foreign branch or office of an Australian entity where:
 - (i) the foreign branch or office is a participant in the Market; or
 - (ii) the Australian entity is a participant in the Market.
- (c) an Australian branch or office of a foreign entity where:
 - (i) the Australian branch or office is a participant in the Market; or
 - (ii) the foreign entity is a participant in the Market.

foreign participant means a participant who is not an Australian participant.

Licensee means SwapEx, LLC ARBN 634 615 428.

Market means the financial market the Licensee is authorised to operate under this Licence.

reporting period means each period of 3 months, or part thereof, during which the Market is operated in Australia, ending on 31 March, 30 June, 30 September, or 31 December.

4. Grant of Licence

The Licensee is granted a licence to operate a financial market through which participants may make or accept offers to acquire or dispose of derivatives

5. Participants

- (1) It is a condition of this Licence that the Licensee must ensure that each Australian participant:
- (a) is a professional investor, or is a branch or office of an entity that is a professional investor; and
 - (b) only deals on the Market on their own behalf or on behalf of a person who is a professional investor.

6. Clearing and settlement arrangements

- (1) It is a condition of this Licence that the Licensee must:
- (a) clearly inform participants of the Market of their respective responsibilities in relation to the clearing and/or settlement of trades entered into on the Market; and
 - (b) have in place adequate arrangements to facilitate the efficient clearing and/or settlement of trades entered into on the Market, which must be arrangements of one or more of the following types:
 - (i) arrangements for the clearing and settlement of the trades with a clearing and settlement facility; or
 - (ii) arrangements to:
 - a. notify each party to a trade entered into on the Market of the identity of the other party to the trade; or
 - b. otherwise be satisfied that each party to a trade entered into on the Market knows the identity of the other party to the trade,
- so that the parties to the trade can settle the trade in accordance with arrangements agreed between them.

7. Periodic trade reporting

- (1) It is a condition of this Licence that the Licensee must give to ASIC, in a machine-readable format, at least the following information within 30 days after the end of each reporting period, for each product specified in the following table:

Category	Products
Commodity derivatives	Agricultural derivatives, Energy derivatives, Metals derivatives, Index derivatives, Environmental derivatives, Freight derivatives, Multi Commodity derivatives
Credit derivatives	Index derivatives, Index Tranche derivatives, Single Name derivatives, Swaptions, Total Return Swap, Total Return Swap Index, Exotic derivatives

Equity derivatives	Swap, Portfolio Swap, Contract for Difference, Option, Forward, Other derivatives
Foreign Exchange derivatives	Forward, Vanilla Option, NDF, NDO, Continuous FX, Simple Exotic, Exotic, Complex Exotic
Interest Rate and Inflation derivatives	FRA, Interest Rate Swap, Cross Currency, Inflation Swap, Forward Debt, CapFloor, Swaption, Debt Option, Inflation CapFloor, Exotic

- (a) the total number of trades and their total value, in AUD-equivalent terms, of trades during the reporting period by all participants; and
- (b) the total number of trades and their total value, in AUD-equivalent terms, of trades during the reporting period by each Australian participant, identifying each Australian participant by their registered name and, if applicable, their branch name and, if available, their Legal Entity Identifier; and
- (c) the total number of trades and their total value, in AUD-equivalent terms, of trades during the reporting period by each foreign participant but only in relation to the trades that the Licensee believes to be trades on behalf of one or more Australian clients, identifying each foreign participant by their registered name and, if applicable, their branch name and, if available, their Legal Entity Identifier; and
- (d) the total number of trades and their total value, in AUD-equivalent terms, of the trades that are included in the information in (b) and (c) above and which are trades between any two participants listed in (b) and (c) above.

8. Market ceases to operate

- (1) It is a condition of this Licence where the Licensee intends to cease operating the Market in this jurisdiction, the Licensee must:
 - (a) establish, document and implement adequate arrangements for ensuring, as applicable, the orderly cessation of the Market;
 - (b) notify ASIC:
 - (i) that the Licensee intends to cease operating the Market in this jurisdiction, as soon as reasonably practicable;
 - (ii) of the arrangements referred to in paragraph (a), as soon as reasonably practicable before ceasing to operate the Market in this jurisdiction; and
 - (iii) of any changes to the arrangements referred to in paragraph (a) following the notification in subparagraph (ii), as soon as reasonably practicable after making those changes.

19-1224



Corporations (SwapEx, LLC) Exemption Revocation Notice 2019

Corporations Act 2001

I, Nathan Bourne, Senior Executive Leader, Australian Securities and Investments Commission, make this notice under subsection 791C(2) of the *Corporations Act 2001* (the Act).

Dated 16/12/2019

Signed

A handwritten signature in black ink, appearing to be 'Nathan Bourne', written over a horizontal line.

as a delegate of the Minister under section 1101J of the Act

Name of instrument

1. This is the *Corporations (SwapEx, LLC) Exemption Revocation Notice 2019*.

Commencement

2. This instrument commences on the later of:
 - (a) the time that is immediately after the commencement of the *Australian Market Licence (SwapEx, LLC) 2019*; and
 - (b) the date of execution.

Revocation of exemption

3. The *Corporations (SwapEx, LLC) Exemption Notice 2017* is revoked.



ASIC

Australian Securities & Investments Commission

19-1225

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: WBS Pty Ltd
ACN: 118 898 016 ("the Licensee")
PO Box 509
BAIRNSDALE VIC 3875

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 486570 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 17 December 2019

Signed

John Connor

A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

19-1234

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Adam Smith Asset Management Pty Limited
ACN 105 984 003 ("the Licensee")
Po Box 270
LINDFIELD NSW 2070

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 236511 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 17 December 2019

Signed

John Connor
A delegate of the Australian Securities and Investments Commission

19-1264

**Australian Securities and Investments Commission
Corporations Act 2001 — Subsection 601CK(7) — Revocation and Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (**ASIC**) makes this declaration under subsection 601CK(7) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 19-1264.

Commencement

3. This instrument commences on gazettal.

Revocation

4. ASIC Instrument [17-1150] is revoked.

Declaration

5. Section 601CK does not apply to Commodity Exchange, Inc. ARBN 622 016 193 (**COMEX**), a foreign company incorporated in the State of New York in the United States of America in relation to a financial year ending on or after 31 December 2019 (*the relevant financial year*).

Where this instrument applies

6. This instrument applies where:
 - (a) COMEX is registered under Division 2 of Part 5B.2 of the Act; and
 - (b) COMEX is not required by the law of the place of its incorporation or formation to prepare:
 - (i) a balance sheet at the end of the relevant financial year;
 - (ii) a profit and loss statement for the relevant financial year; or
 - (iii) a cash flow statement for the relevant financial year;
 - (c) COMEX has not engaged in any activities in Australia other than those contemplated by the *Australian Market Licence (Commodity Exchange, Inc.) 2018* granted to COMEX by the Minister on 27 September 2018 (as varied from time to time) during the relevant financial year.

19-1264

- (d) COMEX has not been a disclosing entity, a borrower in relation to a debenture or a guarantor of such a borrower in Australia at any time during the period commencing at the start of the relevant financial year and ending on the day on which COMEX lodges a return under s601CK(9) of the Act following the end of the relevant financial year;
- (e) COMEX's ultimate holding company is CME Group Inc., a company incorporated in the State of Delaware in the United States of America;
- (f) CME Group Inc. has lodged with the United States Securities and Exchange Commission an annual report (*Form 10-K*) which includes in relation to CME Group Inc. and its subsidiaries (including COMEX), the following:
 - (i) Consolidated Balance Sheets;
 - (ii) Consolidated Statements of Income;
 - (iii) Consolidated Statements of Comprehensive Income;
 - (iv) Consolidated Statements of Equity; and
 - (v) Consolidated Statements of Cash Flows;(collectively, *the consolidated financial statements*) for the relevant financial year within 4 months after the end of the relevant financial year.
- (g) COMEX has lodged a return in the form prescribed for the purposes of s601CK(9) of the Act with ASIC within 4 months after the end of the relevant financial year with a copy of the most recent Form 10-K and most recent consolidated financial statements for CME Group Inc. attached.

Cessation

7. The declaration in paragraph 5 of this instrument ceases to apply on 31 December 2022.

Dated this 12th day of December 2019




Signed by Sabrina Mobbs
as a delegate of the Australian Securities and Investments Commission

19-1278

**Australian Market Licence (OTCX Trading Limited) 2019***Corporations Act 2001*

I, Nathan Bourne, Senior Executive Leader, Australian Securities and Investments Commission grant this Licence under subsection 795B(1) of the *Corporations Act 2001* (the Act) and impose conditions on this Licence under subsection 796A(1) of the Act.

Dated16/12/2019.....

Signed.....

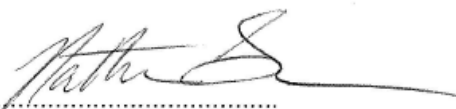
as a delegate of the Minister under section 1101J of the Act

19-1279

**Corporations (OTCX Trading Limited) Exemption Notice 2019***Corporations Act 2001*

I, Nathan Bourne, Senior Executive Leader, Australian Securities and Investments Commission make this notice under subsection 791C(1) of the *Corporations Act 2001* (the Act).

Dated16/12/2019.....

Signed.....

as a delegate of the Minister under section 1101J of the Act

19-1280

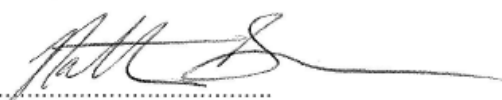


Corporations (OTCX Trading Limited) Exemption Revocation Notice 2019

Corporations Act 2001

I, Nathan Bourne, Senior Executive Leader, Australian Securities and Investments Commission, make this notice under subsection 791C(2) of the *Corporations Act 2001* (the Act).

Dated16/12/2019.....

Signed.....

as a delegate of the Minister under section 1101J of the Act

1. Name

This is the *Corporations (OTCX Trading Limited) Exemption Revocation Notice 2019*.

2. Commencement

This instrument commences on the later of:

- (a) the time that is immediately after the commencement of the *Australian Market Licence (OTCX Trading Limited) 2019*; and
- (b) the date of execution.

3. Revocation of exemption

The *Corporations (OTCX Trading Limited) Exemption Notice 2016* is revoked.

19-1288

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraphs 655A(1)(b) and 673(1)(b) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 655A(1)(b) and 673(1)(b) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 19-1288.

Commencement

3. This instrument commences on the date it is signed.

Declarations

4. Chapters 6 and 6C of the Act apply to COSOL Limited ACN 635 371 363 (the *Company*) as if section 609 were modified or varied by, after subsection (13) (as notionally inserted by ASIC Class Order [CO 13/520]), inserting:

“(13A) A body corporate does not have a relevant interest in its own securities merely because, under an escrow arrangement entered into by the body corporate, the body corporate applies restrictions on the disposal of the securities by the holder.”

5. Chapters 6 and 6C of the Act apply to the Company as if section 9 were modified or varied by, after subparagraph (a)(ii)(C) in the definition of *substantial holding* (as notionally inserted by ASIC Class Order [CO 13/520]), inserting:

“or

- (D) subsection 609(13A) (securities subject to escrow arrangement);”.

6. Chapter 6C of the Act applies to the Company as if section 671B were modified or varied by, omitting “.” in paragraph (7)(c) (as notionally inserted by ASIC Class Order [CO 13/520]), inserting:

“;or

- (d) subsection 609(13A) (securities subject to escrow arrangement).”.

19-1288

Where this instrument applies

7. This instrument applies in relation to relevant interests the Company has in securities of the Company (*Escrowed Securities*) merely because the Company has entered into one or more escrow agreements or deeds (each an *Escrow Arrangement*) with each *Security Holder* in connection with the proposed acquisition of the COSOL Australia Pty Ltd ACN 092 306 682 where each Escrow Arrangement:
- (a) restricts disposal of, but not the exercise of voting rights attached to, the Escrowed Securities;
 - (b) in the case of a full or proportional takeover bid:
 - (i) allows each Security Holder to accept into the takeover bid where holders of at least half of the bid class securities that are not subject to an Escrow Arrangement have accepted into the bid; and
 - (ii) requires that the Escrowed Securities be returned to escrow if the bid does not become unconditional;
 - (c) allows the Escrowed Securities to be transferred or cancelled as part of a merger by way of compromise or arrangement under Part 5.1 of the Act;
 - (d) terminates no later than 12 months from the *Listing Date*; and
 - (e) is substantially in the same form as the draft agreement provided to ASIC on 28 November 2019.

Interpretation

8. In this instrument:
- (a) *ASX* means ASX Limited ACN 008 624 691 or the financial market known as the Australian Securities Exchange which it operates, as the context requires.
 - (b) *Listing Date* means the date of admission of the Company's securities to the official list of the ASX.
 - (c) *Security Holder* means any of the following persons who hold shares in the Company:
 - (i) Geoffrey and Annemarie Lewis (as trustee for the Amcon Solutions Super Fund);
 - (ii) Grant Anthony Pestell (as trustee for the Storm Trust);

19-1288

- (iii) Stephen & Sarah Johnston (as trustee for the Johnston Retirement Fund);
- (iv) Gerald Strautins (as trustee for the Rialto Trust);
- (v) Caspana Pty Ltd ACN 109 496 853 (as trustee for the Fredericksen Family Trust);
- (vi) Mark Damian Cooper;
- (vii) MC Direct Pty Ltd ACN 093 883 455 (as trustee for the Cooper Family Trust);
- (viii) Bradley Ronald Skeggs (personally and as trustee for the Skeggs Family Trust);
- (ix) Christine Skeggs;
- (x) SNJ Business Solutions Pty Ltd ACN 118 024 123 (as trustee for the McGowan Family Trust);
- (xi) Gregory Robert Wood and Janette Helen Wood (as trustee for the GWJW Family Trust); and
- (xii) Zero Nominees Pty Ltd ACN 091 927 981.

Dated this 18th day of December 2019



Signed by Gloria Yip
as a delegate of the Australian Securities and Investments Commission

19-1288

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraphs 655A(1)(b) and 673(1)(b) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 655A(1)(b) and 673(1)(b) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 19-1288.

Commencement

3. This instrument commences on the date it is signed.

Declarations

4. Chapters 6 and 6C of the Act apply to COSOL Limited ACN 635 371 363 (the *Company*) as if section 609 were modified or varied by, after subsection (13) (as notionally inserted by ASIC Class Order [CO 13/520]), inserting:

“(13A) A body corporate does not have a relevant interest in its own securities merely because, under an escrow arrangement entered into by the body corporate, the body corporate applies restrictions on the disposal of the securities by the holder.”

5. Chapters 6 and 6C of the Act apply to the Company as if section 9 were modified or varied by, after subparagraph (a)(ii)(C) in the definition of *substantial holding* (as notionally inserted by ASIC Class Order [CO 13/520]), inserting:

“or

- (D) subsection 609(13A) (securities subject to escrow arrangement);”.

6. Chapter 6C of the Act applies to the Company as if section 671B were modified or varied by, omitting “.” in paragraph (7)(c) (as notionally inserted by ASIC Class Order [CO 13/520]), inserting:

“;or

- (d) subsection 609(13A) (securities subject to escrow arrangement).”.

19-1288

Where this instrument applies

7. This instrument applies in relation to relevant interests the Company has in securities of the Company (*Escrowed Securities*) merely because the Company has entered into one or more escrow agreements or deeds (each an *Escrow Arrangement*) with each *Security Holder* in connection with the proposed acquisition of the COSOL Australia Pty Ltd ACN 092 306 682 where each Escrow Arrangement:
- (a) restricts disposal of, but not the exercise of voting rights attached to, the Escrowed Securities;
 - (b) in the case of a full or proportional takeover bid:
 - (i) allows each Security Holder to accept into the takeover bid where holders of at least half of the bid class securities that are not subject to an Escrow Arrangement have accepted into the bid; and
 - (ii) requires that the Escrowed Securities be returned to escrow if the bid does not become unconditional;
 - (c) allows the Escrowed Securities to be transferred or cancelled as part of a merger by way of compromise or arrangement under Part 5.1 of the Act;
 - (d) terminates no later than 12 months from the *Listing Date*; and
 - (e) is substantially in the same form as the draft agreement provided to ASIC on 28 November 2019.

Interpretation

8. In this instrument:
- (a) *ASX* means ASX Limited ACN 008 624 691 or the financial market known as the Australian Securities Exchange which it operates, as the context requires.
 - (b) *Listing Date* means the date of admission of the Company's securities to the official list of the ASX.
 - (c) *Security Holder* means any of the following persons who hold shares in the Company:
 - (i) Geoffrey and Annemarie Lewis (as trustee for the Amcon Solutions Super Fund);
 - (ii) Grant Anthony Pestell (as trustee for the Storm Trust);

19-1288

- (iii) Stephen & Sarah Johnston (as trustee for the Johnston Retirement Fund);
- (iv) Gerald Strautins (as trustee for the Rialto Trust);
- (v) Caspana Pty Ltd ACN 109 496 853 (as trustee for the Fredericksen Family Trust);
- (vi) Mark Damian Cooper;
- (vii) MC Direct Pty Ltd ACN 093 883 455 (as trustee for the Cooper Family Trust);
- (viii) Bradley Ronald Skeggs (personally and as trustee for the Skeggs Family Trust);
- (ix) Christine Skeggs;
- (x) SNJ Business Solutions Pty Ltd ACN 118 024 123 (as trustee for the McGowan Family Trust);
- (xi) Gregory Robert Wood and Janette Helen Wood (as trustee for the GWJW Family Trust); and
- (xii) Zero Nominees Pty Ltd ACN 091 927 981.

Dated this 18th day of December 2019



Signed by Gloria Yip
as a delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001
Section 601CL(5)

ASIC has struck the foreign companies listed
below off the register.

Dated this twentieth day of December 2019

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company	ARBN
EMERSON ELECTRIC CO.	079 743 749
GOODMAN US FINANCE THREE, LLC	618 948 726
OMNIVETA FINANS APS	615 427 711
PA ENHANCED OPPORTUNITY VI LIMITED	615 695 782
SNOWFLAKE COMPUTING U.K. LIMITED	623 737 619

CORPORATIONS ACT 2001
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twentieth day of December 2019

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company	ARBN
AUSTRALIAN BUSINESS & COMMERCIAL SALES LIMITED	086 353 228
BLOCKHEAD VFX PTY LIMITED	615 291 677
BLUEPAK LUBRICANTS LIMITED	115 744 762
CAUNTER LIMITED	164 307 751
DISASTER KITS LIMITED	618 495 266
EPI GROUP LIMITED	133 520 448
ESL BIOSCIENCES AUSTRALIA (2012) PTY LIMITED	158 994 284
EVOLVE OUTDOORS GROUP LIMITED	604 248 017
FISHPOND RETAIL LIMITED	152 868 856
FUTURA TRAILERS LIMITED	616 659 600
GRASSLANZ TECHNOLOGY LIMITED	615 088 947
GROUNDWORK GROUP INT LIMITED	612 612 192
HARMONY CARE NZ LIMITED	619 307 863

JOSI IMPORTS LIMITED	614 428 616
LOCALES AUSTRALIA LIMITED	616 043 344
MAYO & CALDER LIMITED	618 920 997
MOE'S MUSCLES NUTRITION AND TRAINING LIMITED	611 309 561
NEW ZEALAND MICROGRAPHIC SERVICES LIMITED	136 793 470
NZQH LIMITED	615 609 080
NZSTIRRERS LIMITED	614 262 412
OCEANIA COMPASS LIMITED	613 788 444
OPI PACIFIC FINANCE LIMITED	110 685 651
PRL OFFSHORE PTY LIMITED	605 906 563
RETAIL PERFORMANCE LIMITED	616 481 933
RETAIL 161 (AUSTRALIA) LIMITED	614 722 244
R R FISHER & CO LIMITED	002 413 729
SINGLZ INTERNATIONAL LIMITED	119 845 948
SOLTECH NEW ZEALAND LIMITED	114 333 416
SQUAWKING MAGPIE WINES LIMITED	611 363 056
SUN IMPLEMENTATION LIMITED	618 963 965
TE HUIA ENTERPRISES LIMITED	116 414 405
TEN4 (NZ) LIMITED	620 074 991
THE REBEL FLEET LIMITED	618 237 022
TH2CONNECT GP LIMITED	618 494 983

TOURISM INTEGRATION LIMITED	616 806 396
TRIESTE VENTURA LIMITED	618 676 243
TRILOGY ASSET SECURITIES LIMITED	138 875 475
TRIMAC 23MC LIMITED	609 130 994
TURNERS INTERNATIONAL MARKETING LIMITED	616 993 223
WISHBONE DESIGN STUDIO LIMITED	140 117 022
ZIMBA DESIGNS PTY LIMITED	159 054 869
Z487 LIMITED	615 171 661

CORPORATIONS ACT 2001
Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twentieth day of December 2019

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Scheme	ARSN
FIRSTSTEP FUND	616 173 865
MACQUARIE DIVIDEND RUN-UP FUND	162 967 937
MACQUARIE SIV GOVERNMENT BOND FUND	160 467 336
MACQUARIE SIV GROWTH FUND	162 895 758
NEUBERGER BERMAN SYSTEMATIC GLOBAL EQUITY TRUST	096 008 703
SELECT LISTED INVESTMENTS FUND	119 534 048

Corporations Act 2001
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

DMC1 LIMITED ACN 108 013 672 will change to a proprietary company limited by shares. The new name will be DMC1 PTY LIMITED ACN 108 013 672.

GPN VACCINES PTY LTD ACN 621 789 008 will change to a public company limited by shares. The new name will be GPN VACCINES LTD ACN 621 789 008.

PEPPER GROUP LIMITED ACN 094 317 665 will change to a proprietary company limited by shares. The new name will be PEPPER GROUP PTY LIMITED ACN 094 317 665.

VALPERLON BULK COMMODITIES PTY LTD ACN 163 215 914 will change to a public company limited by shares. The new name will be VBX LIMITED ACN 163 215 914.

YOUTH EMPLOYMENT & TRAINING AUSTRALIA LTD ACN 615 405 797 will change to a proprietary company limited by shares. The new name will be YOUTH EMPLOYMENT & TRAINING AUSTRALIA PTY LTD ACN 615 405 797.

ECHO MINING LIMITED ACN 120 974 567 will change to a proprietary company limited by shares. The new name will be NORTHERN STAR (ECHO MINING) PTY LTD ACN 120 974 567.

GREENCROSS LIMITED ACN 119 778 862 will change to a proprietary company limited by shares. The new name will be GREENCROSS PTY LIMITED ACN 119 778 862.

PINNACLE LIQUOR GROUP PTY LIMITED ACN 159 767 843 will change to a public company limited by shares. The new name will be PINNACLE LIQUOR GROUP LIMITED ACN 159 767 843.

WEBXACT PTY LTD ACN 150 555 721 will change to a public company limited by shares. The new name will be BUILDXACT SOFTWARE LIMITED ACN 150 555 721.