



ASIC
Australian Securities &
Investments Commission

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RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review (RG57)* and Information Sheet *ASIC decisions – your rights (INFO 9)* to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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19-0932

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 926A – Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 926A of the *Corporations Act 2001* (the *Act*) for the purposes of paragraph 911A(2)(l) of the Act.

Title

2. This instrument is ASIC Instrument 19-0932.

Commencement

3. This instrument commences on gazettal.

Exemption

4. ShareGift Australia Limited ACN 109 549 304 (the *Company*) is exempt from the requirement under subsection 911A(1) of the Act to hold an Australian financial services licence in the circumstances set out in paragraph 5 and subject to the conditions set out in paragraphs 6 to 11 of this instrument.

Where this instrument applies

5. This instrument applies to the provision of financial services in relation to the Relevant Activities where:
 - (a) the Company provides financial services in its capacity as trustee of ShareGift Australia ABN 27 086 590 485 (*ShareGift*), which is a fund that operates as a charitable fund registered with the ACNC;
 - (b) the financial services provided by the Company consist only of the following:
 - (i) dealing in a financial product by arranging for another person to apply for, acquire, vary or dispose of a financial product in respect of the following classes of financial products:
 - i. securities
 - ii. interests in a managed investment scheme (excluding IDPS)
 - (ii) providing general financial product advice for the following classes of financial products:
 - i. securities
 - ii. interests in a managed investment scheme (excluding IDPS)
- to retail and/or wholesale clients.

19-0932

Conditions

6. The Company must provide to all Donors a disclosure in writing that the Company is exempt from the requirement to hold an Australian financial services licence where the Company provides financial services in relation to the Relevant Activities, in its capacity as trustee of ShareGift.
7. The Company must forward to the Donor of any securities a confirmation, in a form that complies with Rule 3.4.1 of the *ASIC Market Integrity Rules (Securities Markets) 2017*, as soon as practicable after a market transaction is entered into to sell those securities.
8. ShareGift must retain its status as a charity registered with the ACNC.
9. ShareGift must retain its status as a Deductible Gift Recipient and Tax Concession charity.
10. The Company must provide ASIC with a written notification no later than 10 business days of the Company becoming aware of any of the following:
 - (a) any change to ShareGift's status as a charity registered with the ACNC;
 - (b) any change to ShareGift's status as a Deductible Gift Recipient and Tax Concession charity;
 - (c) any change to the Company's operations as trustee of ShareGift, and any arrangements that ShareGift may have with Donors and third-parties, including Participating Brokers, with respect to the provision of financial services in relation to the Relevant Activities, but only to the extent that such change would, but for this instrument, affect the Company's obligation to hold an Australian financial services licence; and
 - (d) any failure by the Company to comply with any of the conditions of this instrument.
11. The Company undertakes to provide ASIC with information that ASIC may reasonably request in respect of the Company's compliance with any of the conditions of this instrument.

Interpretation

12. In this instrument:

ACNC means the Australian Charities and Not-for-profits Commission.

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Donor means a holder of a financial product who engage with the Company for the purpose of selling that financial product and directing the proceeds of that sale to ShareGift for ShareGift's charitable purposes.

Participating Broker means a broker who is a regulated trading participant on the relevant financial market for a financial product and whom ShareGift has arranged to execute the sale of a Donor's financial product without charging any brokerage fee;

Relevant Activities means the following activities conducted by the Company:

- (a) the provision of information on the ShareGift website and through other media on the ability of a person to donate financial products through ShareGift;
- (b) where a Donor's holding in a financial product is held with a broker other than a Participating Broker, the facilitation of a conversion of that holding to an issuer sponsored holding; and
- (c) the instructing of a relevant Participating Broker, on behalf of a Donor, to sell the Donor's donated financial product through an account held in ShareGift's name with that Participating Broker, where that instruction is accompanied by a copy of the share sale donation form and other required documentation where applicable.

Dated this 18th day of November 2019



Signed by William Ly
as a delegate of the Australian Securities and Investments Commission

19-1020

NOTICE UNDER SECTION 920E OF THE CORPORATIONS ACT 2001

Notice is given under section 920E of the *Corporations Act 2001* that the Australian Securities and Investments Commission has made a banning order in the terms set out below, which order took effect on 7 October 2019.

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

IN THE MATTER OF JIHAD SOLEIMAN

SECTIONS 920A AND 920B
OF THE CORPORATIONS ACT 2001

To: Mr Jihad Soleiman (also known as Joey Soleiman)

TAKE NOTICE that under sections 920A(1) and 920B(2) of the *Corporations Act 2001* the Australian Securities and Investments Commission prohibits **JIHAD SOLEIMAN (also known as JOEY SOLEIMAN)** from providing any financial services for six years.

Dated this 4th day of October 2019.



Signed:

Lisa Lu
Delegate of the Australian Securities and Investments Commission

Your attention is drawn to subsection 920C(2) of the *Corporations Act 2001* which provides that a person must not engage in conduct which breaches a banning order that has been made against the person. Contravention of subsection 920C(2) is an offence.

**ASIC**

Australian Securities & Investments Commission

19-1133**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B****Notice of Cancellation of an Australian Financial Services Licence**

TO: Amundi Asset Management Australia Limited
ACN128 652 442 ("the Licensee")
Level 22, 1 Martin Place
Sydney NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 325953 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 14 November 2019

Signed

A handwritten signature in cursive script, appearing to read 'John J. Connor', written over a dotted line.

John Connor

A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

19-1150

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Suspension of an Australian Financial Services Licence

TO: Ignition Wealth Limited
ACN 602 351 968 ("the Licensee")
Level 17
100 Miller Street
NORTH SYDNEY NSW 2060

1. Pursuant to paragraph 915B(3)(d) if body corporate of the **Corporations Act 2001** (the Act), the Australian Securities and Investments Commission hereby suspends Australian Financial Services Licence number 470605 held by the Licensee until 11 April 2020, with effect from the date on which this notice is given to the Licensee.
2. Pursuant to section 915H of the Act, the Australian Financial Services Licence continues in effect while suspended, as though the suspension had not happened for the purposes of:
 - a. paragraph 912A(1)(g) and 912A(2)(c) of the Act, which requires the Licensee to maintain membership of the Australian Financial Complaints Authority scheme; and
 - b. section 912B of the Act, which requires the Licensee to have compensation arrangements in place for retail clients. The arrangements must satisfy the requirements set out in regulation 7.6.02AAA of the Corporations Regulations 2001.

Dated

14 November 2019

Signed

John Connor
a delegate of the Australian Securities and Investments Commission

**ASIC**

Australian Securities & Investments Commission

19-1162**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B****Notice of Cancellation of an Australian Financial Services Licence**

TO: Ravi Tickoo
ABN: 15 579 340 108 ("the Licensee")
2 Amber Close
PARKLEA NSW 2768

Pursuant to paragraph 915B(1)(e) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 487240 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated *18 November 2019*

Signed

John J Connor

John Connor

A delegate of the Australian Securities and Investments Commission

**ASIC**

Australian Securities & Investments Commission

19-1164**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B****Notice of Cancellation of an Australian Financial Services Licence**

TO: Frank Larosa
ABN: 89 254 438 300 ("the Licensee")
Suite 6
2-4 Merton Street
SUTHERLAND NSW 2232

Pursuant to paragraph 915B(1)(e) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 484744 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 18 November 2019

Signed .....

John Connor

A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

19-1165

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Energy One Limited
ACN: 076 583 018 ("the Licensee")
Level 13
77 Pacific Highway
NORTH SYDNEY NSW 2060

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 247139 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 18 November 2019

Signed

A handwritten signature in cursive script that reads "John Connor". The signature is written over a dotted line.

John Connor

A delegate of the Australian Securities and Investments Commission

19-1175

Notice is given under section 920E of the Corporations Act 2001 that the Australian Securities and Investments Commission has made a banning order in the terms set out below, which order took effect on 14 November 2019

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION**IN THE MATTER OF Adrian John Cassidy****SECTIONS 920A AND 920B
OF THE CORPORATIONS ACT 2001**

To: Mr Adrian John Cassidy

TAKE NOTICE that under sections 920A (1) and 920B (2) of the *Corporations Act 2001* the Australian Securities and Investments Commission prohibits **Adrian John Cassidy** from providing any financial services for a period of 6 years.

Dated this 14th day of November 2019

Signed:



Melanie Baxter
Delegate of the Australian Securities and Investments Commission

Your attention is drawn to section 920C (2) of the *Corporations Act 2001* which provides that a person must not engage in conduct which breaches a banning order that has been made against the person. Contravention of section 920C (2) is an offence.

19-1176

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 741(1)(b) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (**ASIC**) makes this instrument under paragraph 741(1)(b) of the *Corporations Act 2001 (Act)*.

Title

2. This instrument is ASIC Instrument 19-1176.

Commencement

3. This instrument commences on the date it is signed.

Declaration

4. Chapter 6D of the Act applies to a person who holds securities in the Company as if section 707 was modified or varied by omitting subsections 707(3) and (4) and substituting the following subsections:

“(3) An offer of a body’s securities for sale within 12 months after their issue needs disclosure to investors under this Part if the body issued the securities:

- (a) without disclosure to investors under this Part; and
- (b) with the purpose of the person to whom they were issued selling or transferring the securities, or granting, issuing or transferring interests in, or options over, them;

and section 708 and 708A does not say otherwise.

- (4) Unless the contrary is proved, a body is taken to issue securities with the purpose referred to in paragraph 3(b) if any of the securities are subsequently sold, or offered for sale, within 12 months after their issue.”

Where this instrument applies

5. The declaration in paragraph 4 applies to a person who holds shares in the Company and makes an offer for sale of those shares within 12 months of their issue and where:
 - (a) the shares were issued by the Company, without disclosure under Chapter 6D of the Act:
 - (i) in consideration for the conversion of Preference Shares, Warrants and Convertible Notes, on or around the completion of the IPO; or

(ii) as a result of the exercise of Options; and

(b) a prospectus is lodged with ASIC by Nitro Software in relation to the IPO, on or around 21 November 2019.

Interpretation

6. In this instrument:

Company means Nitro Software Limited ACN 079 215 419.

IPO means the initial public offering of the Company as defined in the Company's relief application dated 30 August 2019.

Options means the options that were granted by the Company prior to the commencement of this instrument without disclosure under Chapter 6D of the Act and detailed in Annexure C of the Company's relief application dated 30 August 2019.

Preference Shares, Warrants and Convertible Notes means the Series A preference shares, Series B preference shares, Series C preference shares, Series D preference shares, warrants and convertible notes issued by the Company prior to the commencement of this instrument as referred to in the Company's application for relief dated 30 August 2019.

Dated this 19th day of November 2019



Signed by Jeremiah Leong
as a delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001
Section 601CL(5)

ASIC has struck the foreign companies listed
below off the register.

Dated this twenty-second day of November 2019

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company	ARBN
ADI SYSTEMS ASIA PACIFIC LIMITED	161 430 619
DOLPHIN DYNAMICS AUSTRALIA LIMITED	165 243 041
STARBURST ENTERPRISES LIMITED	161 404 306

CORPORATIONS ACT 2001
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-second day of November 2019

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company

ARBN

AIRXPANDERS, INC.	604 398 423
MODEC VENTURE 11 B. V.	107 892 562
PJT PARTNERS (HK) LIMITED	606 935 773
WANNA TRAIN PTE. LTD.	625 559 453

CORPORATIONS ACT 2001
Section 601CC(3)

ASIC will strike the companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-second day of November 2019

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company

ARBN

THE STARR-BOWKETT CO-OPERATIVE HOME LOANS

058 344 559

SOCIETY NO. 8 LIMITED

CORPORATIONS ACT 2001
Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-second day of November 2019

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Scheme

ARSN

AIM GATEWAY FUND

613 156 479

Corporations Act 2001
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

CENTENARIO LITHIUM LIMITED ACN 621 758 754 will change to a proprietary company limited by shares. The new name will be CENTENARIO LITHIUM PTY LTD ACN 621 758 754.

G5 ASSET MANAGEMENT LIMITED ACN 087 504 532 will change to a proprietary company limited by shares. The new name will be G5 ASSET MANAGEMENT PTY LIMITED ACN 087 504 532.

REFINED ORE INDUSTRIES LIMITED ACN 610 196 211 will change to a proprietary company limited by shares. The new name will be REFINED ORE INDUSTRIES PTY LTD ACN 610 196 211.

CORONIS GROUP LTD ACN 606 982 423 will change to a proprietary company limited by shares. The new name will be CORONIS GROUP PTY LTD ACN 606 982 423.

LINQ CAPITAL LIMITED ACN 098 197 258 will change to a proprietary company limited by shares. The new name will be LINQ CAPITAL PTY LTD ACN 098 197 258.

THE SAVILLE FAMILY FOUNDATION PTY LTD ACN 634 163 607 will change to a public company limited by shares. The new name will be THE SAVILLE FAMILY FOUNDATION LTD ACN 634 163 607.