

**From:** [RI Manager](#)  
**To:** [Whistleblower Policy](#)  
**Subject:** Regulatory Institute: ASIC consultation on the proposed guidance on whistleblower policies  
**Date:** Tuesday, 17 September 2019 6:35:40 PM

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Attention: ASIC whistleblower policies consultation

Dear Sir or Madam

The Regulatory Institute is pleased to submit its article "Whistleblowers: protection, incentives and reporting channels as a safeguard to the public interest" to the public consultation seeking feedback on the proposed guidance for entities that must have a whistleblower policy—public companies, large proprietary companies and proprietary companies that are trustees of registrable superannuation entities.

The Regulatory Institute's article can be found at this link <https://www.howtoregulate.org/whistleblowers-protection-incentives/#more-234> and briefly enumerates international reference documents and a range of national regulations and regulatory techniques on how to recognise the need to protect good faith whistleblower disclosing alleged wrongdoing to protect the public interest.

The Regulatory Institute is a non-profit organisation that assists in the improvement of regulation in different jurisdictions by providing research on legislation and regulatory techniques across a number of sectors and countries. This research is provided for free through the articles published at [www.howtoregulate.org](http://www.howtoregulate.org).

We believe that many insights can be gained, and indeed better regulation, from applying a transcontinental and trans-sector approach to regulatory development. Our vision is that law-makers develop regulations using good law-making methodology, such as the Handbook "How to regulate?", and regulatory techniques, so that regulations benefit us all.

However, many jurisdictions have seen budget cuts to legislative drafting and regulatory development, and so it is difficult to make laws under such constraints. The Regulatory Institute can assist the resource constrained official by assisting in the:

- Preparation of guidance materials and other texts on regulatory techniques and methods of law-making;
- Provision of training, advice and consultancy services on regulatory techniques and methods of law-making; and
- Commenting on draft regulation on request, or in the framework of public consultations or through parliamentary processes.

I wish you well in your public consultation and in the implementation of this regulatory guidance.

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