ASIC engages with small business and government



ASIC assists small business

RESULTS FOR 1 JULY 2018 – 30 JUNE 2019



96% of all companies and businesses registered with ASIC are considered small businesses, with fewer than 20 employees

ENQUIRIES					
670,000+	total enquiries answered	REGISTR	Y		
530,000+	phone enquiries answered		375,000+	new business name registrations	
(0 93,000+	email (and asic.gov.au) enquiries answered		220,000+ 142 million+	new company registrations searches of ASIC	
23,000+	web chat enquiries answered			registers	
2,200+	mail enquiries answered	ONLINE	ONLINE LODGEMENTS		
238	social media enquiries answered		300%	increase in the proportion of financial accounts lodged online*	

RESULTS FOR 1 JULY 2018 – 30 JUNE 2019

Protect

ASIC conducts surveillance, investigates and takes action to help protect small business

CRIMINAL OUTCOMES

Total criminal outcomes

382 individuals/entities prosecuted for827 criminal offences

Registry outcomes relating to 'fair and efficient registration and licensing'

Liquidator Assistance Program outcomes **351** individuals/entities prosecuted for **705** offences

18 entities prosecuted for 93 offences

Director of Public Prosecution outcomes 13 individuals/entities prosecuted for29 offences

BANNINGS, DISQUALIFICATIONS AND LICENCE CONDITIONS



- **50** director disqualifications
- 74 Australian Credit Licence cancellations
 - **3** Australian Credit Licence suspension

ILLEGAL PHOENIX ACTIVITY

ASIC takes a strategic and coordinated approach to detect, understand and respond to illegal phoenix activity



www.asic.gov.au/phoenix

Outcomes include: Actions against* 17 registered liquidators, 2 companies and at least 29 directors between August 2013 and July 2018 for behaviour that involved, assisted or facilitated illegal phoenix activity

*Court and Companies Auditors Disciplinary Board decisions and enforceable undertakings