



**ASIC**  
Australian Securities &  
Investments Commission

Commonwealth of Australia Gazette  
No. A31/19, Tuesday 23 July 2019

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# ASIC Gazette

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### RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review (RG57)* and Information Sheet *ASIC decisions – your rights (INFO 9)* to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at [www.asic.gov.au](http://www.asic.gov.au) or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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19-0140

**Australian Securities and Investments Commission  
Corporations Act 2001 – Subsections 601QA(1), 926A(2) and 1020F(1) – Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsections 601QA(1), 926A(2) and 1020F(1) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 19-0140.

**Commencement**

3. This instrument commences on gazettal.

**Exemptions**

4. Commonwealth Securities Limited ACN 067 254 399 (*CommSec*) does not have to comply with any of the following:
  - (a) subsection 601ED(5) of the Act in relation to the Managed Investment Scheme;
  - (b) sections 1012B, 1017B, 1017D, 1018A and 1019B of the Act in relation to interests in the Managed Investment Scheme;
  - (c) subsection 911A(1) of the Act for dealing in interests in the Managed Investment Scheme.

**Where exemptions apply**

5. The exemptions in paragraph 4 apply where all the following apply:
  - (a) the only financial products that may be acquired or disposed of through CommSec Pocket are units in Exchange Traded Funds that are admitted to quotation on a licensed market;
  - (b) CommSec Pocket would not involve a managed investment scheme if it did not include the following features:
    - (i) Bulking;
    - (ii) a Bulk Order to purchase or sell units in the same Exchange Traded Fund from participating users is executed over the day and the price allocated to users is the VWAP;

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- (c) CommSec has provided users of CommSec Pocket with prominent disclosure about the operation of the Bulking and VWAP allocation processes in each of the following:
- (i) CommSec Pocket's Terms and Conditions;
  - (ii) CommSec's Best Execution Policy;
  - (iii) the CommSec Pocket account opening process;
- to allow users to make an informed decision whether or not to participate in CommSec Pocket.

#### Interpretation

7. In this instrument:

*Act* means the *Corporations Act 2001*.

*After-Market Hours Order* means an order for the purchase or sale of units in an ETF placed through CommSec Pocket:

- (a) outside the period between 10:00 am and 4:00 pm on a Trading Day; or
- (b) at any time on a day that is not a Trading Day.

*ASX* means ASX Limited ACN 008 624 691.

*Bulk Order* means the combined order that results from Bulking.

*Bulking* means aggregation and, where applicable, crossing of all Recurrent Orders and After-Market Hours Orders due to be executed on a given Investment Date.

*CommSec's Best Execution Policy* means the policy outlining the requirement for CommSec to take all sufficient steps to obtain the best possible outcome for its clients when executing transactions directly for or on their behalf.

*CommSec Pocket* means the arrangement whereby CommSec provides a mobile application that allows individual investors to submit orders:

- (a) to buy and sell units in Exchange Traded Funds; and
- (b) that may form part of a Bulk Order and be allocated the VWAP.

*CommSec Pocket's Terms and Conditions* means the terms and conditions that apply to users of CommSec Pocket.

*Exchange Traded Fund* has the same meaning as an ETF as defined in the operating rules of ASX as at the date of this instrument.

*Investment Date* means:

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- (a) in respect of an After-Market Hours Order placed during the period from 12:00 am to 10:00 am on a Trading Day—that Trading Day; and
- (b) in respect of an After-Market Hours Order placed after 4:00 pm on a Trading Day—the next Trading Day; and
- (c) in respect of a Recurrent Order—the date upon which the order of units in an ETF under the Recurrent Order is due to be executed.

**Managed Investment Scheme** means the managed investment scheme that arises from CommSec Pocket.

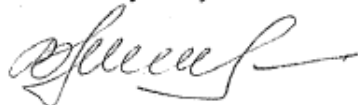
**Recurrent Order** means an order made under an arrangement between a client and CommSec where, under the arrangement:

- (a) orders are placed by a client through CommSec Pocket for the purchase of units in an ETF at the client's nominated dollar amount; and
- (b) the orders are made on a recurrent weekly, fortnightly or monthly basis; and
- (c) no orders may be made under the arrangement if more than six months (or such shorter period specified under the arrangement) has passed since:
  - (i) if the client has not provided a confirmation that the arrangement should be continued—the date the arrangement was entered into; or
  - (ii) otherwise—the date of the most recent confirmation that the arrangement should be continued.

**Trading Day** has the same meaning as defined in the operating rules of ASX as at the date of this instrument.

**VWAP** means the volume-weighted average price of a given Bulk Order executed by CommSec.

Dated this 18 day of July 2019



Signed by Vlad Medvedev  
as a delegate of the Australian Securities and Investments Commission

**ASIC**

Australian Securities &amp; Investments Commission

**19-0756****Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B****Notice of Cancellation of an Australian Financial Services Licence**

TO: Qaurles Pty. Ltd.  
ABN43 009 229 903 ("the Licensee")  
PO Box 898  
INGLEWOOD WA 6052

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 480028 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 12 July 2019

Signed .....

A handwritten signature in black ink, appearing to be 'F. Williams', written over a dotted line.

Floyd Williams  
A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities &amp; Investments Commission

19-0757

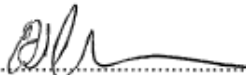
**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Bossley Park Pty. Ltd.  
ABN 47 064 589 457 ("the Licensee")  
PO Box 762  
KEW VIC 3101

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 489079 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 12 July 2019

Signed 

Floyd Williams  
A delegate of the Australian Securities and Investments Commission

19-0758

**Australian Securities and Investments Commission  
Corporations Act 2001 - Subsection 111AT(1) - Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 111AT(1) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This exemption is ASIC Instrument 19-0758.

**Commencement**

3. This instrument commences on the day it is signed.

**Order**

4. Forest Enterprises Australia Limited (Subject to Deed of Company Arrangement) (Receivers and Managers Appointed) ACN 009 553 548 (*Company*) does not have to comply with Part 2M.3 of the Act as it applies to disclosing entities as follows:
  - (a) report to members of the Company under section 314 within the time required by section 315;
  - (b) send reports to a member of the Company in accordance with a request under subsection 316(1) within the time required by subsection 316(2);
  - (c) lodge reports with ASIC under subsection 319(1) within the time required by subsection 319(3);
  - (d) lodge half-year reports with ASIC under subsection 320(1) within the time required by that subsection;

in relation to:

- (e) a financial year or half-year of the Company ending during the deferral period; and
- (f) the financial years of the Company that ended on 30 June 2010, 30 June 2011, 30 June 2012, 30 June 2013, 30 June 2014, 30 June 2015, 30 June 2016, 30 June 2017, 30 June 2018 and 30 June 2019; and
- (g) the half-years of the Company that ended on 31 December 2009, 31 December 2010, 31 December 2011, 31 December 2012, 31 December 2013, 31 December 2014, 31 December 2015, 31 December 2016, 31 December 2017 and 31 December 2018.

This paragraph applies until the last day of the deferral period.

**Conditions**

5. The Company:

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- (a) must comply with any obligation to which paragraph 4 applies by no later than the last day of the deferral period;
- (b) must arrange for a notice explaining the relief granted by this instrument to be published:
  - (i) both:
    - (A) in a prominent place on the Company's website (if any); and
    - (B) in a place that is readily accessible on a website maintained by the External Administrator or any external administrator appointed after the External Administrator; and
  - (ii) if the Company is listed on a prescribed financial market—on a website maintained by the operator of the financial market;
- (c) must have adequate arrangements in place to answer, within a reasonable period of time and without charge, any reasonable questions asked by a member of the Company about the external administration or any later external administration or managing controllership occurring after the appointment of the External Administrator during the deferral period;
- (d) must prepare a report for each relevant period during the deferral period and on the completion of the deferral period, which includes information about the progress and status of the external administration unless disclosure of that information would be prejudicial to the external administration, including details (as applicable) of:
  - (i) the actions taken by the External Administrators during the period (if any);
  - (ii) the actions required to complete the external administration (if any);
  - (iii) the expected time to complete the external administration; and
  - (iv) an update of the Receipts and Payments in the external administration.
- (e) a report required to be prepared under subparagraph (d) in relation to a relevant period must be made available to members of the company within 1 month after the end of the relevant period by arranging for the report to be published:
  - (i) both:
    - (A) in a prominent place on the Company's website (if any); and
    - (B) in a place that is readily accessible on a website maintained by the External Administrator or any external administrator appointed after the External Administrator; and

Note: If the External Administrator is a member of a firm then the firm's website will satisfy this requirement in (B).



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- (ii) if the company is listed on a prescribed financial market—on a website maintained by the operator of the financial market;
6. The Company need not comply with the condition specified in subparagraph 5(a) (other than an obligation to lodge referred to in paragraph 4(c) or 4(d)) if, by no later than the last day of the deferral period, the Company arranges for a prescribed notice to be published:
- (a) both:
    - (i) in a prominent place on the Company's website (if any); and
    - (ii) in a place that is readily accessible on a website maintained by the External Administrator or any external administrator appointed after the External Administrator during the deferral period; and

Note: If the External Administrator is a member of a firm then the firm's website will satisfy this requirement (ii).
  - (b) if the Company is listed on a prescribed financial market—on a website maintained by the operator of the financial market.
7. This exemption will cease to apply in relation to a financial year or half-year of the Company from the date of any failure to comply with a condition in paragraph 5 (subject to paragraph 6) in relation to the relevant financial year or half-year.
8. To avoid doubt, subject to paragraph 6, if an obligation to which paragraph 4 applies has not been complied with by the day after the end of the deferral period or the day after this exemption ceases to apply under paragraph 7, whichever occurs first, this exemption has no application from that date to any continuing obligation.

**Interpretation:**

In this instrument:

*deferral period* means the period starting on the date of this instrument and ending on the earlier of:

- (a) 15 July 2021;
- (b) the date on which a disclosure document is lodged with ASIC by the Company in relation to any offer for issue or sale of securities that needs disclosure to investors under Chapter 6D of the Act; or

Note: Where a company is undertaking public fundraising for a recapitalisation, up-to-date financial reports are information that is reasonably required by investors in a disclosure document lodged under s710.

- (c) the date on which the Company is reinstated to quotation by ASX Limited; or
- (d) the date that the Company ceases to be under external administration; or
- (e) the date on which a liquidator is appointed in relation to the Company.

Note: A company that has been granted an individual deferral, must comply with any deferred financial reporting obligations in accordance with the Corporations Act or obtain

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further deferral relief before the deferral expires, except where a liquidator is appointed, or the company ceases.

**external administration** means where an external administrator has been appointed to the Company.

**external administrator** means:

- (a) an administrator of the Company appointed under s436A, 436B or 436C of the Act;
- (b) where the Company has executed a deed of company arrangement that has not yet terminated, the administrator of the deed appointed under Part 5.3A of the Act; or
- (c) a provisional liquidator of the Company; or
- (d) a managing controller appointed in relation to the property of the Company.

**External Administrators** means Timothy Bryce Norman and Salvatore Algeri of Deloitte Touche Tohmatsu as receivers and managers appointed to the Company on 14 April 2010 and appointed as controllers of the Company on 23 June 2014 and any subsequent external administrators appointed to the Company.

**prescribed notice** means a notice that contains statements to the following effect:

- (a) the reports for the relevant financial year of the Company have been lodged with ASIC; and
- (b) the Company will send copies of the reports to a member of the Company free of charge if the member asks for the reports in writing; and
- (c) the reports are available for download on the relevant website together with a hypertext link to the reports.

**relevant period** in relation to a report, means:

- (a) for the first relevant period, the period of 6 months ending after the date of commencement of this instrument;
- (b) for subsequent relevant periods other than the last relevant period ending at the end of the deferral period, each period of 6 months starting at the end of the previous relevant period; and
- (c) for the last relevant period ending at the end of the deferral period, the period starting at the end of the previous relevant period and ending at the end of the deferral period.

Dated: 12 July 2019



Signed by Lucy Thwaites  
as a delegate of the Australian Securities and Investments Commission

19-0764

**Australian Securities and Investments Commission**  
**Corporations Act 2001 – Subsections 601QA(1), 741(1), 926A(2), 992B(1), and**  
**1020F(1) – Exemptions**

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**Enabling legislation**

1. The Australian Securities and Investments Commission (ASIC) makes this instrument under subsections 601QA(1), 741(1), 926A(2), 992B(1) and 1020F(1) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 19-0764.

**Commencement**

3. This instrument commences on the date it is signed.

**Nature of this instrument**

4. This instrument is an individual relief instrument, as referred to in paragraphs 28A to 28D of ASIC Class Order [CO 14/1000].

**Disclosure relief***Offers made under an employee incentive scheme*

5. A Specified Person that makes an offer under an employee incentive scheme covered by this instrument does not have to comply with Part 6D.2, Part 6D.3 or Part 7.9 of the Act in relation to the offer.

*Subsequent sale offers*

6. A person that makes a sale offer of an underlying eligible product within 12 months after the issue of the product does not have to comply with Part 6D.2, Part 6D.3 or Part 7.9 of the Act in relation to the sale offer where:
  - (a) the product was issued or otherwise granted:
    - (i) to an eligible participant under an employee incentive scheme; or
    - (ii) to a FCPE or a trustee in connection with an employee incentive scheme; and
  - (b) the person has no reason to believe the employee incentive scheme is not covered by this instrument.
7. A person that makes a sale offer of a financial product within 12 months after the issue of the product does not have to comply with Part 6D.2, 6D.3 or Part 7.9 of the Act in relation to the sale offer where:
  - (a) the product was issued by reason of the exercise or vesting of an eligible product issued or otherwise granted to:
    - (i) an eligible participant under an employee incentive scheme; or

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- (ii) to a FCPE or a trustee in connection with an employee incentive scheme; and
- (b) the person has no reason to believe the employee incentive scheme is not covered by this instrument.

**Licensing, hawking and other incidental relief***General advice*

8. A Specified Person that makes an offer under an employee incentive scheme covered by this instrument and, in relation to the offer, provides a financial service consisting of general advice in connection with the offer, does not have to comply with subsection 911A(1) of the Act in relation to the advice.

*Dealing*

9. A Specified Person that provides any of the following financial services in relation to an offer in connection with an employee incentive scheme covered by this instrument does not have to comply with subsection 911A(1) of the Act in relation to the financial service:
- (a) issuing the eligible product;
  - (b) dealing in the eligible product where any acquisition by purchase or disposal of the eligible product by the Company or a related body corporate occurs either:
    - (i) through a financial services licensee; or
    - (ii) outside this jurisdiction and through a person which is licensed or otherwise authorised to deal in financial products of that kind in the relevant place; and
  - (c) dealing in an interest in a managed investment scheme covered by paragraph 13 of this instrument or paragraph 28C of ASIC Class Order [CO 14/1000].

*Custodial or depository services*

10. A Specified Person that provides any of the following financial services in connection with an employee incentive scheme covered by this instrument does not have to comply with subsection 911A(1) of the Act in relation to the financial services:
- (a) a custodial or depository service in relation to the eligible product where the Specified Person performs their duties in good faith and has sufficient resources to perform those duties; and
  - (b) dealing in the eligible product in the course of providing a custodial or depository service covered by paragraph (a).

*Hawking*

11. A Specified Person that makes an offer of an eligible product to an eligible participant in the course of, or because of, an unsolicited meeting or telephone call

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held or made in connection with an employee incentive scheme covered by this instrument does not have to comply with sections 736, 992A or 992AA of the Act.

*Advertising*

12. A Specified Person that advertises, or publishes a statement that is reasonably likely to induce eligible participants to acquire, an eligible product under an employee incentive scheme covered by this instrument does not have to comply with section 1018A of the Act in relation to an advertisement or publication.

*Incidental managed investment scheme*

13. A Specified Person that operates a managed investment scheme only by reason of making eligible products available under an employee incentive scheme covered by this instrument or operating a contribution plan in connection with an employee incentive scheme covered by this instrument does not have to comply with section 601ED of the Act in relation to the operation of that managed investment scheme.

**Conditions**

*Notice of reliance*

14. A Specified Person making an offer in connection with a particular employee incentive scheme covered by this instrument must give ASIC a notice of reliance.

Note: A notice of reliance can cover a particular employee incentive scheme that is intended to operate for many years. A new notice of reliance will be required to be given to ASIC if the Company or a related body corporate establishes a new employee incentive scheme.

15. The Company or a related body corporate may give ASIC the notice of reliance at any time before the Specified Person first relies on this instrument in relation to the particular employee incentive scheme but, in any event, must give ASIC the notice of reliance no later than 1 month after the day the Specified Person first relies on this instrument in relation to the particular employee incentive scheme.

*Disclosure*

16. A Specified Person that makes an offer under an employee incentive scheme covered by this instrument must ensure that the offer is made in, or is accompanied by, an offer document.

*5% issue limit*

17. A Specified Person that makes an offer covered by this instrument must, at the time of making the offer, have reasonable grounds to believe that the number of underlying eligible products in a class of underlying eligible products that form part of the issued capital of the Company that have been or may be issued in any of the circumstances covered by the following paragraphs will not exceed 5% of the total number of underlying eligible products in that class on issue:

- (a) underlying eligible products that may be issued under the offer;
- (b) underlying eligible products issued or that may be issued as a result of offers made at any time during the previous 3 year period under:



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- (i) an employee incentive scheme or like scheme of the Company or a related body corporate, where offers were covered by this instrument or an individual instrument made by ASIC on terms similar to this instrument; or
- (ii) an employee incentive scheme or employee share scheme of the Company or a related body corporate, where the offers were covered by ASIC Class Order [CO 03/184] or an individual instrument made by ASIC on terms similar to that class order.

*FCPE structure*

18. The Company must take all reasonable steps to ensure that:
- (a) the Manager maintains written records on the administration of the FCPE including written records that identify the underlying eligible products held on an allocated basis for the specified eligible participant;
  - (b) the Manager and the Custodian do not levy any fees or charges for administering the FCPE that are payable directly by any eligible participant or out of the assets of the FCPE, other than reasonable disbursements including brokerage and tax levied or incurred in connection with the FCPE or fees and charges, as otherwise provided for in the Rules, or as the Company reasonably believes are authorised under the laws of France;
  - (c) the Manager does not, at its own discretion, exercise any voting rights attaching to any of the underlying eligible products held in the FCPE;
  - (d) the FCPE does not hold more than 5% of the voting shares or voting interests in the Company where such holdings relate to employee incentive schemes of the Company or a related body corporate in reliance on this instrument;
  - (e) the FCPE and an employee incentive scheme covered by this instrument, at all times, are approved and regulated by the Relevant Agency; and
  - (f) the Custodian complies with the laws of France.

*Regulation*

19. The Company must take all reasonable steps to ensure that:
- (a) written records are maintained in relation to the administration of the incentive rights offered under an employee incentive scheme covered by this instrument; and
  - (b) those incentive rights are at all times approved and regulated by the Relevant Agency.
20. The Specified Person must, at all times, comply with the Rules.
21. The Manager must, at all times, be approved by the Relevant Agency.
22. Neither the Rules nor the terms of incentive rights offered under an employee incentive scheme covered by this instrument must be modified or varied in any material respect that would adversely affect the rights and interests of eligible participants, unless:

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- (a) the Company reasonably believes that such modification or variation is required by the laws of France; or
  - (b) ASIC gives prior written notice to the Company that it does not object to the modification or variation.
23. The Company must notify ASIC as soon as practicable, and in any event, within 15 business days from the date it knew, or should reasonably have known, of the following notifiable matters:
- (a) any significant change to the authorisation granted by the Relevant Agency relating to the operation of the FCPE, including any exemptions or other relief granted to any of the Specified Person or the terms of incentive rights offered under an employee incentive scheme covered by this instrument; and
  - (b) the details of each significant investigation, disciplinary or enforcement action against any of the Specified Persons.

*FCPE annual financial statements*

24. The FCPE annual financial statements must be audited annually.
25. If requested by an eligible participant at any time during the period the eligible participant is participating in an employee incentive scheme covered by this instrument, the Company must provide and make available at the registered office or principal place of business of Australian Company, during normal business hours or such other time as is agreed with the eligible participant:
- (a) the most recent FCPE annual financial statements and accompanying auditors' report;
  - (b) a copy of the Rules;
  - (c) a copy of the offer document; and
  - (d) a response to any other reasonable request for information.

*Redemption of eligible products*

26. The Australian Company must accept notices, correspondence and service of process on behalf of each of the Specified Person at its registered office or principal place of business.
27. The Australian Company must forward any notice received from an eligible participant in connection with an employee incentive scheme, including a notice relating to the buy-back or redemption of eligible products as provided for in the Rules, to the Manager without delay.
28. The Company must notify ASIC within 5 business days of:
- (a) suspension or termination of the buy-back arrangements or redemption facilities of eligible products in the FCPE; or
  - (b) suspension of the quotation of the underlying eligible products of the Company on the eligible financial market.

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29. The Company must maintain, at the registered office or principal place of business of the Australian Company, a register of eligible participants who are participating in an employee incentive scheme covered by this instrument that includes details of:
- (a) the names and addresses of each eligible participant;
  - (b) the extent of the holding of each eligible participant;
  - (c) the date at which the name of each eligible participant was entered in the register; and
  - (d) the date at which any eligible participant's interest ceased.

**ASIC power to request documents**

30. A Specified Person must, if requested by ASIC and in accordance with the request, make available to ASIC the offer document and all other accompanying information or documents given to eligible participants in connection with an offer that is made in reliance on this instrument, including:
- (a) records relating to the issue or sale of and the buy-back or redemption of eligible products in the FCPE to or from eligible participants; and
  - (b) if any document is not in English, a translation of that document into English which must be a certified translation if requested by ASIC.

**Interpretation**

31. In this instrument:
- (a) **able to be traded** has the meaning given by section 761A of the Act;  
**Australian Company** means one or more of the following entities: Suez Australia Holdings Pty Ltd ACN 070 452 890, Suez Recycling & Recovery Holdings Pty Limited ACN 002 658 255, Suez Recycling & Recovery Pty Ltd ACN 002 902 650 and Suez Water Pty Ltd ACN 051 950 068;  
**casual employee**, in relation to the Company or a related body corporate, means an individual who is, or might reasonably be expected to be, engaged to work the number of hours that are the pro-rata equivalent of 40% or more of a comparable full-time position with the body;  
**Company** means Suez, a body registered in France and listed in the eligible financial market;  
**Custodian** means the custodian of the FCPE from time to time (presently CACEIS BANK, a body registered in France), which, by way of contract, acts for or on behalf of the Company in connection with an offer to an eligible participant under an employee incentive scheme;  
**contribution**, in relation to a contribution plan, does not include:
    - (i) nominal monetary consideration; or
    - (ii) a monetary contribution as consideration for an issue, transfer or grant of an eligible product to the eligible participant without undue delay (including a monetary contribution made to exercise an eligible product or cause an eligible product to vest);



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**contribution plan** means a plan under which an eligible participant may make monetary contributions to acquire eligible products, whether made before or after the acquisition, from one or more of the following:

- (i) gross (before-tax) wages or salary;
- (ii) net (after-tax) wages or salary;
- (iii) other monies;

**eligible financial market** means the financial market operated by Euronext Paris and, unless a contrary intention appears, is limited to the main board of that market;

**eligible participant** means, in relation to the Company or a related body corporate, a person specified in column 2 of Table A who is a resident in this jurisdiction;

**eligible product** means, in relation to the Company, means a financial product specified in column 1 of Table A;

**employee incentive scheme** means an arrangement under which eligible products of or relating to the Company are offered to eligible participants through a FCPE (or in connection with a FCPE), and which is designed to support interdependence between the Company and its related bodies corporate and those participants for their long-term mutual benefit;

**FCPE** means a collective employee investment vehicle known as a Fonds Commun de Placement d'Entreprise approved by the Relevant Agency and including any successor FCPEs that are formed on a temporary basis in order to make offers of eligible products to eligible participants;

**FCPE annual financial statement** means the books of account maintained in respect of the activities of the FCPE (including the statement of assets and liabilities, balance sheet, income statement, together with the related notes) prepared in accordance with French accounting standards and the laws of France;

**financial product advice** has the meaning given by section 766B of the Act;

**general advice** has the meaning given by section 766B of the Act;

**incentive right** means a conditional right:

- (i) to acquire underlying eligible products;
- (ii) to be paid a cash amount that is ultimately determined by reference to (wholly or in part):
  - a. the price or value at a given time of the underlying eligible product to which the right relates; or
  - b. a change in the price or value over a given period of the underlying eligible product to which the right relates, including where the change is calculated in accordance with a formula and applies a multiple,

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- c. the amount or value of dividends or distributions paid or payable in relation to the underlying eligible product to which the right relates; or
  - d. a change in the amount or value over a given period of time of dividends or distributions paid or payable in relation to the underlying eligible product to which the right relates; or
- (iii) to acquire or to be paid a combination of underlying eligible products and a cash amount as determined in accordance with paragraph (ii).

**nominal monetary consideration** means monetary consideration of a token or trivial amount;

**notice of reliance**, in relation to a particular employee incentive scheme, means a written notice in a form approved in writing by ASIC;

**Manager** means the independent management company of the FCPE from time to time (presently BNP PARIBAS Epargne et Retraite Entreprises) which, by way of contract with the Company, acts for or on behalf of the Company in connection with an offer to an eligible participant under an employee incentive scheme;

**offer**, in relation to an eligible product, has a meaning affected by sections 700, 702 and 1010C of the Act and includes:

- (i) an offer to issue the eligible product;
- (ii) an issue or grant of the eligible product;
- (iii) an offer to transfer the eligible product;
- (iv) a transfer of the eligible product; and
- (v) an offer to arrange for the issue or transfer of the eligible product;

**offer document**, in relation to an offer of eligible products under an employee incentive scheme, means a document which includes, or is accompanied by, the following information, statements and explanations worded and presented in a clear, concise and effective manner:

- (i) prominent statements to the effect that:
  - a. any advice given by any Specified Person in relation to eligible products offered under the employee incentive scheme does not take into account an eligible participant's objectives, financial situation and needs;
  - b. eligible participants should consider obtaining their own financial product advice from a person who is licensed by ASIC to give such advice; and
  - c. the FCPE and the employee incentive scheme are regulated by the laws of France, and those laws differ from Australian laws;
- (ii) either:
  - a. a copy of the Rules; or

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- b. a summary of the Rules together with a statement that, on request and at no charge and within a reasonable time, the Company will provide an eligible participant with a copy of the Rules;
- (iii) general information about the risks of acquiring and holding an eligible product being offered under the employee incentive scheme;
  - (iv) if the employee incentive scheme involves an incentive right from a Specified Person to an eligible participant to acquire the product – a copy of the terms of the incentive right which includes the conditions, obligations and risks associated with the incentive right;
  - (v) as the FCPE and employee incentive schemes are regulated by the laws of France, a brief description of the legal and practical effect (if any) this may have on the rights and ability of an eligible participant domiciled in Australia to make any claim or enforce any right arising out of or in connection with the offer of an eligible product;
  - (vi) an explanation of the nature of any special risks associated with cross-border investing, such as risks arising from foreign taxation requirements, foreign currency or time differences; and
  - (vii) details of how the Rules provide for any fees or charges for administering the FCPE that are payable directly by any eligible participant or out of the assets of the FCPE;
  - (viii) the acquisition price of the eligible products in Australian dollars or, where the acquisition price is to be worked out in the future under a formula, an explanation of how an eligible participant could calculate the acquisition price of the eligible products in Australian dollars were that formula applied at the date of the offer; and
  - (ix) an explanation of how an eligible participant could, from time to time, ascertain the market price of an underlying eligible product in Australian dollars;

**prospective participant**, in relation to an offer of an eligible product under an employee incentive scheme, means a person to whom the offer is made but who can only accept the offer if an arrangement has been entered into that will result in the person becoming covered by one of paragraphs (a) to (c) of column 2 of Table A;

**related body corporate** has the meaning given in section 50 of the Act;

**Relevant Agency** means the Autorité des Marchés Financiers, the French Market Authority;

**Rules** means the rules of an employee incentive scheme, the rules of the FCPE and the rules of any successor FCPEs that are formed on a temporary basis, each as approved by the Relevant Agency and as amended from time to time;

**Specified Person** means:

- (i) the Company;
- (ii) the related bodies corporate of the Company;

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- (iii) the Custodian;
- (iv) the Manager; and
- (v) any person who, by way of contract between the person and the Company, acts for or on behalf of the Company in connection with an offer of an eligible product to an eligible participant under an employee incentive scheme;

**underlying eligible product** means an eligible product specified in any of paragraphs (a) to (b) in column 1 of Table A;

- (b) an offer of eligible products to an eligible participant under an employee incentive scheme on terms that the eligible participant may renounce the offer in favour of a person covered by one of the following paragraphs is to be treated as an offer of eligible products to the eligible participant:
  - (i) an immediate family member of the eligible participant;
  - (ii) a company whose members comprise no persons other than the eligible participant or immediate family members of the participant;
  - (iii) a corporate trustee of a self-managed superannuation fund (within the meaning of the *Superannuation Industry (Supervision) Act 1993*) where the eligible participant is a director of the trustee; and
- (c) an employee incentive scheme, employee share scheme, or like scheme is **covered by** an instrument to the extent that offers are made, or other conduct is carried out, in reliance on this instrument; and
- (d) for the avoidance of doubt, a document or other writing to be given in connection with this instrument may be given by electronic means (including, in the case of a document or other writing to be given by a Specified Person in relation on this instrument, by way of making it available on a website and notifying the intended recipient that it is available on the website).

Dated this 17th day of July 2019



Signed by

Henry Brunskill

as a delegate of the Australian Securities and Investments Commission

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**Table A**

<i>Column 1</i> <b>Eligible product</b>	<i>Column 2</i> <b>Eligible participant</b>
<p>(a) a fully paid share of the Company that is in a class of shares able to be traded on an eligible financial market;</p> <p>(b) a unit in a financial product mentioned in paragraph (a);</p> <p>(c) an incentive right granted in relation to a financial product mentioned in paragraphs (a) or (b);</p> <p>(d) another financial product that relates to a financial product mentioned in paragraph (a) or (b),</p>	<p>(a) a full-time or part-time employee (including an executive director);</p> <p>(b) a non-executive director;</p> <p>(c) a casual employee;</p> <p>(d) a prospective participant.</p>
<p>where in each case in relation to a product mentioned in paragraph (a):</p>	
<p>(d) the product is in the same class as financial products which were able to be traded on the relevant financial market at all times in the 5 months before the day the offer document is first given to an eligible participant; and</p>	
<p>(e) the class of products were not suspended for more than a total of 5 days during the shorter of the period during which the class of products were able to be traded, and the period of 12 months before the day the offer document is first given to an eligible participant.</p>	

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**Australian Securities and Investments Commission  
Corporations Act 2001 — Subsections 926A(2), 992B(1) and 1020F(1) — Exemptions**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsections 926A(2), 992B(1) and 1020F(1) of the *Corporations Act 2001* (the **Act**).

**Title**

2. This instrument is ASIC Instrument 19-0766.

**Commencement**

3. This instrument commences on the date it is signed.

**Nature of this instrument**

4. This instrument is an individual relief instrument, as referred to in paragraphs 28A to 28D of ASIC Class Order [CO 14/1000].

**Disclosure relief***Offers made under an employee incentive scheme*

5. Midwich Group Plc (*Midwich*) or a related body corporate that makes an offer under an employee incentive scheme covered by this instrument does not have to comply with Part 7.9 of the Act in relation to the offer.

*Note: this instrument only provides relief in relation to options or incentive rights to acquire financial products by way of transfer and cash-settled options or incentive rights.*

*Subsequent sale offers*

6. A person that makes a sale offer of a financial product within 12 months after the issue of the product does not have to comply with Part 7.9 of the Act in relation to the sale offer where:
  - (a) the product was issued by reason of the exercise or vesting of an eligible product issued or otherwise granted to:
    - (i) an eligible participant under an employee incentive scheme; or
    - (ii) to a trustee in connection with an employee incentive scheme; and
  - (b) the person has no reason to believe the employee incentive scheme is not covered by this instrument.



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**Licensing, hawking and other incidental relief***General advice*

7. Midwich or a related body corporate that makes an offer under an employee incentive scheme covered by this instrument, and in relation to the offer, provides a financial service consisting of general advice in connection with the offer, does not have to comply with subsection 911A(1) of the Act in relation to the advice.

*Dealing*

8. Midwich or a related body corporate that provides any of the following financial services in relation to an offer in connection with an employee incentive scheme covered by this instrument does not have to comply with subsection 911A(1) of the Act in relation to the financial service:
  - (a) issuing the eligible product;
  - (b) dealing in the eligible product where any acquisition by purchase or disposal of the eligible product by Midwich or a related body corporate occurs either:
    - (i) through a financial services licensee; or
    - (ii) outside this jurisdiction and through a person which is licensed or otherwise authorised to deal in financial products of that kind in the relevant place.

*Custodial or depository services*

9. Midwich or a related body corporate that provides any of the following financial services in connection with an employee incentive scheme covered by this instrument does not have to comply with subsection 911A(1) of the Act in relation to the financial service:
  - (a) a custodial or depository service in relation to the eligible product where Midwich or the body performs their duties in good faith and has sufficient resources to perform those duties;
  - (b) dealing in the eligible product in the course of providing a custodial or depository service covered by paragraph (a).

*Hawking*

10. Midwich or a related body corporate that makes an offer of an eligible product to an eligible participant in the course of, or because of, an unsolicited meeting or telephone call held or made in connection with an employee incentive scheme covered by this instrument does not have to comply with section 992A or 992AA of the Act.

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*Advertising*

11. Midwich or a related body corporate that advertises, or publishes a statement that is reasonably likely to induce eligible participants to acquire, an eligible product under an employee incentive scheme covered by this instrument does not have to comply with section 1018A of the Act in relation to the advertisement or publication.

**Conditions***Notice of reliance*

12. Midwich or a related body corporate making an offer in connection with a particular employee incentive scheme must give ASIC a notice of reliance.

Note: A notice of reliance can cover a particular employee incentive scheme that is intended to operate for many years. A new notice of reliance will be required to be given to ASIC if Midwich or a related body corporate establishes a new employee incentive scheme.

13. Midwich or a related body corporate may give ASIC the notice of reliance at any time before Midwich or the body first relies on this instrument in relation to the particular employee incentive scheme, but, in any event, must give ASIC the notice of reliance no later than 1 month after the day Midwich or the body first relies on this instrument in relation to the particular employee incentive scheme.

*Disclosure*

14. Midwich or a related body corporate that makes an offer under an employee incentive scheme must ensure that an offer is made in, or is accompanied by, an offer document.

*Offers of eligible products*

15. If Midwich, a related body corporate or a trustee makes an offer of an eligible product under an employee incentive scheme, Midwich or the related body corporate must ensure that, if the eligible product is not able to be traded on an eligible financial market, the offer is for no more than nominal monetary consideration.

*5% issue limit*

16. Midwich or a related body corporate that makes an offer covered by this instrument must, at the time of making the offer, have reasonable grounds to believe that the number of underlying eligible products in a class of underlying eligible products that form part of the issued capital of Midwich that have been or may be issued in any of the circumstances covered by the following paragraphs will not exceed 5% of the total number of underlying eligible products in that class on issue:
  - (a) underlying eligible products issued or that may be issued as a result of offers made at any time during the previous 3 year period under:
    - (i) an employee incentive scheme or like scheme of Midwich or a related body corporate, where offers were covered by this instrument or an individual instrument made by ASIC in terms similar to this instrument; or



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- (ii) an employee incentive scheme or employee share scheme of Midwich or a related body corporate, where the offers were covered by ASIC Class Order [CO 14/1000] or an individual instrument made by ASIC in terms similar to that class order.

*Trusts*

17. Midwich or a related body corporate that makes an offer of an eligible product under an employee incentive scheme in relation to which a trustee holds or will hold the underlying eligible products must ensure:

- (a) the activities of the trustee of the trust in that capacity are limited to the employee incentive schemes of Midwich or the related body corporate (whether or not the other employee incentive schemes are covered by this instrument);
- (b) the trustee maintains written records on the administration of the trust including, in the case of underlying eligible products being held for a specified eligible participant on an allocated basis, written records that identify the underlying eligible products held on an allocated basis for the specified eligible participant;

Note: The written records in relation to underlying eligible products held on an allocated basis may take the form of a sub-register administered by or on behalf of the trustee.

- (c) the trustee does not levy any fees or charges for administering the trust that are payable directly by any eligible participant or out of the assets of the trust, other than reasonable disbursements including brokerage and tax levied or incurred in connection with the trust;
- (d) if the trustee is Midwich or an associate of Midwich – the trustee does not, at its own discretion, exercise any voting rights attaching to any of the underlying eligible products that it holds on trust; and
- (e) the trustee, either alone or together with one or more other trustees, does not hold more than 5% of the voting shares or voting interests in Midwich calculated by reference to all employee incentive schemes or like schemes of Midwich or a related body corporate in relation to which offers were covered by this instrument or an individual instrument made, on or after the commencement of this instrument, by ASIC in terms similar to this instrument.

*Loans and contribution plans*

18. Midwich or a related body corporate making an offer of an eligible product under an employee incentive scheme must ensure that the offer does not involve:

- (a) a loan from Midwich or a related body corporate to an eligible participant to acquire the product; or
- (b) a contribution plan.

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**ASIC power to request documents**

19. Midwich or a related body corporate must, if requested by ASIC and in accordance with the request, make available to ASIC the offer document and all other accompanying information or documents given to eligible participants in connection with the offer made in reliance on this instrument.

**Interpretation**

20. In this instrument:

- (1) *able to be traded* has the meaning given by section 761A of the Act;

*AIM* means the market of that name operated by the London Stock Exchange;

*associate* has the meaning given by Division 2 of Part 1.2 of the Act (except sections 12 and 16);

*casual employee*, in relation to Midwich or a related body corporate, means an individual who is, or might reasonably be expected to be, engaged to work the number of hours that are the pro-rata equivalent of 40% or more of a comparable full-time position with Midwich or the body;

*contractor*, in relation to Midwich or a related body corporate, means:

- (i) an individual with whom Midwich or the body has entered into a contract for the provision of services under which the individual performs work for Midwich or the body; or
- (ii) a company with whom Midwich or the body has entered into a contract for the provision of services under which an individual, who is a director of the company or their spouse, performs work for Midwich or the body,

where the individual who performs the work under or in relation to the contract is, or might reasonably be expected to be, engaged to work the number of hours that are the pro-rata equivalent of 40% or more of a comparable full-time position with Midwich or the body;

*contribution*, in relation to a contribution plan, does not include:

- (i) nominal monetary consideration; or
- (ii) a monetary contribution as consideration for an issue, transfer or grant of an eligible product to the eligible participant without undue delay (including a monetary contribution made to exercise an eligible product or cause an eligible product to vest);

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**contribution plan** means a plan under which an eligible participant may make monetary contributions to acquire eligible products, whether made before or after the acquisition, from one or more of the following:

- (i) gross (before-tax) wages or salary;
- (ii) net (after-tax) wages or salary; or
- (iii) other monies;

**eligible financial market** means a financial market specified in column 1 of Table A and, unless a contrary intention appears, is limited to the main board of that market;

**eligible participant**, in relation to Midwich or a related body corporate, means a person specified in column 3 of Table A:

**eligible product**, in relation to Midwich, means a financial product of Midwich specified in column 2 of Table A;

**employee incentive scheme** means the arrangement under which eligible products of Midwich are offered to eligible participants;

**financial product advice** has the meaning given by section 766B of the Act;

**general advice** has the meaning given by section 766B of the Act;

**incentive right** means a conditional right:

- (i) to acquire by way of transfer underlying eligible products;
- (ii) to be paid a cash amount that is ultimately determined by reference to (wholly or in part):
  - (a) the price or value at a given time of the underlying eligible product to which the right relates;
  - (b) a change in the price or value over a given period of the underlying eligible product to which the right relates;
  - (c) the amount or value of dividends or distributions paid or payable in relation to the underlying eligible product to which the right relates; or
  - (d) a change in the amount or value over a given period of time of dividends or distributions paid or payable in relation to the underlying eligible product to which the right relates; or
- (iii) to acquire or to be paid a combination of underlying eligible products and a cash amount as determined in accordance with paragraph (ii);

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**Midwich Group Plc or Midwich** means Midwich Group Plc, a company registered in England and Wales under company number 08793266, and whose shares are quoted on AIM;

**nominal monetary consideration** means monetary consideration of a token or trivial amount;

**notice of reliance** in relation to a particular employee incentive scheme, means a written notice in a form approved in writing by ASIC for the purposes of ASIC Class Order 14/1000;

**offer**, in relation to an eligible product, has a meaning affected by section 1010C of the Act and includes:

- (i) an offer to issue the eligible product;
- (ii) an issue or grant of the eligible product;
- (iii) an offer to transfer the eligible product;
- (iv) a transfer of the eligible product;
- (v) an offer to arrange for the issue or transfer of the eligible product,

but does not include an issue, grant or transfer of an underlying eligible product made by reason of the exercise or vesting of an eligible product in circumstances where an offer to issue or transfer the eligible product had been previously made.

**offer document**, in relation to an offer of eligible products under an employee incentive scheme, means a document which includes, or is accompanied by, the following information, statements and explanations worded and presented in a clear, concise and effective manner:

- (a) prominent statements to the effect that:
  - (a) any advice given by Midwich in relation to eligible products offered under the employee incentive scheme does not take into account an eligible participant's objectives, financial situation and needs; and
  - (b) eligible participants should consider obtaining their own financial product advice from a person who is licensed by ASIC to give such advice;
- (b) either:
  - (i) a copy of the terms of the employee incentive scheme; or
  - (ii) a summary of the terms of the scheme together with a statement that, on request and at no charge and within a reasonable time, Midwich

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will provide an eligible participant with a copy of the terms of the scheme;

- (c) general information about the risks of acquiring and holding an eligible product being offered under the employee incentive scheme;
  - (d) if:
    - (i) a trustee will hold underlying eligible products for specified eligible participants on an allocated basis in connection with the employee incentive scheme; and
    - (ii) those eligible participants will have the right to:
      - (A) exercise, or direct the trustee to exercise on their behalf, any rights (including voting rights) attaching to the underlying eligible products; or
      - (B) receive income deriving from the underlying eligible products, including dividends or distributions;
- either:
- (iii) a copy of the trust deed; or
  - (iv) a summary of the terms of the trust deed together with a statement that, on request and at no charge and within a reasonable time, Midwich will provide an eligible participant with a copy of the trust deed;
- (e) the acquisition price of the eligible products in Australian dollars or, where the acquisition price is to be worked out in the future under a formula, an explanation of how an eligible participant could calculate the acquisition price of the eligible products in Australian dollars were that formula applied at the date of the offer; and
  - (f) an explanation of how an eligible participant could, from time to time, ascertain the market price of the underlying eligible products in Australian dollars;

**prospective participant**, in relation to an offer of an eligible product under an employee incentive scheme, means a person to whom the offer is made but who can only accept the offer if an arrangement has been entered into that will result in the person becoming covered by one of paragraphs (a) to (d) of column 3 of Table A;

**related body corporate** has the meaning given in section 50 of the Act;

**trustee** means a body that holds or will hold underlying eligible products on trust for the following persons in connection with an employee incentive scheme:

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- (a) eligible participants generally on an unallocated basis; or
- (b) one or more specified eligible participants on an allocated basis;

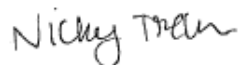
*underlying eligible product* means:

- (a) a fully paid share of Midwich that is in a class of shares able to be traded on an eligible financial market;
  - (b) a beneficial interest in a fully paid share of Midwich where the interest is in a class of interests that is able to be traded on an eligible financial market;
  - (c) a fully paid share of Midwich in relation to which both of the following apply:
    - (i) a beneficial interest in a share of that class are in a class of interests that is able to be traded on an eligible financial market;
    - (ii) the share is convertible into the beneficial interest without charge or for a nominal fee;
  - (d) a beneficial interest in a fully paid share of Midwich in relation to which both of the following apply:
    - (i) the fully paid share is in a class of shares that is able to be traded on an eligible financial market;
    - (ii) the beneficial interest is convertible into the share without charge or for a nominal fee;
- (2) an offer of eligible products to an eligible participant under an employee incentive scheme on terms that the eligible participant may renounce the offer in favour of a person covered by one of the following sub-paragraphs is to be treated as an offer of eligible products to the eligible participant:
- (i) an immediate family member of the eligible participant;
  - (ii) a company whose members comprise no persons other than the eligible participant or immediate family members of the eligible participant;
  - (iii) a corporate trustee of a self-managed superannuation fund (within the meaning of the Superannuation Industry (Supervision) Act 1993) where the eligible participant is a director of the trustee;
- (3) An employee incentive scheme, employee share scheme, or like scheme, is **covered by** an instrument to the extent that offers are made, or other conduct is carried out, in reliance on the instrument;
- (4) For the avoidance of doubt, a document or other writing to be given in connection with this instrument may be given by electronic means (including, in the case of a document or other writing to be given by Midwich or a related body corporate in

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reliance on this instrument, by way of making it available on a website and notifying the intended recipient that it is available on the website).

Dated this 18th day of July 2019



Signed by Nicky Tran  
as a delegate of the Australian Securities and Investments Commission



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Table A

Column 2 Eligible Financial Market	Column 2 Eligible product	Column 3 Eligible participant
(a) AIM	<p>(a) an option to acquire, by way of transfer, a financial product mentioned in paragraphs (a) to (d) of the definition of underlying eligible product; or</p> <p>(b) an incentive right granted in relation to a financial product mentioned in paragraphs (a) to (d) of the definition of underlying eligible product,</p> <p>where in each case in relation to a product mentioned in paragraphs (a) to (d) of the definition of underlying eligible product:</p> <p>(i) the product is in a class of products that is able to be traded on an eligible financial market;</p> <p>(ii) the product is in the same class as financial products which were able to be traded on the relevant financial market at all times in the 3 months before the day the offer document is first given to an eligible participant; and</p> <p>(iii) the class of products were not suspended for more than a total of 5 days during the shorter of the period during which the class of products were able to be traded, and the period of 12 months before the day the offer document is first given to an eligible participant.</p>	<p>(a) a full-time or part-time employee (including an executive director);</p> <p>(b) a non-executive director;</p> <p>(c) a contractor;</p> <p>(d) a casual employee;</p> <p>(e) a prospective participant.</p>



CORPORATIONS ACT 2001  
Section 601CL(5)

ASIC has struck the foreign companies listed  
below off the register.

Dated this nineteenth day of July 2019

Rosanne Bell  
DELEGATE OF  
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

**Name of Company**

**ARBN**

DRESSER-RAND ASIA PACIFIC SDN. BHD.

107 609 414

RAT PACK FILMPRODUKTION GMBH

612 442 609

SHANGHAI LEAF TECHNOLOGY INDUSTRY CO. LTD.

167 956 809

CORPORATIONS ACT 2001  
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this nineteenth day of July 2019

Rosanne Bell  
DELEGATE OF  
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

<b>Name of Company</b>	<b>ARBN</b>
AIRSORTED LIMITED	618 250 972
CARNIVORE CLUB INC.	616 837 015
PRICEWATERHOUSECOOPERS BUSINESS SERVICES LLC	097 026 269

CORPORATIONS ACT 2001  
Section 601CC(3)

ASIC will strike the companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this nineteenth day of July 2019

Rosanne Bell  
DELEGATE OF  
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

**Name of Company**

**ARBN**

YOUNG AUSTRALIANS IN INTERNATIONAL AFFAIRS INCORPORATED	609 452 333
YOUNG WOMEN'S CHRISTIAN ASSOCIATION OF PERTH	624 537 800

CORPORATIONS ACT 2001

Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this nineteenth day of July 2019

Rosanne Bell  
DELEGATE OF  
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

**Name of Scheme**

**ARSN**

PANTHEON GLOBAL OPPORTUNITIES FUND

623 235 343

WESTPOINT INCOME FUND

105 925 620

Corporations Act 2001  
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

**FOUNDERS FIRST PTY LTD** ACN 622 810 897 will change to a public company limited by shares. The new name will be FOUNDERS FIRST LIMITED ACN 622 810 897.

**GENERAL MOTORS HOLDEN AUSTRALIA LTD** ACN 603 486 844 will change to a proprietary company limited by shares. The new name will be GENERAL MOTORS HOLDEN AUSTRALIA PTY LTD ACN 603 486 844.

**GM HOLDEN LTD** ACN 006 893 232 will change to a proprietary company limited by shares. The new name will be GM HOLDEN PTY LTD ACN 006 893 232.

**HIGH PURITY QUARTZ PTY LTD** ACN 608 980 105 will change to a public company limited by shares. The new name will be HIGH PURITY QUARTZ LTD ACN 608 980 105.

**ON-TRAX INFRASTRUCTURE GROUP LIMITED** ACN 603 160 818 will change to a proprietary company limited by shares. The new name will be ON-TRAX INFRASTRUCTURE GROUP PTY LIMITED ACN 603 160 818.

**GENERAL MOTORS AUSTRALIA LTD** ACN 103 162 956 will change to a proprietary company limited by shares. The new name will be GENERAL MOTORS AUSTRALIA PTY LTD ACN 103 162 956.

**GENERAL MOTORS HOLDEN AUSTRALIA NSC LTD** ACN 603 486 933 will change to a proprietary company limited by shares. The new name will be GENERAL MOTORS HOLDEN AUSTRALIA NSC PTY LTD ACN 603 486 933.

**HEALTHSCOPE LIMITED** ACN 144 840 639 will change to a proprietary company limited by shares. The new name will be HEALTHSCOPE PTY LTD ACN 144 840 639.

**MAAS GROUP HOLDINGS PTY LIMITED** ACN 632 994 542 will change to a public company limited by shares. The new name will be MAAS GROUP HOLDINGS LIMITED ACN 632 994 542.

**WATERMAN HOLDINGS PTY LTD** ACN 632 514 973 will change to a public company limited by shares. The new name will be WATERMAN HOLDINGS LIMITED ACN 632 514 973.