



ASIC
Australian Securities &
Investments Commission

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RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet *ASIC decisions – your rights* (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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ASIC

Australian Securities & Investments Commission
19-0025**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B****Notice of Cancellation of an Australian financial services licence**

TO: Australian Planning Services Pty Ltd
ACN 010 521 810 ("The Licensee")
Level 8
52 Collins St
Melbourne VIC 3000

1. Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001** (the Act), the Australian Securities and Investments Commission (ASIC) hereby cancels Australian financial services (AFS) licence number 225982 held by the Licensee, with effect from the date on which this notice is given to the Licensee.
2. Pursuant to section 915H of the Act, the AFS licence continues in effect while cancelled, as though the cancellation had not happened for the purposes of:
 - a. paragraphs 912A(2)(c) of the Act, which requires the Licensee to maintain membership of the Australian Financial Complaints Authority scheme for no less than twelve months from the date the notice of cancellation of the AFS licence is given to the Licensee; and
 - b. section 912B of the Act, which requires the Licensee to maintain compensation arrangements for no less than twelve months from the date the notice of cancellation of the AFS licence is given to the Licensee. The arrangements must satisfy the requirements set out in regulation 7.6.02AAA of the Corporations Regulations 2001.

Dated 19/06/2019

Signed

Floyd Williams, a delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

19-0368

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: QTP Tax Pty. Ltd.
ACN168 162 472 ("the Licensee")
PO Box 249
Kallangur QLD 4503

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 487933 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated

14 June 2019

Signed

John Conner

A delegate of the Australian Securities and Investments Commission

Australian Market Licence (Thomson Reuters Transaction Services Pte Limited) Variation Notice
2019/190492



**Australian Market Licence (Thomson Reuters Transaction Services
Pte Limited) Variation Notice 2019**

Corporations Act 2001

I, Nathan Bourne, Senior Executive Leader, Australian Securities and Investments Commission make this notice under subsection 797A(1) of the *Corporations Act 2001* (the *Act*).

Dated 29/5/2019

Signed 

as a delegate of the Minister under section 1101J of the Act

1. Name

This is the *Australian Market Licence (Thomson Reuters Transaction Services Pte Limited) Variation Notice 2019*.

2. Commencement

This instrument commences on the day it is made.

3. Variation

The *Australian Market Licence (Thomson Reuters Transaction Services Pte Limited) 2017 (Licence)* is amended as set out in Schedule 1 to this instrument.

Schedule 1 —Amendments**1 The whole of the Licence**

Omit every occurrence of “Thomson Reuters Transaction Services Pte Limited”, substitute “Refinitiv Transaction Services Pte. Ltd.”.

Corporations (Thomson Reuters Transaction Services Pte Limited) Exemption Notice Variation
2019/190493



**Corporations (Thomson Reuters Transaction Services Pte Limited)
Exemption Notice Variation 2019**

Corporations Act 2001

I, Nathan Bourne, Senior Executive Leader, Australian Securities and Investments Commission make this notice under subsection 791C(2) of the *Corporations Act 2001* (the Act).

Dated 29/5/2019

Signed

A handwritten signature in black ink, appearing to read 'Nathan Bourne', written over a horizontal line.

as a delegate of the Minister under section 1101J of the Act

1. Name

This is the *Corporations (Thomson Reuters Transaction Services Pte Limited) Exemption Notice Variation 2019*.

2. Commencement

This instrument commences on the day it is made.

3. Variation

Corporations (Thomson Reuters Transaction Services Pte Limited) Exemption Notice 2017 is amended as set out Schedule 1 to this instrument.

Corporations (Thomson Reuters Transaction Services Pte Limited) Exemption Notice Variation
2019/190493

Schedule 1—Amendments

1 The whole of the Instrument

Omit every occurrence of “Thomson Reuters Transaction Services Pte Limited”, substitute “Refinitiv Transaction Services Pte. Ltd.”.

Australian Market Licence (Reuters Transaction Services Limited) Variation Notice 2019/190494



**Australian Market Licence (Reuters Transaction Services Limited)
Variation Notice 2019**

Corporations Act 2001

I, Nathan Bourne, Senior Executive Leader, Australian Securities and Investments Commission make this instrument under subsection 797A(1) of the *Corporations Act 2001* (the *Act*).

Dated 29/5/2019

Signed

as a delegate of the Minister under section 1101J of the Act

1 Name of instrument

This is the *Australian Market Licence (Reuters Transaction Services Limited) Variation Notice 2019*.

2 Commencement

This instrument commences on the day it is made.

3 Amendment

The *Australian Market Licence (Reuters Transaction Services Limited) 2004 (Licence)* is amended as set out in Schedule 1 to this instrument.

Australian Market Licence (Reuters Transaction Services Limited) Variation Notice 2019/190494

Schedule 1—Amendments

1 The whole of the Licence

Omit every occurrence of “Reuters Transaction Services Limited”, substitute “Refinitiv Transaction Services Limited”.

19-0503

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 741(1)(a) – Exemption**

Enabling provision

1. The Australian Securities and Investments Commission (**ASIC**) makes this instrument under paragraph 741(1)(a) of the *Corporations Act 2001* (Act).

Title

2. This instrument is ASIC Instrument 19-0503.

Commencement

3. This instrument commences on 29 May 2019.

Exemption

4. Classic Minerals Limited ACN 119 484 016 (the **issuer**) does not have to comply with Part 6D.2 or 6D.3 of the Act (other than sections 736 and 738) for an offer of shares for issue, to be made on or about 04 June 2019, under a purchase plan.

Where this instrument applies

5. This instrument applies where the issuer:
 - (a) meets the requirements and conditions of ASIC Class Order [CO 09/425] (the **class order**) except for sub-subparagraph 7(a)(ii); and
 - (b) would meet the requirement in sub-subparagraph 7(a)(ii) of the class order if that sub-subparagraph were to read:

“(ii) is not suspended from trading and was not suspended from trading on that market for more than a total of 53 trading days during the shorter of the period during which the class was quoted, and the period of 12 months before the day on which the offer is made;”.

Interpretation

6. In this instrument:

purchase plan has the same meaning as in ASIC Class Order [CO 09/425].

Dated this 29 day of May 2019



Signed by Tashreen Tourabaly
as a delegate for the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

19-0552

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Asia Invest Advisors Pty Ltd
ACN 612 545 196 ("the Licensee")
Suite 401, 447 Kent Street
Sydney NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 487956 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 18 June 2019

Signed

Floyd Williams
A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

19-0561

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Finite Group Pty Ltd
ACN 164 442 139 ("the Licensee")
PO Box 737
Bayswater VIC 3153

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 489100 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 11 June 2019

Signed

Floyd Williams
A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

19-0572

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Gregory John Faulkner
ABN 15 390 198 212 ("the Licensee")
PO Box 64
Diamond Creek VIC 3089

Pursuant to paragraph 915B(1)(e) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 474882 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 12 June 2019

Signed

Floyd Williams
A delegate of the Australian Securities and Investments Commission

**ASIC**

Australian Securities & Investments Commission

19-0574**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B****Notice of Cancellation of an Australian Financial Services Licence**

TO: David O Paterson
ABN 81 423 964 260 ("the Licensee")
25 Wanganella Street
Balgowlah Heights NSW 2093

Pursuant to paragraph 915B(1)(e) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 253899 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 12 June 2019

Signed

Floyd Williams
A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

19-0578

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Sovereign Investment Research Pty Ltd
ABN 51 093 380 477 ("the Licensee")
Unit 202
155 Beach Street
Port Melbourne VIC 3207

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 245646 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 13 June 2019

Signed

Floyd Williams

A delegate of the Australian Securities and Investments Commission

19-0579

Australian Securities and Investments Commission
Corporations Act 2001 — Subsections 111AT(1) and 601QA(1) – Exemption

Enabling legislation

1. The Australian Securities and Investments Commission makes this instrument under subsections 111AT(1) and 601QA(1) of the *Corporations Act 2001* (Act).

Title

2. This instrument is ASIC Instrument 19-0579.

Commencement

3. This instrument commences on the day it is signed.

Exemption

4. APN Funds Management Limited ACN 080 674 479 in its capacity as the responsible entity of the APN Champion Retail Fund ARSN 127 948 127 does not have to comply with Part 2M.3 and section 601HG of the Act in relation to the financial year ending 30 June 2019 for the scheme.

Where this instrument applies

5. The exemptions in paragraph 4 apply where the responsible entity has lodged a notice under regulation 5C.9.01 of the *Corporations Regulations 2001* in the approved form telling ASIC that the winding up of the scheme has commenced.

Condition

6. The responsible entity must have adequate arrangements in place to answer, within a reasonable period of time and without charge, any reasonable questions asked by a member of the scheme about the winding up and the effect of this instrument.

Dated this 13th day of June 2019.



Signed by Andrew Duffy

as a delegate of the Australian Securities and Investments Commission

19-0583

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraphs 655A(1)(b) and 673(1)(b) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 655A(1)(b) and 673(1)(b) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 19-0583.

Commencement

3. This instrument commences on 14 June 2019.

Declarations

4. Chapters 6 and 6C of the Act apply to QuickFee Limited ACN 624 448 693 (*Company*) as if section 609 were modified or varied by, after subsection (13) (as notionally inserted by ASIC Class Order [CO 13/520]), inserting:

“(13A) A body corporate does not have a relevant interest in its own securities merely because, under an escrow arrangement entered into by the body corporate, the body corporate applies restrictions on the disposal of the securities by the holder.”.
5. Chapters 6 and 6C of the Act apply to the Company as if section 9 were modified or varied by, after subparagraph (a)(ii)(C) in the definition of *substantial holding* (as notionally inserted by ASIC Class Order [CO 13/520]), inserting:

“or
(D) subsection 609(13A) (securities subject to escrow arrangement);”.
6. Chapter 6C of the Act applies to the Company as if section 671B were modified or varied by, omitting “.” in paragraph (7)(c) (as notionally inserted by ASIC Class Order [CO 13/520]), and inserting:

“; or
(d) subsection 609(13A) (securities subject to escrow arrangement).”.

Where this instrument applies

7. This instrument applies in relation to relevant interests the Company has in up to 40,360,001 ordinary shares of the Company (*Escrowed Securities*) merely because the Company has entered into one or more escrow agreements or deeds (each an *Escrow Arrangement*) with each *Security Holder* in connection with

19-0583

the proposed admission of the Company's securities to the official list of ASX Limited ACN 008 624 691 (~~ASX~~) where each Escrow Arrangement:

- (a) does not restrict the exercise of voting rights attaching to, the Escrowed Securities;
- (b) in the case of a takeover bid (including a proportional takeover bid):
 - (i) allows each Security Holder to accept into the Takeover Bid where holders of at least half of the bid class securities that are not subject to an Escrow Arrangement have accepted into the bid; and
 - (ii) requires that the Escrowed Securities be returned to escrow if the bid does not become unconditional;
- (c) allows the Escrowed Securities to be transferred or cancelled as part of a merger by way of compromise or arrangement under Part 5.1 of the Act;
- (d) terminates on the date that is no later than 24 months from the date on which the Security Holder enters into the Escrow Arrangements; and
- (e) is substantially in the same form as the draft agreement provided to ASIC on 31 May 2019.

Interpretation

8. In this instrument **Security Holder** means any of the following persons who hold shares in the Company:
- (a) Bruce Coombes;
 - (b) Jamada Holdings Pty Limited ACN 104 930 056;
 - (c) Bonec Pty Limited ACN 135 062 172; and
 - (d) Derida Pty Limited ACN 069 685 794.

Dated this 14th day of June 2019



Signed by Nicky Tran
as a delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

19-0585

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Martin Kenneth Wiblin
ABN 28 297 652 854 ("the Licensee")
PO Box 166
Concord NSW 2137

Pursuant to paragraph 915B(1)(e) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 238180 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 14 June 2019

Signed

Floyd Williams
A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

19-0587

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Australian Strata Brokers Pty Limited
ACN 167 002 093 ("the Licensee")
PO Box 5604
Chatswood West NSW 1515

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 454112 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 14 June 2019

Signed

Floyd Williams
A delegate of the Australian Securities and Investments Commission

**ASIC**

Australian Securities & Investments Commission

19-0590

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: A.C.N. 106 830 460 Pty Ltd
ACN 106 830 460 ("the Licensee")
5 Lyndale Place
BELROSE NSW 2085

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 488990 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 20 June 2019

Signed

Floyd Williams
A delegate of the Australian Securities and Investments Commission

19- 0591

**Australian Securities and Investments Commission
Corporations Act 2001 —Subsection 601QA(1) — Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 601QA(1) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 19-0591.

Commencement

3. This instrument commences on the day it is signed.

Declaration

4. Chapter 5C of the Act applies to EQT Responsible Entity Services Limited ACN 101 103 011 in its capacity as responsible entity of:
 1. Bateau Global Opportunities Fund ARSN 611 746 975; and
 2. ChinaAMC China Opportunities Fund ARSN 607 143 573; and
 3. Clime International Fund ARSN 604 131 268; and
 4. Clime Australian Value Fund ARSN 126 118 189; and
 5. Cor Capital Fund ARSN 609 666 042; and
 6. Insync Global Titans Fund ARSN 165 786 390; and
 7. LDI Connect 3 Portfolio ARSN 154 532 402; and
 8. LDI Connect 7 Portfolio ARSN 154 532 126; and
 9. LDI Connect 20 Portfolio ARSN 162 155 795; and
 10. Nanuk New World Fund ARSN 618 696 843; and
 11. NWQ Fiduciary Fund ARSN 606 556 049; and

19- 0591

12. Melling Capital Management Dynamic Volatility Fund ARSN 617 841 040; and

13. Special Opportunities Fund No. 1 ARSN 623 182 605; and

14. CPG Fund No.2 ARSN 623 788 509; and

15. Smarter Money Fund ARSN 154 023 408; and

16. Smarter Money Higher Income Fund ARSN 601 093 485; and

17. Smarter Money Long-Short Credit Fund ARSN 617 838 543; and

18. Stonehouse Core Value Portfolio ARSN 162 396 885; and

19. Rising Dragon Fund ARSN 614 440 621,

together (the *Schemes*) as if section 601FL were modified or varied as follows:

- (a) in subsection (1) omitting all the text after the word “it”, substitute:

“must either:

- (a) call a members’ meeting to explain its reason for wanting to retire and to enable the members to vote on a resolution (which must be an extraordinary resolution if the scheme is not listed) to choose a company to be the new responsible entity; or
- (b) propose a related body corporate of the responsible entity to be the new responsible entity in accordance with subsection (1A).”;

- (b) after subsection (1), inserting:

“(1A) The requirements for proposing a related body corporate (the *proposed responsible entity*) to be the new responsible entity are as follows:

- (a) The responsible entity must give members of the scheme notice of a proposal to choose the proposed responsible entity, to be the scheme’s new responsible entity.
- (b) The notice to members must:
- (i) set out the following information:

19- 0591

- (A) the responsible entity's reasons for wanting to retire;
 - (B) such information as can reasonably be expected to be material to a member in forming a view as to the choice of the proposed responsible entity;
 - (C) information about the proposed timing of the retirement of the responsible entity and the manner in which that retirement will occur;
 - (D) how members can access on the responsible entity's website current information about the proposed timing of the retirement of the responsible entity and the manner in which that retirement will occur;
 - (ii) state prominently that if:
 - (A) members who together hold at least 5% of the total value of the interests held by members; or
 - (B) 100 members,who would be entitled to vote if the proposal were put as a proposed resolution to a meeting of members under paragraph (1)(a), ask for a vote on the choice of the proposed responsible entity by giving written notice received by the responsible entity within 21 days from the date the notice is sent, the responsible entity will either arrange a postal vote or convene a meeting to vote on a resolution for the choice of the proposed responsible entity; and
 - (iii) be accompanied by a form which can be ticked to ask for a vote; and
 - (iv) state prominently a reply paid address of the responsible entity to which the form may be sent.
- (c) The responsible entity must prominently disclose on its website current information about the proposed timing of the retirement of the responsible entity and the manner in which that retirement will occur.
 - (d) If sufficient members ask for a vote in accordance with the notice, the responsible entity must arrange for a postal vote or,

19- 0591

if the responsible entity chooses, convene a meeting in accordance with Part 2G.4 to vote on the choice of the proposed responsible entity as soon as possible.

- (e) If there is a postal vote:
 - (i) a voting paper must be sent to each member stating a reply paid address of the responsible entity to which the voting paper may be sent; and
 - (ii) the responsible entity must notify the members in, or in a document accompanying, the voting paper that:
 - (A) the proposed responsible entity will be chosen as the new responsible entity if at least 50% of the total votes that may be cast by members that would be eligible to vote at a meeting under paragraph (1)(a) are cast in favour of the choice; and
 - (B) only votes received by the responsible entity within 28 days after the issue of the voting paper will be counted.
- (f) If a meeting is convened the resolution to choose the new responsible entity must be an extraordinary resolution if the scheme is not listed.”;

- (c) after subsection (2) insert:

“(2A) If a postal vote is arranged under paragraph (1A)(d) and at least 50% of the total votes that may be cast by members that would be eligible to vote at a meeting under paragraph (1)(a) are cast in favour of the proposed responsible entity, that entity is taken for the purposes of subsection (2) to have been chosen by a resolution of members on the last day on which postal votes may be received in order to be counted.

- (2B) If:

- (a) a related body corporate is proposed to be the new responsible entity in accordance with subsection (1A); and
- (b) sufficient members do not ask for a vote to choose the entity in accordance with the notice referred to in paragraph (1A)(b); and

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- (c) the entity has consented in writing to becoming the scheme's responsible entity,
- then:
- (d) as soon as practicable and in any event within 2 business days after the end of the period in which a member may ask for such a vote, the current responsible entity must lodge a notice with ASIC asking it to alter the record of the scheme's registration to name the proposed responsible entity as the scheme's responsible entity; and
 - (e) the notice must be accompanied by a certificate from the current responsible entity that it reasonably considers that the appointment of the proposed responsible entity would be in the best interests of members with a summary of the responsible entity's reasons as to why this is the case; and
 - (f) unless ASIC reasonably believes that the appointment of the proposed responsible entity would not be in the best interests of members, ASIC must comply with the notice as soon as practicable after the notice and summary are lodged."

Where this declaration applies

5. This declaration applies where Equity Trustees Limited ACN 004 031 298 has agreed in writing to become the new responsible entity of the Schemes.

Where this declaration ceases to apply

6. This declaration ceases to apply on 18 December 2019.

Dated this 18 day of June 2019.



Signed by Abramo Martinelli
as a delegate of the Australian Securities and Investments Commission

**ASIC**

Australian Securities & Investments Commission

19-0593

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Kyung Han Kim
ABN 99 498 477 828 ("the Licensee")
PO Box 268
West Ryde
NSW 1685

Pursuant to paragraph 915B(1)(e) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 489143 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 20 June 2019

Signed

Floyd Williams
A delegate of the Australian Securities and Investments Commission

**ASIC**

Australian Securities & Investments Commission

19-0594

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Evolution Super (Australia) Pty Ltd
C/David Lolicato
ABN 27 127 750 289 ("the Licensee")
PO Box 176
Goodwood SA 5034

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 319810 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 20 June 2019

Signed

Floyd Williams
A delegate of the Australian Securities and Investments Commission

**ASIC**

Australian Securities & Investments Commission

19-0595

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: KRC Financial Services Pty Ltd
ACN 613 182 773 ("the Licensee")
7 Neville Court
Castle Hill NSW 2154

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 489247 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 21 June 2019

Signed

Floyd Williams
A delegate of the Australian Securities and Investments Commission

19-0600

NOTICE UNDER SECTION 920E OF THE CORPORATIONS ACT 2001

Notice is given under section 920E of the *Corporations Act 2001* that the Australian Securities and Investments Commission has made a banning order in the terms set out below, which order took effect on 14 June 2019.

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

IN THE MATTER OF FRAZER JON MUSCAT

SECTIONS 920A AND 920B
OF THE CORPORATIONS ACT 2001

To: Frazer Jon Muscat

BANNING ORDER UNDER SECTIONS 920A AND 920B
OF THE CORPORATIONS ACT 2001

TAKE NOTICE that under sections 920A(1) and 920B(2) of the *Corporations Act 2001* the Australian Securities and Investments Commission prohibits **FRAZER JON MUSCAT** from providing any financial services for a period of five years.

Dated this 12th day of June 2019Signed: 

Christine Croft
Delegate of the Australian Securities and Investments Commission

Your attention is drawn to section 920C(2) of the *Corporations Act 2001* which provides that a person must not engage in conduct which breaches a banning order that has been made against the person. Contravention of section 920C(2) is an offence.

19-0605

NOTICE UNDER SECTION 915F OF THE CORPORATIONS ACT 2001

Notice is given under section 915F of the *Corporations Act 2001* that the Australian Securities and Investments Commission has taken the action set out in the Notice below, which action took effect on 14 June.


**Australian Securities and Investments Commission
Corporations Act 2001 section 915B**

Variation of Notice of Suspension of Australian Financial Services Licence

To: Golden Financial Group Pty Ltd ACN 128 837 285

Under s915B of the *Corporations Act 2001*, the Australian Securities and Investments Commission hereby varies the Notice of Suspension of Australian Financial Services Licence dated 14 March 2019 given to Golden Financial Group Pty Ltd ACN 128 837 285 in respect of Australian financial services licence number 321191, as varied on 16 May 2019, by replacing the date "17 June 2019" with the date "31 July 2019".

Dated this 14th day of June 2019

Signed: 

Christine Croft, a delegate of the Australian Securities and Investments Commission

**ASIC**

Australian Securities & Investments Commission

19-0607**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B****Notice of Cancellation of an Australian Financial Services Licence**

TO: Plant & Associates Pty Ltd
ACN 133 611 953 ("the Licensee")
PO Box 3284
Nerang QLD 4211

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 469574 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 21 JUNE 2019

Signed

Floyd Williams
A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

19-0609

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian financial services licence

TO: Capital Insurance (Broking) Group Pty Ltd
ACN 096 781 967 ("the Licensee")
PO Box 1071
Hervey Bay QLD 4655

1. Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001** (the Act), the Australian Securities and Investments Commission (ASIC) hereby cancels Australian financial services (AFS) licence number 241802 held by the Licensee, with effect from the date on which this notice is given to the Licensee.
2. Pursuant to section 915H of the Act, the AFS licence continues in effect while cancelled, as though the cancellation had not happened for the purposes of:
 - a. paragraphs 912A(2)(c) of the Act, which requires the Licensee to maintain membership of the Australian Financial Complaints Authority scheme for no less than twelve months from the date the notice of cancellation of the AFS licence is given to the Licensee; and
 - b. section 912B of the Act, which requires the Licensee to maintain compensation arrangements for no less than twelve months from the date the notice of cancellation of the AFS licence is given to the Licensee. The arrangements must satisfy the requirements set out in regulation 7.6.02AAA of the Corporations Regulations 2001.

Dated 19 June 2019

Signed

Floyd Williams
a delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

19-0613

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Ganes Investment Management Pty Ltd
ACN 113 032 741 ("the Licensee")
PO Box 3512
Newmarket QLD 4051

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 287598 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 21 June 2019

Signed

Floyd Williams

A delegate of the Australian Securities and Investments Commission

19-0615

Australian Securities and Investments Commission**Corporations Act 2001 – Paragraphs 926A(2)(b), 992B(1)(b) and 1020F(1)(b) – Revocation and Exemption****Enabling provision**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 926A(2)(b), 992B(1)(b), and 1020F(1)(b) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument [19-0615].

Commencement

3. This instrument commences on gazettal.

Revocation

4. ASIC Instrument [07-0672], [09-00707] and [12-1808] are revoked.

Exemption

5. ASIC exempts the Long Life Smart Card (*LLS*) facility from the following provisions of the Act:

- (a) subsections 911A(1) and 911B(1);
- (b) section 992A; and
- (c) Part 7.9.

Where the exemption applies

6. The exemption in paragraph 5 applies where:
 - (a) a person provides a financial service in relation to the LLS facility specified in paragraph 7; and
 - (b) all funds paid by holders of the LLS facility and which are amounts standing to the credit of those holders under the facility are kept in a trust account with an Australian authorised deposit-taking institution; and
 - (c) the issuer of the LLS facility is an instrumentality or agency of the Crown in right of the State of Victoria.

19-0615

Interpretation

7. In this instrument:

LLS facility means a facility through which, or through the acquisition of which, a person makes non-cash payments and is:

- (a) issued by Public Transport Victoria or the Head, Transport for Victoria; and
- (b) substantially as described in the letters signed by:
 - (i) Fergus Bailey to ASIC dated 19 April 2007 (**Letter 1**); and
 - (ii) Gary Thwaites to ASIC dated 12 May 2009 (**Letter 2**); and
- (c) referred to in Letter 1 and Letter 2 as the LLS.

Dated this 20th day of June 2019.



Signed by Alexandra Hall

as a delegate of the Australian Securities and Investments Commission.



ASIC

Australian Securities & Investments Commission

19-0617

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Vicki Filopoulos
ABN 40 218 570 761("the Licensee")
PO Box 1101
HAMPTON NORTH VIC 3188

Pursuant to paragraph 915B(1)(e) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 487563 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 20 June 2019

Signed

Floyd Williams
A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

19-0619

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: SMSF Licenced Advisory Pty Ltd
ACN 168 029 114 ("the Licensee")
PO Box 612
ENGADINE NSW 2233

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 476655 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 20 June 2019

Signed

Floyd Williams
A delegate of the Australian Securities and Investments Commission

**ASIC**

Australian Securities & Investments Commission

19-0620

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Arabon Financial Pty Ltd
ACN 165 828 142 ("the Licensee")
PO BOX 102
SHERWOOD QLD 4075

Pursuant to 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 446878 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 20 June 2019

Signed

Floyd Williams

A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

19-0621

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Collateral Management Pty Ltd
ACN 147 474 315 ("the Licensee")
66 Chaleyer Street
ROSE BAY NSW 2029

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 393726 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 20 June 2019

Signed 

Floyd Williams
A delegate of the Australian Securities and Investments Commission

**ASIC**

Australian Securities & Investments Commission

19-0622

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Sandal Financial and Tax Advisors Pty Ltd
ABN: 45 137 548 951 ("the Licensee")
31 Madeline Street
GLEN WAVERLEY VIC 3150

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 489074 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 20 June 2019

Signed

Floyd Williams
A delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001
Subsection 601PA(3)

ASIC may deregister the managed investment scheme(s) listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-first day of June 2019

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Scheme

ARSN

CHARTER HALL DIRECT WORKZONE TRUST

166 545 866

Corporations Act 2001
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

AIRCOIN INTERNATIONAL LTD ACN 610 689 622 will change to a proprietary company limited by shares. The new name will be AIRCOIN INTERNATIONAL PTY LTD ACN 610 689 622.

AQUILA BLACK PTY LTD ACN 633 197 350 will change to a public company limited by shares. The new name will be AQUILA BLACK LIMITED ACN 633 197 350.

CADWALK GLOBAL LIMITED ACN 612 789 527 will change to a proprietary company limited by shares. The new name will be CADWALK GLOBAL PTY LTD ACN 612 789 527.

EVERLIGHT RADIOLOGY LIMITED ACN 120 630 784 will change to a proprietary company limited by shares. The new name will be EVERLIGHT RADIOLOGY PTY LTD ACN 120 630 784.

GRAINCORP HOLDINGS AUSTRALIA PTY LTD ACN 140 174 189 will change to a public company limited by shares. The new name will be GRAINCORP HOLDINGS AUSTRALIA LIMITED ACN 140 174 189.

HOMESTEAD GATTON LTD. ACN 622 980 661 will change to a proprietary company limited by shares. The new name will be HOMESTEAD GATTON PTY LTD ACN 622 980 661.

IR PLUS GROUP HOLDINGS LIMITED ACN 081 973 293 will change to a proprietary company limited by shares. The new name will be IR PLUS GROUP HOLDINGS PTY LTD ACN 081 973 293.

ACM GROUP LIMITED ACN 127 181 097 will change to a proprietary company limited by shares. The new name will be ACM GROUP PTY LIMITED ACN 127 181 097.

ANDEAN ENERGY RESOURCES LIMITED ACN 618 509 185 will change to a proprietary company limited by shares. The new name will be ANDEAN ENERGY RESOURCES PTY LTD ACN 618 509 185.

AUSTRALIA SAMLY HOLDINGS GROUP LIMITED ACN 164 307 975 will change to a proprietary company limited by shares. The new name will be AUSTRALIA SAMLY HOLDINGS GROUP PTY LTD ACN 164 307 975.

CALIBRE GROUP LIMITED ACN 100 255 623 will change to a proprietary company limited by shares. The new name will be CALIBRE GROUP PTY LTD ACN 100 255 623.

GEORGIAN ENERGY LIMITED ACN 149 630 866 will change to a proprietary company limited by shares. The new name will be GEORGIAN ENERGY PTY LIMITED ACN 149 630 866.

GROFUND LIMITED ACN 004 854 335 will change to a proprietary company limited by shares. The new name will be GROFUND PTY LTD ACN 004 854 335.

IPDATA HOLDINGS LIMITED ACN 624 571 197 will change to a proprietary company limited by shares. The new name will be IPDATA HOLDINGS PTY LTD ACN 624 571 197.

IR PLUS SECURITIES EXCHANGE LTD ACN 087 708 898 will change to a proprietary company limited by shares. The new name will be IRPSX PTY LTD ACN 087 708 898.

OLIVER HUME PROPERTY FUNDS (FLEMINGTON RD) NORTH MELBOURNE LTD ACN 151 282 316 will change to a proprietary company limited by shares. The new name will be OLIVER HUME PROPERTY FUNDS (FLEMINGTON) NORTH MELBOURNE PTY LTD ACN 151 282 316.

OLIVER HUME PROPERTY FUNDS (RAILWAY RD) CHELTENHAM LTD ACN 159 790 913 will change to a proprietary company limited by shares. The new name will be OLIVER HUME PROPERTY FUNDS (RAILWAY RD) CHELTENHAM PTY LTD ACN 159 790 913

RED SUN RESOURCES LIMITED ACN 627 998 074 will change to a proprietary company limited by shares. The new name will be RED SUN RESOURCES PTY LTD ACN 627 998 074.

SIGNATURE GOLD LTD ACN 142 902 985 will change to a proprietary company limited by shares. The new name will be SIGNATURE GOLD PTY LTD ACN 142 902 985.

WATERMARK GLOBAL LEADERS FUND LIMITED ACN 614 536 560 will change to a proprietary company limited by shares. The new name will be WATERMARK GLOBAL LEADERS FUND PTY LTD ACN 614 536 560.

YALGOO IRON ORE PTY LTD ACN 158 617 719 will change to a public company limited by shares. The new name will be YALGOO IRON ORE LTD ACN 158 617 719.

PETERS MACGREGOR CAPITAL MANAGEMENT LIMITED ACN 087 181 600 will change to a proprietary company limited by shares. The new name will be PETERS MACGREGOR CAPITAL MANAGEMENT PTY LTD ACN 087 181 600.

RURAL BANK LIMITED ACN 083 938 416 will change to a proprietary company limited by shares. The new name will be ACN 083 938 416 (RBL) PTY LTD ACN 083 938 416.

VIRIDIAN GLASS LIMITED ACN 006 904 052 will change to a proprietary company limited by shares. The new name will be VIRIDIAN GLASS PTY LTD ACN 006 904 052.

WATERMARK MARKET NEUTRAL FUND LIMITED ACN 163 980 498 will change to a proprietary company limited by shares. The new name will be WATERMARK MARKET NEUTRAL FUND PTY LTD ACN 163 980 498.