



ASIC
Australian Securities &
Investments Commission

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RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review (RG57)* and Information Sheet *ASIC decisions – your rights (INFO 9)* to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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ASIC

Australian Securities & Investments Commission

19-0551

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Reed Securities Pty Ltd
ACN 110 187 641 ("the Licensee")
PO Box 1176
Maroochydore QLD 4558

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 280034 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 11 June 2019

Signed 

Floyd Williams
A delegate of the Australian Securities and Investments Commission

**ASIC**

Australian Securities & Investments Commission

19-0553**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B****Notice of Cancellation of an Australian Financial Services Licence**

TO: Adviser Network Pty Ltd
ABN 25 056 310 699 ("the Licensee")
Level 12, 680 George Street
Sydney NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 232729 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 11 June 2019

Signed

Floyd Williams
A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

19-0560

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: ACM SMSF Pty Ltd
ABN 54 610 659 008 ("the Licensee")
PO Box 204
Bentley WA 6982

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 484910 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 11 June 2019

Signed 

Floyd Williams
A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

19-0562

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Armstrong & Associates Pty Ltd
ABN 14 085 507 937 ("the Licensee")
PO Box 775
Albury NSW 2640

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 243179 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 11 June 2019

Signed

Floyd Williams
A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

19-0563

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Drakco Insurance Brokers Pty Ltd
ACN 149 329 233 ("the Licensee")
36 Stewart Street
Hawthorne QLD 4071

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 401199 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 11 June 2019

Signed

A handwritten signature in black ink, appearing to read 'Floyd Williams', written over a dotted line.

Floyd Williams
A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

19-0564**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B****Notice of Cancellation of an Australian Financial Services Licence**

TO: Tax Slayer Pty Ltd
ACN 114 911 063 ("the Licensee")
258 Dorset Road
Boronia VIC 3155

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 489110 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 11 June 2019

Signed

A handwritten signature in black ink, appearing to read 'Floyd Williams', written over a dotted line.

Floyd Williams
A delegate of the Australian Securities and Investments Commission

**ASIC**

Australian Securities & Investments Commission

19-0565**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B****Notice of Cancellation of an Australian Financial Services Licence**

TO: Tannersalt Batemans Bay Pty Ltd
ABN 98 163 752 289 ("the Licensee")
PO Box 200
Ulladulla NSW 2539

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 489171 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 11 June 2019

Signed

Floyd Williams
A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

19-0566

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Bridge Private Wealth Pty Ltd
ACN 003 402 677 ("the Licensee")
Suite 3, Level 6 201 Miller Street
North Sydney NSW 2060

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 483570 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 11 June 2019

Signed 

Floyd Williams
A delegate of the Australian Securities and Investments Commission

19-0567

**Australian Securities and Investments Commission
Corporations Act 2001 – Subsections 741(1) and 926A(2)– Exemptions**

Enabling legislation

1. The Australian Securities and Investments Commission (**ASIC**) makes this instrument under subsections 741(1) and 926A(2) of the Corporations Act 2001 (the **Act**).

Title

2. The instrument is ASIC Instrument 19-0567.

Commencement

3. This instrument commences on 11 June 2019.

Disclosure relief*Offers made under an employee incentive scheme*

4. The Company does not have to comply with Part 6D.2 or Part 6D.3 of the Act in relation to an offer of an eligible product to an eligible participant under the Plan.

Subsequent sale offers

5. A holder of an underlying eligible product that makes a sale offer of that product within 12 months after the issue of the product does not have to comply with Part 6D.2 or Part 6D.3 of the Act in relation to the sale offer where:
 - (a) the product was issued or otherwise granted to an eligible participant under the Plan; and
 - (b) the person has no reason to believe the Plan is not covered by this instrument.
6. A holder of a financial product that makes a sale offer of that product within 12 months after the issue of the product does not have to comply with Part 6D.2 or Part 6D.3 of the Act in relation to the sale offer where:
 - (a) the product was issued by reason of the exercise or vesting of an eligible product issued or otherwise granted to an eligible participant under the Plan; and
 - (b) the person has no reason to believe the Plan is not covered by this instrument.

Licensing and hawking*General advice*

7. The Company does not have to comply with subsection 911A(1) of the Act in relation to the provision of a financial service consisting of general advice in connection with an offer of an eligible product to an eligible participant under the Plan.

Hawking

8. The Company does not have to comply with section 736 of the Act in relation to making an offer of an eligible product to an eligible participant in the course of, or because of, an unsolicited meeting or telephone call held or made in connection with the Plan.

19-0567

Conditions*Disclosure*

9. The Company must ensure that, in relation to any offer made in reliance on this instrument under the Plan is made in, or is accompanied by, an offer document.

Offers of overlying eligible products

10. If the Company makes an offer of an overlying eligible product under the Plan, the Company must ensure that, if the overlying eligible product is not able to be traded on ASX, the offer is for no more than nominal monetary consideration.

5% issue limit

11. The Company must, at the time of making an offer in reliance on this instrument, have reasonable grounds to believe that the number of underlying eligible products in a class of underlying eligible products that form part of the issued capital of the Company that have been or may be issued in any of the circumstances covered by the following paragraphs will not exceed 5% of the total number of underlying eligible products in that class on issue:

- (a) underlying eligible products that may be issued under the offer;
- (b) underlying eligible products issued or that maybe issued as a result of offers made at any time during the previous 3-year period under:
 - (i) the Plan; or
 - (ii) an employee incentive scheme, where the offers were covered by the Class Order or an individual instrument made by ASIC in terms similar to the Class Order.

ASIC power to request documents

12. The Company must, if requested by ASIC and in accordance with the request, make available to ASIC the offer document and all other accompanying information or documents given to eligible participants in connection with an offer under the Plan that is made in reliance on this instrument.

Interpretation

13. In this instrument:

able to be traded has the meaning given by section 761A of the Act.

ASX means the Australian Securities Exchange.

casual employee, in relation to the Company or a wholly-owned subsidiary, means an individual who is, or might reasonably be expected to be, engaged to work the number of hours that are the pro-rata equivalent of 40% or more of a comparable full-time position with the Company or the wholly-owned subsidiary.

contractor, in relation to the Company or a wholly-owned subsidiary, means:

- (a) an individual with whom the Company or the wholly-owned subsidiary has entered into a contract for the provision of services under which the individual performs work for the Company or the wholly-owned subsidiary; or
- (b) a company with whom the Company or the wholly-owned subsidiary has entered into a contract for the provision of services under which an individual, who is a director of the

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company or their spouse, performs work for the Company or the wholly-owned subsidiary;

where the individual who performs the work under or in relation to the contract is, or might reasonably be expected to be, engaged to work the number of hours that are the pro-rata equivalent of 40% or more of a comparable full-time position with the Company or the wholly-owned subsidiary.

Class Order means ASIC Class Order [CO 14/1000].

Company means Alliance Mineral Assets Limited ACN 147 393 735.

eligible participant means, in relation to the Company or a wholly-owned subsidiary, a person specified in column 2 of Table A.

eligible product means a financial product of the Company specified in column 1 of Table A.

employee incentive scheme means an arrangement under which eligible products of the Company are offered to eligible participants.

financial product advice has the meaning given by section 766B of the Act.

general advice has the meaning given by section 766B of the Act.

incentive right means a conditional right to be issued underlying eligible products.

nominal monetary consideration means monetary consideration of a token or trivial amount.

offer, in relation to an eligible product, has a meaning affected by sections 700, 702 and 1010C of the Act and includes:

- (a) an offer to issue the eligible product;
- (b) an issue or grant of the eligible product;
- (c) an offer to transfer the eligible product;
- (d) a transfer of the eligible product;
- (e) an offer to arrange for the issue or transfer of the eligible product,

but does not include an issue, grant or transfer of an underlying eligible product made by reason of the exercise or vesting of an overlying eligible product in circumstances where an offer to issue or transfer the overlying eligible product had been previously made.

offer document, in relation to an offer made in reliance on this instrument, means a document which includes, or is accompanied by, the following information, statements and explanations worded and presented in a clear, concise and effective manner:

- (a) prominent statements to the effect that:
 - i) any advice given by the Company in relation to eligible products offered under the Plan does not take into account an eligible participant's objectives, financial situation and needs; and
 - ii) eligible participants should consider obtaining their own financial product advice from a person who is licensed by ASIC to give such advice;
- (b) either:

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- i) a copy of the terms of the Plan; or
 - ii) a summary of the terms of the Plan together with a statement that, on request and at no charge and within a reasonable time, the Company will provide an eligible participant with a copy of the terms of the Plan;
- (c) general information about the risks of acquiring and holding an eligible product being offered under the Plan;
- (d) the acquisition price of the eligible products in Australian dollars, or where the acquisition price is to be worked out in the future under a formula, an explanation of how an eligible participant could calculate the acquisition price of the eligible products in Australian dollars were the formula applied at the date of the offer;
- (e) an explanation of how an eligible participant could, from time to time, ascertain the market price of the underlying eligible products in Australian dollars;

overlying eligible product means an eligible product specified in paragraph (c) to (e) in column 1 of Table A.

Plan means the Company's Equity Incentive Plan, which is substantially in the same form as provided to ASIC on 10 June 2019.

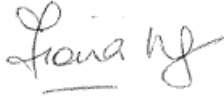
prospective participant, in relation to an offer of an eligible product under an employee incentive scheme, means a person to whom the offer is made but who can only accept the offer if an arrangement has been entered into that will result in the person becoming covered by one of paragraphs (a) to (d) of column 2 of Table A.

underlying eligible product means an eligible product specified in paragraph (a) or (b) in column 1 of Table A.

14. In this instrument, an offer of eligible products to an eligible participant under the Plan on terms that the eligible participant may renounce the offer in favour of a person covered by one of the following paragraphs is to be treated as an offer of eligible products to the eligible participant:
- (a) an immediate family member of the eligible participant;
 - (b) a company whose members comprise no persons other than the eligible participant or immediate family members of the participant;
 - (c) a corporate trustee of a self-managed superannuation fund (within the meaning of the Superannuation Industry (Supervision) Act 1993) where the eligible participant is a director of the trustee.
15. An employee incentive scheme, employee share scheme, or like scheme, is covered by an instrument to the extent that offers are made, or other conduct is carried out, in reliance on the instrument.
16. For the avoidance of doubt, a document or other writing to be given in connection with this instrument may be given by electronic means (including, in the case of a document or other writing to be given by the Company, by way of making it available on a website and notifying the intended recipient that it is available on the website).

19-0567

Dated this 11th day of June 2019.

A handwritten signature in black ink, appearing to read 'Fiona Ng'. The signature is written in a cursive style with a horizontal line underneath the name.

Signed by Fiona Ng, as a delegate of the
Australian Securities and Investments Commission

19-0567

Table A

Column 1 Eligible product	Column 2 Eligible participant
<p>(a) a fully paid share of the Company;</p> <p>(b) a beneficial interest in a fully paid share of the Company;</p> <p>(c) a unit in a financial product mentioned in paragraphs (a) to (b);</p> <p>(d) an option to acquire, by way of issue or transfer, a financial product mentioned in paragraphs (a) to (b);</p> <p>(e) an incentive right granted in relation to a financial product mentioned in paragraphs (a) to (b),</p> <p>where in each case in relation to a product mentioned in paragraphs (a) to (b) that is in a class of products that is able to be traded on ASX:</p> <p>(f) the product is in the same class as financial products which were able to be traded on the relevant financial market at all times in the 3 months before the day the offer document is first given to an eligible participant; and</p> <p>(g) the class of products were not suspended for more than a total of 14 days during the shorter of the period during which the class of products were able to be traded, and the period of 12 months before the day the offer document is first given to an eligible participant.</p>	<p>(a) a full-time or part-time employee (including an executive director);</p> <p>(b) a non-executive director;</p> <p>(c) a contractor;</p> <p>(d) a casual employee;</p> <p>(e) a prospective participant.</p>

19-0573

NOTICE UNDER SECTION 920E OF THE CORPORATIONS ACT 2001

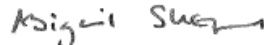
Notice is given under section 920E of the Corporations Act 2001 that the Australian Securities and Investments Commission has made a banning order in the terms set out below, which order took effect on 4 June 2019.

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION**IN THE MATTER OF MURRAY GRAHAM DAVIES****BANNING ORDER UNDER SECTIONS 920A AND 920B
OF THE CORPORATIONS ACT 2001**

To: Murray Graham Davies

TAKE NOTICE that under subsections 920A(1) and 920B(2) of the Corporations Act 2001 the Australian Securities and Investments Commission prohibits **Murray Graham Davies** from providing any financial services permanently.

Dated this 3rd day of June 2019

Signed: 
3 June 2019
Delegate of the Australian Securities and
Investments Commission

Your attention is drawn to subsection 920C(2) of the Corporations Act 2001 which provides that a person must not engage in conduct which breaches a banning order that has been made against the person. Contravention of subsection 920C(2) is an offence.

CORPORATIONS ACT 2001
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this eighteenth day of June 2019

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company

ARBN

AUSTRALASIAN HOT HOUSE LIMITED

126 390 458

DONRIVER INC

126 556 956

CORPORATIONS ACT 2001
Section 601CL(5)

ASIC has struck the foreign companies listed
below off the register.

Dated this eighteenth day of June 2019

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company

ARBN

HALCO ROCK TOOLS LIMITED

088 633 514

PENN CAPITAL MANAGEMENT COMPANY, INC.

168 395 588

CORPORATIONS ACT 2001
Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this eighteenth day of June 2019

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Scheme

ARSN

FRANKLIN DIVERSIFIED REAL INCOME FUND

611 127 096

LUCERNE STABLE

621 610 875

UBS GLOBAL PROPERTY SECURITIES FUND

110 631 171

CORPORATIONS ACT 2001
Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this eighteenth day of June 2019

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Scheme

ARSN

ELLERSTON GLOBAL MID/SMALL CAP FUND (HEDGED)

612 553 241

Corporations Act 2001
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

ATLANTIC LTD ACN 009 213 763 will change to a proprietary company limited by shares. The new name will be ATLANTIC PTY LTD ACN 009 213 763.