



Form CL13

National Consumer Credit Protection Regulations 2010
9AA

National Consumer Credit Protection (Transitional
and Consequential Provisions) Regulations 2010
10A

Notice in relation to special purpose funding entity

If there is insufficient space in any section of the form, you may submit additional copies of the relevant page(s) as part of this lodgement.

Lodgement details

Who should ASIC contact if there is a query about this form?

ASIC registered agent number (if applicable)

Firm/organisation

Contact name/position description

Telephone number (during business hours)

Email Address

Postal address

Suburb/City

State/Territory

Postcode

Credit registered person or licensee details

Credit registration number

Name of registered person

OR

Credit licence number

Name of credit licensee

1 Details of special purpose funding entity

Name

Name of special purpose funding entity

ABN

Australian financial services (AFS) licence no (if applicable)

Postal address

At the office of, C/- (if applicable)

Office, unit, level

Street number and street name or PO Box

Suburb/City

State/Territory

Postcode

Country (if not Australia)

Principal business address (If not the same as postal address)

The principal business address should be the same as the:

- principal place of business notified to ASIC for a registered company
- principal business address notified to ASIC for an AFS licensee.

At the office of, C/- (if applicable)

Office, unit, level

Street number and street name or PO Box

Suburb/City

State/Territory

Postcode

Country (if not Australia)

Type of entity

Tick one box

Indicate type of special purpose funding entity

fund raising special purpose entity

securitisation entity

External dispute resolution scheme

A special purpose funding entity that is a securitisation entity need not be a member of an EDR scheme until 1 October 2010.

Please provide the details of the special purpose funding entity's membership of the Australian Financial Complaints Authority (AFCA).

Membership Number

Commencement date

[D] [D] / [M] [M] / [Y] [Y]

2 Details of servicing agreement

Date of entering into the servicing agreement

[D] [D] / [M] [M] / [Y] [Y]

Date of ceasing to be a party to the servicing agreement

[D] [D] / [M] [M] / [Y] [Y]

3 Action by person in position to control or influence entity

Provide details of action by person in a position to control or influence the special purpose funding entity that has, or may have, the effect of directing the registered person or licensee (as applicable) to act inconsistently with the licensee's licence conditions or the registered person's registration, or the credit legislation.

Signature

See guide for details of who can sign.

I certify that the information in this form is true and complete.

Name

Capacity

Signature

Date signed

/ /
[D] [D] [M] [M] [Y] [Y]

Lodgement

Send completed and signed forms to:
Australian Securities and Investments Commission,
Licensing
PO Box 4000, Gippsland Mail Centre VIC 3841

For more information

Web www.asic.gov.au
Need help? www.asic.gov.au/question
Telephone 1300 300 630

Guide: Notice in relation to special purpose funding entity

This guide does not form part of the form. It is included by ASIC to assist you in completing and lodging the Form CL13.

Signature	<p>If the licensee or registered person is a company or other body corporate—a director or secretary of the person must sign this form.</p> <p>If the licensee or registered person is a partnership—a partner must sign this form.</p> <p>If the licensee or registered person is a multiple trustee—one of the trustees that forms this entity must sign this form. If each trustee is a body corporate or a partnership, the form must be signed by an individual who is a director, secretary or partner (as appropriate) of the trustee.</p> <p>If the licensee or registered person is a natural person—that person must sign this form.</p>
------------------	--

Lodgement fee	Nil.
----------------------	------

Lodgement period	<p>Entering into servicing agreement</p> <p>Servicing agreement entered into before 1 July 2010: The registered person or licensee must notify ASIC no later than 30 business days after 1 July 2010.</p> <hr/> <p>Servicing agreement entered into on or after 1 July 2010: The registered person or licensee must notify ASIC no later than 20 business days after the agreement was entered into.</p> <hr/> <p>Ceasing servicing agreement</p> <p>The registered person or licensee must notify ASIC no later than 15 business days after ceasing to be a party.</p> <p>Actions by person in position of control</p> <p>The registered person or licensee must notify ASIC no later than 15 business days after the action occurs.</p> <p>Late fees will apply if you notify a change outside of the lodgement period.</p> <p>For information on fees refer to www.asic.gov.au/forms.</p> <p>A receipt will not be issued unless requested.</p>
-------------------------	--

How to provide additional information	<p>Photocopied Form CL13 pages</p> <p>If there is insufficient space in any section of the form, you may submit additional copies of the relevant page(s) and submit as part of this lodgement.</p> <p>Annexures</p> <p>To make any annexure conform to the regulations, you must</p> <ol style="list-style-type: none"> use A4 size paper of white or light pastel colour with a margin of at least 10mm on all sides show the registered person or licensee name and number number the pages consecutively print or type in BLOCK letters in dark blue or black ink so that the document is clearly legible when photocopied mark the annexure with an identifying letter or symbol eg a,b,c or 1,2,3 etc. endorse the annexure with the words: This annexure (mark) of (number) pages referred to in form (form number and title) sign and date the annexure. The annexure must be signed by the same person(s) who signed the form.
--	--

Privacy	<p>The information provided to ASIC in this form may include personal information. Please refer to our privacy policy (www.asic.gov.au/privacy) for information about how we handle your personal information, your rights to seek access to and correct personal information, and to complain about breaches of your privacy.</p>
----------------	---

Lodgement

Send completed and signed forms to:
Australian Securities and Investments Commission,
Licensing
PO Box 4000, Gippsland Mail Centre VIC 3841

For more information

Web www.asic.gov.au
Need help? www.asic.gov.au/question
Telephone 1300 300 630