



**ASIC**  
Australian Securities &  
Investments Commission

Commonwealth of Australia Gazette  
No. A16/19, Tuesday 16 April 2019

Published by ASIC

# ASIC Gazette

## Contents

### Notices under Corporations Act 2001

19-0062	19-0268	19-0269	19-0275	19-0276	19-0278
19-0279	19-0281	19-0282	19-0283	19-0284	19-0285
19-0288	19-0289	19-0292	19-0293	19-0295	19-0296
19-0297	19-0298	19-0300	19-0302	19-0305	19-0306
19-0307	19-0308	19-0309	19-0323	19-0325	19-0331
19-0338					

Company/scheme deregistrations

Change of company type

#### RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet *ASIC decisions – your rights* (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at [www.asic.gov.au](http://www.asic.gov.au) or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

ISSN 1445-6060 (Online version)  
ISSN 1445-6079 (CD-ROM version)

Available from [www.asic.gov.au](http://www.asic.gov.au)  
Email [gazette.publisher@asic.gov.au](mailto:gazette.publisher@asic.gov.au)

© Commonwealth of Australia, 2019

This work is copyright. Apart from any use permitted under the *Copyright Act 1968*, all rights are reserved. Requests for authorisation to reproduce, publish or communicate this work should be made to: Gazette Publisher, Australian Securities and Investment Commission, GPO Box 9827, Melbourne Vic 3001



ASIC

Australian Securities &amp; Investments Commission

19-0062

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: H2 Ventures Pty Ltd  
ACN 605 414 195 ("the Licensee")  
Level 6, 1 Chifley Square  
Sydney NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 478604 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 3 April 2019

Signed

John Connor

A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities &amp; Investments Commission

19-0268

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Arena Trading Technology Pty Ltd  
ACN 164 816 513 ("the Licensee")  
Level 22  
85 Castlereagh Street  
SYDNEY NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 443916 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 3 April 2019

Signed

John Connor  
A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities &amp; Investments Commission

19-0269

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: T&C Consulting Services Pty Ltd  
ACN 160 769 979 ("the Licensee")  
13 Denman Avenue  
HABERFIELD NSW 2045

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 438774 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated

3 April 2019

Signed

John Connor

A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities &amp; Investments Commission

19-0275

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: MKT Pty Ltd  
ACN 094 591 438 ("the Licensee")  
PO Box 7436  
Cloisters Square  
PERTH WA 6850

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 485223 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 3 April 2019

Signed

John Connor

A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities &amp; Investments Commission

19-0276

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: ClearCorp FS Pty Ltd  
ACN 107 269 198 ("the Licensee")  
PO Box 4517  
KINGSTON ACT 2604

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 486527 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated

3 April 2019

Signed

John Connor

A delegate of the Australian Securities and Investments Commission

**ASIC**

Australian Securities &amp; Investments Commission

**19-0278**

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: G5 Asset Management Limited  
ACN 087 504 532 ("the Licensee")  
41 Darling Point Road  
Darling Point NSW 2027

Pursuant to 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 238695 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated *3 April 2019*

Signed

John Connor

A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities &amp; Investments Commission

19-0279

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Trulity Holdings Pty Ltd  
ACN 114 399 941 ("the Licensee")  
21 Kywong Road  
ELANORA HEIGHTS NSW 2101

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 289080 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 3 April 2019

Signed

John Connor

A delegate of the Australian Securities and Investments Commission





ASIC

Australian Securities &amp; Investments Commission

19-0281

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Sharron Yvonne Brennan  
ABN 62 305 194 489 ("the Licensee")  
PO Box 3359  
HERVEY BAY QLD 4655

Pursuant to paragraph 915B(1)(e) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 485253 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated *3 April 2019*

Signed

John Connor

A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities &amp; Investments Commission

19-0282

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Miraj Patel  
ABN 52 934 978 906 ("the Licensee")  
MNM Group Financial Services  
Level 26  
1/7 Bligh Street  
Sydney NSW 2000

Pursuant to paragraph 915B(1)(e) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 471692 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 3 April 2019

Signed

John Connor

A delegate of the Australian Securities and Investments Commission

**ASIC**

Australian Securities &amp; Investments Commission

**19-0283**

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Catep Pty Limited  
ACN 611 769 549 ("the Licensee")  
PO Box 1723  
BROADBEACH QLD 4218

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 486979 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated *3 April 2019*

Signed

John Connor

A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities &amp; Investments Commission

19-0284

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Wilkinson Superannuation and Financial Consulting Pty Ltd  
ACN 154 019 057 ("the Licensee")  
PO Box 1290  
DEW WHY NSW 2099

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 486263 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 3 April 2019

Signed

John Connor

A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities &amp; Investments Commission

19-0285

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Mizad Pty Ltd  
ACN147 607 083 ("the Licensee")  
292 Doncaster Ave  
Kingsford NSW 2032

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 488047 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 3 April 2019

Signed

John Connor

A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities &amp; Investments Commission

19-0288

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Cumpston Sarjeant Pty Ltd  
ACN 076 813 486 ("the Licensee")  
Level 13  
160 Queen Street  
MELBOURNE VIC 3000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 243254 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated *3 April 2019*

Signed

John Connor

A delegate of the Australian Securities and Investments Commission

**ASIC**

Australian Securities &amp; Investments Commission

**19-0289**

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Contemporary Accountancy & Business Connections Pty Limited  
ACN 104 091 698 ("the Licensee")  
PO Box 2094  
BURWOOD NORTH NSW 2134

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 488375 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated

*3 April 2019*

Signed

John Connor

A delegate of the Australian Securities and Investments Commission

**ASIC**

Australian Securities &amp; Investments Commission

**19-0292**

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Cullinvest Pty Ltd  
ACN 088 486 364 ("the Licensee")  
19 Kemp Street  
Tennyson Point NSW 2111

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 225705 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated

*3 April 2019*

Signed

John Connor

A delegate of the Australian Securities and Investments Commission





ASIC

Australian Securities &amp; Investments Commission

19-0293

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Charters Pty Ltd  
ACN 009 211 090 ("the Licensee")  
GPO Box B95  
Perth WA 6838

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 500807 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated

3 April 2019

Signed

John Connor

A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities &amp; Investments Commission

19-0295


**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Ganci & Ganci Pty.  
ACN 006 396 998 ("the Licensee")  
PO Box 588  
Essendon North VIC 3041

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 486296 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 3 April 2019

Signed 

John Connor

A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities &amp; Investments Commission

19-0296

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Warbuck's Pty Ltd  
ACN 120 531 731 ("the Licensee")  
PO Box 234  
Jerrabomberra NSW 2619

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 484704 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated

3 April 2019

Signed

John Connor

A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities &amp; Investments Commission

19-0297

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Sapphire Accounting & Taxation Pty Limited  
ACN 141 165 291 ("the Licensee")  
PO Box 3830  
Rouse Hill NSW 2155

Pursuant to 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 484539 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated

3 April 2019

Signed

John Connor

A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities &amp; Investments Commission

19-0298

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: C W Spittal & Associates (Management) Pty Ltd  
ACN 089 262 680 ("the Licensee")  
PO Box 509  
South Yarra VIC 2141

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 487596 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated

3 April 2019

Signed

John Connor

A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities &amp; Investments Commission

19-0300

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: J.T. Campbell & Co Pty Limited  
ACN 059 173 330 ("the Licensee")  
Level 2 90 William Street  
Melbourne VIC 3000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 453310 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated

4 April 2019

Signed

John Connor

A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities &amp; Investments Commission

19-0302

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: John William Eggleton  
ABN 59 862 490 083 ("the Licensee")  
PO Box 84  
Moorooka QLD 4105

Pursuant to paragraph 915B(1)(e) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 488754 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 4 April 2019

Signed

John Connor

A delegate of the Australian Securities and Investments Commission

**ASIC**

Australian Securities &amp; Investments Commission

**19-0305**

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Cherry Fund Limited  
ACN 106 274 631 ("the Licensee")  
c/o Ashurst Australia  
Level 26 181 William Street  
Melbourne VIC 3000

Pursuant to paragraph 915B(3)(b) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 255422 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 4 April 2019

Signed

John Connor

A delegate of the Australian Securities and Investments Commission





ASIC

Australian Securities &amp; Investments Commission

19-0306

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: James Francis Rush  
ABN 49 534 578 968 ("the Licensee")  
PO Box 172  
Queenscliff VIC 3225

Pursuant to paragraph 915B(1)(e) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 487341 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated

4 April 2019

Signed

John Connor

A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities &amp; Investments Commission

19-0307

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Rearden Capital Pty Limited  
ACN155 972 357 ("the Licensee")  
PO Box 634  
North Sydney NSW 2059

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 444630 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 4 April 2019

Signed

John Connor

A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities &amp; Investments Commission

19-0308

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Global Commodities Ltd  
ACN112 010 563("the Licensee")  
116 Greenhill Road  
Unley SA 5061

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 283083 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated

4 April 2019

Signed

John Connor

A delegate of the Australian Securities and Investments Commission

**ASIC**

Australian Securities &amp; Investments Commission

**19-0309**

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Catalyst Capital Management Pty Limited  
ACN116 265 859("the Licensee")  
GPO Box 4082  
Sydney NSW 2001

Pursuant to 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 300313 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated

*4 April 2019*

Signed

John Connor

A delegate of the Australian Securities and Investments Commission

19-0323

**Australian Market Licence (Bloomberg Trading Facility B.V.) 2019***Corporations Act 2001*

I, Oliver Harvey, Chief Supervisory Officer, Australian Securities and Investments Commission grant this Australian market Licence under subsection 795B(2) of the *Corporations Act 2001* (the Act) and impose conditions on this Licence under subsection 796A(1) of the Act.

Dated 9 April 2019

Signed 

as a delegate of the Minister under section 1101J of the Act

**1. Name of Licence**

This Licence is the *Australian Market Licence (Bloomberg Trading Facility B.V.) 2019*.

**2. Commencement**

This Licence commences on the day after execution.

**3. Definitions**

In this Licence unless the contrary intention appears, terms defined in the Act have the same meaning in this Licence and:

*Act* means the *Corporations Act 2001*.

*ASIC* means the Australian Securities and Investments Commission.

*Australian client* means, in relation to a participant in the Market, a person in this jurisdiction on whose behalf the participant deals on the Market.

*Australian participant* means, in relation to dealing on the Market, a participant carrying on business in this jurisdiction.

*debenture of a body* means any of the following:

- (a) a debenture of the body within the meaning of the Act; or
- (b) a financial product that is an undertaking to repay money deposited with or lent to the body by a person if:
  - (i) the person deposits or lends the money in the ordinary course of a business carried on by the person; and
  - (ii) the body receives the money in the ordinary course of carrying on a business that neither comprises nor forms part of a business of borrowing money and providing finance; or
- (c) a financial product that is an undertaking by an Australian ADI to repay money deposited with it, or lent to it, in the ordinary course of its banking business.

*exchange traded commodity product* means a financial product of the following kinds:

- (a) securities;

to the extent that they constitute an exchange traded commodity and are not quoted on a prescribed financial market in Australia.

**exchange traded fund product** means a financial product of the following kinds:

- (a) securities; or
- (b) financial products mentioned in paragraph 764A(1)(b) of the Act; or
- (c) financial products mentioned in paragraph 764A(1)(ba) of the Act that relate to a managed investment scheme that is not required to be registered under Chapter 5C of the Act;

to the extent that they constitute an interest in an exchange traded fund and are not quoted on a prescribed financial market in Australia.

**exchange traded note product** means a financial product of the following kinds:

- (a) securities;

to the extent that they constitute an exchange traded note and are not quoted on a prescribed financial market in Australia.

**Foreign participant** means a participant who is not an Australian participant.

**Licensee** means Bloomberg Trading Facility B.V. ARBN 632 569 389.

**Market** means the financial market the Licensee is authorised to operate under this Licence.

**prescribed financial market** has the meaning given by s9 of the Act.

**Reporting period** means each period of 3 months, or part thereof, during which the Market is operated in Australia, beginning at the start of each calendar year and ending on 31 March, 30 June, 30 September, or 31 December.

**security** has the meaning given by s761A of the Act.

#### 4. Grant of Licence

- (1) The Licensee is granted a licence to operate a financial market through which participants may make or accept offers to acquire or dispose of any of the following financial products:

- (a) a debenture of a body;
- (b) a debenture or bond issued or proposed to be issued by a government;
- (c) a derivative that is an interest rate derivative, credit derivative, equity derivative, commodity derivative or a foreign exchange derivative;
- (d) foreign exchange contracts;
- (e) exchange traded commodity products;
- (f) exchange traded fund products;
- (g) exchange traded note products.

**5. Trading on Market – participant**

- (1) It is a condition of this Licence that the Licensee ensures that a person carrying on business in this jurisdiction does not directly participate in the Market unless the person:
  - (a) is a professional investor dealing in a financial product on its own behalf; or
  - (b) is a professional investor dealing in a financial product on behalf of a person who is a professional investor.

**6. Clearing and settlement arrangements**

- (1) It is a condition of this Licence that the Licensee must:
  - (a) clearly inform participants of the Market of their respective responsibilities in relation to the clearing and/or settlement of trades entered into on the Market; and
  - (b) have in place adequate arrangements to facilitate the efficient clearing and/or settlement of trades entered into on the Market, which must be arrangements of one or more of the following types:
    - (i) arrangements for the clearing and settlement of the trades with a clearing and settlement facility; or
    - (ii) arrangements to:



- a. notify each party to a trade entered into on the Market of the identity of the other party to the trade; or
- b. otherwise be satisfied that each party to a trade entered into on the Market knows the identity of the other party to the trade,

so that the parties to the trade can settle the trade in accordance with arrangements agreed between them.

## 7. Periodic trade reporting

- (1) It is a condition of this Licence that the Licensee must give to ASIC, in a machine-readable format, at least the following information within 30 days after the end of each Reporting period, for each Product of a kind specified in the following table:

Kind of Product	Products
Commodity derivatives	Agricultural derivatives, Energy derivatives, Metals derivatives, Index derivatives, Environmental derivatives, Freight derivatives, Multi Commodity derivatives
Credit derivatives	Index derivatives, Index Tranche derivatives, Single Name derivatives, Swaptions, Total Return Swap, Total Return Swap Index, Exotic derivatives
Equity derivatives	Swap, Portfolio Swap, Contract for Difference, Option, Forward, Other derivatives
Foreign Exchange financial products	Spot, Forward, Vanilla Option, NDF, NDO, Continuous FX, Simple Exotic, Exotic, Complex Exotic
Interest Rate and Inflation derivatives	FRA, Interest Rate Swap, Cross Currency, Inflation Swap, Forward Debt, CapFloor, Swaption, Debt Option, Inflation CapFloor, Exotic
Equity financial products other than Equity derivatives	Products that are each of (a), (b), (c), (d), (e) and (f) in the definition of Equity Securities in rule 1.4.3. of <i>ASIC Market Integrity Rules (Securities Markets) 2017</i>
Interest Rate financial products other than Interest Rate derivatives	Australian Commonwealth Government debt instruments, Australian State or Local Government debt instruments, Supranational and Foreign Government debt instruments, Corporate debt instruments

- (a) the total number of trades and their total value, in AUD-equivalent terms, of trades during the Reporting period by all participants; and
- (b) the total number of trades and their total value, in AUD-equivalent terms, of trades during the Reporting period by each Australian participant, identifying each Australian participant by their registered name and, if applicable, their branch name and, if available, their Legal Entity Identifier; and
- (c) the total number of trades and their total value, in AUD-equivalent terms, of trades during the Reporting period by each Foreign participant but only in relation to the trades that the Licensee believes to be trades on behalf of one or more Australian clients, identifying each Foreign participant by their registered name and, if applicable, their branch name and, if available, their Legal Entity Identifier; and
- (d) the total number of trades and their total value, in AUD-equivalent terms, of the trades that are included in the information in (b) and (c) above and which are trades between any two participants listed in (b) and (c) above.

**8. Market ceases to operate**

- (1) It is a condition of this Licence where the Licensee intends to cease operating the Market in this jurisdiction, the Licensee must:
  - (a) establish, document and implement adequate arrangements for ensuring, as applicable, the orderly cessation of the Market;
  - (b) notify ASIC:
    - (i) that the Licensee intends to cease operating the Market in this jurisdiction, as soon as reasonably practicable;
    - (ii) of the arrangements referred to in paragraph (a), at least 4 weeks before ceasing to operate the Market in this jurisdiction; and
    - (iii) of any changes to the arrangements referred to in paragraph (a) following the notification in subparagraph (ii), as soon as reasonably practicable after making those changes.

19/325

**Australian Securities and Investments Commission  
Corporations Regulations 2001 — paragraph 7.5A.30(2)(j)  
— Amendment**

**Enabling legislation**

1. The Australian Securities and Investments Commission makes this instrument under paragraph 7.5A.30(2)(j) of the *Corporations Regulations 2001*.

**Title**

2. This instrument is ASIC Prescribed Trade Repositories Determination [19/325].

**Commencement**

3. This instrument commences on 9 April 2019.

**Amendments**

4. Schedule 1 amends the ASIC Prescribed Trade Repositories Determination 15/0591.

**Schedule 1****[1] Paragraph 4(e)**

omit "and"

**[2] Paragraph 4(f)**

omit ".", substitute ";

**[3] After paragraph 4(f)**

*insert*

(g) DTCC Data Repository (Ireland) Plc; and

(h) UnaVista TRADEcho B.V..

Dated this the 10<sup>th</sup> day of April 2019



Signed by Nathan Bourne

as a delegate of the Australian Securities and Investments Commission

19-0331

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 741(1)(b) – Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (ASIC) makes this instrument under paragraph 741(1)(b) of the *Corporations Act 2001* (Act).

**Title**

2. This instrument is ASIC Instrument 19-0331.

**Commencement**

3. This instrument commences on the date it is signed.

**Declaration**

4. Chapter 6D of the Act applies to an offer for the sale of Shares as if paragraph 708A(12C)(c) of the Act (as notionally inserted by Legislative Instrument 2016/82) was modified by omitting the words “the first day on which the convertible notes were issued”, and substituting the words “the first day on which a notice under paragraph 708A(12C)(e) is given to the relevant market operator”.
5. Chapter 6D of the Act applies to an offer for the sale of Shares as if paragraph 708A(12C)(e) of the Act (as notionally inserted by Legislative Instrument 2016/82) was modified by omitting the words “on the same day as, or within 2 business days before, the first day on which the convertible notes were issued”, and substituting the words “after the day on which the convertible notes were issued and within 2 business days of the date of this instrument”.

**Where this instrument applies**

6. Paragraphs 4 and 5 of this instrument applies to an offer for sale of Shares where:
  - (a) the Shares were issued to the Investor by reason of the conversion of Convertible Notes issued to the Investor; and
  - (b) the Company has given to ASX Limited ACN 008 624 691 a notice under paragraph 708A(12C)(e) of the Act (as notionally inserted by Legislative Instrument 2016/82 and modified by this instrument) which complies with subsection 708A(12D) of the Act (as notionally inserted by Legislative Instrument 2016/82) before any of the Convertible Notes are converted into Shares.

19-0331

**Interpretation**

7. In this instrument:

**Company** means Lake Resources NL ACN 079 471 980;

**Convertible Notes** means the 1,820,500 convertible notes issued to the Investor by the Company pursuant to the Convertible Securities Agreement on the 8 March 2019;

**Convertible Securities Agreement** means the securities subscription agreement between the Company and the Investor executed on or around 28 February 2019 and as provided to ASIC on 10 April 2019;

**Investor** means SBI Investments (PR), LLC of 107 Grand Street, 7<sup>th</sup> Floor, New York, NY 10013, USA;

**Legislative Instrument 2016/82** means *ASIC Corporations (Sale Offers: Securities Issued on Conversion of Convertible Note) Instrument 2016/82*; and

**Shares** means ordinary fully paid shares in the Company.

Dated this 10<sup>th</sup> day of April 2019



Signed by Lucy Thwaites  
as a delegate for the Australian Securities and Investments Commission

19-0338

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 655A(1)(b) – Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (ASIC) makes this declaration under paragraph 655A(1)(b) of the *Corporations Act 2001* (the Act).

**Title**

2. This instrument is ASIC Instrument 19-0338.

**Commencement**

3. This instrument commences on the date it is signed.

**Declaration**

4. Chapter 6 of the Act applies to Healthscope Limited ACN 144 840 639 (**Healthscope**) as if Part 6.5 were modified or varied as follows:

- (a) at the end of section 648C, add:

“; or

- (c) in accordance with section 648CA.”;

- (b) after section 648C, insert:

**“648CA Deemed manner of sending documents**

- (1) A document is deemed to have been sent to a holder of securities in relation to offers under an off-market bid for the purposes of this Chapter where all of the following are satisfied:
    - (a) the holder has nominated an electronic address for the purposes of receiving electronic copies of shareholder communications, including notices of meetings and proxy forms but excluding annual reports and shareholder statements, from the person sending the document;
    - (b) a Court has made an order permitting the transaction booklet to be sent or dispatched by an electronic message which contains links to an electronic copy of the transaction booklet;

## 19-0338

- (c) an electronic message is sent to the nominated electronic address of the holder, which contains links to an electronic copy of the transaction booklet that is in a form that allows text within the copy to be searched by a computer;
  - (d) the person sending the electronic message does not receive a notification to the effect that the electronic message is unable to be delivered to the nominated electronic address;
  - (e) facilities are in place that enable the holder, through an online portal or website that is accessible by the holder, to request that the transaction booklet is to be sent to the holder by a manner specified in subsections 648C(a) or (b);
  - (f) the holder can accept the offer through the online portal or website.
- (2) For the purposes of subsection (1):

*document*, in relation to an off-market bid, means:

- (a) a bidder's statement;
- (b) offers (within the meaning of section 620);
- (c) a target's statement,

and any document which is expressed to be supplementary to paragraphs (a) or (c); and

**transaction booklet** means the transaction booklet that includes the document and a notice and an explanatory statement for the purposes of subsection 411(1) and paragraph 412(1)(a) of the Act.”.

**Where this instrument applies**

5. The declaration in paragraph 4 applies in relation to the public proposal by ANZ Hospitals Pty Ltd (formally VIG Bidco Pty Ltd) ACN 631 014 938 (**Brookfield**) on 1 February 2019 to make an off-market bid for ordinary shares in Healthscope where the off-market bid is made by an associate of Brookfield and Healthscope has:
- (a) also publicly proposed on or about 1 February 2019 a scheme of arrangement under Part 5.1 of the Act in relation to an offer under which Brookfield will acquire all of the ordinary shares in Healthscope; and

19-0338

- (b) given a notice to ASX Limited ACN 008 624 691 (ASX) for release on the financial market operated by ASX that:
- (i) is in a form that ASIC has stated in writing is acceptable to it;
  - (ii) provides an explanation of the need for, and the effect of, the declaration in paragraph 4;
  - (iii) includes a statement to the effect that shareholders in Healthscope may request to be sent hard copies of the documents:
    - (A) if the holder is outside of Australia—by pre-paid airmail post or by courier; or
    - (B) if the holder is in Australia—by pre-paid ordinary post or by courier,and a statement that explains how shareholders can make this request; and
  - (iv) includes a statement to the effect that the fact that ASIC has granted this relief in connection with the proposed transactions should not be taken as a reflection of ASIC's views on any other aspect of the proposed transactions.

Dated this 11<sup>th</sup> day of April 2019



Signed by Henry Brunskill  
as a delegate of the Australian Securities and Investments Commission



CORPORATIONS ACT 2001  
Section 601CL(5)

ASIC has struck the foreign companies listed  
below off the register.

Dated this twelfth day of April 2019

Rosanne Bell  
DELEGATE OF  
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

**Name of Company**

**ARBN**

BUNZL AUSTRALIA FOREX LLP	162 576 703
ICAP EUROPE LIMITED	101 624 953
NICE & NATURAL LIMITED	142 184 174
RTTECH SOFTWARE INC.	615 293 304
T-C 101 MILLER STREET LLC	602 945 657
T-C 699 BOURKE STREET LLC	168 903 946
VANDERLANDE INDUSTRIES B.V.	161 122 818

CORPORATIONS ACT 2001  
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twelfth day of April 2019

Rosanne Bell  
DELEGATE OF  
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

**Name of Company**

**ARBN**

BROCADE TECHNOLOGY GMBH

128 487 427

CONTINENTAL WIRE CLOTH LLC

164 029 494

CORPORATIONS ACT 2001  
Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twelfth day of April 2019

Rosanne Bell  
DELEGATE OF  
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

**Name of Company**

**ARSN**

AMP CAPITAL ASIAN EQUITY GROWTH FUND

134 397 756

Corporations Act 2001

Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

**BEADELL RESOURCES LTD** ACN 125 222 291 will change to a proprietary company limited by shares. The new name will be BEADELL RESOURCES PTY LTD ACN 125 222 291.

**COBALT ONE LIMITED** ACN 127 411 796 will change to a proprietary company limited by shares. The new name will be COBALT ONE PTY LTD ACN 127 411 796.

**CRUSADE MANAGEMENT LIMITED** ACN 072 715 916 will change to a proprietary company limited by shares. The new name will be CRUSADE MANAGEMENT PTY LIMITED ACN 072 715 916.

**ETHANOL TECHNOLOGIES LIMITED** ACN 110 226 107 will change to a proprietary company limited by shares. The new name will be ETHANOL TECHNOLOGIES PTY LIMITED ACN 110 226 107.

**PHILEO AUSTRALIA LIMITED** ACN 007 608 755 will change to a proprietary company limited by shares. The new name will be PHILEO AUSTRALIA PTY LTD ACN 007 608 755.

**WARAKIRRI ASSET MANAGEMENT PTY LTD** ACN 057 529 370 will change to a public company limited by shares. The new name will be WARAKIRRI ASSET MANAGEMENT LTD ACN 057 529 370.

**BRIGHT ACRE ENERGY LIMITED**

ACN 615 724 171 will change to a proprietary company limited by shares. The new name will be BRIGHT ACRE ENERGY PTY LIMITED ACN 615 724 171.

**COMMERCIALVIEW.COM.AU LTD**

ACN 159 552 771 will change to a proprietary company limited by shares. The new name will be COMMERCIALVIEW.COM.AU PTY LIMITED ACN 159 552 771.

**ESCALA PARTNERS LIMITED** ACN 155 884 236 will change to a proprietary company limited by shares. The new name will be ESCALA PARTNERS PTY LTD ACN 155 884 236.

**MARIPOSA HEALTH LIMITED** ACN 134 154 680 will change to a proprietary company limited by shares. The new name will be MARIPOSA HEALTH PTY LTD ACN 134 154 680.

**VOCATIONAL EDUCATION, TRAINING AND EMPLOYMENT AUSTRALIA LIMITED**

ACN 603 739 117 will change to a proprietary company limited by shares. The new name will be VOCATIONAL EDUCATION, TRAINING AND EMPLOYMENT AUSTRALIA PTY LIMITED ACN 603 739 117