



ASIC
Australian Securities &
Investments Commission

Commonwealth of Australia Gazette
No. A14/19, Tuesday 2 April 2019

Published by ASIC

ASIC Gazette

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RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet *ASIC decisions – your rights* (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

ISSN 1445-6060 (Online version)
ISSN 1445-6079 (CD-ROM version)

Available from www.asic.gov.au
Email gazette.publisher@asic.gov.au

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ASIC

Australian Securities & Investments Commission

19-0082

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Hastings Investment Management Pty Ltd
ACN 110 385 352 ("the Licensee")
c/- Westpac Banking Corporation
Level 20, Westpac Place
275 Kent Street
SYDNEY NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 279686 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 25 March 2019

Signed

Floyd Williams
a delegate of the Australian Securities and Investments Commission

19-0101

Australian Securities and Investments Commission
Corporations Act 2001 – Subsections 601QA(1), 741(1), 926A(2), 992B(1), and
1020F(1) – Exemptions

Enabling legislation

1. The Australian Securities and Investments Commission (ASIC) makes this instrument under subsections 601QA(1), 741(1), 926A(2), 992B(1) and 1020F(1) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 19-0101.

Commencement

3. This instrument commences on the date it is signed.

Nature of this instrument

4. This instrument is an individual relief instrument, as referred to in paragraphs 28A to 28D of ASIC Class Order [CO 14/1000].

Disclosure relief*Offers made under an employee incentive scheme*

5. A Specified Person that makes an offer under an employee incentive scheme covered by this instrument does not have to comply with Part 6D.2, Part 6D.3 or Part 7.9 of the Act in relation to the offer.

Subsequent sale offers

6. A person that makes a sale offer of an underlying eligible product within 12 months after the issue of the product does not have to comply with Part 6D.2, Part 6D.3 or Part 7.9 of the Act in relation to the sale offer where:
 - (a) the product was issued or otherwise granted:
 - (i) to an eligible participant under an employee incentive scheme; or
 - (ii) to a trustee in connection with an employee incentive scheme; and
 - (b) the person has no reason to believe the employee incentive scheme is not covered by this instrument.
7. A person that makes a sale offer of a financial product within 12 months after the issue of the product does not have to comply with Part 6D.2, 6D.3 or Part 7.9 of the Act in relation to the sale offer where:
 - (a) the product was issued by reason of the exercise or vesting of an eligible product issued or otherwise granted to:
 - (i) an eligible participant under an employee incentive scheme; or
 - (ii) to a trustee in connection with an employee incentive scheme; and
 - (b) the person has no reason to believe the employee incentive scheme is not covered by this instrument.

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Licensing, hawking and other incidental relief*General advice*

8. A Specified Person that makes an offer under an employee incentive scheme covered by this instrument and, in relation to the offer, provides a financial service consisting of general advice in connection with the offer, does not have to comply with subsection 911A(1) of the Act in relation to the advice.

Dealing

9. A Specified Person that provides any of the following financial services in relation to an offer in connection with an employee incentive scheme covered by this instrument does not have to comply with subsection 911A(1) of the Act in relation to the financial service:
- (a) issuing the eligible product;
 - (b) dealing in the eligible product where any acquisition by purchase or disposal of the eligible product by the Company or a related body corporate occurs either:
 - (i) through a financial services licensee; or
 - (ii) outside this jurisdiction and through a person which is licensed or otherwise authorised to deal in financial products of that kind in the relevant place; and
 - (c) dealing in an interest in a managed investment scheme covered by paragraph 13 of this instrument or paragraph 28C of ASIC Class Order [CO 14/1000].

Custodial or depository services

10. A Specified Person that provides any of the following financial services in connection with an employee incentive scheme covered by this instrument does not have to comply with subsection 911A(1) of the Act in relation to the financial services:
- (a) a custodial or depository service in relation to the eligible product where the Specified Person performs their duties in good faith and has sufficient resources to perform those duties; and
 - (b) dealing in the eligible product in the course of providing a custodial or depository service covered by paragraph (a).

Hawking

11. A Specified Person that makes an offer of an eligible product to an eligible participant in the course of, or because of, an unsolicited meeting or telephone call held or made in connection with an employee incentive scheme covered by this instrument does not have to comply with sections 736, 992A or 992AA of the Act.

Advertising

12. A Specified Person that advertises, or publishes a statement that is reasonably likely to induce eligible participants to acquire, an eligible product under an employee

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incentive scheme covered by this instrument does not have to comply with section 1018A of the Act in relation to an advertisement or publication.

Incidental managed investment scheme

13. A Specified Person that operates a managed investment scheme only by reason of making eligible products available under an employee incentive scheme covered by this instrument or operating a contribution plan in connection with an employee incentive scheme covered by this instrument does not have to comply with section 601ED of the Act in relation to the operation of that managed investment scheme.

Conditions

Notice of reliance

14. A Specified Person making an offer in connection with a particular employee incentive scheme covered by this instrument must give ASIC a notice of reliance.

Note: A notice of reliance can cover a particular employee incentive scheme that is intended to operate for many years. A new notice of reliance will be required to be given to ASIC if the Company or a related body corporate establishes a new employee incentive scheme.

15. The Company or a related body corporate may give ASIC the notice of reliance at any time before the Specified Person first relies on this instrument in relation to the particular employee incentive scheme but, in any event, must give ASIC the notice of reliance no later than 1 month after the day the Specified Person first relies on this instrument in relation to the particular employee incentive scheme.

Disclosure

16. A Specified Person that makes an offer under an employee incentive scheme covered by this instrument must ensure that the offer is made in, or is accompanied by, an offer document.

5% issue limit

17. A Specified Person that makes an offer covered by this instrument must, at the time of making the offer, have reasonable grounds to believe that the number of underlying eligible products in a class of underlying eligible products that form part of the issued capital of the Company that have been or may be issued in any of the circumstances covered by the following paragraphs will not exceed 5% of the total number of underlying eligible products in that class on issue:
- (a) underlying eligible products that may be issued under the offer;
 - (b) underlying eligible products issued or that may be issued as a result of offers made at any time during the previous 3 year period under:
 - (i) an employee incentive scheme or like scheme of the Company or a related body corporate, where offers were covered by this instrument or an individual instrument made by ASIC on terms similar to this instrument; or
 - (ii) an employee incentive scheme or employee share scheme of the Company or a related body corporate, where the offers were

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covered by ASIC Class Order [CO 03/184] or an individual instrument made by ASIC on terms similar to that class order.

FCPE structure

18. The Company must take all reasonable steps to ensure that:
- (a) the Manager maintains written records on the administration of the FCPE including written records that identify the underlying eligible products held on an allocated basis for the specified eligible participant;
 - (b) the Manager and the Custodian do not levy any fees or charges for administering the FCPE that are payable directly by any eligible participant or out of the assets of the FCPE, other than reasonable disbursements including brokerage and tax levied or incurred in connection with the FCPE or fees and charges, as otherwise provided for in the Rules, or as the Company reasonably believes are authorised under the laws of France;
 - (c) the Manager does not, at its own discretion, exercise any voting rights attaching to any of the underlying eligible products held in the FCPE;
 - (d) the FCPE does not hold more than 5% of the voting shares or voting interests in the Company where such holdings relate to employee incentive schemes of the Company or a related body corporate in reliance on this instrument;
 - (e) the FCPE and an employee incentive scheme covered by this instrument, at all times, are approved and regulated by the Relevant Agency; and
 - (f) the Custodian complies with the laws of France.

Regulation

19. The Company must take all reasonable steps to ensure that:
- (a) written records are maintained in relation to the administration of the incentive rights offered under an employee incentive scheme covered by this instrument; and
 - (b) those incentive rights are at all times approved and regulated by the Relevant Agency.
20. The Specified Person must, at all times, comply with the Rules.
21. The Manager must, at all times, be approved by the Relevant Agency.
22. Neither the Rules nor the terms of incentive rights offered under an employee incentive scheme covered by this instrument must be modified or varied in any material respect that would adversely affect the rights and interests of eligible participants, unless:
- (a) the Company reasonably believes that such modification or variation is required by the laws of France; or
 - (b) ASIC gives prior written notice to the Company that it does not object to the modification or variation.

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23. The Company must notify ASIC as soon as practicable, and in any event, within 15 business days from the date it knew, or should reasonably have known, of the following notifiable matters:
- (a) any significant change to the authorisation granted by the Relevant Agency relating to the operation of the FCPE, including any exemptions or other relief granted to any of the Specified Person or the terms of incentive rights offered under an employee incentive scheme covered by this instrument; and
 - (b) the details of each significant investigation, disciplinary or enforcement action against any of the Specified Persons.

FCPE annual financial statements

24. The FCPE annual financial statements must be audited annually.
25. If requested by an eligible participant at any time during the period the eligible participant is participating in an employee incentive scheme covered by this instrument, the Company must provide and make available at the registered office or principal place of business of Australian Company, during normal business hours or such other time as is agreed with the eligible participant:
- (a) the most recent FCPE annual financial statements and accompanying auditors' report;
 - (b) a copy of the Rules;
 - (c) a copy of the offer document; and
 - (d) a response to any other reasonable request for information.

Redemption of eligible products

26. The Australian Company must accept notices, correspondence and service of process on behalf of each of the Specified Person at its registered office or principal place of business.
27. The Australian Company must forward any notice received from an eligible participant in connection with an employee incentive scheme, including a notice relating to the buy-back or redemption of eligible products as provided for in the Rules, to the Manager without delay.
28. The Company must notify ASIC within 5 business days of:
- (a) suspension or termination of the buy-back arrangements or redemption facilities of eligible products in the FCPE; or
 - (b) suspension of the quotation of the underlying eligible products of the Company on the eligible financial market.
29. The Company must maintain, at the registered office or principal place of business of the Australian Company, a register of eligible participants who are participating in an employee incentive scheme covered by this instrument that includes details of:
- (a) the names and addresses of each eligible participant;
 - (b) the extent of the holding of each eligible participant;
 - (c) the date at which the name of each eligible participant was entered in the register; and

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- (d) the date at which any eligible participant's interest ceased.

ASIC power to request documents

30. A Specified Person must, if requested by ASIC and in accordance with the request, make available to ASIC the offer document and all other accompanying information or documents given to eligible participants in connection with an offer that is made in reliance on this instrument, including:
- (a) records relating to the issue or sale of and the buy-back or redemption of eligible products in the FCPE to or from eligible participants; and
 - (b) if any document is not in English, a translation of that document into English which must be a certified translation if requested by ASIC.

Interpretation

31. In this instrument:

- (a) **able to be traded** has the meaning given by section 761A of the Act;

Australian Company means each of Pernod Ricard Winemakers Pty Ltd ACN 007 870 046 and Pernod Ricard Pacific Holding Pty Ltd ACN 003 678 484;

casual employee, in relation to the Company or a related body corporate, means an individual who is, or might reasonably be expected to be, engaged to work the number of hours that are the pro-rata equivalent of 40% or more of a comparable full-time position with the body;

Company means Pernod Ricard S.A., a body registered in France and listed in the eligible financial market;

Custodian means the custodian of the FCPE from time to time (presently CACEIS Bank, a body registered in France), which, by way of contract, acts for or on behalf of the Company in connection with an offer to an eligible participant under an employee incentive scheme;

contribution, in relation to a contribution plan, does not include:

- (i) nominal monetary consideration; or
- (ii) a monetary contribution as consideration for an issue, transfer or grant of an eligible product to the eligible participant without undue delay (including a monetary contribution made to exercise an eligible product or cause an eligible product to vest);

contribution plan means a plan under which an eligible participant may make monetary contributions to acquire eligible products, whether made before or after the acquisition, from one or more of the following:

- (i) gross (before-tax) wages or salary;
- (ii) net (after-tax) wages or salary;
- (iii) other monies;

eligible financial market means the financial market operated by Euronext Paris and, unless a contrary intention appears, is limited to the main board of that market;

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eligible participant means, in relation to the Company or a related body corporate, a person specified in column 2 of Table A who is a resident in this jurisdiction;

eligible product means, in relation to the Company, means a financial product specified in column 1 of Table A;

employee incentive scheme means an arrangement under which eligible products of or relating to the Company are offered to eligible participants through a FCPE (or in connection with a FCPE), and which is designed to support interdependence between the Company and its related bodies corporate and those participants for their long-term mutual benefit;

FCPE means a collective employee investment vehicle known as a Fonds Commun de Placement d'Entreprise approved by the Relevant Agency (including the FCPE called "Accelerate" and its subcompartment "Accelerate C") and including any successor FCPEs that are formed on a temporary basis in order to make offers of eligible products to eligible participants;

FCPE annual financial statement means the books of account maintained in respect of the activities of the FCPE (including the statement of assets and liabilities, balance sheet, income statement, together with the related notes) prepared in accordance with French accounting standards and the laws of France;

financial product advice has the meaning given by section 766B of the Act;

general advice has the meaning given by section 766B of the Act;

incentive right means a conditional right:

- (i) to acquire underlying eligible products;
- (ii) to be paid a cash amount that is ultimately determined by reference to (wholly or in part):
 - a. the price or value at a given time of the underlying eligible product to which the right relates; or
 - b. a change in the price or value over a given period of the underlying eligible product to which the right relates, including where the change is calculated in accordance with a formula and applies a multiple,
 - c. the amount or value of dividends or distributions paid or payable in relation to the underlying eligible product to which the right relates; or
 - d. a change in the amount or value over a given period of time of dividends or distributions paid or payable in relation to the underlying eligible product to which the right relates; or
- (iii) to acquire or to be paid a combination of underlying eligible products and a cash amount as determined in accordance with paragraph (ii).

nominal monetary consideration means monetary consideration of a token or trivial amount;

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notice of reliance, in relation to a particular employee incentive scheme, means a written notice in a form approved in writing by ASIC;

Manager means the independent management company of the FCPE from time to time (presently Amundi Asset Management, a body registered in the Paris Trade and Companies Registry, France) which, by way of contract with the Company, acts for or on behalf of the Company in connection with an offer to an eligible participant under an employee incentive scheme;

offer, in relation to an eligible product, has a meaning affected by sections 700, 702 and 1010C of the Act and includes:

- (i) an offer to issue the eligible product;
- (ii) an issue or grant of the eligible product;
- (iii) an offer to transfer the eligible product;
- (iv) a transfer of the eligible product; and
- (v) an offer to arrange for the issue or transfer of the eligible product;

offer document, in relation to an offer of eligible products under an employee incentive scheme, means a document which includes, or is accompanied by, the following information, statements and explanations worded and presented in a clear, concise and effective manner:

- (i) prominent statements to the effect that:
 - a. any advice given by any Specified Person in relation to eligible products offered under the employee incentive scheme does not take into account an eligible participant's objectives, financial situation and needs;
 - b. eligible participants should consider obtaining their own financial product advice from a person who is licensed by ASIC to give such advice; and
 - c. the FCPE and the employee incentive scheme are regulated by the laws of France, and those laws differ from Australian laws;
- (ii) either:
 - a. a copy of the Rules; or
 - b. a summary of the Rules together with a statement that, on request and at no charge and within a reasonable time, the Company will provide an eligible participant with a copy of the Rules;
- (iii) general information about the risks of acquiring and holding an eligible product being offered under the employee incentive scheme;
- (iv) if the employee incentive scheme involves an incentive right from a Specified Person to an eligible participant to acquire the product – a copy of the terms of the incentive right which includes the conditions, obligations and risks associated with the incentive right;

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- (v) as the FCPE and employee incentive schemes are regulated by the laws of France, a brief description of the legal and practical effect (if any) this may have on the rights and ability of an eligible participant domiciled in Australia to make any claim or enforce any right arising out of or in connection with the offer of an eligible product;
- (vi) an explanation of the nature of any special risks associated with cross-border investing, such as risks arising from foreign taxation requirements, foreign currency or time differences; and
- (vii) details of how the Rules provide for any fees or charges for administering the FCPE that are payable directly by any eligible participant or out of the assets of the FCPE;
- (viii) the acquisition price of the eligible products in Australian dollars or, where the acquisition price is to be worked out in the future under a formula, an explanation of how an eligible participant could calculate the acquisition price of the eligible products in Australian dollars were that formula applied at the date of the offer; and
- (ix) an explanation of how an eligible participant could, from time to time, ascertain the market price of an underlying eligible product in Australian dollars;

prospective participant, in relation to an offer of an eligible product under an employee incentive scheme, means a person to whom the offer is made but who can only accept the offer if an arrangement has been entered into that will result in the person becoming covered by one of paragraphs (a) to (c) of column 2 of Table A;

related body corporate has the meaning given in section 50 of the Act;

Relevant Agency means the Autorité des Marchés Financiers, the French Market Authority;

Rules means the rules of an employee incentive scheme, the rules of the FCPE and the rules of any successor FCPEs that are formed on a temporary basis, each as approved by the Relevant Agency and as amended from time to time;

Specified Person means:

- (i) the Company;
- (ii) the related bodies corporate of the Company;
- (iii) the Custodian;
- (iv) the Manager; and
- (v) any person who, by way of contract between the person and the Company, acts for or on behalf of the Company in connection with an offer of an eligible product to an eligible participant under an employee incentive scheme;

underlying eligible product means an eligible product specified in any of paragraphs (a) to (b) in column 1 of Table A;

- (b) an offer of eligible products to an eligible participant under an employee incentive scheme on terms that the eligible participant may renounce the

19-0101

offer in favour of a person covered by one of the following paragraphs is to be treated as an offer of eligible products to the eligible participant:

- (i) an immediate family member of the eligible participant;
 - (ii) a company whose members comprise no persons other than the eligible participant or immediate family members of the participant;
 - (iii) a corporate trustee of a self-managed superannuation fund (within the meaning of the *Superannuation Industry (Supervision) Act 1993*) where the eligible participant is a director of the trustee; and
- (c) an employee incentive scheme, employee share scheme, or like scheme is **covered by** an instrument to the extent that offers are made, or other conduct is carried out, in reliance on this instrument; and
- (d) for the avoidance of doubt, a document or other writing to be given in connection with this instrument may be given by electronic means (including, in the case of a document or other writing to be given by a Specified Person in relation on this instrument, by way of making it available on a website and notifying the intended recipient that it is available on the website).

Dated this 29th day of March 2019



Signed by Lydia Sia
as a delegate of the Australian Securities and Investments Commission

19-0101

Table A

<i>Column 1</i> Eligible product	<i>Column 2</i> Eligible participant
<p>(a) a fully paid share of the Company that is in a class of shares able to be traded on an eligible financial market;</p> <p>(b) a unit in a financial product mentioned in paragraph (a);</p> <p>(c) an incentive right granted in relation to a financial product mentioned in paragraphs (a) or (b);</p> <p>(d) another financial product that relates to a financial product mentioned in paragraph (a) or (b),</p> <p>where in each case in relation to a product mentioned in paragraph (a):</p> <p>(d) the product is in the same class as financial products which were able to be traded on the relevant financial market at all times in the 3 months before the day the offer document is first given to an eligible participant; and</p> <p>(e) the class of products were not suspended for more than a total of 5 days during the shorter of the period during which the class of products were able to be traded, and the period of 12 months before the day the offer document is first given to an eligible participant.</p>	<p>(a) a full-time or part-time employee (including an executive director);</p> <p>(b) a non-executive director;</p> <p>(c) a casual employee;</p> <p>(d) a prospective participant.</p>



ASIC

Australian Securities & Investments Commission

19-0204

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: First Commercial Limited
ACN: 083 834 664 ("the Licensee")
PO Box 73
BEECROFT NSW 2119

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 389194 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 20 March 2019

Signed

John Connor

A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

19-0211

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Saywell Services Pty. Ltd.
ACN 083 874 631 ("the Licensee")
PO Box 263
Cessnock
NSW 2325

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 489062 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 20 March 2019

Signed

John Connor

A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

19-0212

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: John Brian Gibson
ABN 57 544 601 583 ("the Licensee")
PO Box 280
Kotara Fair NSW22849

Pursuant to paragraph 915B(1)(e) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 484454 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 20 March 2019

Signed

John Connor
A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

19-0217

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Terence Maihi
ABN: 66 154 867 100 ("the Licensee")
PO Box 84
MONTMORENCY VIC 3094

Pursuant to paragraph 915B(1)(e) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 488802 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 20 March 2019

Signed

John Connor

A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

19-0218

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Nataliya Laptenko
ABN 24 626 455 029 ("the Licensee")
PO Box 5003
CHELTENHAM VIC 3192

Pursuant to paragraph 915B(1)(e) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 484887 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 20 March 2019

Signed

John Connor

A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

19-0220

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: De Matteis & Associates Pty. Ltd.
ACN 078 266 010 ("the Licensee")
273 Buckley Street
ESSENDON VIC 3040

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 485646 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated

20 March 2019

Signed

John Connor

A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

19-0226

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Arma Insurance Group Pty Ltd
ACN 613 903 763 ("the Licensee")
PO Box 90
GRETA NSW 2334

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 495017 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 22 March 2019

Signed

John Connor

A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

19-0227

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Ardent Leisure Management Limited
ACN 079 630 676 ("the Licensee")
PO Box 1927
NORTH SYDNEY NSW 2059

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 247010 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 22 March 2019

Signed

John Connor

A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

19-0242

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Selectus Financial Services Pty Ltd
ACN 117 948 508 ("the Licensee")
Level 8
550 Bourke Street
MELBOURNE VIC 3000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 337020 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 25 March 2019

Signed

A handwritten signature in black ink, appearing to be 'F. Williams', written over a dotted line.

Floyd Williams
a delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

19-0243

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: ANZ Equities (Nominees) Pty Ltd
ACN 103 183 606 ("the Licensee")
c/- Australia And New Zealand Banking Group Limited
Level 16
100 Queen Street
MELBOURNE VIC 3000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 228903 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 25 March 2019

Signed

Floyd Williams
a delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

19-0244

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Rivercity Motorway Management Limited
ACN 117 343 361 ("the Licensee") - Under External Administration
C/- PricewaterhouseCoopers
480 Queen Street
BRISBANE QLD 4000

Pursuant to paragraph 915B(3)(b) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 297867 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 25 March 2019

Signed

A handwritten signature in black ink, appearing to be 'Floyd Williams'.

Floyd Williams
a delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

19-0245

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Alan Dredge & Co. Pty. Limited
ACN 006 615 783 ("the Licensee")
24 Bowen Street
RICHMOND VIC 3121

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 485027 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 25 March 2019

Signed

Floyd Williams
a delegate of the Australian Securities and Investments Commission

19-0246

**Australian Securities and Investments Commission
Corporations Act 2001 - Paragraph 601QA(1)(a) - Exemption**

Enabling Legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 601QA(1)(a) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC instrument 19-0246.

Commencement

3. This instrument commences on the day it is signed.

Exemption — Unequal treatment in withdrawal from an AQUA managed fund

4. Vanguard Investments Australia Limited ACN 072 881 086 (**Responsible Entity**) in its capacity as the responsible entity for the Vanguard Global Multi-Factor Active ETF (Managed Fund), being a class of units in the Vanguard Global Multi-Factor Fund ARSN 630 597 929 (**Fund**), an AQUA managed fund, does not have to comply with paragraph 601FC(1)(d) of the Act to the extent that it would prevent the Responsible Entity from permitting only authorised participants to withdraw from the Fund.

Where the exemption applies

5. The exemption applies where all of the following are satisfied:
 - (a) there is a Product Disclosure Statement (the **PDS**) in relation to interests in the Fund that are admitted to Trading Status as a Managed Fund Product that includes statements to the effect that:
 - (i) the Responsible Entity will not treat members of the same class equally to the extent that it restricts withdrawal from the Fund to authorised participants;
 - (ii) except in exceptional circumstances only authorised participants may withdraw their interests from the Fund, but other members may sell their interests on the AQUA market;

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- (iii) when interests in the Fund are suspended from trading on the AQUA market for more than 5 consecutive Trading Days, members have a right to withdraw from the Fund and receive payment for their interests in money within a reasonable time of request unless any of the following apply:
 - (A) the Fund is being wound up;
 - (B) the Fund is not liquid as defined in subsection 601KA(4) of the Act;
 - (C) the Responsible Entity suspends withdrawals in accordance with the constitution;
- (b) the constitution of the Fund does not permit a withdrawal fee per interest in the Fund to be payable by a member who is not an authorised participant that is greater than the withdrawal fee per interest that would generally be payable by an authorised participant receiving redemption proceeds in cash while interests in the Fund are quoted when withdrawing the minimum parcel; and
- (c) ASIC has not notified the Responsible Entity in writing that it is excluded from reliance on the exemption.

Note: Subparagraph 5(b) applies regardless of whether the withdrawal fees for the Fund are calculated on a per interest basis or otherwise.

Interpretation

6. In this instrument:

AQUA managed fund means a registered scheme with interests admitted to Trading Status as a Managed Fund Product on the financial market operated by ASX under the provisions of the operating rules of ASX relating to what is referred to in those rules as the AQUA Product.

AQUA market means the financial market operated by ASX under the provisions of the operating rules of ASX relating to an AQUA Product.

AQUA Product has the same meaning as in the operating rules of ASX as at the date of this instrument.

ASX means ASX Limited (ACN 008 624 691).

Australian resident for tax purposes means, for a particular financial year, a person who is an Australian resident for the purposes of the *Income Tax Assessment Act 1997* for the whole of that financial year.

19-0246

authorised participant means, in relation to the Fund, a person who:

- (a) has an agreement with the Responsible Entity of the Fund in relation to making applications to acquire and withdraw interests in the Fund; and
- (b) has notified the Responsible Entity in writing that the person expects they will be an Australian resident for tax purposes for the financial year of the Fund in which the notification is made and for each subsequent financial year, and has not subsequently notified the Responsible Entity to the contrary; and
- (c) is either a Trading Participant or has engaged a Trading Participant to act on its behalf to acquire and dispose of interests in the Fund.

Managed Fund Product has the same meaning as in the operating rules of ASX as at the date of this instrument.

minimum parcel means the smallest number or value of interests in an AQUA managed fund that are generally permitted to be withdrawn from the AQUA managed fund by an authorised participant while interests in the AQUA managed fund are quoted.

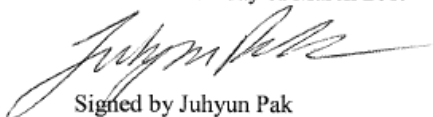
Trading Day has the same meaning as in the operating rules of ASX as at the date of this instrument.

Trading Participant has the same meaning as in the operating rules of ASX as at the date of this instrument.

Trading Status has the same meaning as in the operating rules of ASX as at the date of this instrument.

withdrawal fee per interest means, in relation to the Fund, the fee that is payable in relation to a withdrawal from the Fund divided by the number of interests in the Fund to which the withdrawal relates.

Dated this 26th day of March 2019



Signed by Juhyun Pak

as a delegate of the Australian Securities and Investments Commission

19-0247

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraphs 655A(1)(b) and 673(1)(b) - Declarations**

Enabling Legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 655A(1)(b) and 673(1)(b) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC instrument 19-0247.

Commencement

3. This instrument commences on the day it is signed.

Declaration – Relevant Interest

4. Chapters 6 and 6C of the Act apply to a person who has a relevant interest in securities that form part of the scheme property of, and is attributed to, the Vanguard Global Multi-Factor Active ETF (Managed Fund), being a class of units in the Vanguard Global Multi-Factor Fund ARSN 630 597 929 (**Fund**), as if section 609 were modified or varied by inserting after subsection 609(16) (as notionally inserted by ASIC Class Order [CO12/1209]):

“Managed Fund Products

(19A) A member of a registered scheme who is an authorised participant in relation to the scheme does not have a relevant interest in securities that form part of scheme property of the scheme merely because the scheme has an acquisition and withdrawal facility, provided that:

- (a) interests in the scheme are in a class of interests that are AQUA Products which are Managed Fund Products that are admitted to Trading Status; and
- (b) the current PDS contains a statement to the effect that at the time the investment strategy for the scheme disclosed in the current PDS was first published in a Product Disclosure Statement for interests in the scheme, there were reasonable grounds to believe that implementation of the investment strategy would not be likely to lead to scheme property including securities in a class of securities that:
 - (i) would represent more than 10% by value of scheme property; and
 - (ii) were, or would result in the responsible entity having a relevant interest in, securities in:

19-0247

- (A) a listed company; or
- (B) an unlisted company with more than 50 members; or
- (C) a listed body that is formed or incorporated in Australia; or
- (D) a listed scheme.

Note: The responsible entity may have a relevant interest in securities that do not form part of scheme property because of paragraph 608(3)(b).

(19B) If subsection (19A) applies in relation to a member and the member makes a withdrawal request under the acquisition and withdrawal facility:

- (a) subsection (19A) stops applying in relation to any securities that the member would receive if the responsible entity transferred securities to the member in accordance with the withdrawal request; and
- (b) the member is taken to acquire a relevant interest in those securities at that time through a transaction in relation to the securities entered into by the member.

Note: If the member makes a withdrawal request only in relation to some of the member's interests in the scheme, subsection (19A) may still apply in relation to the member's remaining interests.

(19C) If:

- (a) other than because of subsection (19B), a member has a relevant interest in securities that form part of scheme property because subsection (19A) has stopped applying in relation to the scheme; and
- (b) the member makes a withdrawal request in relation to the interests in the registered scheme;

then for the purposes of section 606, at the time of the withdrawal request:

- (c) the member is taken to acquire a relevant interest in any securities that the member would receive if the responsible entity transferred securities to the member in accordance with the withdrawal request;
- (d) the member is taken to have acquired the relevant interest through a transaction entered into by the member in relation to those securities; and

19-0247

- (e) the member's voting power is taken to have increased from what it would have been before the withdrawal request if the votes attached to those securities were disregarded to what it was after the withdrawal request (taking the votes attached to those securities into account).

(19D) If, in the previous 6 months:

- (a) a member's voting power has increased because subsection (19A) stopped applying in relation to securities; and
- (b) the member has not been taken to acquire a relevant interest in the securities under subsection (19B) or (19C);

the increase in voting power is to be disregarded in determining the member's, or any other person's, voting power for the purposes of item 9 of the table in section 611.

(19E) In subsections (19A) to (19D) and in this subsection:

acquisition and withdrawal facility means, in relation to a registered scheme, a facility that the responsible entity has stated in the current PDS that it intends to offer on each Trading Day on which interests in the scheme are traded on the financial market operated by ASX Limited (ACN 008 624 691) which allows:

- (a) a person who is an authorised participant to acquire an interest in the scheme by contributing to the scheme; and
- (b) a member who is an authorised participant to request that the responsible entity satisfy a withdrawal request in relation to an interest in the scheme by transferring to the member;

consideration that predominantly comprises a parcel of securities, the composition of which in relation to an acquisition and a withdrawal is published by or on behalf of the responsible entity from time to time.

Note: The composition of the parcel of securities may be different for an acquisition and a withdrawal.

Australian resident for tax purposes means, for a particular financial year, a person who is an Australian resident for the purposes of the *Income Tax Assessment Act 1997* for the whole of that financial year.

authorised participant means, in relation to a registered scheme, a person who:

- (a) has an agreement with the responsible entity of the scheme in relation to making applications to acquire and withdraw interests in the Fund; and

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- (b) has notified the responsible entity in writing that the person expects they will be an Australian resident for tax purposes for the financial year of the scheme in which the notification is made and for each subsequent financial year, and has not subsequently notified the responsible entity to the contrary; and
- (c) is either a Trading Participant or has engaged a Trading Participant to act on its behalf to acquire and dispose of interests in the Fund.

current PDS, in relation to a registered scheme, means the most recently lodged Product Disclosure Statement relating to interests in the Fund.

AQUA Product, Managed Fund Product, Trading Day, Trading Participant and Trading Status have the same meanings as defined in the operating rules of ASX Limited (ACN 008 624 691) as at the date of this instrument.

Declaration – Substantial Interest and Beneficial Tracing

5. Chapter 6C of the Act applies to a person who holds an interest in the Fund as if provisions of that Chapter were modified or varied:

- (a) by inserting after section 671A:

"671AA Managed Fund Products

For the purposes of this Chapter and the definition of *substantial holding* in section 9, subsection 609(19A) applies as if paragraph 609(19A)(c) were omitted."; and

- (b) by inserting after subsection 671B(7):

"Managed Fund Products

- (7A) If a member of a registered scheme that has an acquisition and withdrawal facility does not have a relevant interest in securities that form part of scheme property because of subsection 609(19A) (as affected by section 671AA), then for the purposes of this section and the definition of *substantial holding* in section 9, the member is taken to have a relevant interest in the securities that would be transferred to the member if:

- (a) the member made a withdrawal request under the acquisition and withdrawal facility for all their interests in the scheme, other than any interests in relation to which the member has a relevant interest in securities

19-0247

that form part of scheme property because of subsection 609(19B); and

Note: Subsection 609(19B) may give a member a relevant interest in securities that form part of scheme property if the member has made a withdrawal request in relation to some or all of their interests in the scheme.

- (b) the numbers and classes of securities transferred to the member under the facility for each interest in the scheme were the same as the numbers and classes of securities most recently disclosed to the member by the responsible entity in relation to a withdrawal request for an interest under the facility.

- (7B) In subsection (7A), *acquisition and withdrawal facility* has the same meaning as in subsection 609(19E).”; and

- (c) by inserting after subsection 672B(1):

“(1AA) If a member of a registered scheme does not have a relevant interest in securities that form part of scheme property because of subsection 609(19A) (as affected by section 671AA), then for the purposes of this section and section 672DA, the member is taken to have a relevant interest in securities that the member has a relevant interest in for the purposes of section 671B because of subsection 671B(7A).”.

Where this instrument applies

6. This instrument applies where:

- (a) Vanguard Investments Australia Limited ACN 072 881 086 is the responsible entity of the Fund;
- (b) the interests in the Fund remain admitted to Trading Status as AQUA Products which are Managed Fund Products on the financial market operated by the ASX Limited (ACN 008 624 691); and
- (c) the current PDS of the Fund contains a statement to the effect that the investment strategy for the Fund is to make investments that aim to provide long-term capital appreciation by investing in global equity securities which target three factors: value, momentum and quality after an initial volatility screen.

Interpretation

7. In this instrument:

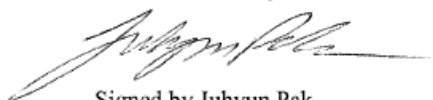
19-0247

AQUA Product has the same meaning as in the operating rules of ASX Limited (ACN 008 624 691) as at the date of this instrument.

Fund means the Vanguard Global Multi-Factor Active ETF (Managed Fund), being a class of units in the Vanguard Global Multi-Factor Fund ARSN 630 597 929.

Trading Status has the same meaning as in the operating rules of ASX Limited (ACN 008 624 691) as at the date of this instrument.

Dated this 26th day of March 2019



Signed by Juhyun Pak
as a delegate of the Australian Securities and Investments Commission

19-0255

NOTICE UNDER SECTION 915F OF THE CORPORATIONS ACT 2001

Notice is given under section 915F of the *Corporations Act 2001* that the Australian Securities and Investments Commission has taken the action set out in the Notice below, which action took effect on 18 March 2019.


**Australian Securities and Investments Commission
Corporations Act 2001 section 915B**

Notice of Suspension of Australian Financial Services Licence

To: Golden Financial Group Pty Ltd ACN 128 837 285

TAKE NOTICE that under section 915B of the *Corporations Act 2001*, the Australian Securities and Investments Commission hereby suspends Australian financial services licence number 321191 held by Golden Financial Group Pty Ltd ACN 128 837 285 until 17 May 2019.

Dated this 14th day of March 2019

Signed: 

Christine Croft, a delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

19-0258

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Westcourt General Insurance Brokers Pty Ltd
ACN 009 401 772 ("the Licensee")
Level 4
179 Queen Street
MELBOURNE VIC 3000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 238447 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 27 March 2019

Signed

Floyd Williams
a delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

19-0260

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Central Coast Credit Union Ltd
ACN 087 650 897 ("the Licensee")
C/- Unity Bank Limited
Level 7
217 Clarence Street
SYDNEY NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 241716 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 27 March 2019

Signed

Floyd Williams
a delegate of the Australian Securities and Investments Commission

19-0271

**Australian Securities and Investments Commission
Corporations Act 2001 – Subsection 741(1) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 741(1)(b) of the *Corporations Act 2001 (Act)*.

Title

2. This instrument is ASIC Instrument 19-0271.

Commencement

3. This instrument commences on the date it is signed.

Declaration

4. Chapter 6D of the Act applies to a person who holds ordinary shares in ReadyTech Holdings Limited ACN 632 137 216 (**Company**) as if section 707 was modified or varied by omitting subsections 707(3) and (4) and substituting the following subsection:

“(3) An offer of a body’s securities for sale within 12 months after their issue needs disclosure to investors under this Part if the body issued the securities:

- (a) without disclosure to investors under this Part; and
- (b) with the purpose of the person to whom they were issued:
 - (i) selling or transferring them; or
 - (ii) granting, issuing or transferring interests in, or options or warrants over, them;

and section 708 and 708A does not say otherwise.”

Where this instrument applies

5. This instrument applies to an offer of ordinary shares in the Company for sale by the holder of those shares where:
 - (a) the offer of those shares for sale occurs within 12 months of the issue of those shares:

19-0271

- (i) as consideration for the acquisition by the Company of shares in ReadyTech Holdco Pty Ltd ACN 616 170 775; or
 - (ii) to investors to whom disclosure was not required under section 708 of the Act in connection with a bookbuild or other process for the subscription for, or allocation of, ordinary shares in the Company under the Company's initial public offering (IPO) prior to the lodgement of the prospectus for the IPO with ASIC,
- on or immediately prior to the completion of the IPO; and
- (b) a prospectus was lodged with ASIC by the Company in relation to the IPO on or about 29 March 2019.

Dated this 29th day of March 2019



Signed by Shaw Nomura
as a delegate for the Australian Securities and Investments Commission

19-0272

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 1020F(1)(b) – Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 1020F(1)(b) of the *Corporations Act 2001 (Act)*.

Title

2. This instrument is ASIC Instrument 19-0272.

Commencement

3. This instrument commences on the date it is signed.

Exemption

4. An ordinary share in the Company is exempt from subsection 1020B(2) of the Act.

Where the exemption applies

5. The exemption applies to an offer to sell New Shares by:
 - (a) Selling Shareholders to SaleCo or Applicants nominated by SaleCo; or
 - (b) by SaleCo to Applicants;where all of the following are satisfied:
 - (a) each Selling Shareholder has, before the time of the offer, entered into a contract to have issued to them Shares pursuant to the Restructure;
 - (b) each Selling Shareholder has, before the time any sale offer is made by SaleCo, offered to sell New Shares to SaleCo under an Irrevocable Offer Deed;
 - (c) the offer for the sale of New Shares under the Prospectus or the Pathfinder Prospectus is conditional on the Restructure occurring; and
 - (d) SaleCo has offered to sell the New Shares to Applicants.

Interpretation

6. In this instrument:

19-0272

ASX means ASX Limited or the financial market it operates, known as the Australian Securities Exchange, as the context requires.

Applicants means applicants under the Prospectus or the Pathfinder Prospectus.

Company means ReadyTech Holdings Limited ACN 632 137 216.

Completion Date means the settlement date of the initial public offering of Shares.

Irrevocable Offer Deed means a deed under which a Selling Shareholder irrevocably offers to sell some or all of the Shares it receives under the Restructure to, or as directed by, SaleCo for consideration per Share equal to the offer price per Share under the Prospectus.

New Shares means the Shares offered by the Company under the Prospectus and the Pathfinder Prospectus.

Pathfinder Prospectus means a draft of the Prospectus provided to persons who do not require a disclosure document because of subsections 708(8), 708(10) or 708(11) of the Act.

Prospectus means the prospectus lodged by the Company and SaleCo on or about 29 March 2019 and includes any supplementary or replacement prospectus in relation to that Prospectus.

Restructure means the acquisition by the Company of all of the issued securities in ReadyTech Holdco Pty Ltd ACN 616 170 775 from shareholders of ReadyTech Holdco Pty Ltd ACN 616 170 775 in exchange for the issue of Shares.

SaleCo means ReadyTech Saleco Limited ACN 632 137 225.

Selling Shareholder means:

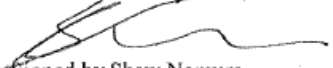
- i. 172 Investment Pty Ltd ACN 623 708 225 as trustee of the Chen Family Trust;
- ii. Adrian King;
- iii. Anksh Pty Ltd ACN 602 605 505;
- iv. Brett David Goodrich;
- v. Chris McMillan;
- vi. Chris Smith;
- vii. Darren Coppin;

19-0272

- viii. Fairweather Watts Holdings Pty Ltd ACN 626 575 773 as trustee of the Fairweather Watts Family Trust;
- ix. James Hourn;
- x. Malvern Avenue Management Pty Ltd ACN 613 121 181 as trustee of the Benyon Hill Family Trust;
- xi. Marc Raymond Washbourne;
- xii. Marish Pty Ltd ACN 620 954 743 as trustee of the Jones Family Trust;
- xiii. Nimesh Shah;
- xiv. Pemba Capital Partners Fund 1 Partnership LP;
- xv. Pemba Capital Partners Pty Ltd ACN 121 906 045;
- xvi. Pemba Capital Partners Pty Ltd ACN 121 906 045 as trustee of the Lirac Trust;
- xvii. Pemba Capital Partners Pty Ltd ACN 121 906 045 as trustee of the Pemba Capital Co-Investment Trust;
- xviii. Sam Giffney;
- xix. Sycamore Management Pty Ltd ACN 600 850 397 as trustee of the Verloop Family Trust;
- xx. Tony Jones;
- xxi. Trevor Fairweather SMSF Pty Ltd ACN 611 920 677;
- xxii. Wyner Capital Pty Ltd ACN 628 933 479;
- xxiii. Faurtune Pty Limited ACN 088 140 112; and
- xxiv. Washbourne Group Pty Limited ACN 627 033 363 as trustee of the Washbourne Family Trust;

Shares means fully paid ordinary shares in the Company.

Dated this 29th day of March 2019



Signed by Shaw Nomura

as a delegate of the Australian Securities and Investments Commission

19-0273

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 655A(1)(b) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (ASIC) makes this declaration under paragraph 655A(1)(b) of the *Corporations Act 2001* (the Act).

Title

2. This instrument is ASIC Instrument 19-0273.

Commencement

3. This instrument commences on the date it is signed.

Declaration

4. Chapter 6 of the Act applies to Brookfield as if paragraph 631(1)(b) of the Act were modified or varied by:
 - (a) inserting after “person” the words “or an associate of the person”; and
 - (b) omitting the words “within 2 months after the proposal” and replacing them with “by sending an explanatory statement registered by ASIC in accordance with subsection 412(8) that incorporates the offers within 2 months and 23 days after the proposal”.

Where this instrument applies

5. The declaration in paragraph 4 applies in relation to the public proposal by Brookfield on or about 1 February 2019 to make an off-market takeover bid for ordinary shares in Healthscope Limited ACN 144 840 639 (**Proposed Bid**) where within two business days of the date of this instrument Brookfield has given a notice to ASX Limited ACN 008 624 691 (**ASX**) for release on the financial market operated by ASX that:
 - (a) is in a form that ASIC has stated in writing is acceptable to it;
 - (b) provides an explanation of the need for, and the effect of, the declaration in paragraph 4 which includes a statement that the bidder’s statement and offers under the bid will be incorporated into a Transaction Booklet;
 - (c) includes a statement to the effect that the fact that ASIC has granted this relief in connection to the proposed transactions should not be taken as a reflection of ASIC’s views on any other aspect of the proposed transactions.

19-0273

Interpretation

6. In this instrument:

Brookfield means ANZ Hospitals Pty Ltd ACN 631 014 938 (formally VIG Bidco Pty Ltd ACN 631 014 938).

Transaction Booklet means the transaction booklet to be given to the shareholders of Healthscope that includes:

- (a) a bidder's statement and offer for the purposes of item 6 of subsection 633(1) of the Act;
- (b) a target's statement for the purposes of item 12 of subsection 633(1) of the Act; and
- (c) a notice and an explanatory statement for the purposes of subsections 411(1) and paragraph 412(1)(a) of the Act,

each, where the case requires, in connection to either the Proposed Bid or the proposed scheme of arrangement by Healthscope under Pt 5.1 of the Act as publicly proposed by Healthscope on or about 1 February 2019.

Dated this 29th day of March 2019



Signed by Henry Brunskill
as a delegate of the Australian Securities and Investments Commission

19-0274

NOTICE UNDER SECTION 915F OF THE CORPORATIONS ACT 2001

Notice is given under section 915F of the *Corporations Act 2001* that the Australian Securities and Investments Commission has taken the action set out in the Notice below, which action took effect on 27 March 2019.

**Australian Securities and Investments Commission
Corporations Act 2001 section 915C**


Notice of Suspension of Australian Financial Services Licence

To: Australasia Wealth Services and Management Pty Ltd ACN 166 826 240

TAKE NOTICE that under section 915C of the *Corporations Act 2001* (the Act), the Australian Securities and Investments Commission (ASIC) hereby suspends Australian financial services licence number 450303 (the Licence) held by Australasia Wealth Services and Management Pty Ltd ACN 166 826 240 until 25 June 2019.

Under section 915H of the Act, ASIC specifies that the Licence continues in effect until 25 June 2019, while suspended, as though the suspension had not happened for the purpose of sections 912A(1)(g) and 912B of the Act.

Dated this 25th day of March 2019

Signed: 

Christine Croft, a delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001

Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-ninth day of March 2019

Rosanne Bell

DELEGATE OF

THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company

ARSN

TASMANIAN FORESTS TRUST NO.7

093 164 866

CORPORATIONS ACT 2001

Section 601CC(3)

ASIC will strike the companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-ninth day of March 2019

Rosanne Bell

DELEGATE OF

THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company

ARBN

THE YOUNG WOMENS CHRISTIAN ASSOCIATION OF BROKEN HILL INC

624 537 579

Corporations Act 2001

Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

ADVARA LTD ACN 609 966 627 will change to a proprietary company limited by shares. The new name will be ADVARA PTY LTD ACN 609 966 627.

MEDICAL AUSTRALIA LIMITED ACN 096 048 912 will change to a proprietary company limited by shares. The new name will be MEDICAL AUSTRALIA PTY LIMITED ACN 096 048 912.

PEANUT COMPANY OF AUSTRALIA LIMITED ACN 057 251 091 will change to a proprietary company limited by shares. The new name will be PEANUT COMPANY OF AUSTRALIA PTY LTD ACN 057 251 091.

SOMARK GROUP LIMITED ACN 616 027 224 will change to a proprietary company limited by shares. The new name will be SOMARK GROUP PTY LTD ACN 616 027 224.

GOLD LANE PTY LTD ACN 606 091 169 will change to a public company limited by shares. The new name will be EVERGRANDE CAPITAL LTD ACN 606 091 169.

OMNI FINANCE INVESTMENTS LIMITED ACN 054 680 385 will change to a proprietary company limited by shares. The new name will be OMNI FINANCE INVESTMENTS PTY LIMITED ACN 054 680 385.

SOCIAL INVESTMENT EXCHANGE LTD ACN 630 131 547 will change to a proprietary company limited by shares. The new name will be SOCIAL INVESTMENT EXCHANGE PTY LTD ACN 630 131 547.

TATURA MILK INDUSTRIES LIMITED ACN 006 603 970 will change to a proprietary company limited by shares. The new name will be TATURA MILK INDUSTRIES PTY LTD ACN 006 603 970.