



ASIC
Australian Securities &
Investments Commission

CEU compliance report by ASIC

ASIC's final report dated 18 February 2019 on compliance with the s93AA ASIC Act court enforceable undertaking (CEU) given by **Peter Dinoris dated 16 August 2018**

Terms used in this report have the same meaning as in the CEU dated 16 August 2018.

Undertakings	Report on compliance
Engagement of a Quality Reviewer [QR] CEU paragraph 1.17	Mr Dinoris complied with this requirement as noted in paragraph 1.17 of the CEU.
Provide ASIC correspondence to the QR CEU paragraph 1.18	Mr Dinoris complied with this requirement as noted in paragraph 1.18 of the CEU.
Ensures the terms of the QR's engagement meets the scope of the review CEU paragraph 1.19	Mr Dinoris complied with this requirement as noted in paragraph 1.19 of the CEU.
Notify ASIC of steps taken to implement recommendations in QR report CEU paragraph 1.20	Mr Dinoris complied with this requirement as noted in paragraph 1.20 of the CEU.