

Definitions

A **Whistleblower** as an individual who:

- meets the statutory definition of being a whistleblower under the Part 9.4AAA protections of the *Corporations Act 2001 (Cth)* (Corporations Act).
- identifies as a whistleblower and indeed may have information of an 'inside' nature even though they do not meet all elements on which the statutory whistleblower protections hinge.

Summary statistics

Whistleblower reports received

In the period 1 January 2018 to 24 June 2024, ASIC has received a total 3346* whistleblower reports of misconduct. The number of reports received by month and year is set out in **Table 1**.

*Note that number of whistleblower reports received may vary from the number of whistleblower reports dealt with within a reporting period.

**Although whistleblowers may choose to remain anonymous, a determination on the approximate number of anonymous whistleblower reporters per period cannot be provided without substantial and unreasonable diversion of the agency's resources.

Whistleblower reports resolved

As reported in the ASIC Annual Reports, in the period 2018-19 to 2023-24 (to 24 June 2023), ASIC has resolved a total of 3,897 whistleblowers reports of misconduct. The number of reports resolved by month and year is set out in **Table 2**.

*Reports resolved reflects the number of disclosures ASIC dealt with in the relevant period.

Table 1: Reports of misconduct by Whistleblowers, received by month and calendar year

Year	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
2018	23	18	6	11	18	11	9	17	16	19	23	14	185
2019	23	20	22	24	24	19	47	58	52	54	34	45	422
2020	49	73	49	37	61	55	55	60	70	55	44	43	651
2021	44	40	76	49	68	61	40	44	59	46	62	40	629
2022	43	47	46	40	46	36	47	51	51	49	57	60	573
2023	59	47	64	45	51	70	52	82	70	58	67	34	699
2024	39	27	28	34	25	34*	-	-	-	-	-	-	187

Note: Whistleblower reports of misconduct are counted at the individual reporter level. There are instances where multiple whistleblower reports consolidate into the same case.

*The number of Whistleblower Reports received in June 2024, reflects data up to 24 June 2024.

Table 2: Reports of misconduct by Whistleblowers, resolved by month and financial year (as reported in the ASIC Annual Reports)

Year	Quarter 1			Quarter 2			Quarter 3			Quarter 4			Total
	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	
2018-19	19	27	20	20	28	24	12	22	29	23	32	22	278
2019-20	31	58	59	55	54	33	43	57	67	64	52	71	644
2020-21	69	62	81	74	66	61	35	63	71	69	97	69	817
2021-22	79	78	73	60	75	45	37	62	73	68	70	54	774
2022-23	56	57	54	67	77	61	63	76	77	54	71	80	793
2023-24	47	84	69	72	60	49	42	34	28	47	32	27*	591

Note: Whistleblower reports of misconduct are counted at the individual reporter level. There are instances where multiple whistleblower reports consolidate into the same case.

ASIC FOI 131-2024

*The number of Whistleblower Reports resolved in June 2024, reflects data up to 24 June 2024.