



ASIC
Australian Securities &
Investments Commission

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RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review (RG57)* and Information Sheet *ASIC decisions – your rights (INFO 9)* to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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22-0495



Australian Market Licence (State Street Global Markets International Limited) Variation Notice 2022 (No.1)

Corporations Act 2001

I, Nathan Bourne, Senior Executive Leader, Australian Securities and Investments Commission make this notice under subsection 797A(1) of the *Corporations Act 2001* (the Act).

Dated 25th July 2022

A handwritten signature in black ink, appearing to be 'N. Bourne', written over a horizontal line.

Signed

as a delegate of the Minister under section 1101J of the Act

22-0495

1. Name

This is the *Australian Market Licence (State Street Global Markets International Limited) Variation Notice 2022 (No. 1)*.

2. Commencement

This instrument commences on the day it is made.

3. Variation

The *Australian Market Licence (State Street Global Markets International Limited) 2017* is varied as described in the Schedule.

22-0495

Schedule**Variation
(Section 3)****[1] Section 3**

insert new definitions after "ASIC"

Australian client means, in relation to a participant in the Market, a person in this jurisdiction on whose behalf the participant deals on the Market.

Australian participant means:

- (a) an Australian entity that is a participant in the Market;
- (b) a foreign branch or office of an Australian entity where:
 - a. the foreign branch or office is a participant in the Market; or
 - b. the Australian entity is a participant in the Market.
- (c) an Australian branch or office of a foreign entity where:
 - a. the Australian branch or office is a participant in the Market; or
 - b. the foreign entity is a participant in the Market.

foreign participant means a participant who is not an Australian participant.

[2] Section 3

insert new definition after "Market"

reporting period means each period of 3 months, or part thereof, during which the Market is operated in Australia, ending on 31 March, 30 June, 30 September, or 31 December.

[3] Section 4

omit the section, substitute

4. Grant of licence

The Licensee is granted a licence to operate a financial market through which participants may make or accept offers to acquire or dispose of any of the following financial products:

- (a) foreign exchange contracts; and
- (b) derivatives that are foreign exchange derivatives.

[4] Section 5

omit the section, substitute

5. Participants

- (1) It is a condition of this Licence that the Licensee must ensure that each Australian participant:
 - (a) is a professional investor, or is a branch or office of an entity that is a professional investor; and
 - (b) only deals on the Market on their own behalf or on behalf of a professional investor.

22-0495

[5] Section 6*omit the section, substitute***6. Clearing and settlement arrangements**

(1) It is a condition of this Licence that the Licensee must:

- (a) clearly inform participants of the Market of their respective responsibilities in relation to the clearing and/or settlement of trades entered into on the Market; and
- (b) have in place adequate arrangements to facilitate the efficient clearing and/or settlement of trades entered into on the Market, which must be arrangements of one or more of the following types:
 - (i) arrangements for the clearing and settlement of the trades with a clearing and settlement facility; or
 - (ii) arrangements to:
 - (A) notify each party to a trade entered into on the Market of the identity of the other party to the trade; or
 - (B) otherwise be satisfied that each party to a trade entered into on the Market knows the identity of the other party to the trade,
 so that the parties to the trade can settle the trade in accordance with arrangements agreed between them.

[6] Section 7*insert***7. Periodic trade reporting**

(1) It is a condition of this Licence that the Licensee must give to ASIC, in a machine-readable format, at least the following information within 30 days after the end of each reporting period, for each product specified in the following table:

Category	Products
Foreign Exchange financial products	Spot, Swap, Forward, Vanilla Option, NDF, NDO, Continuous FX, Simple Exotic, Exotic, Complex Exotic

- (a) the total number of trades and their total value, in AUD-equivalent terms, of trades during the reporting period by all participants; and
- (b) the total number of trades and their total value, in AUD-equivalent terms, of trades during the reporting period by each Australian participant, identifying each Australian participant by their registered name and, if applicable, their branch name and, if available, their Legal Entity Identifier;
- (c) the total number of trades and their total value, in AUD-equivalent terms, of trades during the reporting period by each foreign participant but only in relation to the trades that the Licensee believes to be trades on behalf of one or more Australian clients, identifying each foreign participant by their registered name and, if applicable, their branch name and, if available, their Legal Entity Identifier; and

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- (d) the total number of trades and their total value, in AUD-equivalent terms, of the trades that are included in the information in (b) and (c) above and which are trades between any two participants listed in (b) and (c) above.

[7] **Section 8**

insert

8. Market ceases to operate

- (1) It is a condition of this Licence that, where the Licensee intends to cease operating the Market in this jurisdiction, the Licensee must:
 - (a) establish, document and implement adequate arrangements for ensuring, as applicable, the orderly cessation of the Market;
 - (b) notify ASIC:
 - (i) that the Licensee intends to cease operating the Market in this jurisdiction, as soon as reasonably practicable;
 - (ii) of the arrangements referred to in paragraph (a), as soon as reasonably practicable before ceasing to operate the Market in this jurisdiction; and
 - (iii) of any changes to the arrangements referred to in paragraph (a) following the notification in subparagraph (ii), as soon as reasonably practicable after making those changes.

22-0623



ASIC
Australian Securities &
Investments Commission

ASIC Corporations (Amendment) Instrument 2022/623

I, Kathleen Cuneo, delegate of the Australian Securities and Investments Commission, make the following legislative instrument.

Date 28 July 2022

A handwritten signature in black ink, appearing to read 'K Cuneo'.

Kathleen Cuneo

22-0623

*ASIC Corporations (Amendment) Instrument 2022/623***Contents**

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22-0623

*ASIC Corporations (Amendment) Instrument 2022/623**Part 1—Preliminary*

Part 1—Preliminary

1 Name of legislative instrument

This is the *ASIC Corporations (Amendment) Instrument 2022/623*.

2 Commencement

This instrument commences on the later of:

- (a) the date of its gazettal; and
- (b) the day after it is registered on the Federal Register of Legislation.

Note: The register may be accessed at www.legislation.gov.au.

3 Authority

This instrument is made under paragraph 911A(2)(1) and subsection 926A(2) of the *Corporations Act 2001*.

4 Schedules

Each instrument that is specified in a Schedule to this instrument is amended or repealed as set out in the applicable items in the Schedule concerned, and any other item in a Schedule to this instrument has effect according to its terms

22-0623

*ASIC Corporations (Amendment) Instrument 2022/623**Schedule 1—
Amendments*

Schedule 1—Amendments

ASIC Corporations (Repeal and Transitional) Instrument 2016/396

1 Subitem 1(3) of Schedule 2

Omit “31 March 2023”, substitute “31 March 2024”.

ASIC Corporations (Foreign Financial Services Providers—Limited Connection) Instrument 2017/182

2 Section 3

Omit “31 March 2023”, substitute “31 March 2024”.

ASIC Corporations (CSSF-Regulated Financial Services Providers) Instrument 2016/1109

3 Section 3

Omit “31 March 2023”, substitute “31 March 2024”.

ASIC Corporations (Foreign Financial Services Providers—Funds Management Financial Services) Instrument 2020/199

4 Section 2

Omit “1 April 2023”, substitute “1 April 2024”.

22-0654

NOTICE UNDER SECTION 915F OF THE CORPORATIONS ACT 2001

Notice is given under section 915F of the *Corporations Act 2001* that the Australian Securities and Investments Commission has taken the action set out in the Notice below, which action took effect on 26 July 2022.

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION
CORPORATIONS ACT 2001 SECTION 915C

**NOTICE OF CANCELLATION OF AUSTRALIAN FINANCIAL SERVICES
LICENCE**

To: Rizzak Capital Pty Ltd
ACN 160 379 706

Under s915C(1) of the *Corporations Act 2001*, the Australian Securities and Investments Commission hereby cancels Australian financial services licence number 429966 held by Rizzak Capital Pty Ltd.

Dated this 19th day of July 2022.

Signed



Lisa Lu
Delegate of the Australian Securities and Investments Commission

22-0667

Notice is given under section 920E of the *Corporations Act 2001* that the Australian Securities and Investments Commission has made a banning order in the terms set out below, which order took effect on 25 July 2022

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION**IN THE MATTER OF ALBERT CHRISTEN WALTERS****Banning order under sections 920A and 920B****of the *Corporations Act 2001***

To: Mr Albert Christen Walters

Take notice that under sections 920A and 920B of the *Corporations Act 2001* the Australian Securities and Investments Commission prohibits Albert Christen Walters for a period of four (4) years from:

- (a) performing the functions of an officer of a financial services business and
- (b) performing the functions of a responsible manager of a financial services business.

Dated this 20th day of July 2022.

Signed:



Myra Poon
Delegate of the Australian Securities and Investments Commission

Your attention is drawn to subsection 920C(2) of the *Corporations Act 2001* which provides that a person must not engage in conduct which breaches a banning order that has been made against the person. Contravention of subsection 920C(2) is an offence.

22-0670

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 669(1)(b) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 669(1)(b) of the *Corporations Act 2001 (Act)*.

Title

2. This instrument is ASIC Instrument 22-0670.

Commencement

3. This instrument commences on the date it is signed.

Declaration

4. Section 661A of the Act, as varied by ASIC Class Order [CO 13/522], applies as if subparagraph 661A(2A)(a)(i) of the Act were modified or varied by inserting after the words "*in which the bidder or their associate has a relevant interest at the date of the first offer under the bid*" the following words:

" , other than securities in which the bidder or their associate has a relevant interest arising from an acquisition to which the exception in item 2 of the table in section 611 applies"

Where this instrument applies

5. The declaration in paragraph 4 of this instrument applies to the Company for the purposes of the off-market takeover bid by the Company for all of the ordinary shares in HRL Holdings Ltd (ACN 120 896 371), in respect of which a bidder's statement was lodged with ASIC on 1 July 2022.

Interpretation

6. In this instrument:

Company means Australian Laboratory Services Pty. Ltd. (ACN 009 936 029).

Dated this 27th day of July 2022



Signed by Baldev Dillon
as a delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001
Section 601CL(5)

ASIC has struck the foreign companies listed
below off the register.

Dated this twenty-ninth day of July 2022

Name of Company

ARBN

CINCO CORPORATION

651 336 686

GOODMAN US FINANCE FOUR, LLC

621 919 088

CORPORATIONS ACT 2001
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-ninth day of July 2022

Name of Company	ARBN
BEVIE HANDCRAFT NZ LIMITED	632 888 094
BOUYGUES TRAVAUX PUBLICS	128 222 113
PCL (SHIPPING) PTE. LTD.	117 464 114
QSC ASIA, LIMITED	603 326 709
SOCIAL GOOD FOUNDATION INTERNATIONAL INC.	646 458 782
STERLING AND WILSON PRIVATE LIMITED	622 720 021
TWO DEGREES GROUP LIMITED	652 698 998
VOLKERT, INC.	627 259 803

CORPORATIONS ACT 2001
Section 601CC(3)

ASIC will strike the companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-ninth day of July 2022

Name of Company

ARBN

AUSTRALIA COTE D'IVOIRE ASSOCIATION INCORPORATED

640 585 968

CORPORATIONS ACT 2001
Subsection 601PA(3)

ASIC may deregister the managed investment scheme(s) listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-ninth day of July 2022

Name of Scheme

ARSN

APPLE LITIGATION FUNDING SCHEME	655 066 934
GOOGLE LITIGATION FUNDING SCHEME	655 066 818
HOLLARD BII LITIGATION FUNDING SCHEME	653 978 119
IAL BII LITIGATION FUNDING SCHEME	652 537 678
MCDONALD'S LITIGATION FUNDING SCHEME	655 378 082
MERIVALE LITIGATION FUNDING SCHEME	649 312 569
MESOBLAST LITIGATION FUNDING SCHEME	654 319 810
NT REMOTE HOUSING LITIGATION FUNDING SCHEME	659 228 412
TYRO LITIGATION FUNDING SCHEME	652 674 505
VAH LITIGATION FUNDING SCHEME	655 148 280

CORPORATIONS ACT 2001
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

CLASS LIMITED ACN 116 802 058 will change to a proprietary company limited by shares. The new name will be CLASS PTY LIMITED ACN 116 802 058.

MONEYCATCHA PTY. LTD. ACN 609 308 661 will change to a public company limited by shares. The new name will be MONEYCATCHA LIMITED ACN 609 308 661.

POSSIBLE GROUP LTD ACN 608 572 907 will change to a proprietary company limited by shares. The new name will be POSSIBLE GROUP PTY LTD ACN 608 572 907.