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Australian Securities &
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RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review (RG57)* and Information Sheet *ASIC decisions – your rights (INFO 9)* to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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21-0258

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 1020F(1)(a) – Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 1020F(1)(a) of the Corporations Act 2001 (*the Act*).

Title

2. This instrument is ASIC Instrument 21-0258.

Commencement

3. This instrument commences on the day it is signed.

Exemption

4. Newmark REIT Management Limited ACN 644 715 382 (*NRML*) in its capacity as responsible entity of:
 - (a) Newmark Capital (Chadstone) Property ARSN 648 280 219 (*the Newmark Chadstone Trust*); and
 - (b) Newmark Hardware Trust ARSN 161 274 111 (*the Newmark Hardware Trust*),does not have to comply with subsection 1016A(2) of the Act.

Where this instrument applies

5. The exemption in paragraph 4 applies in relation to the issue by NRML of Hardware Trust Units to the Chadstone Trust Unitholders, and Chadstone Trust Units to the Hardware Trust Unitholders, to form a component of the Stapled Securities where such issues are undertaken in accordance with the constitutions of each of the Newmark Hardware Trust and the Newmark Chadstone Trust, and in accordance with the Stapled Securities PDS in respect of the Transaction.

Interpretation

6. In this instrument:

Chadstone Trust Unit means an interest in the Newmark Chadstone Trust

Hardware Trust Unit means an interest in the Newmark Hardware Trust

21-0258

Hardware Trust Unitholder means a holder of a Hardware Trust Unit.

Chadstone Trust Unitholder means a holder of a Chadstone Trust Unit.

Stapled Securities means a Hardware Trust Unit and a Chadstone Trust Unit which, under the terms on which each is to be traded, must only be transferred together.

Stapled Securities PDS means the product disclosure statement for the Stapled Securities that is substantially in the same form as that lodged with ASIC on or about 16 November 2021 (or any replacement or supplementary PDS), which is given by NRML to Chadstone Trust Unitholders and Hardware Trust Unitholders.

Transaction means the following arrangements:

- (a) the approval of Chadstone Trust Unitholders at a members' meeting on 15 December 2020 of a resolution to the effect that NRML be authorised to implement a proposal under which an interest in the Chadstone Trust, on the terms on which the interest is to be traded, must only be transferred with an interest in the Hardware Trust;
- (b) the approval of Hardware Trust Unitholders at a members' meeting on 9 November 2020 of a resolution to the effect that NRML be authorised to implement a proposal under which an interest in the Hardware Trust, on the terms on which the interest is to be traded, must only be transferred with an interest in the Chadstone Trust; and
- (c) in accordance with the resolutions passed under paragraphs (a) and (b), NRML issues interests in the Chadstone Trust and the Hardware Trust to form a component of the Stapled Securities.

Dated this 16th day of November 2021



.....
Signed by Christine Binder
as a delegate of the Australian Securities and Investments Commission

21-0259

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 1020F(1)(c) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 1020F(1)(c) of the *Corporations Act 2001 (Act)*.

Title

2. This instrument is ASIC Instrument 21-0259.

Commencement

3. This instrument commences on the date it is signed.

Declaration

4. Part 7.9 of the Act applies in relation to section 1020B products under or in connection with a public offer of Stapled Securities as if:
 - (a) sub-paragraph 1020B(7C)(a)(ii) of the Act (as notionally inserted by *ASIC Corporations (Short Selling) Instrument 2018/745*) were modified by inserting "(or agreement to pay)" after "including payment of"; and
 - (b) sub-paragraph 1020B(7D)(a)(iii) of the Act (as notionally inserted by *ASIC Corporations (Short Selling) Instrument 2018/745*) were modified by inserting "(or agreement to pay)" after "including payment of".
6. In this instrument:

Stapled Securities means an interest in each of the Newmark Hardware Trust ARSN 161 274 111 and the Newmark Capital (Chadstone) Trust ARSN 648 280 219 which, under the terms on which each is to be traded, must only be transferred together.

Dated this 16th day of November 2021



Signed by Christine Binder
as a delegate of the Australian Securities and Investments Commission

21-0260

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 601QA(1)(b) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 601QA(1)(b) of the *Corporations Act 2001 (Act)*.

Title

2. This instrument is ASIC Instrument 21-0260.

Commencement

3. This instrument commences on the date it is signed.

Declaration

4. Chapter 5C of the Act applies to Newmark REIT Management Limited ACN 644 715 382 (*NRML*) in its capacity as the responsible entity of the Newmark Capital (Chadstone) Property ARSN 648 280 219 (*the Chadstone Trust*) and in its capacity as the responsible entity of the Newmark Hardware Trust ARSN 161 274 111 (*the Hardware Trust*) as if the following provisions of that Chapter were modified or varied:

- (a) after section 601FE insert:

“601FEA Modification of duties: stapled securities

- (a) This section applies to a registered scheme where the interests in the scheme and interests in another registered scheme are the components of stapled securities.
- (b) For the purposes of paragraphs 601FC(1)(c) and 601FD(1)(c), an obligation to act in the best interests of the members of the scheme is an obligation to act in the best interests of the members of the scheme having regard to their interests as members of all schemes comprising the stapled securities.
- (c) For the purposes of paragraphs 601FC(1)(e), 601FD(1)(d), 601FD(1)(e), 601FE(1)(a) and 601FE(1)(b), an obligation to not make use of information, or not make improper use of position, in order to cause detriment to the members of the scheme is an obligation not to do those things in order to cause detriment to the members of the scheme having regard to their membership of all schemes comprising the stapled securities.”;

- (b) after subsection 208(2) as notionally inserted by section 601LC, insert:

21-0260

“(2A) Member approval is not required for the giving of a financial benefit and the benefit need not be given within 15 months if all the following conditions are satisfied:

(a) the benefit either:

- (i) is given out of the scheme property of a registered scheme; or
- (ii) could endanger the scheme property;

(b) all of the interests in the scheme and all other registered schemes are components of stapled securities;

(c) the benefit is given by:

- (i) the responsible entity of the scheme; or
- (ii) an entity that the responsible entity controls; or
- (iii) an agent of, or person engaged by, the responsible entity;

(d) the benefit is given to:

- (i) an entity wholly owned, whether directly or indirectly, by the scheme; or
- (ii) a stapled entity or an entity that is wholly owned, whether directly or indirectly, by a stapled entity; or
- (iii) an entity wholly owned, whether directly or indirectly, jointly by the scheme and the stapled entity.

(2B) For the purposes of this section:

(a) An entity is wholly owned by another entity if all of the shares or interests (as applicable) in the first-mentioned entity are held by, or held by a nominee for (in the case of the second-mentioned entity being a company), or form part of the trust property of (in the case of the second-mentioned entity being a trust), the second mentioned entity or a wholly owned entity of it; and

(b) A reference to the giving of a benefit to an entity which is a trust is a reference to the giving of a benefit to the trustee of the trust so as to form part of the trust property of the trust or for the benefit of the trust beneficiaries.”;

(c) insert after section 601PC:

“601PD Stapled securities

21-0260

For the purposes of this Chapter:

stapled securities means two or more financial products including at least one interest in a registered scheme where:

- (a) under the terms of which each of the products are to be traded, they must be transferred together; and
- (b) there are no financial products in the same class as those financial products which may be transferred separately.”

Where this declaration applies

5. This declaration applies where each interest in a Scheme must, under the terms upon which it is to be traded, only be transferred with an interest in the other Scheme.

Where this declaration ceases to apply

6. This declaration ceases to apply if interests in any class, other than the class of interests in a Scheme that are already on issue as at the date of this instrument, are issued.

Interpretation

7. In this instrument:

Stapled Security means an interest in the Newmark Capital (Chadstone) Property Trust ARSN 648 280 219 and an interest in the Newmark Hardware Trust ARSN 161 274 111 which, under the terms on which each is to be traded, must be transferred together

Scheme means any of the Newmark Capital (Chadstone) Property Trust ARSN 648 280 219 and the Newmark Hardware Trust ARSN 161 274 111.

Dated this 16th day of November 2021



Signed by Christine Binder
as a delegate of the Australian Securities and Investments Commission

21-0261

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 1020F(1)(c) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 1020F(1)(c) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 21-0261.

Commencement

3. This instrument commences on the day it is signed.

Declarations

4. Part 7.9 of the Act applies to Newmark REIT Management Limited ACN 644 715 382 in its capacity as responsible entity of the Newmark Capital (Chadstone) Property Trust ARSN 648 280 219 (the *Chadstone Trust*) and in its capacity as the responsible entity of the Newmark Hardware Trust ARSN 161 274 111 (the *Hardware Trust*), as if paragraph 1012D(3)(b) were modified or varied as follows:
 - (a) omit “either:”, and substitute “one or more of the following applies:”;
 - (b) in subparagraph (i) omit “or” (second occurring);
 - (c) in subparagraph (ii) omit “facility.”, substituting “facility.”;
 - (d) after subparagraph (ii) insert:
 - “(iii) in a recommendation situation – the advice that constitutes the relevant conduct relates to an offer of managed investment products, under a plan for reinvestment of at least one of the following:
 - (A) distribution in respect of the managed investment products;
 - (B) distributions in respect of other managed investment products which, under the terms on which they may be traded, must only be transferred together with the managed investment products;
 - (iv) in an issue situation – the offer or issue that constitutes the relevant conduct is an offer or issue of managed investment products, under a plan for reinvestment of at least one of the following:

21-0261

- (A) distributions in respect of the managed investment products;
- (B) distributions in respect of other managed investment products which, under the terms on which they may be traded, must only be transferred together with the managed investment products.”.

Where this declaration applies

- 5. This declaration applies to offers or issues of, and recommendations to acquire managed investment products in relation to Newmark Property REIT, under a plan for the reinvestment of distributions in respect of Newmark Property REIT, where under the terms on which the managed investment products in relation to Newmark Property REIT are to be traded, they may only be transferred together.

- 5. In this instrument:

Newmark Property REIT means:

- (a) Newmark Capital (Chadstone) Property Trust ARSN 648 280 219; and
- (b) Newmark Hardware Trust ARSN 161 274 111

Dated this 16th day of November 2021



Signed by Christine Binder
as a delegate of the Australian Securities and Investments Commission

21-0912

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 741(1)(b) - Declaration**

Enabling legislation

- 1 The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 741(1)(b) of the *Corporations Act 2001* (the *Act*).

Title

- 2 This instrument is ASIC Instrument 21-0912.

Commencement

- 3 This instrument commences on the date it is signed.

Declaration

- 4 Chapter 6D of the Act applies to a person who holds ordinary shares or CDIs in MySale Group Plc ARBN 602 567 546, a company incorporated in Jersey (*Company*), as if section 707 was modified or varied by omitting subsections 707(3) and (4) and substituting the following subsection:

“(3) An offer of a body’s securities for sale within 12 months after their issue needs disclosure to investors under this Part if the body issued the securities:

- (a) without disclosure to investors under this Part; and
- (b) with the purpose of the person to whom they were issued:
 - (i) selling or transferring them; or
 - (ii) granting, issuing or transferring interests in, or options over, them;

and section 708 and 708A does not say otherwise.”.

Where this instrument applies

- 5 This instrument applies where a person who holds ordinary shares or CDIs in the Company makes an offer of those ordinary shares or CDIs for sale within 12 months after their issue and where:
- (a) those ordinary shares or CDIs were issued by the Company without disclosure under Chapter 6D of the Act as a result of the exercise of the Options, including where such CDIs were subsequently issued by the Company over ordinary shares issued as a result of the exercise of the Options; and

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- (b) those ordinary shares or CDIs are in the same class as the ordinary shares or CDIs described in a prospectus in relation to an initial public offering of CDIs in the Company that was lodged with ASIC by the Company on 4 November 2021 (*Prospectus*).

Interpretation

- 6 In this instrument:

CHES Depositary Interests or CDIs in relation to the underlying ordinary shares of the Company that are held by CHES Depositary Nominees Pty Ltd, means units of beneficial ownership in ordinary shares of the Company that are issued for the purpose of enabling those shares to be recorded and transferred in accordance with the operating rules of ASX Settlement Pty Ltd.

Options means options granted on 5 December 2019 under an option agreement dated 5 December 2019 to acquire ordinary shares in the Company, which may be converted to CDIs under the terms of the option agreement, and which are described in the Prospectus.

Dated this 15th day of November 2021



Signed by Michelle Cobb
as a delegate of the Australian Securities and Investments Commission

21-0939

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Sector Equities Pty Ltd
ACN 165 002 031("the Licensee")
15/12 Lahinch Mews
Torquay, VIC 3228

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 466416 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 17 November 2021

Signed



Chun Kei Marco Lam
A delegate of the Australian Securities and Investments Commission

21-0946

**Australian Securities and Investments Commission
Corporations Act 2001 – Subsection 926A(2)(a)– Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under s926A(2)(a) of the *Corporations Act 2001 (Act)*.

Title

2. This instrument is ASIC Instrument 21-0946.

Commencement

3. This instrument commences on the day it is signed.

Exemption

4. ASIC exempts Pacific Investment Management Co LLC, a company incorporated under the laws of the US and registered with the US Securities and Exchange Commission under file number 801-48187 (the *Body*), from the requirement to hold an Australian financial services (AFS) licence, upon the conditions referred to in Schedule A, in the case referred to in Schedule B.

Cessation

5. The exemption in paragraph 4 ceases to have effect on the earlier of:
 - (a) sub-paragraph 1(3) of Sch 2 of the *ASIC Corporations (Repeal and Transitional) Instrument 2016/396* ceasing to have effect in relation to the relief that was provided under ASIC Class Order [CO 03/1100] *US SEC regulated financial service providers*; or
 - (b) the Body failing to comply with any written notice given by ASIC directing the body to give to ASIC, within the time specified in the notice, a written statement containing specified information about the financial service business operated by the body in this jurisdiction; or

Schedule A

1. The exemption in paragraph 4 applies where all of the following apply:
 - (a) The body is:
 - (i) a registered broker dealer that is a member of the Securities Investor Protection Corporation established under the Securities Investor Protection Act of 1970 of the US and that is a member of FINRA and FINRA is the body's examining authority; or
 - (ii) a registered broker deal that is an OTC derivatives dealer within the meaning of Rule 3b-12 promulgated under the Exchange Act who is

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affiliated within the meaning of that Rule with a registered broker dealer who is a member of FINRA.

- (b) the Body is a body corporate incorporated or a partnership formed in the US or a State of the US;
- (c) the Body:
 - (i) is registered under Division 2 of Part 5B.2 of the Act; or
 - (ii) has an Agent at the time the Body first purports to rely on this instrument and, from that time, has not failed to have an Agent for any consecutive period of 10 business days;
- (d) the Body's primary business is the provision of financial services;
- (e) neither the Body nor its Agent has been notified by ASIC that the Body is excluded from relying on this instrument;
- (f) if the Body becomes aware or should reasonably have become aware of matters that give it reason to believe that it has failed, other than in an immaterial respect, to comply with a requirement set out in Schedule C:
 - (i) 15 business days have not passed since the Body became so aware or should reasonably have become so aware without the Body providing full particulars of the failure to ASIC (to the extent that the Body knows those particulars or would have known them if it had undertaken reasonable enquiries); and
 - (ii) 30 business days have not passed from ASIC receiving those particulars from the Body without ASIC notifying the Body that it may continue to rely on this instrument; and
- (g) the Body has not notified ASIC that it will not rely on this instrument.

Schedule B

Where:

1. the Body provides any of the following financial services (the *financial services*) in this jurisdiction to wholesale clients:
 - (a) providing financial product advice; or
 - (b) dealing in financial product;
- in respect of any of the following financial products:
- (c) derivatives;
 - (d) foreign exchange contracts;
 - (e) securities;
 - (f) debentures, stocks or bonds issued by a government;

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- (g) managed investment products; or
- (h) interest in a managed investment scheme that is not required to be registered under Chapter 5C of the Act.

2. the Body has provided ASIC with:

- (a) evidence that paragraph 1(a) of Schedule A is satisfied that ASIC has stated in writing is adequate;
- (b) a notice that it will provide financial services in this jurisdiction in reliance on this instrument;
- (c) a deed of the Body for the benefit of and enforceable by ASIC and the other persons referred to in subsection 659B(1) of the Act that applies notwithstanding that the Body may have ceased to rely, or never have relied, on this instrument, which deed provides that:
 - i. the deed is irrevocable except with the prior written consent of ASIC;
 - ii. the Body submits to the non-exclusive jurisdiction of the Australian courts in legal proceedings conducted by ASIC (including under section 50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in subsection 659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise;
 - iii. the Body covenants to comply with any order of an Australian court in respect of any matter relating to the provision of the financial services;
 - iv. if the Body is not registered under Division 2 of Part 5B.2 of the Act, service of process on the Body in relation to legal proceedings conducted by ASIC (including under section 50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in subsection 659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise can be effected by service on the Agent; and
 - v. the Body covenants that, on written request of either the SEC or ASIC, it will give or vary written consent and take all other practicable steps to enable and assist the SEC to disclose to ASIC and ASIC to disclose to the SEC any information or document that the SEC or ASIC has that relates to the Body; and
- (d) written consents to the disclosure by the SEC to ASIC and ASIC to the SEC of any information or document that the SEC or ASIC has that relates to the Body. The consents must be in such form (if any) as ASIC specifies in writing.

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Schedule C

The Body must:

1. provide each of the financial services in this jurisdiction in a manner which would comply, so far as is possible, with the US regulatory requirements if the financial service were provided in the US in like circumstances; and
2. notify ASIC, as soon as practicable and in any event within 15 business days after the Body became aware or should reasonably have become aware, and in such form if any as ASIC may from time to time specify in writing, of the details of:
 - (a) each significant change to, including the termination of, the registration as a registered investment adviser applying to the Body relevant to the financial services the Body provides or intends to provide in this jurisdiction; and
 - (b) each significant particular exemption or other relief which the Body obtains from the US regulatory requirements relevant to the financial services the Body provides or intends to provide in this jurisdiction; and
 - (c) each action or investigation of the following kinds taken by the SEC or other overseas regulatory authority against the Body in a foreign jurisdiction in relation to financial services provided in the foreign jurisdiction:
 - i. significant enforcement action;
 - ii. significant disciplinary action;
 - iii. significant investigation (unless, after having taken reasonable steps to enable notification to be given to ASIC, the Body is prohibited by law from giving such notification but only to the extent of the prohibition); and
3. provide written disclosure to all persons to whom the financial services are provided in this jurisdiction (before the financial services are provided) containing prominent statements to the following effect:
 - (a) the Body is exempt from the requirement to hold an Australian financial services licence under the Act in respect of the financial services; and
 - (b) the Body is regulated by the SEC under US laws, which differ from Australian laws; and
4. if ASIC gives the Body a written notice directing the Body to lodge with ASIC, within the time specified in the notice, a written statement containing specified information about any financial service provided by the Body in this jurisdiction—comply with the notice.

21-0946

Interpretation

In this instrument:

address, in relation to a company, means the address of the registered office of the company;

Agent means a natural person resident in this jurisdiction or a company, whose name and address were last notified to ASIC by the Body for the purposes of this instrument, and who is authorised to accept on the Body's behalf, service of process from ASIC and, in relation to proceedings relating to a financial services law, from any person referred to in subsection 659B(1) of the Act;

ASIC Act means the *Australian Securities and Investments Commission Act 2001*;

custodial or depository service has the meaning given by section 766E of the Act;

derivative has the meaning given by section 761D of the Act;

financial product advice has the meaning given by section 766B of the Act;

financial services law has the meaning given by section 761A of the Act;

FINRA means the Financial Industry Regulation Authority;

foreign exchange contract has the meaning given by section 761A of the Act;

notice and *notified* mean, respectively, written notice and notified in writing;

overseas regulatory authority means a foreign regulatory authority (other than the SEC) which regulates financial services and which is established by or for the purposes of a foreign government or legislative body;

registered investment adviser means a body corporate or a partnership formed in the US or a State of the US registered under section 203(c) of the Investment Advisers Act of 1940 of the US;

SEC means the Securities and Exchange Commission of the US;

securities has the meaning given by section 761A of the Act;

US means the United States of America;

US regulatory requirements means the rules that apply in relation to the financial services including:

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(a) any applicable legislation, instruments made under that legislation and any relevant policies or other documents (however described) issued by the SEC; and

(b) if the body is covered by subparagraph 1(a)(i) of Schedule A but not subparagraphs (a)(ii) of that Schedule – any applicable rules, policies or other documents (however described) of FINRA; and

wholesale client has the meaning given in section 761G of the Act.

Dated this 19 day of November 2021.



Signed by Ernest Chan
as a delegate of the Australian Securities and Investments Commission

21-0947

**Australian Securities and Investments Commission
Corporations Act 2001 – Subsection 601CK(7) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 601CK(7) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 21-0947.

Commencement

3. This instrument commences on gazettal.

Declaration

4. Section 601CK of the Act does not apply to Osprey Medical Inc. ARBN 152 854 923, a body incorporated in the State of Delaware, US (the **Company**) in relation to each of its financial years ending on or after 31 December 2021 (a *relevant financial year*).

Where this instrument applies

5. This instrument applies to the Company for so long as it:
 - (a) is registered under Division 2 of Part 5B.2 of the Act; and
 - (b) is included in the official list of the financial market operated by ASX Limited;
 - (c) is not required under the law of the place of its incorporation to prepare a balance sheet, a cash flow statement or a profit and loss statement; and
 - (d) is not a Reporting Company;

Note: When this Company does become a Reporting Company the relief under this instrument will cease to apply and the Company will have to comply with the requirements of s601CK(1) of the Act.

and where:

- (c) the Company has complied with:
 - (i) the condition in section 6 in relation to the Company's financial year ending 31 December 2021; and
 - (ii) the conditions in section 7 in relation to any subsequent relevant financial year ending after 31 December 2021.

Conditions

21-0947

6. For the purposes of obtaining the relief available under section 4 in relation to the Company's financial year ending on 31 December 2021 – the Company must have lodged with ASIC its Key Financial Statements for the financial year ending 31 December 2021 on or before 31 March 2022.
7. For the purposes of obtaining the relief available under section 4 in relation to any subsequent relevant financial year of the Company ending after 31 December 2021 – the Company must:
 - (a) have lodged with ASIC its Key Financial Statements for the relevant financial year within 3 months after the end of the relevant financial year; and

Note: The requirement to lodge the Key Financial Statements with ASIC within 3 months after the end of the relevant financial year is consistent with the lodgement deadlines for a disclosing entity under s319(3) of the Act.

- (b) if ASIC requested a Reconciliation Report in relation to the Company's Key Financial Statements for a previous relevant financial year – the Company must have provided the Reconciliation Report in relation to the Company's Key Financial Statement for the previous relevant financial year to ASIC within 1 month after having received the request or within such additional time as ASIC may have allowed by notice to the Company in writing.

Note: This condition is a condition precedent to relief for a relevant financial year. Failure to provide a Reconciliation Report in relation to a previous relevant financial year within the time required will result in the relief under section 4 being unavailable for the relevant financial year and future relevant financial years but will not affect relief that was relied on for any previous relevant financial year. The request may be in relation to any previous relevant financial year that is not necessarily immediately preceding the relevant financial year.

Interpretation

8. In this instrument:

AIFRS means the Australian International Financial Reporting Standards.

Exchange Act means Securities Exchange Act of 1934 of the US.

Key Financial Statements means the Company's balance sheet, a cash flow statement and a profit and loss statement for the relevant financial year, together with a statement in writing by a director of the Company certifying that to the best of their knowledge and belief, the Key Financial Statements:

- (i) are in such a form and containing such particulars as the Company would be required to prepare if it were a Reporting Company;
- (ii) were prepared in conformance with the requirements of US GAAP; and
- (iii) a statement in writing using ASIC Form 405 verifying that the copies of the Key Financial Statements are true copies of the documents.

Note: *ASIC Corporations (Electronic Lodgement of Financial Reports) Instrument 2016/181* does not apply to lodgement of Key Financial Statements with ASIC and the Company must also lodge a Form 406 Annual return of a foreign company each year within 1 month after the date to which it is made up.

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Reconciliation Report means a statement in writing by a director that certifies an attached summary of the material differences between:

- (i) the Key Financial Statements for the relevant financial year prepared in conformance with US GAAP; and
- (ii) the Key Financial Statements for the relevant financial year if they were prepared in conformance with AIFRS.

Reporting Company means an issuer incorporated in the US or a State of the US, with a class of securities registered pursuant to sections 12(b) or 12(g) or who is subject to section 15(d) of the Exchange Act and accordingly is required to file reports periodically with the SEC pursuant to sections 13(a) or 15(d) of the Exchange Act.

SEC means the Securities Exchange Commission of the US.

US means the United States of America.

US GAAP means generally accepted accounting principles of the US.

Dated this 15th day of November 2021



Signed by Daniel Slupek
as a delegate of the Australian Securities and Investments Commission

21-0948

**Australian Securities and Investments Commission
Corporations Act 2001 – Subsection 741(1) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 741(1)(b) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 21-0948.

Commencement

3. This instrument commences on the date it is signed.

Declaration

4. Chapter 6D of the Act applies to Osprey Medical Inc. ARBN 152 854 923 (**Company**) and its securityholders as if the following provisions were modified or varied:
 - (a) in section 9 in the definition of *continuously quoted securities* (as modified by *ASIC Corporations (Disregarding Technical Relief) Instrument 2016/73*), at the beginning of both subparagraphs (b)(ii) and (iii), insert after the words “other than a technical relief instrument”, “and ASIC Instrument 21-0947”; and
 - (b) in paragraphs 708AA(2)(e) and 708A(5)(d) (as modified by *ASIC Corporations (Disregarding Technical Relief) Instrument 2016/73*), at the beginning of each respective paragraph, insert after the words “other than a technical relief instrument”, “and ASIC Instrument 21-0947”.

Where declaration applies

5. The declaration applies to an offer:
 - (a) by the Company to issue securities in the Company where the offer would otherwise comply with section 708AA (as modified by *ASIC Corporations (Non-traditional Rights Issues) Instrument 2016/84* and *ASIC Corporations (Disregarding Technical Relief) Instrument 2016/73*) of the Act but for the fact that the Company has obtained relief in ASIC Instrument 21-0947; or
 - (b) for the sale of securities in the Company where the sale offer would otherwise comply with section 708A (as modified by *ASIC Corporations (Non-traditional Rights Issues) Instrument 2016/84* and *ASIC Corporations (Disregarding Technical Relief) Instrument 2016/73*) of the Act but for the fact that the Company has obtained relief in ASIC Instrument 21-0947; or

21-0948

(c) by the Company of securities under section 713 of the Act.

Interpretation

6. In this instrument:

securities has a meaning as affected by subsection 700(1) (as modified by ASIC Class Order [CO 14/827]) of the Act.

Dated this 15th day of November 2021



Signed by Daniel Slupek
as a delegate of the Australian Securities and Investments Commission

21-0949


**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: R A Craig Asset Management Pty Ltd
ACN 112 462 898 ("the Licensee")
Unit 12
40 Grasmere Lane
CREMORNE NSW 2090

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 285266 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 16 November 2021

Signed 

Gerard Mithen
A delegate of the Australian Securities and Investments Commission

21-0951

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: RCR Advisory Pty Ltd
ACN 612 180 659 ("the Licensee")
Level 1
125B The Parade
NORWOOD SA 5067

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 487847 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 16 November 2021

Signed



Gerard Mithen
A delegate of the Australian Securities and Investments Commission

21-0957

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 655A(1)(b)– Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 655A(1)(b) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 21-0957.

Commencement

3. This instrument commences on the day it is signed.

Declaration

4. Chapter 6 of the Act apply to Advanced Human Imaging Ltd ACN 602 111 115 (the *Company*) and Maxim Group LLC, a limited liability company incorporated under the laws of New York, United States of America (*Underwriter*), as if section 609 were modified or varied by, omitting subsection (13D) (as notionally inserted by ASIC Class Order [CO 13/520]), and inserting:

“(13D) For the purposes of subsections (13A) - 13(C):

initial public offer, in relation to a body corporate, means an offer of securities in the body corporate that are in a class of securities that are to be quoted on the NASDAQ Capital Market.”

Where this instrument applies

5. The declaration in paragraph 4 applies in relation to relevant interests the Company and the Underwriter have in securities of the Company (*Escrowed Securities*) merely because the Company has, and the Underwriter has, in the ordinary course of its business as an underwriter, lead manager or joint lead manager, entered into one or more lock-up or escrow agreements or deeds (each an *Escrow Arrangement*) with each Security Holder in connection with the proposed admission of the Company's securities to the NASDAQ Capital Market (*initial public offer*) where each Escrow Arrangement:
 - (a) does not restrict the exercise of voting rights attaching to, the Escrowed Securities;
 - (b) in the case of a takeover bid (including a proportional takeover bid):
 - (i) allows each Security Holder to accept into the takeover bid where holders of at least half of the bid class securities that are not subject to an Escrow Arrangement have accepted into the bid; and

- (ii) requires that the Escrowed Securities be returned to escrow if the bid does not become unconditional;
- (c) allows the Escrowed Securities to be transferred or cancelled as part of a merger by way of compromise or arrangement under Part 5.1 of the Act;
- (d) terminates no later than 180 days after the date the Company or the Underwriter and the Security Holder entered into the Escrow Arrangement.

Interpretation

6. In this instrument:

- (a) **Security Holder** means any of the following persons who holds shares in the Company:
 - (i) Vlado Bosanac;
 - (ii) Nick Prosser;
 - (iii) Mike Melby;
 - (iv) Terence Stupple;
 - (v) Mad Scientist Pty Ltd;
 - (vi) The Rain Maker Mgmt Sdn Bhd;
 - (vii) Pheakes Pty Ltd;
 - (viii) Dr. Amar El-Sallam;
 - (ix) David Andrew Tabb;
 - (x) Philip Cooper;
 - (xi) Adam Phoenix;
 - (xii) Koon Poh Low;
 - (xiii) Neeraj Dhungel;
 - (xiv) Amita Bajaj;
 - (xv) William J Kridel Jr;
 - (xvi) Mr Sean Smith;
 - (xvii) Ryan Anthony Snowden;

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- (xviii) J15i Pte Ltd;
- (xix) Soheila Taebi;
- (xx) Asia Cornerstone Asset Management Limited; and
- (xxi) Bill Bradford.

Dated this 17th day of November 2021



Signed by Baldev Dillon
as a delegate of the Australian Securities and Investments Commission

21-0964

**Australian Securities and Investments Commission
Corporations Act 2001 – Subsection 741(1) – Exemption and Declarations**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 741(1)(a) and 741(1)(b) of the *Corporations Act 2001 (Act)*.

Title

2. This instrument is ASIC Instrument 21-0964.

Commencement

3. This instrument commences on the date it is signed.

Declarations

4. Chapter 6D of the Act applies to Life360, Inc ARBN 629 412 942 (*Company*) and its securityholders as if the following provisions were modified or varied:
 - (a) in section 9 in the definition of *continuously quoted securities* (as modified by *ASIC Corporations (Disregarding Technical Relief) Instrument 2016/73*), at the beginning of both subparagraphs (b)(ii) and (iii), insert after the words “other than a technical relief instrument”, “and ASIC Instrument 20-0423”; and
 - (b) in paragraphs 708AA(2)(e) and 708A(5)(d) (as modified by *ASIC Corporations (Disregarding Technical Relief) Instrument 2016/73*), at the beginning of each respective paragraph, insert after the words “other than a technical relief instrument”, “and ASIC Instrument 20-0423”.

Exemption

5. The Company does not have to comply with Part 6D.2 or 6D.3 of the Act (other than sections 736 and 738) for an offer of shares for issue under a purchase plan.

Where this instrument applies

6. The declarations in paragraph 4 of this instrument applies to an offer:
 - (a) by the Company to issue securities in the Company where the offer is made in compliance with section 708AA (as modified by *ASIC Corporations (Non-traditional Rights Issues) Instrument 2016/84*) of the Act;

21-0964

- (b) for the sale of securities in the Company where the sale offer complies with section 708A (as modified by *ASIC Corporations (Non-traditional Rights Issues) Instrument 2016/84*) of the Act;
 - (c) by the Company of securities under section 713 of the Act; or
 - (d) for the sale of securities in the Company where the sale offer complies with section 708A (as modified by *ASIC Corporations (Sale Offers: Securities Issued on Conversion of Convertible Notes) Instrument 2016/82*) of the Act.
7. The exemption in paragraph 5 of this instrument applies where the Company satisfy the requirements and conditions of LI 2019/547, except for paragraph 7(d) of LI 2019/547, and would satisfy the requirements and conditions of LI 2019/547 if paragraph 7(d) of LI 2019/547 were omitted and substituted with:

“(d) no order under section 340 or 341 of the Act (other than an excluded order and ASIC Instrument 20-0423) covered the issuer, or any person as director or auditor of the issuer, at any time in the shorter of the period during which the class was quoted, and the period of 12 months before the day on which the offer is made;”.

Interpretation

8. In this instrument:

LI 2019/547 means *ASIC Corporations (Share and Interest Purchase Plans) Instrument 2019/547*;

purchase plan has the meaning given by LI 2019/547; and

securities has a meaning affected by section 700(1) (as modified by *ASIC Class Order [CO 14/827]*) of the Act.

Dated this 18th day of November 2021



Signed by Genevieve Lai
as a delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this nineteenth day of November 2021

Name of Company

ARBN

BLOOMBERG INDEX SERVICES LIMITED

623 032 280

METHANEX NEW ZEALAND LIMITED

610 886 103

CORPORATIONS ACT 2001
Subsection 601PA(3)

ASIC may deregister the managed investment scheme(s) listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this nineteenth day of November 2021

Name of Scheme

ARSN

CSEF FUND

609 041 063

MORPHIC GLOBAL OPPORTUNITIES FUND

159 465 157

SETTLERS LIFESTYLE TRUST

618 035 484

360 CAPITAL ACTIVE VALUE EQUITY FUND (MANAGED
FUND)

638 677 488

CORPORATIONS ACT 2001
Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this nineteenth day of November 2021

Name of Scheme

ARSN

AQR GLOBAL ENHANCED EQUITY FUND

141 721 913

AQR GLOBAL LONG-SHORT EQUITY FUND

120 678 668

CORPORATIONS ACT 2001
Section 601CL(5)

ASIC has struck the foreign companies listed
below off the register.

Dated this nineteenth day of November 2021

Name of Company

ARBN

CORAL LIFESTYLES NZ LIMITED	115 621 684
NEDKAB B.V.	607 633 321
ODX, LLC	636 648 461
PHILIP MORRIS FINANCE SA	132 202 370
TEELEY ASSETS LIMITED	097 371 269
UNILEVER ASIA PRIVATE LIMITED	142 738 538

CORPORATIONS ACT 2001
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

FORTICODE LIMITED ACN 119 044 263 will change to a proprietary company limited by shares. The new name will be FORTICODE IP PTY LTD ACN 119 044 263.

HAEMALOGIX PTY LTD ACN 603 314 496 will change to a public company limited by shares. The new name will be HAEMALOGIX LTD ACN 603 314 496.

SUPERHERO HOLDINGS PTY LTD ACN 633 253 942 will change to a public company limited by shares. The new name will be SUPERHERO HOLDINGS LIMITED ACN 633 253 942.

GUNYAH GROUP LIMITED ACN 639 956 013 will change to a proprietary company limited by shares. The new name will be GUNYAH GROUP PTY LTD ACN 639 956 013.

LYRAMID LIMITED ACN 610 756 904 will change to a proprietary company limited by shares. The new name will be LYRAMID PTY LTD ACN 610 756 904.