



**ASIC**  
Australian Securities &  
Investments Commission

Commonwealth of Australia Gazette  
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# ASIC Gazette

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### RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review (RG57)* and Information Sheet *ASIC decisions – your rights (INFO 9)* to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at [www.asic.gov.au](http://www.asic.gov.au) or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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26-0065

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 926A(2)(a) – Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 926A(2)(a) of the *Corporations Act 2001 (Act)*.

**Title**

2. This instrument is ASIC Instrument 26-0065.

**Commencement**

3. This instrument commences on the day it is signed.

**Cessation**

4. The exemption in paragraph 5 ceases to have effect on the earlier of:
  - (a) the date specified in section 8 of the *ASIC Corporations (Foreign Financial Services Providers) Instrument 2025/798*; or
  - (b) TD Securities (USA) LLC, a limited liability company incorporated in the state of Delaware and registered with the US Securities and Exchange Commission under file number 8-36747 (the *body*), not complying with any written notice given by ASIC directing the body to give to ASIC, within the time specified in the notice, a written statement containing specified information about the financial service business operated by the body in this jurisdiction; or
  - (c) the body being notified in writing by ASIC that it is excluded from relying on this instrument.

**Exemption**

5. ASIC exempts the body from the requirement to hold an Australian financial services (*AFS*) licence in the case referred to in Schedule A.

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**Repeals**

6. Each instrument that is specified in Schedule C to this instrument is amended or repealed as set out in the applicable items in the Schedule concerned, and any other item in a Schedule to this instrument has effect according to its terms.

**Schedule A**

1. Where all of the following apply:
  - (a) the body is:
    - (i) a registered broker dealer that is a member of the Securities Investor Protection Corporation established under the *Securities Investor Protection Act 1970* (US) and that is a member of FINRA and FINRA is the body's examining authority; or
    - (ii) a registered broker dealer that is an OTC derivatives dealer within the meaning of Rule 3b-12 promulgated under the Exchange Act who is affiliated within the meaning of that Rule with a registered broker dealer who is a member of FINRA;
  - (b) the body is either:
    - (i) a body corporate incorporated in the US or a State of the US; or
    - (ii) a partnership formed in the US or a State of the US;
  - (c) the body:
    - (i) is registered under Division 2 of Part 5B.2 of the Act; or
    - (ii) has an Agent at the time the body first purports to rely on this instrument and, from that time, has not failed to have an Agent for any consecutive period of 10 business days;
  - (d) the body's primary business is the provision of financial services;
  - (e) neither the body nor its Agent has been notified by ASIC that the body is excluded from relying on this instrument;
  - (f) if the body becomes aware or should reasonably have become aware of matters that give it reason to believe that it has failed, other than in an immaterial respect, to comply with a requirement set out in Schedule B:

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- (i) 15 business days have not passed since the body became so aware or should reasonably have become so aware without the body providing full particulars of the failure to ASIC (to the extent that the body knows those particulars or would have known them if it had undertaken reasonable enquiries); and
    - (ii) 30 business days have not passed from ASIC receiving those particulars from the body without ASIC notifying the body that it may continue to rely on this instrument; and
  - (g) the body has not notified ASIC that it will not rely on this instrument; and
2. Where the body provides any of the following financial services (the *financial services*) in this jurisdiction to wholesale clients:
- (a) providing financial product advice;
  - (b) dealing in a financial product; or
  - (c) making a market for a financial product;
- in respect of any of the following financial products:
- (d) derivatives;
  - (e) foreign exchange contracts;
  - (f) securities;
  - (g) debentures, stocks or bonds issued by a government;
  - (h) managed investment products; or
  - (i) interests in a managed investment scheme that is not required to be registered under Chapter 5C of the Act; and
3. Where the body has provided ASIC with:
- (a) evidence and submissions that paragraph 1(a) of Schedule A is satisfied;
  - (b) a notice that it will provide financial services in this jurisdiction in reliance on this instrument;
  - (c) a deed of the body for the benefit of and enforceable by ASIC and the other persons referred to in subsection 659B(1) of the Act that applies notwithstanding that the body may have ceased to rely, or never have relied, on this instrument, which deed provides that:
    - (i) the deed is irrevocable except with the prior written consent of ASIC; and
    - (ii) the body submits to the non-exclusive jurisdiction of the Australian courts in legal proceedings conducted by ASIC (including under section 50 of the

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- ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in subsection 659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise; and
- (iii) the body covenants to comply with any order of an Australian court in respect of any matter relating to the provision of the financial services; and
  - (iv) if the body is not registered under Division 2 of Part 5B.2 of the Act, service of process on the body in relation to legal proceedings conducted by ASIC (including under section 50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in subsection 659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise can be effected by service on the Agent; and
  - (v) the body covenants that, on written request of either SEC or ASIC, it will give or vary written consent and take all other practicable steps to enable and assist SEC to disclose to ASIC and ASIC to disclose to SEC any information or document that SEC or ASIC has that relates to the body; and
- (d) written consents to the disclosure by SEC to ASIC and ASIC to SEC of any information or document that SEC or ASIC has that relates to the body. The consents must be in such form (if any) as ASIC specifies in writing.

**Schedule B**

1. The body must provide each of the financial services in this jurisdiction in a manner which would comply, so far as is possible, with the US regulatory requirements if the financial service were provided in the US in like circumstances.
2. The body must:
  - (a) notify ASIC, as soon as practicable and in any event within 15 business days after the body became aware or should reasonably have become aware, and in such form if any as ASIC may from time to time specify in writing, of the details of:
    - (i) each significant change to, including the termination of, the registration as a registered broker dealer applying to the body relevant to the financial services the body provides or intends to provide in this jurisdiction; and
    - (ii) each significant particular exemption or other relief which the body obtains from the US regulatory requirements relevant to the financial services the body provides or intends to provide in this jurisdiction; and
    - (iii) each action or investigation of the following kinds taken by the SEC or other overseas regulatory authority against the body in a foreign jurisdiction in relation to financial services provided in the foreign jurisdiction:

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- (A) significant enforcement action;
  - (B) significant disciplinary action;
  - (C) significant investigation (unless, after having taken reasonable steps to enable notification to be given to ASIC, the body is prohibited by law from giving such notification but only to the extent of the prohibition);  
and
- (b) provide written disclosure to all persons to whom the financial services are provided in this jurisdiction (before the financial services are provided) containing prominent statements to the following effect:
- (i) the body is exempt from the requirement to hold an Australian financial services licence under the Act in respect of the financial services; and
  - (ii) the body is regulated by SEC under US laws, which differ from Australian laws; and
- (c) if ASIC gives the body a written notice directing the body to lodge with ASIC, within the time specified in the notice, a written statement containing specified information about any financial service provided by the body in this jurisdiction—comply with the notice.

#### Schedule C - Repeals

##### *ASIC Instrument 22-0193*

#### 1. The whole of the instrument

Repeal the instrument, on and from the date ASIC acknowledges the body's reliance on ASIC Instrument 26-0065.

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### Interpretation

In this instrument:

*Act* means the *Corporations Act 2001*;

*address*, in relation to a company, means the address of the registered office of the company;

*Agent* means a natural person resident in this jurisdiction or a company, whose name and address were last notified to ASIC by the body for the purposes of this instrument, and who is authorised to accept on the body's behalf, service of process from ASIC and, in relation to proceedings relating to a financial services law, from any person referred to in subsection 659B(1) of the Act;

*ASIC Act* means the *Australian Securities and Investments Commission Act 2001*;

*dealing* has the meaning given by section 9 of the Act;

*derivative* has the meaning given by section 761D of the Act;

*examining authority*, in relation to the body, means a self-regulatory organisation to which the body belongs which has not been relieved of the responsibility relating to the body under section 17(d)(1)(A) of the Exchange Act in any respect;

*Exchange Act* means the *Securities and Exchange Act 1934* (US);

*financial product* has the meaning given by section 9 of the Act;

*financial product advice* has the meaning given by section 766B of the Act;

*financial services law* has the meaning given by section 761A of the Act;

*FINRA* means the Financial Industry Regulatory Authority of the US;

*foreign exchange contract* has the meaning given by section 761A of the Act;

*interest in a managed investment scheme* has the meaning given by section 9 of the Act;

*managed investment product* has the meaning given by section 9 of the Act;

*managed investment scheme* has the meaning given by section 9 of the Act;

*notice* and *notified* mean, respectively, written notice and notified in writing;

*overseas regulatory authority* means a foreign regulatory authority (other than the SEC) which regulates financial services and which is established by or for the purposes of a foreign government or legislative body;

*registered broker dealer* means a broker or dealer registered under section 15(b) of the Exchange Act;

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*SEC* means the Securities and Exchange Commission of the US;

*securities* has the meaning given by section 92 of the Act;

*US* means the United States of America;

*US regulatory requirements* means the rules that apply in relation to the financial services including:

- (a) any applicable legislation, instruments made under that legislation and any relevant policies or other documents (however described) issued by the SEC; and
- (b) if the body is covered by subparagraph (1)(a)(i) of Schedule A but not subparagraph (1)(a)(ii) of that Schedule—any applicable rules, policies or other documents (however described) of FINRA; and

*wholesale client* has the meaning given in section 761G of the Act.

Dated this 19th day of February 2026.



Signed by Olivia Fowler

as a delegate of the Australian Securities and Investments Commission

26-0107

**Australian Securities and Investments Commission  
Corporations Act 2001 – Subsection 926A(2)(a) – Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission (**ASIC**) makes this instrument under section 926A(2)(a) of the *Corporations Act 2001* (**Act**).

**Title**

2. This instrument is ASIC Instrument 26-0107

**Commencement**

3. This instrument commences on the day it is signed.

**Exemption**

4. ASIC exempts GAM London Limited, a limited company incorporated in the United Kingdom (registered number 874802) and registered with the United Kingdom Financial Conduct Authority, reference number 122330, (the *body*), from the requirement to hold an Australian financial services (*AFS*) licence in the case referred to in Schedule A, upon the conditions referred Schedule B.

**Cessation**

5. The exemption in paragraph 4 ceases to have effect on the earlier of:
  - (a) subparagraph 7(1) of *ASIC Corporations (Foreign Financial Services Providers) Instrument 2025/798* ceasing to have effect in relation to the relief that was provided under ASIC Class Order [CO 03/1099] *UK regulated financial services providers*; or
  - (b) the body failing to comply with any written notice given by ASIC directing the body to give to ASIC, within the time specified in the notice, a written statement containing specified information about the financial service business operated by the body in this jurisdiction; or
  - (c) the body being notified in writing by ASIC that it is excluded from relying on this instrument.

26-0107

**Schedule A**

1. The exemption in paragraph 4 applies where all of the following apply:
  - (a) the body has a current Part 4A Permission;
  - (aa) the body is either a body corporate incorporated in the UK or a partnership formed in the UK;
  - (b) the body:
    - i. is registered under Division 2 of Part 5B.2 of the Act; or
    - ii. has an Agent at the time the body first purports to rely on this instrument and, from that time, has not failed to have an Agent for any consecutive period of 10 business days;
  - (c) the body's primary business is the provision of financial services;
  - (d) neither the body nor its Agent has been notified by ASIC that the body is excluded from relying on this instrument;
  - (e) if the body becomes aware or should reasonably have become aware of matters that give it reason to believe that it has failed, other than in an immaterial respect, to comply with a requirement set out in Schedule B:
    - i. 15 business days have not passed since the body became so aware or should reasonably have become so aware without the body providing full particulars of the failure to ASIC (to the extent that the body knows those particulars or would have known them if it had undertaken reasonable enquiries); and
    - ii. 30 business days have not passed from ASIC receiving those particulars from the body without ASIC notifying the body that it may continue to rely on this instrument; and
  - (f) the body has not notified ASIC that it will not rely on this instrument.
2. Where the body provides any of the following financial services (the *financial services*) in this jurisdiction to wholesale clients:
  - (a) providing financial product advice; or
  - (b) dealing in a financial product;in respect of any of the following financial products:
  - (c) eligible deposit products;
  - (d) derivatives;
  - (e) foreign exchange contracts;
  - (f) securities;

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- (g) managed investment products ; and
  - (h) interests in a managed investment scheme that is not required to be registered under Chapter 5C of the Act.
3. Where the body has provided ASIC with all of the following:
- (a) evidence that paragraph 1(a) of Schedule A is satisfied;
  - (b) a notice that it will provide financial services in this jurisdiction in reliance on this instrument;
  - (c) a deed of the body for the benefit of and enforceable by ASIC and the other persons referred to in subsection 659B(1) of the Act that applies notwithstanding that the body may have ceased to rely, or never have relied, on this instrument, which deed provides that:
    - i. the deed is irrevocable except with the prior written consent of ASIC;
    - ii. the body submits to the non-exclusive jurisdiction of the Australian courts in legal proceedings conducted by ASIC (including under section 50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in subsection 659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise;
    - iii. the body covenants to comply with any order of an Australian court in respect of any matter relating to the provision of the financial services;
    - iv. if the body is not registered under Division 2 of Part 5B.2 of the Act, service of process on the body in relation to legal proceedings conducted by ASIC (including under section 50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in subsection 659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise can be effected by service on the Agent; and
    - v. the body covenants that, on written request of either the FCA or ASIC, it will give or vary written consent and take all other practicable steps to enable and assist the FCA to disclose to ASIC and ASIC to disclose to the FCA any information or document that FCA or ASIC has that relates to the body; and
  - (d) written consents to the disclosure by the FCA to ASIC and ASIC to the FCA of any information or document that the FCA or ASIC has that relates to the body. The consents must be in such form (if any) as ASIC specifies in writing.

**Schedule B**

The body must:

**26-0107**

1. provide each of the financial services in this jurisdiction in a manner which would comply, so far as is possible, with the UK regulatory requirements if the financial service were provided in the United Kingdom in like circumstances;
2. notify ASIC, as soon as practicable and in any event within 15 business days after the body became aware or should reasonably have become aware, and in such form if any as ASIC may from time to time specify in writing, of the details of:
  - (a) each significant change to, including the termination of, the Part 4A Permission applying to the body relevant to the financial services the body provides or intends to provide in this jurisdiction; and
  - (b) each significant particular exemption or other relief which the body obtains from the UK regulatory requirements relevant to the financial services the body provides or intends to provide in this jurisdiction; and
  - (c) each action or investigation of the following kinds taken by the FCA, PRA or other overseas regulatory authority against the body in a foreign jurisdiction in relation to financial services provided in the foreign jurisdiction:
    - i. significant enforcement action;
    - ii. significant disciplinary action;
    - iii. significant investigation (unless, after having taken reasonable steps to enable notification to be given to ASIC, the body is prohibited by law from giving such notification but only to the extent of the prohibition); and
3. provide written disclosure to all persons to whom the financial services are provided in this jurisdiction (before the financial services are provided) containing prominent statements to the following effect:
  - (a) the body is exempt from the requirement to hold an Australian financial services licence under the Act in respect of the financial services; and
  - (b) as applicable:
    - i. for a dual-regulated body - the body is authorised by the PRA and regulated by the FCA and PRA under UK laws, which differ from Australian laws;
    - ii. otherwise – the body is authorised and regulated by the FCA under the UK laws, which differ from Australian laws; and
4. if ASIC gives the body a written notice directing the body to lodge with ASIC, within the time specified in the notice, a written statement containing specified information about any financial service provided by the body in this jurisdiction – comply with the notice.

26-0107

**Interpretation**

In this instrument:

*Act* means the *Corporations Act 2001*;

*address* in relation to a company, means the address of the registered office of the company;

*Agent* means a natural person resident in this jurisdiction or a company, whose name and address were last notified to ASIC by the body for the purposes of this instrument, and who is authorised to accept on the body's behalf, service of process from ASIC and, in relation to proceedings relating to a financial services law, from any person referred to in s659B(1) of the Act;

*Custodial or depository service* has the meaning given by section 9 of the Act;

*dealing* has the meaning given by section 9 of the Act;

*dual-regulated body* means a body whose regulated activities in the United Kingdom include a PRA-regulated activity within section 22A of the FSM Act;

*financial product* has the meaning given by section 9 of the Act;

*financial product advice* has the meaning given by section 9 of the Act;

*financial services law* has the meaning given by section 9 of the Act;

*FCA* means the Financial Conduct Authority of the United Kingdom and, for the avoidance of doubt, includes the Financial Services Authority of the United Kingdom as it was previously known before 1 April 2013;

*FSM Act* means the *Financial Services and Markets Act 2000* of the United Kingdom;

*foreign exchange contract* has the meaning given by section 9 of the Act;

*interest in a managed investment scheme* has the meaning given by section 9 of the Act;

*making a market* has the meaning given by section 9 of the Act;

*managed investment scheme* has the meaning given by section 9 of the Act;

*notice* and *notified* mean, respectively, written notice and notified in writing;

*overseas regulatory authority* means a foreign regulatory authority (other than the FCA or PRA) which regulates financial services and which is established by or for the purposes of a foreign government or legislative body;

**26-0107**

*Part 4A Permission* means a permission given under Part 4A of FSM Act or having effect as if so given under that Part;

*PRA* means the Prudential Regulation Authority of the United Kingdom;

*securities* has the meaning given by section 9 of the Act;

*United Kingdom regulatory requirements* means the rules that apply in relation to the financial services including any applicable legislation, instruments made under that legislation and any relevant policies or other documents (however described) issued by the FCA or PRA; and

*wholesale client* has the meaning given in section 9 of the Act.

Dated this 11 February 2026.



Signed by Chethani Atapattu  
as a delegate of the Australian Securities and Investments Commission



**ASIC**  
Australian Securities &  
Investments Commission

**Australian Securities  
and Investments Commission**

GPO Box 9827,  
Melbourne VIC 3001

Tel: +61 1300 935 075

**26-0114**

**Australian Securities and Investments Commission  
Corporations Act 2001 section 915B**

**Notice of cancellation of an Australian financial services licence**

TO: ENERGY SERVICES MANAGEMENT ADMINISTRATION PTY LTD  
ACN 641403205 ("the Licensee")  
Tower 5 Level 23 727 Collins Street  
DOCKLANDS VIC 3008

Pursuant to paragraph s915B(3)(d) of the *Corporations Act 2001 (the Act)*, the Australian Securities and Investments Commission hereby cancels Australian financial services licence number 523889 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 17 February 2026

Signed

Jedo Charles  
A delegate of the Australian Securities and Investments Commission

26-0128

**Australian Securities and Investments Commission  
Corporations Act 2001 - Paragraph 1020F(1)(c) – Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 1020F(1)(c) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 26-0128.

**Commencement**

3. This instrument commences on the day it is signed.

**Declaration**

4. Part 7.9 of the Act applies to GSFM Responsible Entity Services Limited ACN 129 256 104 (*Responsible Entity*) in its capacity as the responsible entity for the CI Global Private Markets Growth Fund ARSN 692 936 093 (*Scheme*) as if section 1017E of the Act were modified or varied as follows:
  - (a) in paragraph 1017E(4)(d) of the Act, omit "one month" and substitute "90 days"; and
  - (b) in paragraph 1017E(4)(e) of the Act, omit "end of that month" and substitute "end of the period referred to in paragraph (4)(d)".

**Where this instrument applies**

5. This declaration applies in relation to interests in the Scheme issued by the Responsible Entity under an information memorandum where:
  - (a) the memorandum includes a statement to the effect that money paid for interests in each of the Scheme may be held for up to 90 days starting on the day on which the money was received, before the interests are issued or the money is returned; and
  - (b) the Scheme holds interests in the CI Private Markets Growth Fund, a non-prospectus qualified fund established as a trust under the laws of the Province of Ontario, pursuant to a trust agreement dated 3 November 2022.

Dated 25<sup>th</sup> day of February 2026



Signed by Isaac Chien  
as a delegate of the Australian Securities and Investments Commission

26-0129

**Australian Securities and Investments Commission  
Corporations Act 2001 - Paragraph 1020F(1)(c) – Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 1020F(1)(c) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 26-0129.

**Commencement**

3. This instrument commences on the day it is signed.

**Declaration**

4. Part 7.9 of the Act applies to GSFM Responsible Entity Services Limited ACN 129 256 104 (*Responsible Entity*) in its capacity as the responsible entity for the CI Global Private Markets Income Fund ARSN 692 931 945 (*Scheme*) as if section 1017E of the Act were modified or varied as follows:
  - (a) in paragraph 1017E(4)(d) of the Act, omit "one month" and substitute "90 days"; and
  - (b) in paragraph 1017E(4)(e) of the Act, omit "end of that month" and substitute "end of the period referred to in paragraph (4)(d)".

**Where this instrument applies**

5. This declaration applies in relation to interests in the Scheme issued by the Responsible Entity under an information memorandum where:
  - (a) the memorandum includes a statement to the effect that money paid for interests in each of the Scheme may be held for up to 90 days starting on the day on which the money was received, before the interests are issued or the money is returned; and
  - (b) the Scheme holds interests in the CI Private Markets Income Fund, a non-prospectus qualified fund established as a trust under the laws of the Province of Ontario, pursuant to a trust agreement dated 17 May 2023.

Dated 25<sup>th</sup> day of February 2026



Signed by Isaac Chien  
as a delegate of the Australian Securities and Investments Commission



**ASIC**  
Australian Securities &  
Investments Commission

**Australian Securities  
and Investments Commission**

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[www.asic.gov.au](http://www.asic.gov.au)

**26-0131**

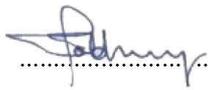
**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Hallmark Australia Services Pty Ltd  
ABN 35 082 524 785 ("the Licensee")  
Suite 5.02, Level 5  
59 Goulburn Street  
Sydney NSW 2000

Pursuant to paragraph 915B(3)(d) of the Corporations Act 2001, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 236657 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 23 February 2026

Signed  .....

Sandra Holdaway  
A delegate of the Australian Securities and Investments Commission



**ASIC**  
Australian Securities &  
Investments Commission

**Australian Securities  
and Investments Commission**

Office address (inc courier deliveries):  
Level 7, 120 Collins Street,  
Melbourne VIC 3000

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GPO Box 9827,  
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Fax: +61 1300 729 000

[www.asic.gov.au](http://www.asic.gov.au)

**26-0138**

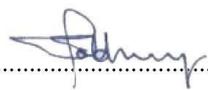
**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Flying Fox Nominees Pty Ltd  
ACN 630 486 083 ("the Licensee")  
40 Shackel Avenue  
CLOVELLY NSW 2031

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 549205 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 26 February 2026

Signed  .....

Sandra Holdaway  
A delegate of the Australian Securities and Investments Commission



**ASIC**  
Australian Securities &  
Investments Commission

**Australian Securities  
and Investments Commission**

Office address (inc courier deliveries):  
Level 7, 120 Collins Street,  
Melbourne VIC 3000

Mail address for Melbourne office:  
GPO Box 9827,  
Brisbane QLD 4001

Tel: +61 1300 935 075  
Fax: +61 1300 729 000

[www.asic.gov.au](http://www.asic.gov.au)

**26-0139**

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Frank Macdonagh & Associates Pty Limited  
ACN 095 138 766 ("the Licensee")  
Level 2, 22 Darley Road  
MANLY NSW 2095

Pursuant to paragraph 915B(3)(d) of the Corporations Act 2001, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 522922 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 24 February 2026

Signed *George Podaras*  
.....

George Podaras  
A delegate of the Australian Securities and Investments Commission

26-0141

**Australian Securities and Investments Commission  
Corporations Act 2001 – Subsection 741(1) – Declaration and Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission (ASIC) makes this instrument under subsection 741(1) of the *Corporations Act 2001* (Act).

**Title**

2. This instrument is ASIC Instrument 26-0141.

**Commencement**

3. This instrument commences on the date it is signed.

**Exemption**

4. Genesis Energy Limited does not have to comply with Part 6D.2 or 6D.3 of the Act (other than sections 736 and 738) for an offer for issue of shares under a purchase plan.

**Where this exemption applies**

5. This exemption applies where Genesis Energy Limited meets the requirements and conditions of LI 2019/547 except:

- (a) all references to \$30,000 in the definition of *purchase plan*, subparagraph 5(b)(i) and section 8 (wherever occurring) of LI 2019/547; and

- (b) paragraph 7(f) of LI 2019/547,

would meet the requirements and conditions of LI 2019/547 if:

- (c) in LI 2019/547, all references to \$30,000 (wherever occurring) were omitted and substituted with “NZ\$50,000”; and

- (d) in section 7 of LI 2019/547 paragraph (f) was omitted and substituted with:

“(f) the issuer has either:

- (i) not more than 30 days before the offer, given a notice to ASX that complies with subsection 708A(6), 708A(12J) as notionally inserted by ASIC Instrument 26-0141 or 1012DA(6) of the Act in relation to an issue of shares or interests in the class made otherwise than under a purchase plan; or

- (ii) within the 24 hours before the offer is made, given a notice to the relevant market operators for the issuer that:

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- (A) states that the issuer reasonably believes the notice complies with clause 20 of Schedule 8 of the *Financial Markets Conduct Regulations 2014* of New Zealand; and
- (B) states, in addition to any requirements of the *Financial Markets Conduct Regulations 2014* of New Zealand at the time the notice is given, that:
  - (I) the issuer will make offers to issue shares under a purchase plan without disclosure to investors under Part 6D.2; and
  - (II) the notice is being given in accordance with ASIC Instrument 26-0141; and
  - (III) as at the date of the notice, the issuer has complied with its obligations under rule 1.15.2 of the listing rules of ASX Limited.”.

**Declaration**

6. Chapter 6D of the Act applies in relation to Genesis Energy Limited as if the following provisions were modified or varied:

- (a) in section 708A:

- (i) in paragraph (1)(a) omit "(12G) or (12H)", and substitute "(12G), (12H) or (12J)";

Note: ASIC Corporations (Non-Traditional Rights Issues) Instrument 2016/84 notionally inserted section 708A(12A) of the Act, ASIC Corporations (Sale Offers: Securities Issued on Conversion of Convertible Notes) Instrument 2016/82 notionally inserted section 708A(12C) of the Act and ASIC Corporations (Regulatory Capital Securities) Instrument 2016/71 notionally inserted section 708A(12G) and (12H) of the Act.

- (ii) in paragraph (1A)(a) omit "(5)," and substitute "(5) or (12J)"; and

- (iii) after subsection (12I) insert:

*"Sale offers of quoted securities: NZ foreign exempt listed body—case 8*

- (12J) The sale offer of securities in a New Zealand foreign exempt listed body does not need disclosure to investors under this Part if:

- (a) the relevant securities are in a class of securities that were quoted securities at all times in the following period:

- (i) if this section applies because of subsection (1) – 3 months before the day on which the relevant securities were issued; or

- (ii) if this section applies because of subsection (1A) – 3 months before the day on which the relevant securities were sold by the controller; and

## 26-0141

- (b) trading in that class of securities on a prescribed financial market on which they were quoted was not suspended for more than a total of 5 days during:
- (i) if this section applies because of subsection (1)—the shorter of the period during which the class of securities were quoted, and the period of 12 months before the day on which the relevant securities were issued; or
  - (ii) if this section applies because of subsection (1A) —the shorter of the period during which the class of securities were quoted, and the period of 12 months before the day on which the relevant securities were sold by the controller; and
- (c) either:
- (i) if this section applies because of subsection (1)—the body gives the relevant market operators for the body a notice that the body reasonably believes complies with clause 20 of Schedule 8 of the *Financial Markets Conduct Regulations 2014* of New Zealand before the sale offer is made; or
  - (ii) if this section applies because of subsection (1A)—both the body, and the controller, gives the relevant market operators for the body a notice that the body and the controller reasonably believe complies with clause 20 of Schedule 8 of the *Financial Markets Conduct Regulations 2014* of New Zealand before the sale offer is made; and
- (d) the notice given under paragraph (c) states, in addition to any requirements of the *Financial Markets Conduct Regulations 2014* of New Zealand at the time the notice is given, that:
- (i) if this section applies because of subsection (1) – the body issues the relevant securities without disclosure to investors under this Part; or
  - (ii) if this section applies because of subsection (1A) – the controller sold the securities without disclosure to investors under this Part;
  - (iii) the notice is being given under paragraph 708A(12J) as notionally inserted by ASIC Instrument 26-0141; and
  - (iv) as at the date of the notice, the body has complied with its obligations under rule 1.15.2 of the listing rules of ASX Limited.

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In this subsection:

*New Zealand foreign exempt listed body* means a body that is:

- (a) listed on the financial market operated by NZX Limited; and
- (b) listed on the financial market operated by ASX Limited as a foreign exempt listing.

*relevant market operators* means both ASX Limited and NZX Limited."

- (b) in section 708AA after paragraph (2)(f) insert:

"(2A) In this section, relevant market operator means both ASX Limited and NZX Limited".

- (c) omit subsections 708AA(7) to (11), and substitute:

"(7) For a New Zealand foreign exempt listed body, a notice complies with this subsection if:

- (a) the body reasonably believes the notice complies with clause 20 of Schedule 8 of the *Financial Markets Conduct Regulations 2014* of New Zealand; and
- (b) the notice states, in addition to any requirements of the *Financial Markets Conduct Regulations 2014* of New Zealand at the time the notice is given, that:
  - (i) the body will offer the relevant securities for issue without disclosure under this Part;
  - (ii) the notice is being given under paragraph (2)(f); and
  - (iii) as at the date of the notice, the body has complied with its obligations under rule 1.15.2 of the listing rules of ASX Limited.

In this subsection:

*New Zealand foreign exempt listed body* means a body that is:

- (a) listed on the financial market operated by NZX Limited; and
- (b) listed on the financial market operated by ASX Limited as a foreign exempt listing."

- (d) in subsection 708AA(12) as notionally inserted by *ASIC Corporations (Non-Traditional Rights Issues) Instrument 2016/84* omit subsection (a) and substitute:

"(a) any information that would be excluded information under clause 20(5) of Schedule 8 of the *Financial Markets Conduct Regulations 2014* of New

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Zealand (as that clause exists on the date the notice is given) and that would need to be included in the notice if the notice had been given at that time and that has not been included in the notice or otherwise provided to the relevant market operator; or".

**Interpretation**

7. In this instrument:

*Genesis Energy Limited* means Genesis Energy Limited (NZBN 9429037706609/ ARBN 149 509 599), a body incorporated under the *Companies Act 1993* of New Zealand.

*LI 2019/547* means *ASIC Corporations (Share and Interest Purchase Plans) Instrument 2019/547*,

*purchase plan* has the meaning given by LI 2019/547; and

*relevant market operators* means both ASX Limited and NZX Limited.

Dated this 22<sup>nd</sup> day of February 2026



Signed by Sean Ferguson  
as a delegate of the Australian Securities and Investments Commission

26-0146

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 655A(1)(a) – Exemption**

**Enabling legislation**

- 1 The Australian Securities and Investments Commission makes this instrument under paragraph 655A(1)(a) of the *Corporations Act 2001* (the **Act**).

**Title**

- 2 This instrument is ASIC Instrument 26-0146.

**Commencement**

- 3 This instrument commences on the date it is signed.

**Exemption**

- 4 OPAP Holding Société Anonyme (with the distinctive title, OPAP Holding) (**OPAP**), being a company incorporated in Hellenic Republic (Greece) with General Commercial Registry number 003823201000 and which intends to redomicile to Luxembourg and change its name to "Allwyn AG", does not have to comply with section 606 of the Act in relation to an acquisition of relevant interests in RCT Units through its acquisition (**Acquisition**) of Allwyn Austria Holding 1 GmbH (**Allwyn Austria Holding 1**), a company incorporated in Austria.

**Where this instrument applies**

- 5 This instrument applies where at the date of this instrument:
- (a) Allwyn Austria Holding 1 holds 100% of the issued shares in Allwyn Austria Holding 2 GmbH (**Allwyn Austria Holding 2**);
  - (b) Allwyn Austria Holding 2 holds 100% of the issued shares in Allwyn Austria Holding 3 GmbH (**Allwyn Austria Holding 3**);
  - (c) Allwyn Austria Holding 2 holds 21.41% of the issued shares in Casinos Austria AG (**CASAG**);
  - (d) Allwyn Austria Holding 3 holds 38.29% of the issued shares in CASAG;
  - (e) GBG Beteiligungen GmbH (**GBG**) holds approximately 1% of the issued shares in CASAG;
  - (f) the Austrian Government, through Österreichische Beteiligungs AG, holds approximately 33.24% of the issued shares in CASAG;
  - (g) CASAG has a relevant interest in 67.1% of all RCT Units as a result of:
    - (i) CASAG holding all the issued shares in Casinos Austria International Holding GmbH (**CAI Holding**);
    - (ii) CAI Holding holding:
      - (A) 5,661,193 RCT Units being approximately 11.37% of all RCT Units; and

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- (B) 100% of the issued shares in Casinos Austria International GmbH (**CAI GmbH**);
- (iii) CAI GmbH holding 100% of the issued shares in Casinos Austria International Ltd ACN 065 998 807 (**CAIL**);
- (iv) CAIL holding:
  - (A) 2,385,715 RCT Units and 370,000 restricted units in RCT (collectively approximately 5.53% of all RCT Units); and
  - (B) 50% of the issued shares in:
    - (I) Reef Casino Investments Pty Ltd ACN 088 639 767 (**RCI**); and
    - (II) Reef Corporate Services Limited ACN 057 599 621 (**RCS**); and
    - (III) Casinos Austria International (Cairns) Pty Ltd ACN 062 222 011 (**CAIC**);
- (v) the other 50% of the issued shares in RCI, RCS and CAIC are held by Accor Casino Investments (Australia) Pty Limited ACN 089 359 633 (**ACIL**);
- (vi) RCI is a 50-50 joint venture company between CAIL and ACIL;
- (vii) RCI directly holds 25,000,000 RCT Units (approximately 50.2% of all RCT Units);
- (viii) RCS is the responsible entity of the Reef Casino Trust; and
- (ix) CAIC is the operator of the Reef Casino.
- (h) OPAP has not acquired, as at the date of this instrument, a relevant interest in RCT Units.

**Conditions**

- 6 This instrument is conditional upon OPAP entering into a deed in favour of ASIC and the unitholders of RCT within 7 days after the date of this instrument, whereby OPAP has covenanted that for a period commencing from the date of this instrument and ending on the date which is 6 months after completion of the Acquisition, OPAP will not directly or indirectly:
  - (a) acquire relevant interests in RCT Units, or voting power in RCT of greater than 67.1%; or
  - (b) exercise, control the exercise, or procure the exercise, of any votes attached to RCT Units.
- 7 For the avoidance of doubt, this condition does not affect the exercise, controlling the exercise or procuring the exercise of any votes attached to RCT Units by any of CASAG, CAI Holding, CAI GmbH, CAIL, ACIL or RCI where OPAP has not participated in or been involved with any decision or deliberation in

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relation to the exercise, controlling the exercise or procuring the exercise of votes attached to any RCT Units.

**Interpretation**

8 In this instrument:

**RCT Unit** means a unit in the Reef Casino Trust.

**Reef Casino Trust** means Reef Casino Trust ARSN 093 156 293.

Dated 26 February 2026



Signed by Emily Quan

as a delegate of the Australian Securities and Investments Commission



**ASIC**  
Australian Securities &  
Investments Commission

**Australian Securities  
and Investments Commission**

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**26-0147**

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Kennedy King Pty Ltd  
ACN 112 191 447 ("the Licensee")  
Suite 3 40 Burwood Road  
HAWTHORN VIC 3122

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 487363 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 25 February 2026

Signed

Cara Somerville  
A delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001  
Subsection 601PA(3)

ASIC may deregister the managed investment scheme(s) listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-seventh day of February 2026

**Name of Scheme****ARSN**

FORT STREET REAL ESTATE CAPITAL FUND I

163 688 346

FORT STREET REAL ESTATE CAPITAL FUND II

169 190 498

FORT STREET REAL ESTATE CAPITAL FUND III

605 335 957

NORTHWEST AUSTRALIA (SCHEME 2)

628 992 585

CORPORATIONS ACT 2001  
Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-seventh day of February 2026

**Name of Scheme**

**ARSN**

LEGG MASON MARTIN CURRIE SMALL COMPANIES FUND

119 829 560

SPECIALIST RESIDENTIAL PROPERTY IMPACT FUND

632 123 794

TOTUS ALPHA LONG SHORT FUND

637 884 532

CORPORATIONS ACT 2001  
Section 601CL(5)

ASIC has struck the foreign companies listed  
below off the register.

Dated this twenty-seventh day of February 2026

**Name of Company**

**ABN**

AGRARFLUG HELILIFT GMBH & CO KG

647 147 493

FOOT LOCKER AUSTRALIA, INC

089 717 251

GOLDEN THINKING LIMITED

144 571 764

WOODWARD, INC.

000 736 229

CORPORATIONS ACT 2001  
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

**AVENTINE RESOURCES PTY LTD**

ACN 686 650 297 will change to a public company limited by shares. The new name will be AVENTINE RESOURCES LTD ACN 686 650 297.

**NEW AIM PTY. LTD.** ACN 115 804 432 will change to a public company limited by shares. The new name will be NEW AIM LTD ACN 115 804 432.

**TRISAP GROUP PTY LTD** ACN 605 674 502 will change to a public company limited by shares. The new name will be TRISIL INTERNATIONAL LIMITED ACN 605 674 502.

**LAC GOLD LIMITED** ACN 678 715 985 will change to a proprietary company limited by shares. The new name will be LAC GOLD HOLDINGS PTY LTD ACN 678 715 985.

**NEW GENERATION MINERALS GROUP LTD** ACN 671 408 601 will change to a proprietary company limited by shares. The new name will be NEW GENERATION MINERALS GROUP PTY LTD ACN 671 408 601.