



**ASIC**  
Australian Securities &  
Investments Commission

Commonwealth of Australia Gazette

No. A03/19, Tuesday 22 January 2019

Published by ASIC

# ASIC Gazette

## Contents

### Notices under Corporations Act 2001

<b>18-1105</b>	<b>18-1126</b>	<b>18-1140</b>	<b>19-0002</b>	<b>19-0003</b>	<b>19-0004</b>
<b>19-0007</b>	<b>19-0013</b>	<b>19-0014</b>	<b>19-0027</b>	<b>19-0028</b>	<b>19-0034</b>
<b>19-0041</b>	<b>19-0043</b>	<b>19-0046</b>			

### Company/scheme deregistrations

### Change of company type

#### RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet *ASIC decisions – your rights* (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at [www.asic.gov.au](http://www.asic.gov.au) or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

ISSN 1445-6060 (Online version)  
ISSN 1445-6079 (CD-ROM version)

Available from [www.asic.gov.au](http://www.asic.gov.au)  
Email [gazette.publisher@asic.gov.au](mailto:gazette.publisher@asic.gov.au)

© Commonwealth of Australia, 2017

This work is copyright. Apart from any use permitted under the *Copyright Act 1968*, all rights are reserved. Requests for authorisation to reproduce, publish or communicate this work should be made to: Gazette Publisher, Australian Securities and Investment Commission, GPO Box 9827, Melbourne Vic 3001

18-1105

**Australian Securities and Investments Commission**  
**Corporations Act 2001 – Paragraph 741(1)(b) – Declaration**

**Enabling legislation**

- 1 The Australian Securities and Investments Commission makes this instrument under paragraph 741(1)(b) of the *Corporations Act 2001* (Act).

**Title**

- 2 The instrument is ASIC Instrument 18-1105.

**Commencement**

- 3 This instrument commences on the date it is signed.

**Declaration**

- 4 Chapter 6D of the Act applies to each optionholder of Tawana as if section 707 were modified or varied by omitting subsections 707(3) and (4) and substituting:
- “(3) An offer of a body’s securities for sale within 12 months after their issue needs disclosure to investors under this Part if the body issued the securities:
- (a) without disclosure to investors under this Part; and
  - (b) with the purpose of the person to whom they were issued
    - (i) selling or transferring them; or
    - (ii) granting, issuing or transferring interests in, or options or warrants over, them;
- and section 708 or 708A does not say otherwise.
- (4) Unless the contrary is proved, a body is taken to issue securities with the purpose referred to in paragraph 3(b) if any of the securities are subsequently sold, or offered for sale, within 12 months after their issue.”

**Where this instrument applies**

- 5 This instrument applies to an offer for the sale of shares in Alliance where the shares were issued under an Option Cancellation Deed as disclosed in the Explanatory Statement.

**Interpretation**

- 6 In this instrument:

**Alliance** means Alliance Mineral Assets Limited ACN 147 393 735.

**Explanatory Statement** means the scheme booklet dated 20 August 2018 (including any supplementary explanatory statement) sent to holders of shares of Tawana under section 412(1) of the Act in relation to the Scheme.

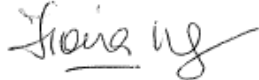
**Option Cancellation Deed** means an agreement made between Tawana and holders of Tawana options, the terms of which are described in the Explanatory Statement.

**Scheme** means a proposed scheme between Tawana and its members as disclosed in its Explanatory Statement.

18-1105

**Tawana** means Tawana Resources NL ACN 085 166 721.

Dated this 28<sup>th</sup> day of November 2018

A handwritten signature in black ink, appearing to read 'Fiona Ng', with a stylized flourish at the end.

Signed by Fiona Ng

as a delegate of the Australian Securities and Investments Commission

18-1126

**AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION**

*Superannuation Industry (Supervision) Act 1993*  
Subsection 130F(2)

**DISQUALIFICATION ORDER**

To: Keith Knight

Approved SMSF auditor registration number 100258231

Under s130F(2) of the *Superannuation Industry (Supervision) Act 1993* the Australian Securities and Investments Commission disqualifies Keith Knight from being an approved SMSF auditor with effect from 11 December 2018.

Dated this 4<sup>th</sup> day of December 2018

Signed: 

Craig Angove

Delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities &amp; Investments Commission

18-1140

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Emplus Superannuation Administration Pty Ltd  
ACN 114 867 042 ("the Licensee")  
PO Box 3528  
Tingalpa DC QLD 4173

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 437788 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 10 January 2019

Signed

John Connor

A delegate of the Australian Securities and Investments Commission

19-0002

**Australian Securities and Investments Commission  
Corporations Act 2001 – Subsection 741(1) – Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 741(1) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 19-0002.

**Commencement**

3. This instrument commences on the date it is signed.

**Nature of this instrument**

4. This instrument is not an individual relief instrument, as referred to in paragraphs 28A to 28D of ASIC Class Order [CO 14/1000].

**Disclosure relief***Offers made under an employee incentive scheme*

5. The Company or a related body corporate that makes an offer under an employee incentive scheme covered by this instrument does not have to comply with Part 6D.2 or 6D.3 of the Act in relation to the offer from the date of this instrument until 11 October 2019.

*Subsequent sale offers*

6. A person that makes a sale offer of an underlying eligible product within 12 months after the issue of the product does not have to comply with Part 6D.2 or 6D.3 of the Act in relation to the sale offer where:
  - (a) the product was issued or otherwise granted:
    - (i) to an eligible participant under an employee incentive scheme; or
    - (ii) to a trustee in connection with an employee incentive scheme; and
  - (b) the person has no reason to believe the employee incentive scheme is not covered by this instrument.
7. A person that makes a sale offer of a financial product within 12 months after the issue of the product does not have to comply with Part 6D.2 or 6D.3 of the Act in relation to the sale offer where:

19-0002

- (a) the product was issued by reason of the exercise or vesting of an eligible product issued or otherwise granted to:
  - (i) an eligible participant under an employee incentive scheme; or
  - (ii) to a trustee in connection with an employee incentive scheme; and
- (b) the person has no reason to believe the employee incentive scheme is not covered by this instrument.

**Hawking***Hawking*

8. The Company or a related body corporate that makes an offer of an eligible product to an eligible participant in the course of, or because of, an unsolicited meeting or telephone call held or made in connection with an employee incentive scheme covered by this instrument does not have to comply with section 736 of the Act.

**Conditions***Notice of reliance*

9. The Company or a related body corporate making an offer in connection with a particular employee incentive scheme must give ASIC a notice of reliance.

Note: A notice of reliance can cover a particular employee incentive scheme that is intended to operate for many years. A new notice of reliance will be required to be given to ASIC if the Company or a related body corporate establishes a new employee incentive scheme.

10. The Company or a related body corporate may give ASIC the notice of reliance at any time before the body first relies on this instrument in relation to the particular employee incentive scheme but, in any event, must give ASIC the notice of reliance no later than 1 month after the day the body first relies on this instrument in relation to the particular employee incentive scheme.

*Disclosure*

11. The Company or a related body corporate that makes an offer under an employee incentive scheme must ensure that the offer is made in, or is accompanied by, an offer document.

*Offers of overlying eligible products*

12. If the Company or a related body corporate makes an offer of an overlying eligible product under an employee incentive scheme, the Company or the related body corporate must ensure that, if the overlying eligible product is not able to be traded on an eligible financial market, the offer is for no more than nominal monetary consideration.

19-0002

*5% issue limit*

13. The Company or a related body corporate that makes an offer covered by this instrument must, at the time of making the offer, have reasonable grounds to believe that the number of underlying eligible products in a class of underlying eligible products that form part of the issued capital of the Company that have been or may be issued in any of the circumstances covered by the following paragraphs will not exceed 5% of the total number of underlying eligible products in that class on issue:
- (a) underlying eligible products that may be issued under the offer;
  - (b) underlying eligible products issued or that may be issued as a result of offers made at any time during the previous 3-year period under:
    - (i) an employee incentive scheme or like scheme of the Company or a related body corporate, where offers were covered by this instrument or an individual instrument made by ASIC in terms similar to this instrument; or
    - (ii) an employee incentive scheme or employee share scheme of the Company or a related body corporate, where the offers were covered by ASIC Class Order [CO 14/1000] or an individual instrument made by ASIC in terms similar to that class order.

**ASIC power to request documents**

14. The Company or a related body corporate must, if requested by ASIC and in accordance with the request, make available to ASIC the offer document and all other accompanying information or documents given to eligible participants in connection with the offer made in reliance on this instrument.

**Interpretation**

15. In this instrument:

- (1) *able to be traded* has the meaning given by section 761A of the Act;

*casual employee*, in relation to the Company or a related body corporate, means an individual who is, or might reasonably be expected to be, engaged to work the number of hours that are the pro-rata equivalent of 40% or more of a comparable full-time position with the body;

*Company* means Leigh Creek Energy Limited ACN 107 531 822;

*contractor*, in relation to the Company or a related body corporate, means:

- (a) an individual with whom the body has entered into a contract for the provision of services under which the individual performs work for the body; or



19-0002

- (b) a company with whom the body has entered into a contract for the provision of services under which an individual, who is a director of the company or their spouse, performs work for the body;

where the individual who performs the work under or in relation to the contract is, or might reasonably be expected to be, engaged to work the number of hours that are the pro-rata equivalent of 40% or more of a comparable full-time position with the body;

**eligible financial market** means a financial market specified in column 1 of Table A and, unless a contrary intention appears, is limited to the main board of that market;

**eligible participant**, in relation to the Company or a related body corporate, means a person specified in column 3 of Table A;

**eligible product**, in relation to the Company, means a financial product specified in column 2 of Table A;

**employee incentive scheme** means an arrangement under which eligible products of the Company are offered to eligible participants;

**nominal monetary consideration** means monetary consideration of a token or trivial amount;

**notice of reliance**, in relation to a particular employee incentive scheme, means a written notice in a form approved in writing by ASIC for the purposes of ASIC Class Order CO 14/1000;

**offer**, in relation to an eligible product, has a meaning affected by sections 700 and 702 of the Act and includes:

- (a) an offer to issue the eligible product;
- (b) an issue or grant of the eligible product;
- (c) an offer to transfer the eligible product;
- (d) a transfer of the eligible product;
- (e) an offer to arrange for the issue or transfer of the eligible product;

but does not include an issue, grant or transfer of an underlying eligible product made by reason of the exercise or vesting of an overlying eligible product in circumstances where an offer to issue or transfer the overlying eligible product had been previously made.

**offer document**, in relation to an offer of eligible products under an

## 19-0002

employee incentive scheme, means a document which includes, or is accompanied by, the following information, statements and explanations worded and presented in a clear, concise and effective manner:

- (a) prominent statements to the effect that:
  - (i) the Company is not able to give any advice, including general advice, in relation to eligible products offered under the employee incentive scheme; and
  - (ii) eligible participants should consider obtaining their own financial product advice from a person who is licensed by ASIC to give such advice;
- (b) either:
  - (i) a copy of the terms of the employee incentive scheme; or
  - (ii) a summary of the terms of the scheme together with a statement that, on request and at no charge and within a reasonable time, the Company will provide an eligible participant with a copy of the terms of the scheme;
- (c) general information about the risks of acquiring and holding an eligible product being offered under the employee incentive scheme;
- (d) the acquisition price of the eligible products in Australian dollars or, where the acquisition price is to be worked out in the future under a formula, an explanation of how an eligible participant could calculate the acquisition price of the eligible products in Australian dollars were that formula applied at the date of the offer;
- (e) an explanation of how an eligible participant could, from time to time, ascertain the market price of the underlying eligible products in Australian dollars;

**overlying eligible product** means an eligible product specified in paragraph (b) in column 2 of Table A;

**prospective participant**, in relation to an offer of an eligible product under an employee incentive scheme, means a person to whom the offer is made but who can only accept the offer if an arrangement has been entered into that will result in the person becoming covered by paragraph (a), (c), (d) or (e) of column 3 of Table A;

**related body corporate** has the meaning given in section 50 of the Act;

**underlying eligible product** means an eligible product specified in paragraph (a) in column 2 of Table A;

19-0002

- (2) an offer of eligible products to an eligible participant under an employee incentive scheme on terms that the eligible participant may renounce the offer in favour of a person covered by one of the following sub-subparagraphs is to be treated as an offer of eligible products to the eligible participant:
- (a) an immediate family member of the eligible participant;
  - (b) a company whose members comprise no persons other than the eligible participant or immediate family members of the participant;
  - (c) a corporate trustee of a self-managed superannuation fund (within the meaning of the *Superannuation Industry (Supervision) Act 1993*) where the eligible participant is a director of the trustee;
- (3) an employee incentive scheme, employee share scheme, or like scheme, is **covered by** an instrument to the extent that offers are made, or other conduct is carried out, in reliance on the instrument;
- (4) for the avoidance of doubt, a document or other writing to be given in connection with this instrument may be given by electronic means (including, in the case of a document or other writing to be given by the Company or a related body corporate in reliance on this instrument, by way of making it available on a website and notifying the intended recipient that it is available on the website).

Dated this 3rd day of January 2019



signed by Fiona Laidlaw  
as a delegate of the Australian Securities and Investments Commission

19-0002

**Table A**

Column 1 <b>Eligible Financial Market</b>	Column 2 <b>Eligible product</b>	Column 3 <b>Eligible participant</b>
(a) ASX (also known as the Australian Securities Exchange)	<p>(a) a fully paid share of the Company that is in a class of shares able to be traded on an eligible financial market;</p> <p>(b) an option to acquire, by way of issue or transfer, a financial product mentioned in paragraph (a);</p> <p>where in each case in relation to a product mentioned in paragraph (a) that is in a class of products that is able to be traded on an eligible financial market:</p> <p>(c) the product is in the same class as financial products which were able to be traded on the relevant financial market at all times in the 3 months before the day the offer document is first given to an eligible participant; and</p> <p>(d) the class of products were not suspended for more than a total of 7 days during the period of 11 October 2018 to 11 October 2019.</p>	<p>(a) a full-time or part-time employee (including an executive director);</p> <p>(b) a non-executive director;</p> <p>(c) a contractor;</p> <p>(d) a casual employee;</p> <p>(e) a prospective participant.</p>



ASIC

Australian Securities &amp; Investments Commission

19-0003

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Advice Shop Pty Ltd  
ACN 609 049 890 ("the Licensee")  
PO Box 3328  
NEWSTEAN QLD 4006

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 509201 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 10 January 2019

Signed

John Connor  
A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities &amp; Investments Commission

19-0004

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Cigna Life Insurance New Zealand Limited  
ACN 105 465 790 ("the Licensee")  
Level 4  
126 Phillip Street  
SYDNEY NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 239066 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 10 January 2019

Signed

John Connor

A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities &amp; Investments Commission

19-0007

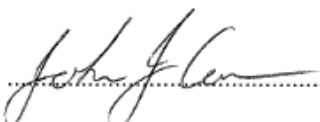
**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Card Marketing International Pty Ltd  
ACN 003 321 542 ("the Licensee")  
Level 10  
68 Pitt Street  
SYDNEY NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 235912 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 10 January 2019

Signed 

John Connor

A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities &amp; Investments Commission

19-0013

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Toni Lee Smart  
ABN 70 936 325 466 ("the Licensee")  
PO Box 270  
Moffat Beach  
Queensland 4551

Pursuant to paragraph 915B(1)(e) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 488278 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated

10 January 2019

Signed

John Connor

A delegate of the Australian Securities and Investments Commission





ASIC

Australian Securities &amp; Investments Commission

19-0014

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: H J Accounting Pty Ltd  
ACN 607 177 195 ("the Licensee")  
PO Box 3221  
Sunnybank South  
Queensland 4109

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 489259 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated

10 January 2019

Signed

John Connor

A delegate of the Australian Securities and Investments Commission

19-0027

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 741(1)(a) – Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 741(1)(a) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 19-0027.

**Commencement**

3. This instrument commences on the date it is signed.

**Exemption**

4. Pointsbet Holdings Pty Ltd ACN 621 179 351 (*Company*) does not have to comply with subsection 734(2) of the Act.

**Where the exemption applies**

5. Subject to paragraph 6, this instrument applies to the following information communicated by the Company to:
  - a. employees of the Company Group:
    - (i) the fact that the Company is proposing to undertake the IPO;
    - (ii) information relating to changes which may be made to the structure and internal administration of the Company Group at or around the same time as the IPO;
    - (iii) details of any employee share plan, employee option plan or similar employee incentive plan under which offers to employees of the Company Group may be made at or about the same time as the IPO;
    - (iv) information about the timetable for the IPO, and any proposed updates to the timetable for the IPO;
    - (v) details of the potential participation of the employees in the issue of Shares as part of the IPO;
    - (vi) information about impending announcements in relation to the IPO; and

19-0027

## b. Securityholders of the Company:

- (i) the fact that the Company is proposing to undertake the IPO;
- (ii) information about the structure of the offer and the offer periods under the IPO;
- (iii) information about the timetable for the IPO, including any proposed updates to the timetable;
- (iv) information about the proposed escrow arrangements with respect to Shares held by Shareholders (including holders of convertible notes who will become Shareholders following conversion of those notes as part of or immediately following the IPO) after the IPO;
- (v) information alerting Securityholders to impending announcements about the IPO; and
- (vi) information relating to matters that may require approval from the Shareholders in connection with the IPO.

**Conditions**

6. This exemption does not apply if, in communicating any of the information set out in paragraph 5 of this instrument, the Company communicates any advantages, benefits or merits of the IPO.

**Cessation**

7. This instrument ceases to apply on the earlier of:
- a) the date on which the IPO Prospectus is lodged with ASIC; and
  - b) 31 March 2019.

**Interpretation**

8. In this instrument:

***Company Group*** means the Company and its related bodies corporate

***IPO*** means the proposed initial public offering of fully paid ordinary shares in the Company

***IPO Prospectus*** means the prospectus proposed to be lodged with ASIC in or around February 2019 under which the IPO will be made

***Securityholders*** means Shareholders and registered holders of convertible notes issued by the Company

**19-0027**

*Shareholders* means registered holders or beneficial owners of Shares

*Shares* means fully paid ordinary shares in the Company

Dated this 17<sup>th</sup> January 2019



Signed by Lydia Sia  
as a delegate of the Australian Securities and Investments Commissions



ASIC

Australian Securities &amp; Investments Commission

19-0028

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Citilink Finance (Australia) Pty Ltd  
ACN 003 063 796 ("the Licensee") - Under External Administration  
C/- Worrells Solvency & Forensic Accountants (NSW) Pty Ltd  
Suite 1  
Level 15  
9-13 Castlereagh Street  
SYDNEY NSW 2000

Pursuant to paragraph 915B(3)(b) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 345120 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 11 January 2019.

Signed

Peter Schofield  
a delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities &amp; Investments Commission

19-0034

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Newell Financial Planning Pty Ltd  
ACN 105 586 954 ("the Licensee")  
PO Box 647  
ALBURY NSW 2640

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 384927 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated

16 January 2019

Signed

John Connor

A delegate of the Australian Securities and Investments Commission

19-0041

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 741(1)(b) and 1020F(1)(c) – Declarations**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 741(1)(b) and 1020F(1)(c) of the *Corporations Act 2001 (Act)*.

**Title**

2. This instrument is ASIC Instrument 19-0041.

**Commencement**

3. This instrument commences on the date it is signed.

**Declaration**

4. Chapter 6D and Part 7.9 of the Act apply to Walsh & Company Investments Limited ACN 152 367 649 as responsible entity of New Energy Solar Trust ARSN 609 154 298 (*RE* and *Trust* respectively) and holders of interests in the Trust, and New Energy Solar Limited ACN 609 396 983 (*Company*) and holders of securities in the Company, as if the definition of “technical relief instrument” in section 9 of the Act as notionally modified by *ASIC Corporations (Disregarding Technical Relief) Instrument 2016/73* was further modified or varied by inserting the following table:

“

19.	ASIC Instrument 17-1127	
-----	-------------------------	--

”

**Where this declaration applies**

5. This declaration applies in relation to an offer:
  - (a) of securities in the Company for issue under sections 713 and 708AA of the Act; and
  - (b) of interests in the Trust for issue under sections 1012DAA and 1013FA of the Act;
  - (c) for sale of securities in the Company under subsections 708A(5) and 708A(12C) (as notionally inserted by *ASIC Corporations (Sale Offers: Securities Issued on Conversion of Convertible Notes) Instrument 2016/82*) of the Act;
  - (d) for sale of interests in the Trust under subsections 1012DA(5) and 1012DA(12C) (as notionally inserted by *ASIC Corporations (Sale Offers: Securities Issued on Conversion of Convertible Notes) Instrument 2016/82*) of the Act; and

**19-0041**

- (e) for issue of securities in the Company or interests in the Trust under ASIC Class Order [CO 09/425];

where, except for ASIC Instrument 17-1127 dated 14 December 2017, no order under section 340 covered:

- (f) the Company or any person as director or auditor of the Company; or
- (g) the RE or any person as director or auditor of the RE.

Dated this 16<sup>th</sup> day of January 2019



Signed by Will Robertson  
as a delegate of the Australian Securities and Investments Commission



19-0043

**NOTICE UNDER SECTION 920E OF THE CORPORATIONS ACT 2001**

Notice is given under section 920E of the Corporations Act 2001 that the Australian Securities and Investments Commission has made a banning order in the terms set out below, which order took effect on 15<sup>th</sup> January 2019.

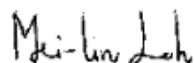
**Australian Securities and Investments Commission****In the matter of Tai Thanh Nguyen****Banning order under sections 920A and 920B  
of the Corporations Act 2001**

To: Tai Thanh Nguyen

**Take notice** that under sections 920A(1) and 920B(2) of the Corporations Act 2001 the Australian Securities and Investments Commission prohibits **TAI THANH NGUYEN** from providing any financial services permanently.

Dated this 15<sup>th</sup> day of January 2019.

Signed:



Mei-lin Loh  
Delegate of the Australian Securities and Investments  
Commission

Your attention is drawn to subsection 920C(2) of the Corporations Act 2001 which provides that a person must not engage in conduct which breaches a banning order that has been made against the person. Contravention of subsection 920C(2) is an offence.

19-0046

**Australian Securities and Investments Commission**  
**Corporations Act 2001 – Paragraphs 926A(2)(a) – Exemption**

**Enabling power**

1. The Australian Securities and Investments Commission (ASIC) makes this instrument under paragraph 926A(2)(a) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument [19-0046].

**Commencement**

3. This instrument commences on 1 February 2019.

**Exemption**

4. Revolut Ltd, a company incorporated in England and Wales (company number 08804411) (“**Revolut**”) is not required to hold an Australian financial services licence under section 911A of the Act for the provision of the following financial services:
  - (a) providing financial product advice in relation to a Facility through which a person makes a non-cash payment; and
  - (b) dealing in a Facility through which a person makes a non-cash payment.

**Where exemptions apply**

5. The exemption in paragraph 4 applies where Revolut complies with all of the following requirements:
  - (a) is an authorised Electronic Money Institution;
  - (b) operates an internal dispute resolution procedure that:

19-0046

- (i) complies with standards and requirements made or approved by ASIC for the purposes of subparagraph 912A(2)(a)(i) of the Act; and
- (ii) covers complaints in relation to the Facility;
- (c) is a member of an external dispute resolution scheme approved by ASIC in accordance with subparagraph 912A(2)(b)(i) of the Act that covers complaints in relation to the Facility;
- (d) holds all money provided by an Australian client in an account with an Australian ADI and in trust for the benefit of the client;
- (e) the only money paid into the account described in subparagraph 5(d) is:
  - (i) money that relates to a Facility; or
  - (ii) interest on the amount from time to time standing to the credit of the account; and
- (f) money of the kind described in subparagraph 5(d) is paid into the account on the day it is received by Revolut, or on the next business day.

**Conditions**

6. Revolut must comply with:

- (a) Division 2 of Part 7.7 of the Act as if Revolut were a licensee for the purposes of that Division, and
- (b) Reg 7.6.03A of the *Corporations Regulations 2001* as if Revolut has applied for an Australian financial services licence.

7. Revolut must take all reasonable steps to provide the financial services in paragraph 4 in this jurisdiction in a way that would comply with the UK regulatory requirements as if the financial service was provided in the United Kingdom in like circumstances.

**Cessation**

8. This instrument ceases to apply on the earlier of:

- (a) the day that Revolut begins operating under an Australian financial services licence; or
- (b) nine months from the commencement of this Instrument.

19-0046

**Interpretation**

In this instrument:

*authorised Electronic Money Institution* has the meaning given by regulation 2 of the Electronic Money Regulations 2011;

*Facility* means the digital wallet application provided by Revolut which allows for storage of funds and peer to peer payments to be made in multiple currencies and prepaid Visa cards issued to access funds on the wallet application;

*FCA* means Financial Conduct Authority of the United Kingdom;

Dated this 18th day of January 2018



Signed by Bonnie Butler  
as a delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001  
Subsection 601PA(3)

ASIC may deregister the managed investment scheme(s) listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this eighteenth day of January 2019

Rosanne Bell  
DELEGATE OF  
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

**Name of Scheme**

**ARSN**

INCOMETRIC FUND GLOBAL VALOR (AUSTRALIA)

162 122 394

CORPORATIONS ACT 2001  
Subsection 601CC(4)

ASIC has struck the registered Australian bodies  
listed below off the register.

Dated this eighteenth day of January 2019

Rosanne Bell  
DELEGATE OF  
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

**Name of Company**

**ARBN**

SPROUT COMMUNITY CO-OP LTD

164 517 220

CORPORATIONS ACT 2001  
Section 601CL(5)

ASIC has struck the foreign companies listed  
below off the register.

Dated this eighteenth day of January 2019

Rosanne Bell  
DELEGATE OF  
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

**Name of Company**

**ARBN**

AUDIBLE LIMITED

165 316 834

CORPORATIONS ACT 2001  
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this eighteenth day of January 2019

Rosanne Bell  
DELEGATE OF  
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

**Name of Company**

**ARBN**

CENTER FOR HUMAN SERVICES	611 563 949
DIRECTCASH MANAGEMENT INC.	606 375 633
DIRECTCASH PAYMENTS INC.	606 430 853
SGB MY SDN. BHD.	160 605 729
SKINKY&CO.,LTD.	610 432 409
WESTWATER RESOURCES, INC.	608 813 949



Corporations Act 2001

Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

**FS CAPITAL LTD** ACN 143 075 781 will change to a proprietary company limited by shares. The new name will be **FS CAPITAL PTY LTD** ACN 143 075 781.

**NSW JUSTICE ADVOCACY CENTRE LIMITED** ACN 155 969 387 will change to a proprietary company limited by shares. The new name will be **NSW JUSTICE ADVOCACY CENTRE PTY LIMITED** ACN 155 969 387.

**WIMMERA AERO CLUB. LIMITED** ACN 004 297 152 will change to a proprietary company limited by shares. The new name will be **WIMMERA AERO CLUB. PTY LTD** ACN 004 297 152.

**LAND AND MINERAL LIMITED** ACN 152 947 601 will change to a proprietary company limited by shares. The new name will be **LAND AND MINERAL PTY LTD** ACN 152 947 601.

**PISTON MACHINERY LTD.** ACN 618 786 333 will change to a proprietary company limited by shares. The new name will be **PISTON MACHINERY PTY LTD** ACN 618 786 333.

**ZENITAS HEALTHCARE LIMITED** ACN 009 074 588 will change to a proprietary company limited by shares. The new name will be **ZENITAS HEALTHCARE PTY LTD** ACN 009 074 588.