



Change of details for unlicensed carried over instrument lender and prescribed unlicensed carried over instrument lender

If there is insufficient space in any section of the form, you may submit additional copies of the relevant page(s) as part of this lodgement.

Lodgement details

Who should ASIC contact if there is a query about this form?

ASIC registered agent number (if applicable)

Firm/organisation

Contact name/position description

Telephone number (during business hours)

Email address

Postal address

Suburb/City

State/Territory

Postcode

Lender details

Name of unlicensed carried over instrument (COI) lender (as applicable)

ABN

Australian financial services (AFS) licence no (if applicable)

OR

Name of prescribed unlicensed COI lender

ABN

Australian financial services (AFS) licence no (if applicable)

1 Change of details of lender

Name of lender

If name of lender has changed, provide new name

Principal business name

If principal business name of lender has changed, provide new principal business name

1 Continued... Change of details of lender

Postal address

If the postal address of the lender has changed, provide new postal address.

At the office of, C/- (if applicable)

Office, unit, level

Street number and street name or PO Box

Suburb/City

State/Territory

Postcode

Country (if not Australia)

Principal business address *(If not the same as postal address)*

The principal business address should be the same as the:

- principal place of business notified to ASIC for a registered company
- principal business address notified to ASIC for an AFS licensee.

If the address has changed, you must notify ASIC by lodging a:

- *Change to company details* (online transaction) for a company and/or
- Form FS20 *Change of details for an Australian financial services licence for an AFS licensee*.

If the principal business address of the lender has changed, provide new principal business address.

At the office of, C/- (if applicable)

Office, unit, level

Street number and street name or PO Box

Suburb/City

State/Territory

Postcode

Country (if not Australia)

Alternative address

The use of an alternative address is not available for lenders that are companies or AFS licensees.

No PO Box allowed. This must be an address in Australia.

If the alternative address of a lender has changed, or a lender wants to use an alternative address, provide the new alternative address.

At the office of, C/- (if applicable)

Office, unit, level

Street number and street name

Suburb/City

State/Territory

Postcode

External dispute resolution scheme

Tick one box.

If the lender has joined an external dispute resolution scheme(s) or changed the external dispute resolution scheme of which it is a member, provide details of the current scheme(s):

Indicate the external dispute resolution scheme of which the lender was a member.

Financial Ombudsman Service (FOS)

Credit and Investments Ombudsman (CIO)

Australian Financial Complaints Authority (AFCA)

Membership Number

Cease date

<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>
[D]	[D]		[M]	[M]		[Y]	[Y]

Please provide the details of the lender's membership of the Australian Financial Complaints Authority (AFCA).

Membership Number

Commencement date

<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>
[D]	[D]		[M]	[M]		[Y]	[Y]

Control of lender

If the lender has become aware of any change in control, provide details of the change.

1 Continued... Change of details of lender

Financial position of lender

If the lender has become aware of any material adverse change in its financial position, provide details of the change.

Cessation

Have you ceased to be an unlicensed COI lender?

Yes No

If yes, provide date of cessation

/ /
[D] [D] [M] [M] [Y] [Y]

2 Additional changes of detail for a prescribed unlicensed COI lender

Cessation

Have you ceased to be a prescribed unlicensed COI lender?

Yes No

If yes, provide date of cessation

/ /
[D] [D] [M] [M] [Y] [Y]

Appointed Australian credit licensee

If you are a prescribed unlicensed COI lender and you have appointed a new Australian credit licensee through which to engage in credit activities, provide the following details.

Credit licence number

Name of credit licensee

Email address

Date of appointment

/ /
[D] [D] [M] [M] [Y] [Y]

Reason for being prescribed

If the reason why you are required to engage in credit activities through an Australian credit licensee has changed, please provide the new reason.

3 Declaration

It is an offence to provide false or misleading information to ASIC

The lender declares that to the best of its knowledge, the information supplied in this notification is complete and accurate and acknowledges that:

- ASIC may take action to verify the information provided in this form, and
- ASIC may take action to verify the details of registrants or licensees you have indicated will be acting on your behalf.

Name

Capacity (e.g director, secretary, partner, trustee, natural person, agent, other (please specify))

Signature

Date signed

/ /
[D D] [M M] [Y Y]

Lodgement

Send completed and signed forms to:
Australian Securities and Investments Commission,
Licensing
PO Box 4000, Gippsland Mail Centre VIC 3841

For more information

Web www.asic.gov.au
Need help? www.asic.gov.au/question
Telephone 1300 300 630

Guide: Change of details for unlicensed carried over instrument lender and prescribed unlicensed carried over instrument lender

This guide does not form part of the form. It is included by ASIC to assist you in completing and lodging the Form COI2.

Signature	<p>If the lender is a company or other body corporate—a director or secretary of the lender must sign this form.</p> <p>If the lender is a partnership—a partner must sign this form.</p> <p>If the lender is a multiple trustee—one of the trustees that forms this entity must sign this form. If each trustee is a body corporate or a partnership, the form must be signed by an individual who is a director, secretary or partner (as appropriate) of the trustee.</p> <p>If the lender is a natural person—that person must sign this form.</p>
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Lodgement fee	Nil.
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Lodgement period	<p>Lenders</p> <table> <tr> <td>Change of details</td> <td>10 business days</td> </tr> <tr> <td>Change of control</td> <td>10 business days</td> </tr> <tr> <td>Adverse material change to financial position</td> <td>3 business days</td> </tr> </table> <p>Prescribed unlicensed COI lenders</p> <table> <tr> <td>Ceasing to be a prescribed unlicensed COI lender</td> <td>15 business days</td> </tr> <tr> <td>Appointment of new credit licensee</td> <td>15 business days</td> </tr> </table> <p>Late fees will apply if you notify a change outside of the lodgement period.</p> <p>For information on fees refer to www.asic.gov.au/forms.</p> <p>A form is not considered lodged until it is received and accepted by ASIC as being in compliance with s1274(8) of the Corporations Act 2001. A receipt will not be issued unless requested.</p> <p>A receipt will not be issued unless requested.</p>	Change of details	10 business days	Change of control	10 business days	Adverse material change to financial position	3 business days	Ceasing to be a prescribed unlicensed COI lender	15 business days	Appointment of new credit licensee	15 business days
Change of details	10 business days										
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Ceasing to be a prescribed unlicensed COI lender	15 business days										
Appointment of new credit licensee	15 business days										

How to provide additional information	<p>Photocopied Form COI2 pages</p> <p>If there is insufficient space in any section of the form, you may submit additional copies of the relevant page(s) and submit as part of this lodgement.</p> <p>Annexures</p> <p>To make any annexure conform to the regulations, you must</p> <ol style="list-style-type: none"> use A4 size paper of white or light pastel colour with a margin of at least 10mm on all sides show the lender name and ABN number the pages consecutively print or type in BLOCK letters in dark blue or black ink so that the document is clearly legible when photocopied mark the annexure with an identifying letter or symbol eg a,b,c or 1,2,3 etc. endorse the annexure with the words: This annexure (mark) of (number) pages referred to in form (form number and title) sign and date the annexure. The annexure must be signed by the same person(s) who signed the form.
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Privacy

The information provided to ASIC in this form may include personal information. Please refer to our privacy policy (www.asic.gov.au/privacy) for information about how we handle your personal information, your rights to seek access to and correct personal information, and to complain about breaches of your privacy.

Lodgement

Send completed and signed forms to:
Australian Securities and Investments Commission,
Licensing
PO Box 4000, Gippsland Mail Centre VIC 3841

For more information

Web www.asic.gov.au
Need help? www.asic.gov.au/question
Telephone 1300 300 630