



ASIC

Australian Securities &
Investments Commission

SENATE ORDER NO. 12

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Indexed agency file lists created between 1 January 2018 and 30 June 2018

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13872-18 - ACIC Product - ### ###	F20180000011611
13873-18 - AUSTRAC Product - ### ### ###	F20180000011662
13875-18 - VICPOL Product - ### ### Pty Ltd	F20180000011775
13876-18 - AFP Product - ### ### ###	F20180000011688
13880-18 - NSWPOL RFI - ### ###	F20180000011968
14280-18 - ACIC and AUSTRAC RFI - New Company Registrations	F20180000012443

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14487-18 - VICPOL Product - ### ### Pty Ltd ###	F20180000011813
14555-18 - VICPOL Product - ### ### Pty Ltd ###	F20180000012423
14556-18 - AUSTRAC Product - ### ### ###	F20180000012449
14558-18 - AUSTRAC Product - ### ###	F20180000012454
14560-18 - AUSTRAC Product - ### ### ###	F20180000012456
14567-18 - ACIC Product - ### ### ### ### - May 2018	F20180000012504
14568-18 - AFP IR - ### ### - Fraud Allegations	F20180000012205
14874-18 - ABF RFI - ### ### Pty Limited	F20180000012763
14882-18 - ACIC RFI - Fortnightly ### Report	F20180000012911
15651-18 - AUSTRAC Product - ### ### ### ###	F20180000013414
15980-18 - ACIC and AUSTRAC RFI - New Company Registrations	F20180000013792
16325-18 - ASIC RFI of AUSTRAC - ### ### Pty Ltd	F20180000014070
17490-18 - ACIC RFI - Fortnightly ### Report	F20180000015045
17554-18 - ACIC and AUSTRAC RFI - New Company Registrations	F20180000015115
17713-18 - AFP RFI on behalf of ABF - ### ###	F20180000014791

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7455-18 - ABF RFI -### ###	F2018000006950
18 - ABF RFI -### ### Pty Ltd - ### ### ### ###	F2018000009085
10675-18 - ACIC and AUSTRAC RFI - New Company Registrations	F2018000009683
13572-18 - ACIC and AUSTRAC RFI - New Company Registrations	F20180000011904
18 - ACIC Product - ### Monthly Report - April 2018	F20180000012798
11491-18 - ACIC Product - ### Reports	F20180000010168
13874-18 - ACIC Product - ### ###	F20180000011687
18154-18 - ACIC Product - ### ### ###	F20180000015574
18 - ACIC Product - ### ### ### ### - June 2018	F20180000014872
14566-18 - ACIC Product - ###	F20180000012502
14557-18 - ACIC Product ###	F20180000012450
18 - ACIC Product - ###	F20180000011777
18 - ACIC Product - ### ### ### ### ###	F20180000012903
18 - ACIC Product - ### ###	F20180000012501
18 - ACIC Product - Impact of ### ### ### ### ### ###	F20180000015669

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18 - ACIC Product - ### ### ### ### ### ### ### ### ###	F20180000015687
18 - ACIC Product - ###	F20180000015265
18 - ACIC Product - ### ### ### ### ### ### ### ### ### ###	F20180000014227
18 - ACIC RFI - ### ### ###	F20180000013063
18 - AFP Product - ### ###	F20180000014122
18 - AFP Product - ### ###	F20180000006643
18 - AFP Request for Assistance on behalf of ### Police	F20180000009397
18 - AFP RFI - ### ### ###	F20180000009843
18 - ASIC Product to ACIC - ### ### ### ### ### ### ### ### ### ###	F20180000013695
18 - ASIC Product to AUSTRAC - ### ###	F20180000012762
18 -ASIC Product to NSWPOL - ### ### Pty Ltd	F20180000008135
18 - ASIC RFI - ### ###	F20180000014794
18 - ASIC RFI AUSTRAC -### ### ###	F20180000011222
18 - ASIC RFI of AUSTRAC - ### ### Pty Ltd	F20180000013692
18 -A415 ASIC RFI of AUSTRAC - ### ###	F20180000011130

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18 - ASIC release to VICPol - ### ###	F20180000012204
18 - ATO Product - ### ###	F20180000008627
18 - ATO RFI -### ### ### ### Pty Ltd	F20180000011539
18 - ATO RFI - ### ### ###	F20180000015293
18 - ATO RFI - Suspect Companies	F20180000014763
18 - AUSTRAC IR 7630217 - ###	F20180000009738
18 - AUSTRAC Product -### ###	F20180000013410
18 - AUSTRAC Product - ### ### ###	F20180000015848
18 - AUSTRAC Product - ### ### ###	F20180000013074
18 - AUSTRAC Product - ### ### ### ### ###	F20180000012905
18 - AUSTRAC product - May 18	F20180000014277
18 - AUSTRAC Product - ### ###	F20180000008374
18 - AUSTRAC Product - ### and ### ###	F20180000014132
18 - AUSTRAC Product - Operational Intelligence Report ### ### ### ### ### ###	F20180000009011
18 - AUSTRAC Product - ### ### ### Pty Ltd	F20180000006686

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18 - AUSTRAC Product - ### ###	F2018000006690
18 - AUSTRAC Product - ### ### ###	F20180000015897
18 - AUSTRAC RFI - ### ### Pty Ltd	F20180000014757
18 - DHA Product - PJCLE inquiry into Financial related crime	F20180000011778
18 - NSWPOL Alert Request - ### ###	F20180000015694
18 - NSWPOL Req Assistance s206b - ### ###	F20180000012174
17711-18 - NSWPOL RFI - ### Pty Ltd	F20180000014766
8045-18 - NSWPOL RFI - ### ###	F20180000007679
12660-18 - VICPOL RFI - ### PTY LIMITED	F20180000011116
16808-18 - VICPoI RFI - ### ###	F20180000014128
15652-18 - VICPoI RFI - ### ### Ltd	F20180000013502
14616-18 - WAPOL RFI - ### ### ### PTY LTD	F20180000012206
2379-18 - ### ### Pty Ltd	F20180000008634
3411-18 - Market Enforcement ### Request	F20180000007274
38439-17 - NSWPOL RFI - ### ###	F20180000008610

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6908-18 - ACIC Product - ### ###	F20180000006632
6910-18 - ACIC Product - ### ###	F20180000006645
6911-18 - ASIC RFI to WAPOL - ### ###	F20180000006629
6918-18 - AUSTRAC Product - ### ### ### Pty Ltd ###	F20180000006685
7187-18 - ACIC and AUSTRAC RFI - New Company Registrations	F20180000007055
7335-18 - ACIC RFI - Fortnightly ### Report	F20180000007190
7458-18 - AFP Product - ### ###	F20180000006961
7464-18 - AUSTRAC Product - ### ###	F20180000007263
7465-18 - ### ### ###	F20180000007378
8048-18 - AFP Product - ### ### ###	F20180000007643
8050-18 - AFP Product - ### ### ###	F20180000007302
8878-18 - ACIC Product - ### ### and ### ###	F20180000008360
8966-18 - ACIC and AUSTRAC RFI - New Company Registrations	F20180000008488
9278-18 - ACIC RFI - Fortnightly ### Report	F20180000008778
9287-18 - AUSTRAC ACIC AFP QPOL RFI - ### ### ### - ### ### ###	F20180000008736

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9522-18 - AUSTRAC Product - ### ### High Level Analysis	F20180000008581
9525-18 - ASIC RFI - 18-20254 ### ### - ### ###	F20180000008739
9526-18 - ATO RFI - ### ### ### PTY LTD	F20180000008895
9527-18 - AUSTRAC Product - March 2018	F20180000008896
9883-18 - AUSTRAC Product - ## ## ## ## - ## ##	F20180000008993
### ### - ### ###	F20180000008984
Interpol - Requests	F20180000011046
Foreign Jurisdiction MoUs	F20180000008643
02.14 Senate Estimates February 2018	F20180000010305
02.15 PJC May 2018	F20180000010471
02.16 Senate Estimates May 2018	F20180000013057
04. Royal Commission - WD statement docs	F20180000013309
04. Royal Commission into misconduct in banking, super and FS	F20180000010308
FOS Expulsions - June 2018	F20180000015434
Hybrids Surveillance 2012-2013 (potentially relevant for RC)	F20180000008166

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Takeovers Panel-Rights Issues (GN17) Apr2018	F20180000010450
Takeovers Panel-Truth-in-Takeovers (GN1) Apr2018	F20180000010448
2017-2018	F20180000009016
AIRA	F20180000014003
Australian Shareholders Association - 2017-2018	F20180000008539
Market Conduct - Royal Commission Request	F20180000011140
20180525 - PJC	F20180000010441
20180622 - SCE	F20180000014252
201806 - QoN from the Senate Estimates hearing held on 30 May 2018	F20180000014924
WA Department of Justice	F20180000008320
2018 05 - ANAO Audit - Data Requests	F20180000011476
2018 05 - ANAO Audit - Requested Artefacts (Final)	F20180000013532
2018 05 - ANAO Audit - Requested Artefacts (Working)	F20180000012127
DTA Investment Reivew - Apr 2018	F20180000011535
DTA Investment Review - Jun 2018	F20180000014987

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112 - Request for Advice from AFP secondee	F20180000011484
128 - ### Limited	F20180000013662
163 - ATO request for template	F20180000015012
167 - ### ### ### Pty Ltd	F20180000015280
168 - ### ### Pty Limited	F20180000015283
065 - Request for customised report from AFP on director info	F20180000007780
BAC May 2018 papers	F20180000008417
BAC May 2018 Travel Details	F20180000011101
BAC Update Infographics	F20180000008880
Chair Notes	F20180000011059
International Business Registers Survey IACA	F20180000016376
NZCO Annual liaison 2018	F20180000016199
Indonesian Regulator meeting	F20180000006710
International Regulators	F20180000006709
APRA s127 releases	F20180000011221

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ASIC ATO MoU	F20180000011336
12 July 2018 - NSW ASIC CDPP Liaison Meeting	F20180000015188
17 May 2018 - VIC ASIC-CDPP Liaison Meeting (REPORT ONLY, no meeting)	F20180000010206
18 April 2018 - SA CDPP ASIC Liaison Meeting	F20180000008966
19 April 2018 - NSW ASIC CDPP Liaison Meeting	F20180000008091
19 April 2018 - VIC CDPP-ASIC Liaison Meeting	F20180000008288
20180426 - QLD ASIC CDPP Liaison Meeting	F20180000009372
20180607 - QLD ASIC CDPP Liaison Meeting	F20180000012308
20180719 - QLD ASIC CDPP Liaison meeting	F20180000014576
21 June 2018 - VIC ASIC CDPP Liaison Meeting	F20180000014600
22 June 2018 - SA CDPP-ASIC Liaison meeting	F20180000014287
31 May 2018 - NSW ASIC CDPP Liaison Meeting	F20180000009777
ASIC Foreign Bribery Referral Reviews	F20180000011676
ATO Information Transfer	F20180000013037
Mentoring at ASIC - Program Resources	F20180000014896

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2018 - Request for information from DFAT	F20180000015832
2017 Graduates	F20180000014862
2018 Graduates	F20180000011542
2019 Graduates	F20180000016024
Cranlana Graduate Colloquium	F20180000006903
Graduate Program Photo Shoots	F20180000006688
IOSCO Toolkit - sample material	F20180000008750
ASIC's contribution to the Royal Commission - FSE's comments	F20180000014492
House Economics Committee - June 2018 - EWA	F20180000014326
PJC Briefing - May 2018 - FSE	F20180000010525
PJC briefings - May 2016 - EWA	F20180000011168
PJC Briefings - May 2018 - ME	F20180000011512
PJC briefing papers	F20180000012338