



Appointment of an auditor of an Australian financial services licensee

If there is insufficient space in any section of the form, you may photocopy the relevant page(s) and submit as part of this lodgement

AFS licence details

AFS licence number

AFS licence name

Office, unit, level, or PO Box number

Street number and Street name

Suburb/City

State/Territory

Postcode

Country (if not Australia)

Lodgement details

Who should ASIC contact if there is a query about this form?

Firm/organisation

Contact name/position description

ASIC registered agent number (if applicable)

Telephone number

Postal address or DX address

Auditor details

Provide the following details for the
auditor being appointed

Date of appointment

/ /
[D] [D] [M] [M] [Y] [Y]

You have appointed:

an ASIC registered auditor
complete **Section 1**

OR

a firm of auditors
complete **Section 2**

Continued... Auditor details

Complete either Section 1 or
Section 2 and then provide address

Section 1

ASIC registered auditor

ASIC registered auditor number

Family name

Given names

OR

Company name

Section 2

Firm of auditors

Name of firm

ABN

Address

Office, unit, level, or PO Box number

Street number and Street name

Suburb/City

State/Territory

Postcode

Country (if not Australia)

Provide for individual auditor,
company or firm

Signature

Please refer to Guide for details on
eligible signatories.

Name

Signature

Capacity

Entity name (if entity acting as agent)

Date signed

<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>
[D	D]		[M	M]		[Y	Y]

Lodgement

Send completed and signed forms to:
Australian Securities and Investments Commission,
PO Box 4000, Gippsland Mail Centre VIC 3841.

Or lodge the form online by visiting the ASIC website
www.asic.gov.au

For more information

Web www.asic.gov.au

Need help? www.asic.gov.au/question

Telephone 1300 300 630

Guide: Appointment of an auditor of an Australian financial services licensee

This guide does not form part of the form. It is included by ASIC to assist you in completing and lodging the Form FS06.

Signature	<p>This form must be signed by the person authorised by the Australian financial services (AFS) licensee.</p> <table border="1"> <thead> <tr> <th>Entity</th> <th>Signatory</th> </tr> </thead> <tbody> <tr> <td>Partnership comprised of individuals</td> <td>Any one of the individual partners</td> </tr> <tr> <td>Partnership comprised of both individuals and companies</td> <td>Any one of the individual partners or a director or secretary of one of the companies</td> </tr> <tr> <td>Multiple trustees</td> <td>Any one of the trustees, or where the trustee is a body, a director or secretary of the body</td> </tr> <tr> <td>Proprietary company</td> <td>Director or secretary</td> </tr> <tr> <td>Foreign company</td> <td>Director or secretary or local agent</td> </tr> <tr> <td>Individual</td> <td>The individual</td> </tr> </tbody> </table>		Entity	Signatory	Partnership comprised of individuals	Any one of the individual partners	Partnership comprised of both individuals and companies	Any one of the individual partners or a director or secretary of one of the companies	Multiple trustees	Any one of the trustees, or where the trustee is a body, a director or secretary of the body	Proprietary company	Director or secretary	Foreign company	Director or secretary or local agent	Individual	The individual
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Lodgement period	<p>Within 14 days after the appointment of the auditor.</p>															
Late fees	<p>Late fees will apply if you notify a change outside of the lodgement period.</p> <p>For information on fees refer to www.asic.gov.au/forms.</p> <p>A form is not considered lodged until it is received and accepted by ASIC as being in compliance with s1274(8) of the Corporations Act 2001. A receipt will not be issued unless requested.</p>															
When to use this form	<p>This form should only be used where the licensee is an individual, a partnership, a non-corporate trustee, foreign company or a proprietary company.</p> <p>Where the licensee is a public company, the appointment should be advised on the Form 388 <i>Copy of financial statements and reports</i> when lodging annual accounts.</p>															
Timing	<p>Where the appointment is a replacement for an outgoing auditor, the date of appointment cannot be before the date ASIC consented to the resignation/removal.</p> <p>The appointment date cannot be a future date.</p>															
Privacy	<p>The information provided to ASIC in this form may include personal information. Please refer to our privacy policy (www.asic.gov.au/privacy) for information about how we handle your personal information, your rights to seek access to and correct personal information, and to complain about breaches of your privacy.</p>															
Lodgement	<p>Send completed and signed forms to: Australian Securities and Investments Commission, PO Box 4000, Gippsland Mail Centre VIC 3841.</p> <p>Or lodge the form online by visiting the ASIC website www.asic.gov.au</p>	<p>For more information</p> <p>Web www.asic.gov.au Need help? www.asic.gov.au/question Telephone 1300 300 630</p>														