



**ASIC**

Australian Securities &  
Investments Commission

Commonwealth of Australia Gazette  
No. A23/18, Tuesday 5 June 2018

Published by ASIC

# ASIC Gazette

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### RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet *ASIC decisions – your rights* (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at [www.asic.gov.au](http://www.asic.gov.au) or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

ISSN 1445-6060 (Online version)  
ISSN 1445-6079 (CD-ROM version)

Available from [www.asic.gov.au](http://www.asic.gov.au)  
Email [gazette.publisher@asic.gov.au](mailto:gazette.publisher@asic.gov.au)

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18-0341

## AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

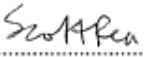
*Superannuation Industry (Supervision) Act 1993*  
Subsection 130F(2)

## DISQUALIFICATION ORDER

To: Kate Campbell  
Approved SMSF auditor registration number 100114127

Under s130F(2) of the *Superannuation Industry (Supervision) Act 1993* the Australian Securities and Investments Commission disqualifies Kate Campbell from being an approved SMSF auditor with effect from 10 May 2018.

Dated this 3<sup>rd</sup> day of May 2018

Signed:  .....  
Scott Rea  
Delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities &amp; Investments Commission

18-0343

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Younis & Co Pty Ltd  
ABN 29 003 319 248 ("the Licensee")  
Level 11, 37 York Street  
Sydney NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 489083 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 25 May 2018

Signed .....

John Connor

A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities &amp; Investments Commission

18-0418

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Asiainvest Consultants Pty Limited  
67 Abergeldie Street  
Dulwich Hill NSW 2203

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 234719 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated *25 May 2018*

Signed *John J. Connor*.....

John Connor

A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities &amp; Investments Commission

18-0422

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Wealthplan Plus Pty Ltd (ABN 32 129 707 277)  
Po Box 499  
St Marys NSW 1790

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 488558 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 25 May 2018

Signed

A handwritten signature in cursive script, appearing to read 'John Connor', written over a dotted line.

John Connor

A delegate of the Australian Securities and Investments Commission

[18-0427]

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 655A(1)(b) – Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 655A(1)(b) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument [18-0427].

**Commencement**

3. This instrument commences on the date it is signed.

**Declaration**

4. Chapter 6 of the Act applies to DGO Gold Limited ACN 124 562 849 (the *Company*) as if item 10(b) of section 611 of the Act were modified by inserting after the words “offers are made to every person who holds securities in that class” the words “and who has a registered address in Australia or New Zealand”.

**Where this instrument applies**

5. The declaration in paragraph 4 applies where the Company makes an offer:
  - (a) of new fully paid ordinary shares pursuant to a non-renounceable pro rata rights issue to Eligible Shareholders of 1 new share for every 2 shares held at an issue price of \$0.75 per share (the *Offer*);
  - (b) to every person who holds ordinary shares in the Company, other than the Foreign Holders holding approximately 0.27% of the issued capital of the Company; and
  - (c) pursuant to a prospectus dated 24 May 2018.

**Interpretation**

6. In this instrument:

*Eligible Shareholders* means shareholders other than the Foreign Holders; and

[18-0427]

*Foreign Holders* means the 5 persons who are registered holders of ordinary shares in the Company as at the record date of the Offer and who have registered addresses other than in Australia or New Zealand.

Dated this 22<sup>nd</sup> day of May 2018



Signed by Teegan Winters  
as a delegate of the Australian Securities and Investments Commission

18-0444

**Australian Securities and Investments Commission  
Corporations Act 2001 – Subsection 741(1) – Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 741(1)(b) of the *Corporations Act 2001 (Act)*.

**Title**

2. This instrument is ASIC Instrument 18-0444.

**Commencement**

3. This instrument commences on the date it is signed.

**Declaration**

4. Chapter 6D of the Act applies to a person who holds ordinary shares or CHES depository interests over ordinary shares in Kleos Space S.A.R.L ARBN 625 668 733 (which, upon conversion to a public company, will be named Kleos Space SA), a company incorporated in Luxembourg (*Kleos*), as if section 707 was modified or varied by omitting subsections 707(3) and (4) and substituting the following subsections:
  - “(3) An offer of a body’s securities for sale within 12 months after their issue needs disclosure to investors under this Part if the body issued the securities:
    - (a) without disclosure to investors under this Part; and
    - (b) with the purpose of the person to whom they were issued:
      - (i) selling or transferring them; or
      - (ii) granting, issuing or transferring interests in, or options or warrants over, them;and section 708 and 708A does not say otherwise.
  - (4) Unless the contrary is proved, a body is taken to issue securities with the purpose referred to in paragraph 3(b) if any of the securities are subsequently sold, or offered for sale, within 12 months after their issue.”



18-0444

**Where this instrument applies**

5. This instrument applies where a person who holds securities in Kleos makes an offer of those securities for sale (*Sale Securities*) where:
- (a) the sale offer occurs within 12 months of the issue of the Sale Securities;
  - (b) a prospectus was lodged with ASIC by Kleos on or around 30 May 2018 in relation to an initial public offer of CHES depository interests that are in the same class as the Sale Securities (*Prospectus*); and
  - (c) the Sale Securities were issued by Kleos without disclosure under Chapter 6D of the Act in consideration for the conversion of convertible notes, on the close of the settlement of the offer made under the Prospectus.

Dated this 25<sup>th</sup> day of May 2018



Signed by Ben Phillips  
as a delegate for the Australian Securities and Investments Commission



ASIC

Australian Securities &amp; Investments Commission

18-0446

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Direct Portfolio Management Pty Ltd  
ACN 163 467 678 ("the Licensee")  
PO Box 262  
GREENBUSHES WA 6254

Pursuant to paragraph 915B(3)(a) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 439419 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated *29 May 2018*

Signed

A handwritten signature in cursive script that reads "John J. Connor".

John Connor

a delegate of the Australian Securities and Investments Commission

18-0460

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraphs 655A(1)(b) and 673(1)(b) – Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 655A(1)(b) and 673(1)(b) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 18-0460.

**Commencement**

3. This instrument commences on 30 May 2018.

**Declarations**

4. Chapters 6 and 6C of the Act apply to Prospa Group Limited ACN 625 648 722 (*Prospa*) as if section 609 of the Act were modified or varied by, after subsection (13) (as notionally inserted by ASIC Class Order [CO 13/520]) (*CO 13/520*), inserting:

*“Securities subject to escrow arrangement*

(13A) A body corporate does not have a relevant interest in its own securities merely because, under an escrow arrangement entered into by the body corporate, the body corporate applies restrictions on the disposal of the securities by the holder.”

5. Chapters 6 and 6C of the Act apply to Prospa as if section 9 of the Act were modified or varied by, after subparagraph (a)(ii)(C) in the definition of *substantial holding* (as notionally inserted by CO 13/520), inserting:

“ or

(D) subsection 609(13A) (securities subject to escrow arrangement);”

6. Chapter 6C of the Act applies to Prospa as if section 671B of the Act were modified or varied by, omitting “.” in paragraph (7)(c) (as notionally inserted by CO 13/520), and inserting:

“; or

(d) subsection 609(13A) (securities subject to escrow arrangement).”

18-0460

**Where this instrument applies**

7. This instrument applies in relation to Prospa's acquisition of a relevant interest in 120,411,871 ordinary shares in Prospa (*Escrowed Securities*) arising as a result of Security Holders holding ordinary shares in Prospa that are subject to escrow arrangements (*Escrow Arrangements*) in connection with the proposed admission of Prospa's securities to the official list of the financial market operated by ASX Limited ACN 008 624 691 (*ASX*) and where each Escrow Arrangement:
- (a) restricts disposal of, but not the exercise of, voting rights attaching to, the Escrowed Securities;
  - (b) in the case of a takeover bid (including a proportional takeover bid):
    - (i) allows each Security Holder to accept into the takeover bid where holders of at least half of the bid class securities that are not subject to an Escrow Arrangement have accepted into the bid; and
    - (ii) requires that the Escrowed Securities be returned to escrow if the takeover bid does not become unconditional;
  - (c) allows the Escrowed Securities to be transferred or cancelled as part of a merger by way of compromise or arrangement under Part 5.1 of the Act;
  - (d) terminates no later than two years after the date the Escrow Arrangement was entered into; and
  - (e) is substantially in the same form as the draft agreement provided to ASIC on 9 May 2018.

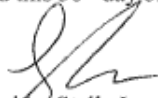
**Interpretation**

8. In this instrument *Security Holder* means any of the following persons who hold shares in Prospa:
- (a) Greg Moshal Pty Ltd, ACN 124 893 08;
  - (b) International Group of Companies Pty Ltd, ACN 154 333 472;
  - (c) Curfore Pty Ltd, ACN 624 938 478;
  - (d) Aviad Eyal;
  - (e) Airtree Ventures Trusco Pty Ltd, ACN 169 381 757;
  - (f) Airtree Ventures Partnership LP, ILP 0000128;
  - (g) David Fite;
  - (h) Danita Lowes;

18-0460

- (i) Kupuna Pty Ltd, ACN 111 117 358;
- (j) Square Peg Global Fund 2015 Pty Ltd, ACN 609 621 561 ATF Square Peg Global 2015 Trust;
- (k) Square Peg UGP Pty Ltd, ACN 608 588 398;
- (l) The Trustee for GM Pemberton Superannuation Fund, ABN 26 708 630 154;
- (m) Tubbin Investments Pty Limited;
- (n) Richard Miller;
- (o) Edoardo Bigazzi;
- (p) Matthew Bauld;
- (q) Ben Lamb;
- (r) Damon Pezzaro;
- (s) Kylie Rebecca James; and
- (t) Euclid Capital Partners.

Dated this 30<sup>th</sup> day of May 2018



Signed by Stella Lee  
as a delegate of the Australian Securities and Investments Commission

18-0461

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 1020F(1)(b) – Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 1020F(1)(b) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 18-0461.

**Commencement**

3. This instrument commences on 30 May 2018.

**Exemption**

4. A fully paid ordinary share (*Share*) in FloatCo is exempt from subsection 1020B(2) of the Act.

**Where exemption applies**

5. The exemption applies to a sale of Shares where all of the following are satisfied:
  - (a) ASX has declared a conditional market in relation to the trading of the Shares in accordance with the ASX operating rules;
  - (b) the Shares are sold within the period covered by the declaration where:
    - (i) the sale occurs on a financial market operated by ASX or Chi-X; or
    - (ii) the sale is required to be reported to an operator of a financial market under the *ASIC Market Integrity Rules (Competition in Exchange Markets) 2011*;
  - (c) the seller of those Shares has, before the time of sale, entered into a contract to subscribe for or buy those Shares (including a contract formed under the Prospectus) and has a right to have those Shares vested in the seller that is conditional only upon all or any of the following:
    - (i) payment of the consideration in respect of the subscription or purchase;

18-0461

- (ii) the receipt by the seller of a proper instrument of transfer in respect of the Shares;
- (iii) completion of the restructure whereby FloatCo acquires Prospa Advance Pty Ltd ACN 154 775 667, as described in the Prospectus;
- (iv) settlement occurring in accordance with clause 6 of the Underwriting Agreement; and
- (iv) the issue of Shares by FloatCo to successful applicants under the Prospectus.

**Interpretation**

6. In this instrument:

*ASX* means ASX Limited ACN 008 624 691;

*ASX operating rules* means the operating rules of ASX as in force as at the date of this instrument;

*Chi-X* means Chi-X Australia Pty Limited ACN 129 584 667;

*FloatCo* means Prospa Group Limited ACN 625 648 722;

*Prospectus* means the prospectus of FloatCo dated on or about 21 May 2018;

*sell* has the meaning affected by subsection 1020B(7) of the Act; and

*Underwriting Agreement* means the agreement dated 18 May 2018 between FloatCo, UBS AG, Australia Branch and Macquarie Capital Limited in connection with the offer of Shares made under the Prospectus.

Dated this 30<sup>th</sup> day of May 2018



Signed by Stella Lee

as a delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities &amp; Investments Commission

18-0470

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Astro Japan Property Management Limited  
ACN 111 874 563 ("the Licensee") – Under External Administration  
C/- Deloitte Touche Tohmatsu  
'Grosvenor Place'  
Level 2  
225 George Street  
SYDNEY NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 283142 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 31 May 2018.

Signed .....

A handwritten signature in black ink, appearing to read 'Floyd Williams', written over a dotted line.

Floyd Williams  
a delegate of the Australian Securities and Investments Commission



**Instrument 18-0475****NOTICE UNDER SECTION 915F OF THE CORPORATIONS ACT 2001**

Notice is given under section 915F of the Corporations Act 2001 that the Australian Securities and Investments Commission has taken the action set out in the Notice below, which action took effect on 28<sup>th</sup> May 2018.

**Australian Securities and Investments Commission  
Corporations Act 2001 section 915C****Notice of Suspension of Australian Financial Services Licence**

To: Vesta Living Communities Limited  
ACN 166 335 888

Under s915C(1) of the *Corporations Act 2001*, the Australian Securities and Investments Commission hereby suspends Australian financial services licence number 461176 held by Vesta Living Communities Limited until 25 November 2018.

Dated this 25<sup>th</sup> day of May 2018.

Signed



---

Lisa Lu  
Delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001  
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this first day of June 2018

Rosanne Bell  
DELEGATE OF  
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

**Name of Company**  
SECURITIES EVALUATIONS, INC.

**ARBN**

136 795 018

CORPORATIONS ACT 2001  
Subsection 601PA(3)

ASIC may deregister the managed investment scheme(s) listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this first day of June 2018

Rosanne Bell  
DELEGATE OF  
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

**Name of Scheme**

PEARL ALTERNATIVE INVESTMENT FUND

**ARSN**

618 774 468

CORPORATIONS ACT 2001  
Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this first day of June 2018

Rosanne Bell  
DELEGATE OF  
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

<b>Name of Scheme</b>	<b>ARSN</b>	
FOLKESTONE REAL ESTATE INCOME FUND AT WOLLONGONG		163 254 037
RANGER GLOBAL INCOME & GROWTH FUND		606 550 869

Corporations Act 2001  
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

**BURMAN INVESTMENT MANAGEMENT PTY LTD** ACN 625 292 426 will change to a public company limited by shares. The new name will be BURMAN INVESTMENT MANAGEMENT LTD ACN 625 292 426.

**CIRCA GROUP PTY LTD** ACN 112 735 485 will change to a public company limited by shares. The new name will be CIRCA GROUP LIMITED ACN 112 735 485.

**FOOD AND BEVERAGE INTERNATIONAL LIMITED** ACN 142 522 389 will change to a proprietary company limited by shares. The new name will be FOOD AND BEVERAGE INTERNATIONAL PTY LTD ACN 142 522 389.

**METROLAND FUNDS MANAGEMENT LIMITED** ACN 134 682 876 will change to a proprietary company limited by shares. The new name will be METROLAND FUNDS MANAGEMENT PTY LTD ACN 134 682 876.

**MY FOOTDR (AUST) PTY LTD** ACN 608 550 607 will change to a public company limited by shares. The new name will be MY FOOTDR (AUST) LTD ACN 608 550 607.

**MY FOOTDR (BROOKWATER) PTY LTD** ACN 161 205 983 will change to a public company limited by shares. The new name will be PHYSIOTHERAPY GROUP HOLDINGS LTD ACN 161 205 983.

**SHAFSTON HOUSE COLLEGE LIMITED** ACN 071 567 694 will change to a proprietary company limited by shares. The new name will be SHAFSTON HOUSE COLLEGE PTY LTD ACN 071 567 694.